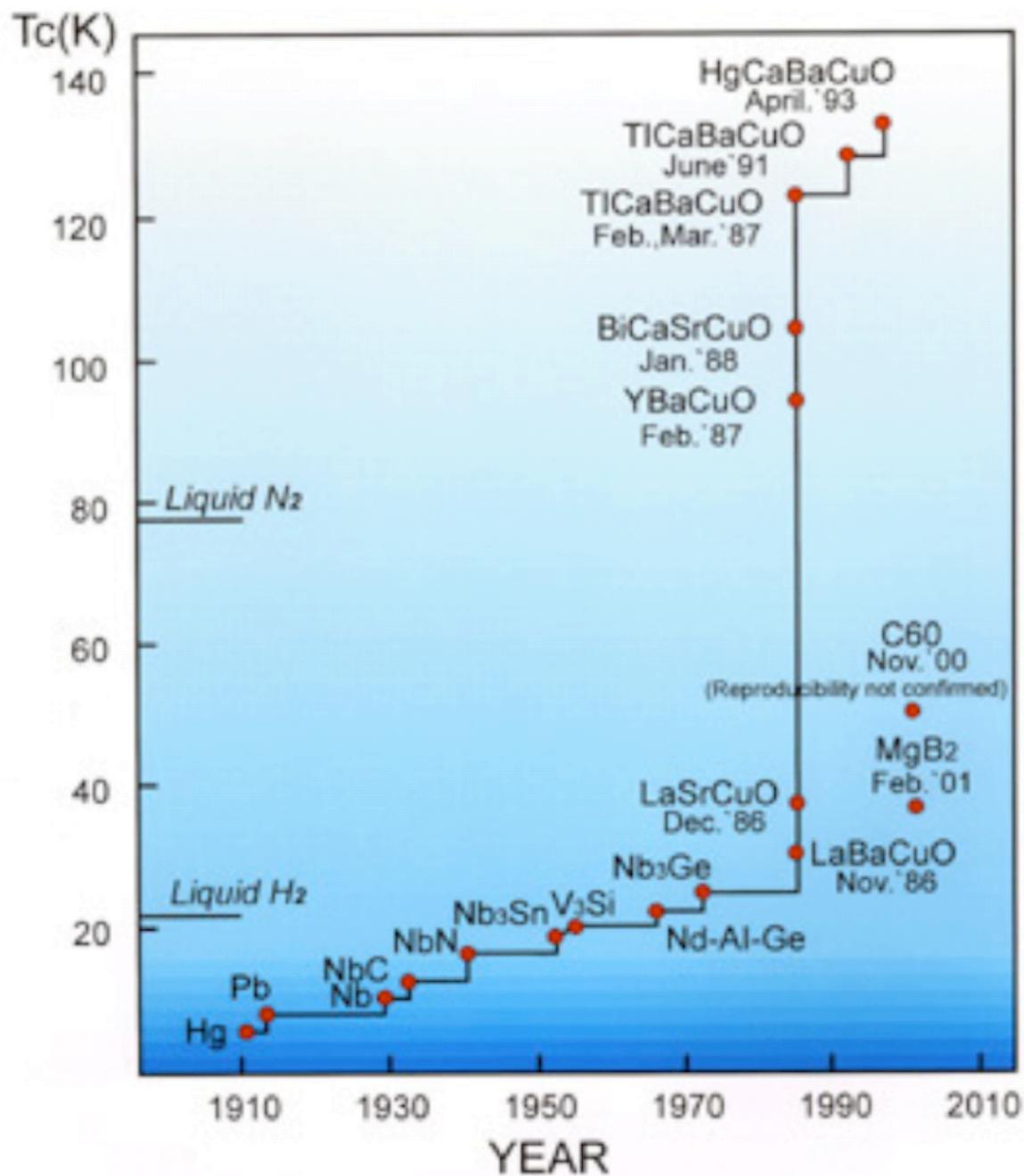


Introduction to superconductivity in the

Jules Carbotte
McMaster and CIFAR



A Famous Discovery!



- 1986

J.G. Bednorz and K.A. Müller

- Nobel Prize 1987 – Fastest one ever!

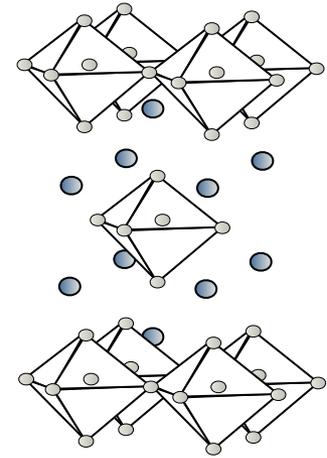
Possible High T_c Superconductivity
in the Ba – La – Cu – O System

J.G. Bednorz and K.A. Müller
IBM Zürich Research Laboratory, Rüschlikon, Switzerland

