

# Outline of lectures : refresher in many-body theory

André-Marie Tremblay

May 2024

## 0.1 Prologue

Below, I make reference to the following free lecture notes. If you feel you are missing some prerequisites, everything is in these lecture notes.

<http://www.physique.usherbrooke.ca/tremblay/cours/phy-892/N-corps.pdf>

Many of these lectures are on YouTube

[https://www.youtube.com/playlist?list=PL9IKDS79pLpNJS9KLrAZU0zw\\_Tin4E6ed](https://www.youtube.com/playlist?list=PL9IKDS79pLpNJS9KLrAZU0zw_Tin4E6ed)

## 0.2 Lecture 1 (30 minutes) Second quantization

Chapter 81 : Handeling many-interacting particles : Second quantization

81.1 Fock space : Creation-annihilation operators

Number operator

81.2 Change of basis

87.2.1 Position and momentum basis

87.2.2 Wave functions

81.3 One-body operators

81.4 Two-body operators

## 0.3 Lecture 2 (45 minutes) Time-ordered product, Green functions

Chapter 83 Perturbation theory (interaction representation)

$$e^{-\beta \hat{K}} = e^{-\beta \hat{K}_0} \hat{U}(\beta) \quad (1)$$

$$\hat{U}(\beta) \equiv T_\tau \left[ e^{-\int_0^\beta \hat{K}_1(\tau) d\tau} \right] \quad (2)$$

$$\hat{K}_1(\tau) \equiv e^{\hat{K}_0\tau} \hat{K}_1 e^{-\hat{K}_0\tau}. \quad (3)$$

Chapter 29 Matsubara Green's function

84.1 Photoemission and fermion correlation functions

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \propto \sum_{mn} e^{-\beta K_m} \langle m | c_{\mathbf{k}_{||}}^\dagger | n \rangle \langle n | c_{\mathbf{k}_{||}} | m \rangle \delta(\omega - (K_m - K_n)) \quad (4)$$

29.1 Definition of the Matsubara Green function

$$G_{\alpha\beta}(\tau) = - \left\langle T_\tau c_\alpha(\tau) c_\beta^\dagger(0) \right\rangle \quad (5)$$

$$= - \left\langle c_\alpha(\tau) c_\beta^\dagger(0) \right\rangle \theta(\tau) + \left\langle c_\beta^\dagger(0) c_\alpha(\tau) \right\rangle \theta(-\tau). \quad (6)$$

29.3 Antiperiodicity and Fournier expansion

$$\mathcal{G}_{\alpha\beta}(ik_n) = \int_0^\beta d\tau e^{ik_n\tau} \mathcal{G}_{\alpha\beta}(\tau) \quad (7)$$

$$\mathcal{G}_{\alpha\beta}(\tau) = T \sum_n e^{-ik_n\tau} \mathcal{G}_{\alpha\beta}(ik_n) \quad (8)$$

29.8 Green function for  $U = 0$

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \frac{1}{ik_n - \zeta_{\mathbf{k}}} \quad (9)$$

29.2 Time-ordering operator in practice

$$\langle T_\tau \psi(\tau_1) \psi^\dagger(\tau_3) \psi(\tau_2) \psi^\dagger(\tau_4) \rangle = -\langle T_\tau \psi^\dagger(\tau_3) \psi(\tau_1) \psi(\tau_2) \psi^\dagger(\tau_4) \rangle \quad (10)$$

## 0.4 Lecture 3 (45 minutes) Spectral weight, Self-energy, Quasiparticles

84.4 Spectral weight and how it is related to  $\mathcal{G}_{\mathbf{k}}(ik_n)$  and to photoemission

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \propto A_{\mathbf{k}}(\omega) f(\omega) \quad (11)$$

29.5 Lehman representation

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \int \frac{d\omega}{2\pi} \frac{A_{\mathbf{k}}(\omega)}{ik_n - \omega} \quad (12)$$

$$A_{\mathbf{k}}(\omega) \equiv \sum_{n,m} \frac{1}{Z} (e^{-\beta K_m} + e^{-\beta K_n}) \langle n | c_{\mathbf{k}} | m \rangle \langle m | c_{\mathbf{k}}^\dagger | n \rangle 2\pi\delta(\omega - (K_m - K_n)) \quad (13)$$

29.6 Obtaining the spectral weight from  $\mathcal{G}_{\mathbf{k}}(ik_n)$ , the problem of analytic continuation

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \int \frac{d\omega'}{2\pi} \frac{A_{\mathbf{k}}(\omega')}{ik_n - \omega'} \quad (14)$$

$$G_{\mathbf{k}}^R(\omega) = \int \frac{d\omega'}{2\pi} \frac{A_{\mathbf{k}}(\omega')}{\omega + i\eta - \omega'} \quad (15)$$