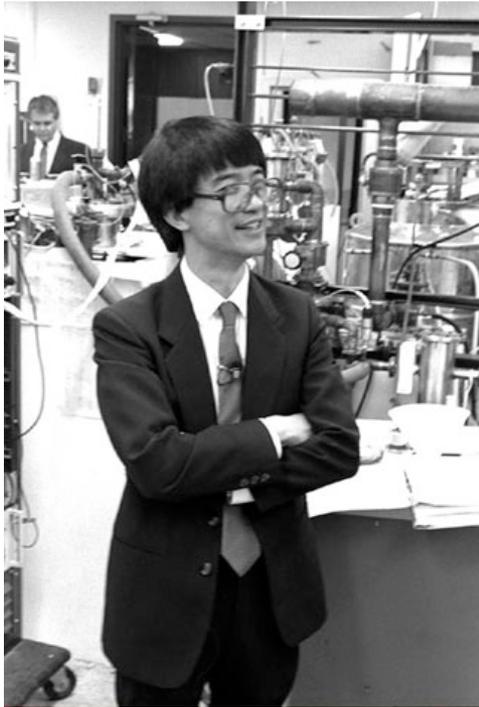
Received April 17, 1986



$T_c \sim 36 \text{ K}$

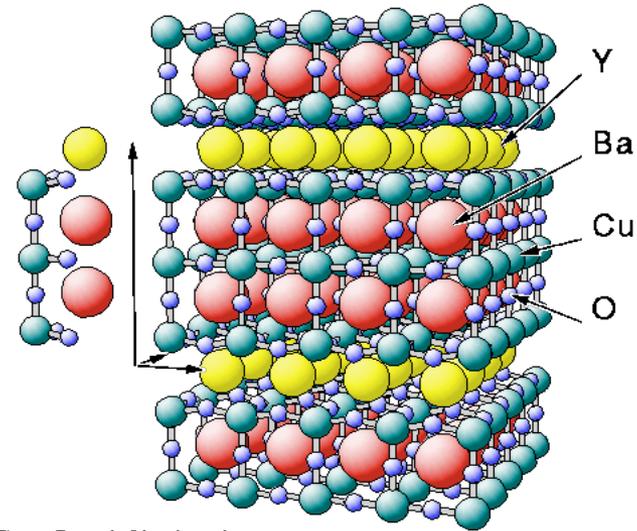


Breaking the Liquid Nitrogen Barrier!



• 1987

Paul Chu and co-workers



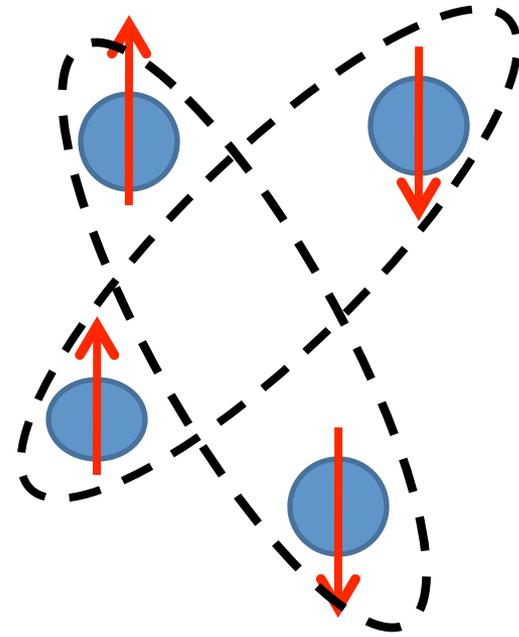
YBa₂Cu₃O₇ (.3) lattice

**Based on idea of cooper
pairs
equal and opposite
momentum
and spins**

**Pairs overlap in r-space so many
body
condensate, all pairs in same wave
function**

**Macromolecule, quantum
mechanics at macroscopic level**