The notion of self-energy, what it means, what it hides  
(20 minutes) Chapter 17 Self-energy

$$A(\mathbf{k}; \omega') = \frac{2\Gamma}{(\omega - \tilde{\varepsilon}_{\mathbf{k}})^2 + \Gamma^2} \quad (16)$$

$$G^R(\mathbf{k}, \omega) = \frac{1}{\omega - \tilde{\varepsilon}_{\mathbf{k}} + i\Gamma}. \quad (17)$$

$$G^R(\mathbf{k}, \omega) = \frac{1}{\omega + i\eta - \varepsilon_{\mathbf{k}} - \Sigma^R(\mathbf{k}, \omega)} = \frac{1}{G_0^R(\mathbf{k}, \omega)^{-1} - \Sigma^R(\mathbf{k}, \omega)}. \quad (18)$$

With the simple approximation that we did for the self-energy,

$$\Sigma^R(\mathbf{k}, \omega) = \tilde{\varepsilon}_{\mathbf{k}} - \varepsilon_{\mathbf{k}} - i\Gamma, \quad (19)$$

$$G^R(\mathbf{k}, \omega)^{-1} = G_0^R(\mathbf{k}, \omega)^{-1} - \Sigma^R(\mathbf{k}, \omega) \quad (20)$$

$$G^R(\mathbf{k}, t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \frac{1}{\omega - \tilde{\varepsilon}_{\mathbf{k}} + i\Gamma} = -i\theta(t) e^{-i\tilde{\varepsilon}_{\mathbf{k}} t - \Gamma t} \quad (21)$$

$$|\langle \mathbf{k} | \psi(t) \rangle|^2 = |G^R(\mathbf{k}, t)|^2 = \theta(t) e^{-2\Gamma t}. \quad (22)$$

### 18.3 Importance of poles of $G_{\mathbf{k}}^R$ , Dyson's equation

$$\mathcal{G}_{\mathbf{k}}(ik_n) = \mathcal{G}_{\mathbf{k}}^0(ik_n) + \mathcal{G}_{\mathbf{k}}^0(ik_n) \Sigma_{\mathbf{k}}(ik_n) \mathcal{G}_{\mathbf{k}}(ik_n) \quad (23)$$

$$G_{\mathbf{k}\uparrow}^R(\omega)^{-1} = G_{\mathbf{k}\uparrow}^{(0)R}(\omega)^{-1} - \Sigma_{\mathbf{k}\uparrow}^R(\omega) \quad (24)$$

### 85.3 A few properties of the self-energy

$$\text{Im } \Sigma_{\mathbf{k}\uparrow}^R(\omega) < 0 \quad (25)$$

### 31.3 Some experimental results

### 31.4 Quasiparticles

$$A(\mathbf{k}, \omega) \approx 2\pi Z_{\mathbf{k}} \frac{1}{\pi} \frac{-Z_{\mathbf{k}} \text{Im} \sum^R(\mathbf{k}, \omega)}{(\omega - E_{\mathbf{k}} + \mu)^2 + (Z_{\mathbf{k}} \text{Im} \sum^R(\mathbf{k}, \omega))^2} + \text{inc} \quad (26)$$

### 31.5 Fermi liquid interpretation

$$\text{Im } \Sigma_{\mathbf{k}\uparrow}^R(\omega) \sim \omega^2 + (\pi T)^2 \quad (27)$$

## 0.5 Lecture 4 (90 minutes) Coherent states for fermions

Chapter 79 Coherent states for fermions

79.1 Grassmann variables for fermions

$$c|\eta\rangle = \eta|\eta\rangle ; |\eta\rangle = e^{-\eta c^\dagger}|0\rangle \quad (28)$$

79.2 Grassmann integrals

$$\int d\eta = 0 ; \int d\eta\eta = 1 \quad (29)$$

79.3 Change of variables in Grassmann integrals

$$\psi_i = \sum_{j=1}^N U_{ij}\eta_j ; \prod_{i=1}^N \int d\psi_i F(\psi_i) = \det [U]^{-1} \prod_{k=1}^N \int d\eta_k F(\eta_k) \quad (30)$$

79.4 Grassmann Gaussian Integrals

$$\int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta e^{-\eta^\dagger \mathbf{A}\eta - \eta^\dagger \mathbf{J} - \mathbf{J}^\dagger \eta} = \det(A) \exp(\mathbf{J}^\dagger \mathbf{A}^{-1} \mathbf{J}) \quad (31)$$