**Coherence length much larger
then free electron spacing**



**BCS theory 1957 physics nobel
prize 1972**



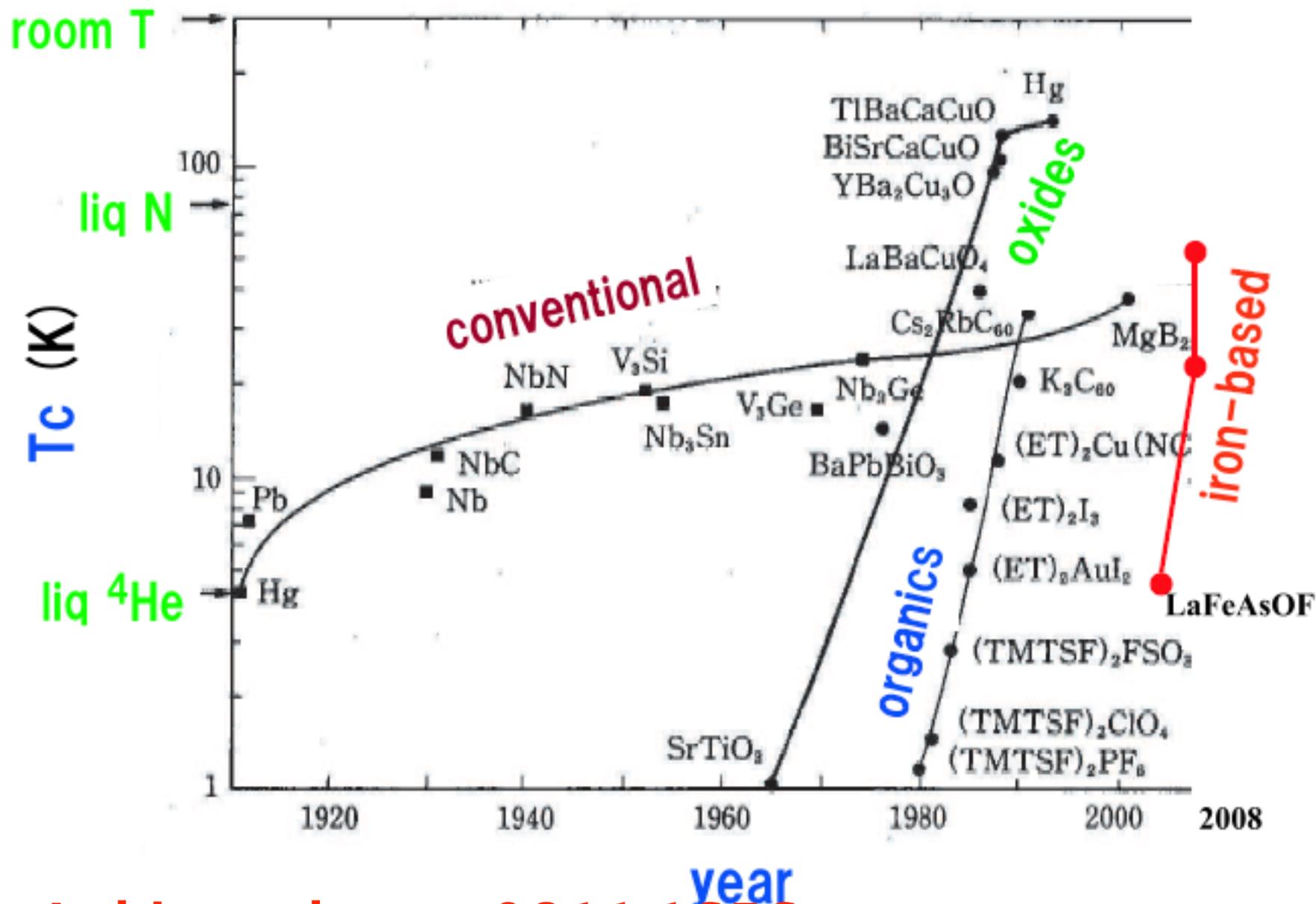
John Bardeen



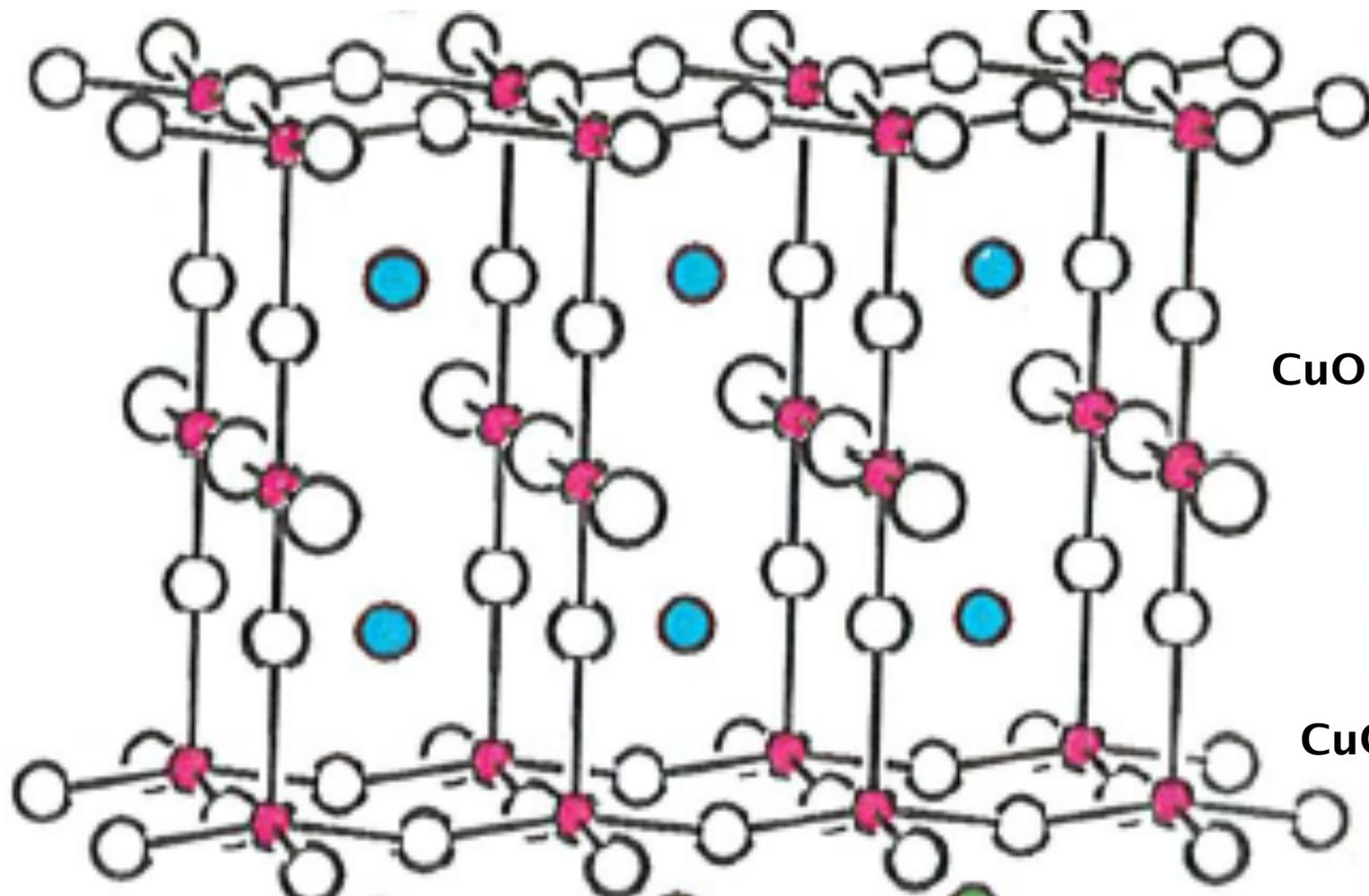
Leon N. Cooper



John R. Schrieffer



Aoki cond-mat 0811.1656