79.5 Closure, overcompleteness, trace formula

$$\text{Tr}[O] = \int d\eta^\dagger \int d\eta e^{-\eta^\dagger \eta} \langle -\eta | O | \eta \rangle \quad (32)$$

Chapter 80 The coherent-state functional integral for fermions

80.1 and 80.2 A simple example with a single fermion

$$Z = \int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta \exp(-S) \quad (33)$$

$$S = \int_0^\beta d\tau \left( \eta^\dagger(\tau) \frac{\partial}{\partial \tau} \eta(\tau) + \varepsilon(\tau) \eta^\dagger(\tau) \eta(\tau) \right) \quad (34)$$

80.3 Wick's theorem

$$\begin{aligned} & (-1)^n \langle T_\tau c(\tau_n) c^\dagger(\tau'_n) \cdots c(\tau_2) c^\dagger(\tau'_2) c(\tau_1) c^\dagger(\tau'_1) \rangle \\ &= (-1)^n \frac{1}{Z} \int \mathcal{D}\eta^\dagger \int \mathcal{D}\eta e^{-\eta^\dagger(-\mathcal{G}^{-1})\eta} \eta(\tau_n) \eta^\dagger(\tau'_n) \cdots \eta(\tau_2) \eta^\dagger(\tau'_2) \eta(\tau_1) \eta^\dagger(\tau'_1) \\ &= \det \begin{bmatrix} \mathcal{G}(\tau_1, \tau'_1) & \mathcal{G}(\tau_1, \tau'_2) & \cdots & \mathcal{G}(\tau_1, \tau'_n) \\ \mathcal{G}(\tau_2, \tau'_1) & \mathcal{G}(\tau_2, \tau'_2) & \cdots & \mathcal{G}(\tau_2, \tau'_n) \\ \cdots & \cdots & \cdots & \cdots \\ \mathcal{G}(\tau_n, \tau'_1) & \mathcal{G}(\tau_n, \tau'_2) & \cdots & \mathcal{G}(\tau_n, \tau'_n) \end{bmatrix}. \end{aligned} \quad (35) \quad (36)$$

## 0.6 Lecture 5 (90 minutes) Many-body perturbation theory

Chapter 87 Source fields for Many-Body Green's function

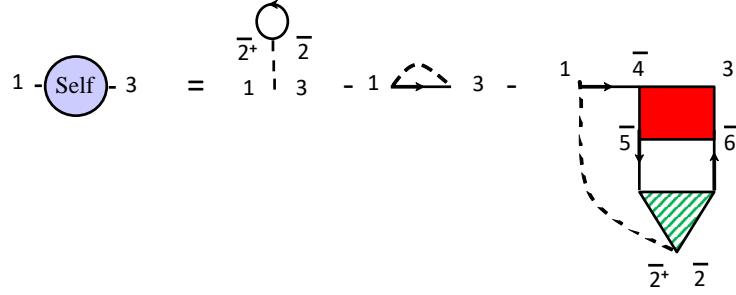


Figure 0-1 Diagrams for the self-energy. The dashed line represent the interaction. The first two terms are, respectively, the Hatree and the Fock contributions. The textured square appearing in the previous figure for the four-point function has been squeezed to a triangle to illustrate the fact that two of the indices (coordinates) are identical.

### 87.1 A simple example in classical statistical mechanics

$$\frac{\delta^2 \ln Z[h]}{\beta^2 \delta h(\mathbf{x}_1) \delta h(\mathbf{x}_2)} = \langle M(\mathbf{x}_1) M(\mathbf{x}_2) \rangle_h - \langle M(\mathbf{x}_1) \rangle_h \langle M(\mathbf{x}_2) \rangle_h \quad (37)$$

80.6 c-number source fields to generate fermion bi-linears

$$Z[\phi] = \int \mathcal{D}\psi^\dagger \int \mathcal{D}\psi \exp \left( -S - \psi^\dagger(\bar{1}) \phi(\bar{1}, \bar{2}) \psi(\bar{2}) \right) \quad (38)$$

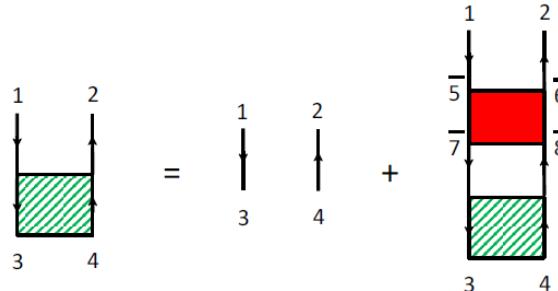
$$-\frac{\delta \ln Z[\phi]}{\delta \phi(\bar{2}, \bar{1})} \equiv -\left\langle T_\tau \psi(1) \psi^\dagger(2) \right\rangle_\phi = \mathcal{G}(1, 2)_\phi. \quad (39)$$

$$\frac{\delta \mathcal{G}(1, 2)_\phi}{\delta \phi(3, 4)} = \left\langle \psi(1) \psi^\dagger(2) \psi^\dagger(3) \psi(4) \right\rangle_\phi + \mathcal{G}(1, 2)_\phi \mathcal{G}(4, 3)_\phi \quad (40)$$