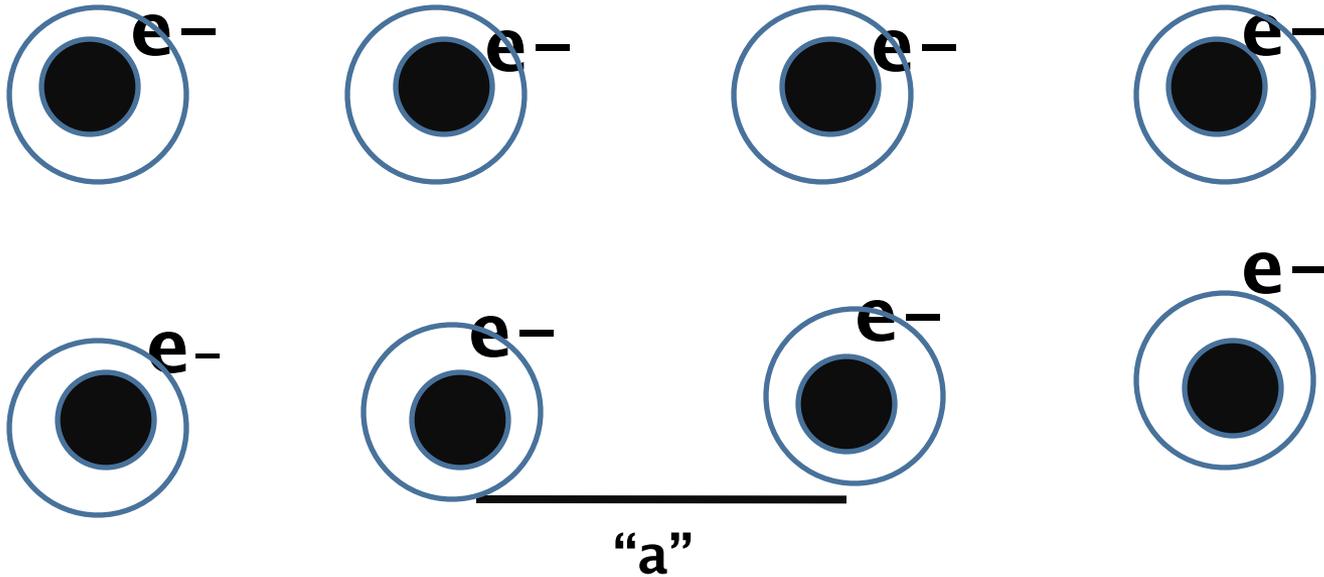
YBaCuO

123

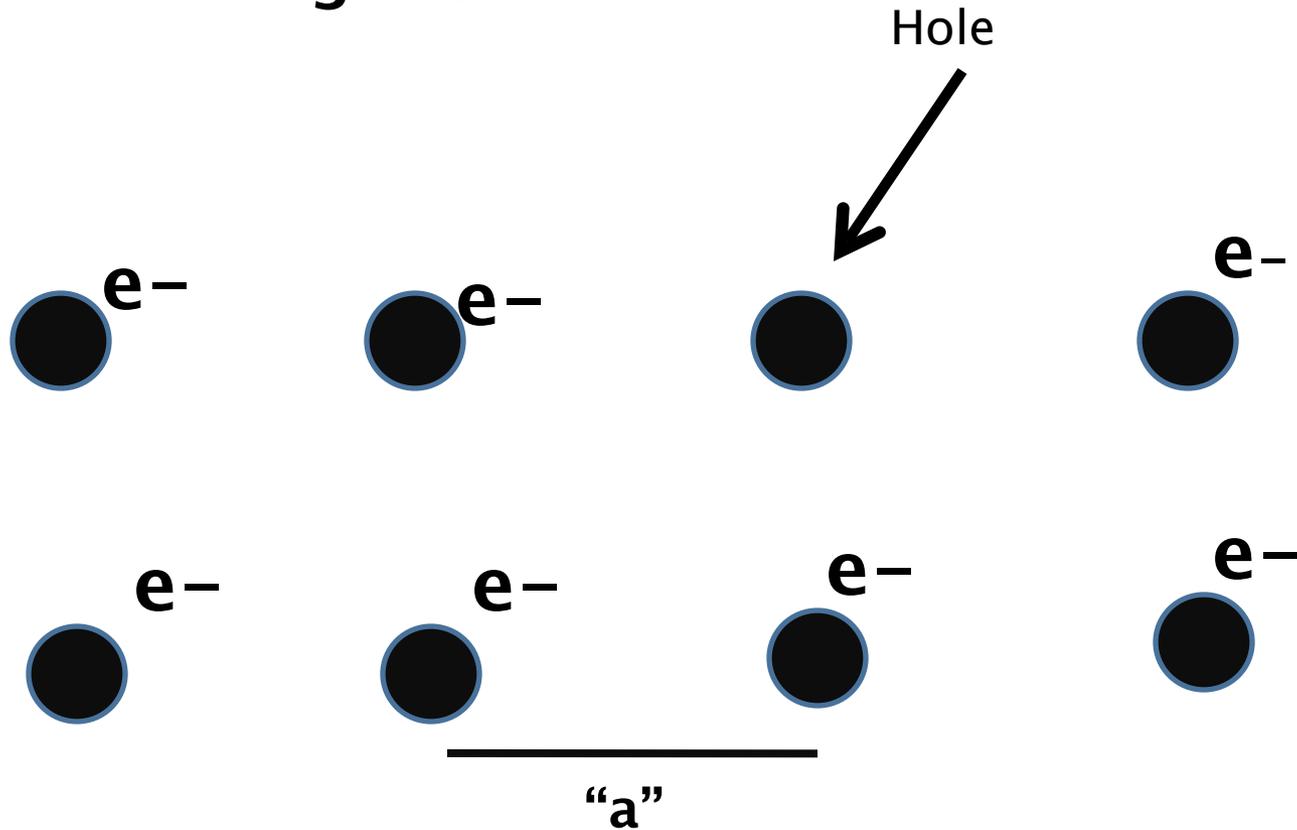
Cu oxide plane is modeled with atoms on square lattice "a" with each site filled which corresponds to half filling of BZ

Measure doping from half filling as reference. Hole doping. MOTT insulator in band theory would be a metal