80.7 Schwinger-Dyson equation of motion from functional integrals

$$\begin{aligned} [\mathcal{G}_0^{-1}(1, \bar{2}) - \phi(1, \bar{2})] \mathcal{G}(\bar{2}, 2)_\phi &= \delta(1 - 2) - V(1, \bar{2}) \left\langle \psi^\dagger(\bar{2}) \psi(\bar{2}) \psi(1) \psi^\dagger(2) \right\rangle_\phi \\ \Sigma(1, \bar{2})_\phi \mathcal{G}(\bar{2}, 2)_\phi &= -V(1 - \bar{2}) \left\langle \psi^\dagger(\bar{2}) \psi(\bar{2}) \psi(1) \psi^\dagger(2) \right\rangle_\phi, \end{aligned} \quad (41)$$

Chapter 36 Equations of motion for  $\mathcal{G}$  in the presence of source fields  
36.3 Four-point function fromfunctional derivatives



### 36.4 Self-energy from functional derivatives

#### Chapter 72 Luttinger Ward Functional

72.3 Luttinger Ward functional and the Legendre transform of  $-T \ln Z[\phi]$

$$\Omega[\mathcal{G}] = F[\phi] - \text{Tr}[\phi\mathcal{G}] ; \frac{1}{T} \frac{\delta\Omega[\mathcal{G}]}{\delta\mathcal{G}(1,2)} = 0 \text{ in equilibrium} \quad (42)$$

#### Chapter 76 The constraining-field method (?)

76.1 Another derivation of the Baym-Kadanoff functional

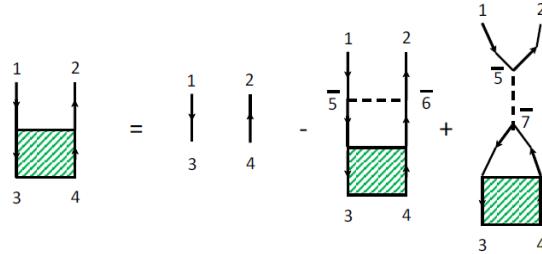
$$\Omega[\mathcal{G}] = \Phi[\mathcal{G}] - \text{Tr}[(\mathcal{G}_0^{-1} - \mathcal{G}^{-1})\mathcal{G}] + \text{Tr}\left[\ln\left(\frac{-\mathcal{G}}{-\mathcal{G}_\infty}\right)\right] \quad (43)$$

$$\frac{1}{T} \frac{\delta\Phi[\mathcal{G}]}{\delta\mathcal{G}(1,2)} = \Sigma(2,1) ; \Phi_{\lambda=1}[\mathcal{G}] = \int_0^1 d\lambda \frac{1}{\lambda} \left\langle \lambda \hat{V} \right\rangle_\lambda \quad (44)$$

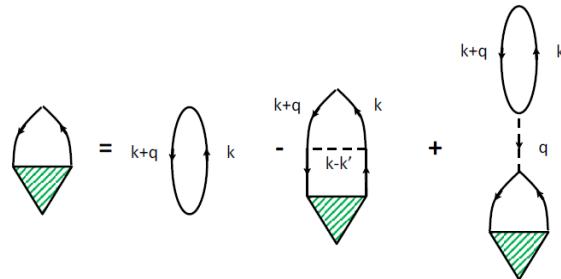
## 0.7 Lecture 6 (90 minutes) Lindhard function, TPSC and other approaches

#### Chapter 37 First steps with functional derivatives, Hartree-Fock and RPA

##### 37.2 Hartree-Fock and RPA in space-time



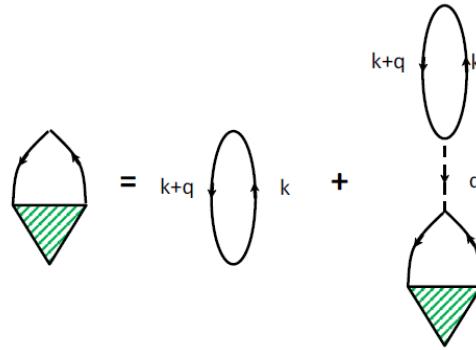
37.3 Hartree-Fock and RPA in momentum-Matsubara space



39.3 Density response in the non-interacting limit: Lindhard function

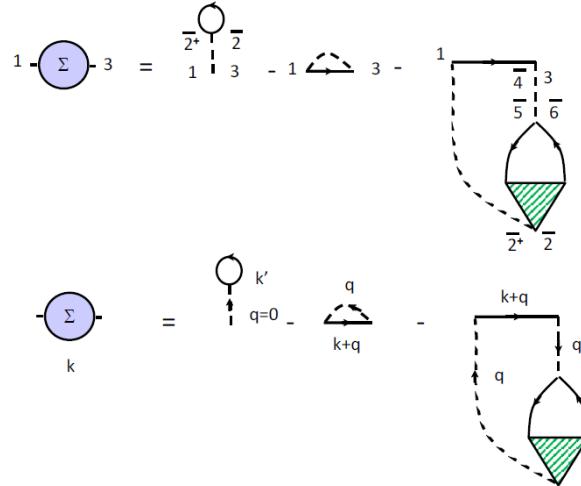
$$\chi_{nn}^{0R}(\mathbf{q}, \omega) = -2 \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{f(\zeta_{\mathbf{k}}) - f(\zeta_{\mathbf{k+q}})}{\omega + i\eta + \zeta_{\mathbf{k}} - \zeta_{\mathbf{k+q}}} \quad (45)$$

41.1.2 RPA



$$\chi_{nn}(q) = \frac{\chi_{nn}^0(q)}{1 + V_q \chi_{nn}^0(q)} \quad (46)$$

Chapter 44 Second step of the approximation, GW curing Hartree-Fock  
 44.2 Self-energy and screening, GW



Chapter 56 Hubbard model in the footsteps of the electron gas  
 56.2 Response functions

$$U_{sp} = \frac{\delta\Sigma_\uparrow}{\delta\mathcal{G}_\downarrow} - \frac{\delta\Sigma_\uparrow}{\delta\mathcal{G}_\uparrow} \quad (47)$$

$$U_{ch} = \frac{\delta\Sigma_\uparrow}{\delta\mathcal{G}_\downarrow} + \frac{\delta\Sigma_\uparrow}{\delta\mathcal{G}_\uparrow} \quad (48)$$

### 56.3 Hartree-Fock and RPA

$$\chi_{sp}(q) = \frac{\chi_0(q)}{1 - \frac{1}{2}U\chi_0(q)} \quad (49)$$

$$\chi_{ch}(q) = \frac{\chi_0(q)}{1 + \frac{1}{2}U\chi_0(q)} \quad (50)$$

### 56.4 RPA and violation of the Pauli exclusion principle

$$\frac{T}{N} \sum_q \left( \frac{\chi_0(q)}{1 - \frac{1}{2}U\chi_0(q)} + \frac{\chi_0(q)}{1 + \frac{1}{2}U\chi_0(q)} \right) \neq 2n - n^2 \quad (51)$$

56.6 RPA, phase transitions and the Mermin-Wagner theorem

$$\mathbf{q}^2 \langle \phi_{\mathbf{q}} \phi_{-\mathbf{q}} \rangle = \frac{T}{2} ; \langle \phi^2 \rangle = \int_0^\infty \frac{d^2 q}{q^2} \frac{T}{2} = \infty \quad (52)$$

Chapter 57 The two-particle self-consistent approach TPSC

57.1 TPSC first step, spin and charge fluctuations

$$U_{sp} = U \frac{\langle n_\uparrow n_\downarrow \rangle}{\langle n_\uparrow \rangle \langle n_\downarrow \rangle} ; U_{ch} \text{ from Pauli} \quad (53)$$

57.2 An improved self-energy

$$\Sigma_\sigma^{(2)}(k) = U n_{-\sigma} + \frac{U}{8} \frac{T}{N} \sum_q [3U_{sp} \chi_{sp}(q) + U_{ch} \chi_{ch}(q)] \mathcal{G}_\sigma^{(1)}(k+q) \quad (54)$$

57.3 An internal consistency check

$$\Sigma_\sigma (1, \bar{1}) \mathcal{G}_\sigma (\bar{1}, 1^+) \equiv \frac{1}{2} \text{Tr} (\Sigma \mathcal{G}) = U \langle n_\uparrow n_\downarrow \rangle \quad (55)$$

$$\frac{1}{2} \text{Tr} (\Sigma^{(2)} \mathcal{G}^{(1)}) = U \langle n_\uparrow n_\downarrow \rangle \quad (56)$$