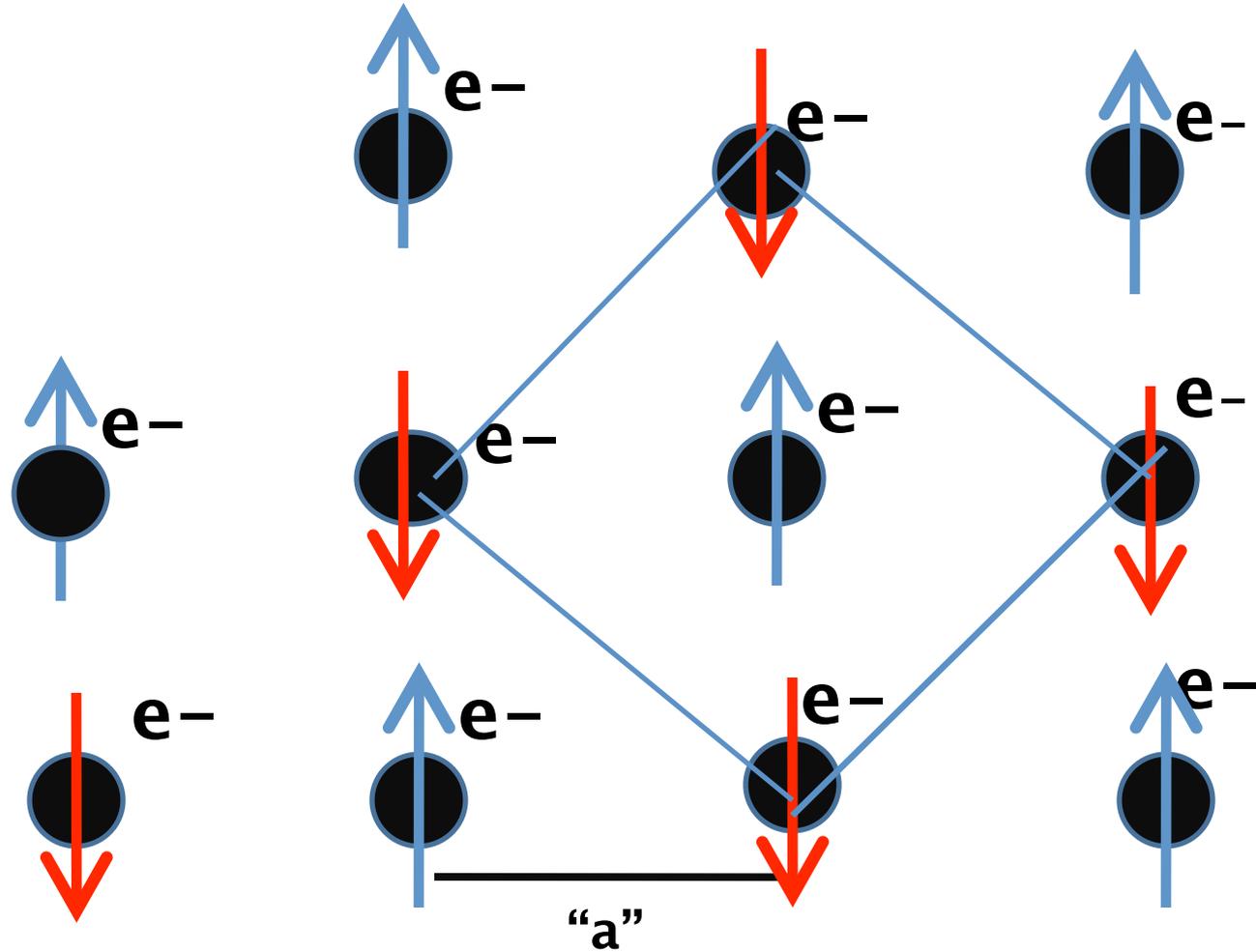
Send to other none CuO2 Plane = hole doping



Electron cannot hop to occupied site because of Hubbard U [large repulsive energy] . Can only hop to empty site so at half filling MOTT insulator



Antiferromagnet has twice the unit cell and half the BZ



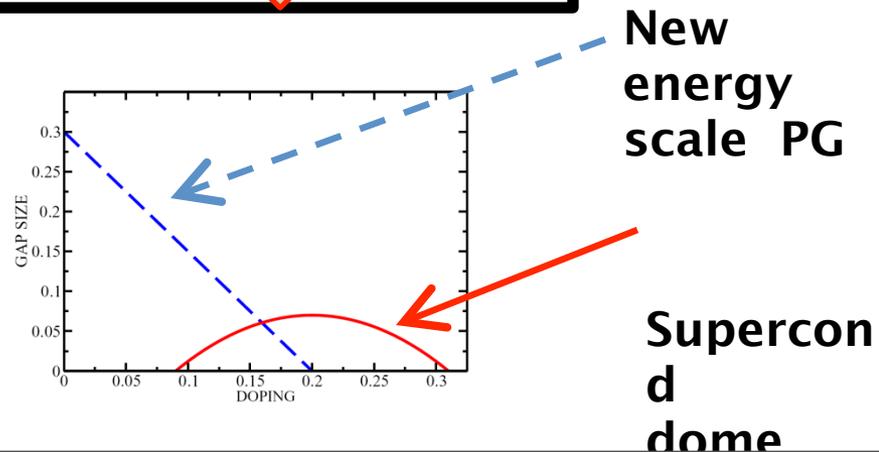
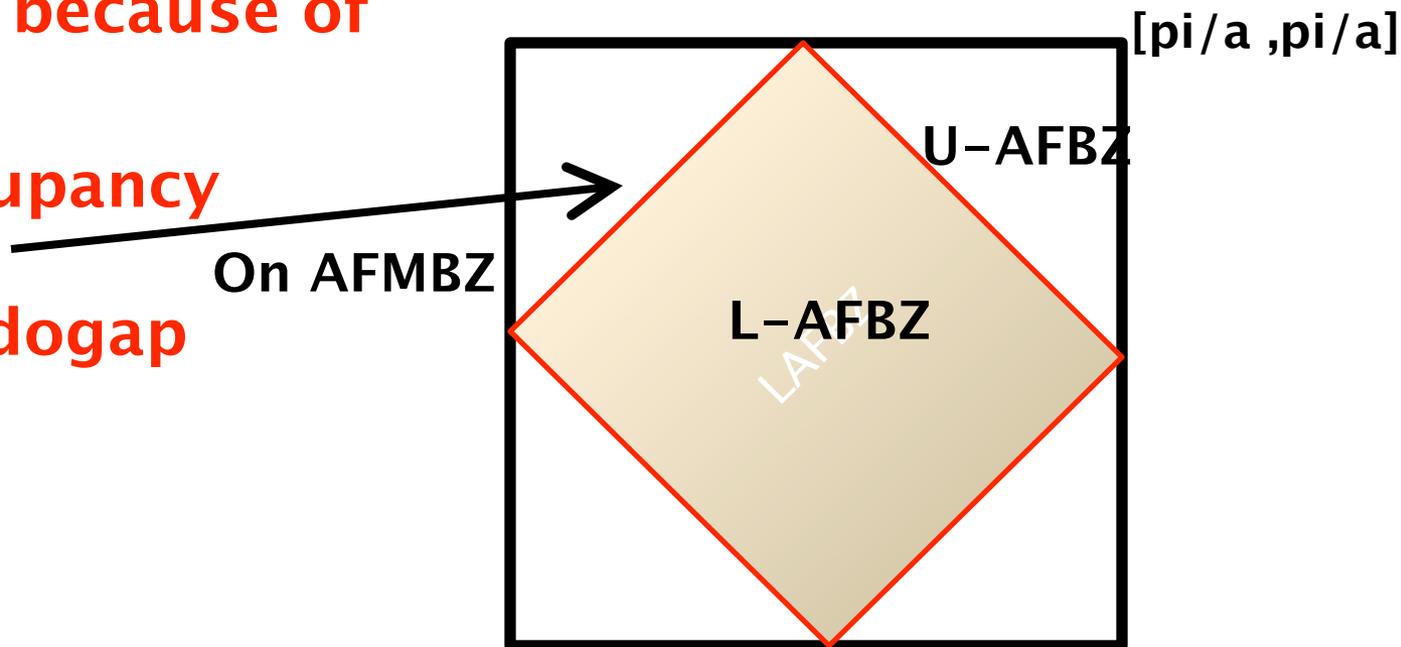
At half filling a metal in band theory

Mott insulator because of large U no double occupancy

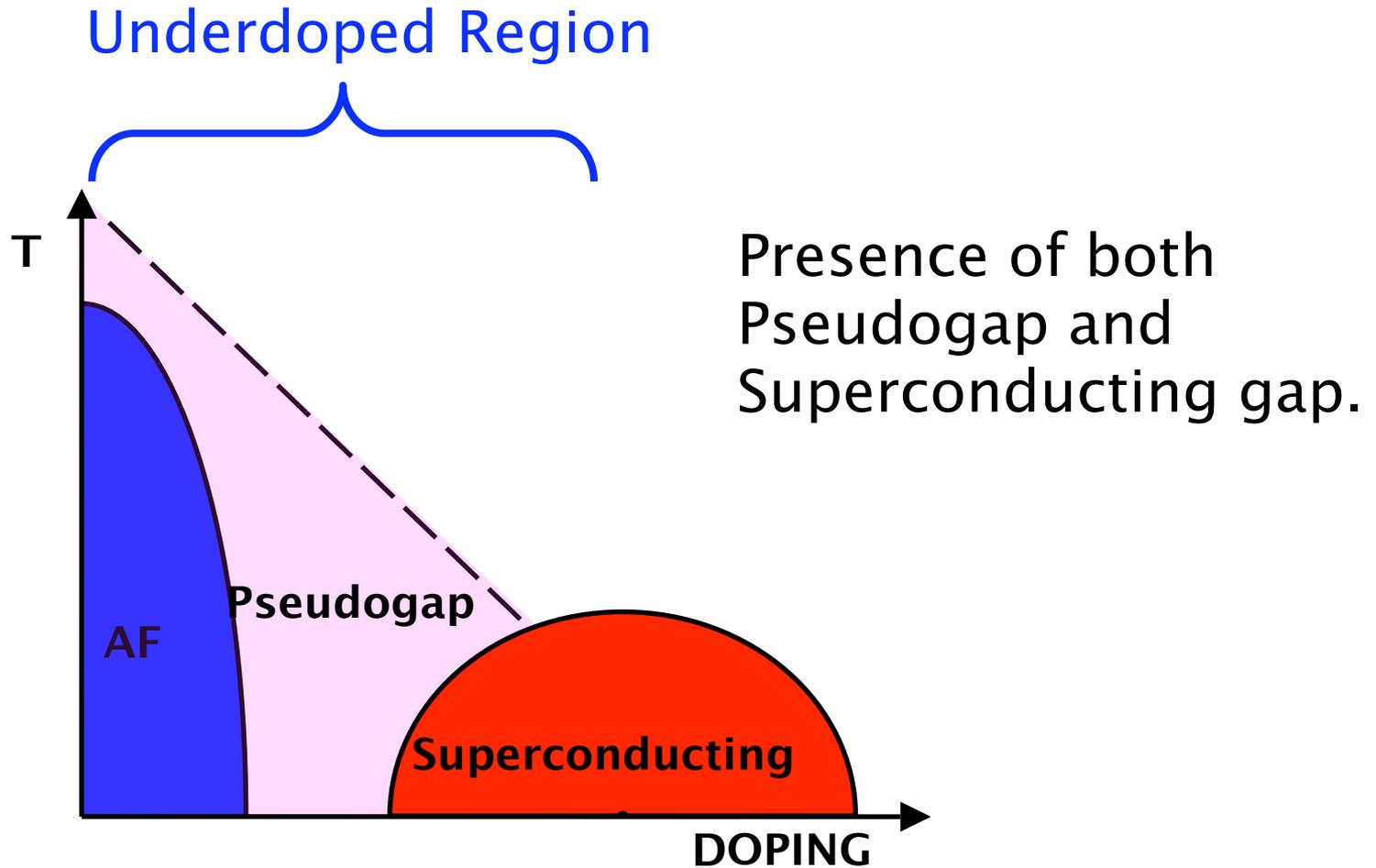
Leads to pseudogap

Gutzwiller factors narrow bands and account for reduced coherence

Copper -oxygen B Z

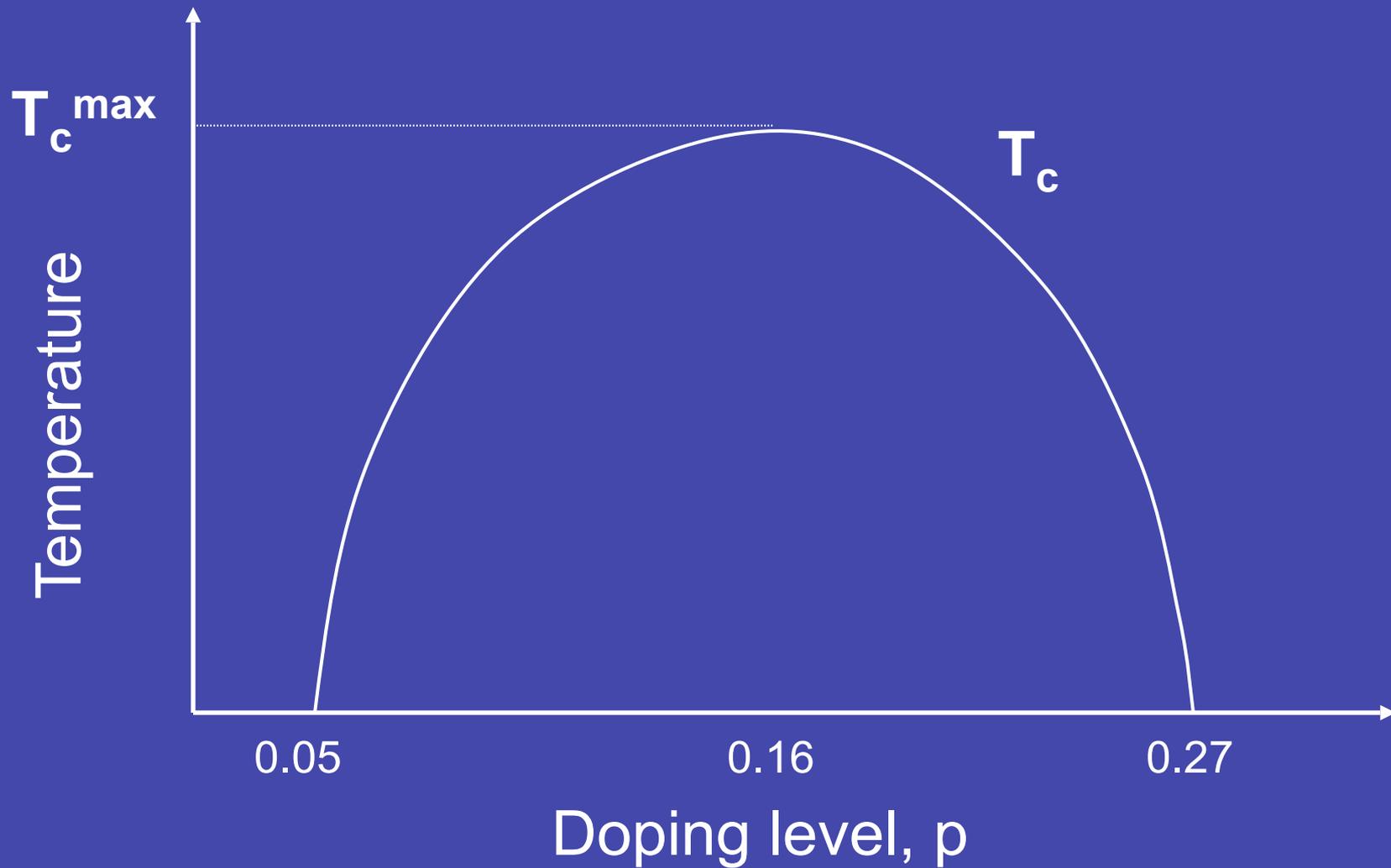


Phase Diagram of High T_c

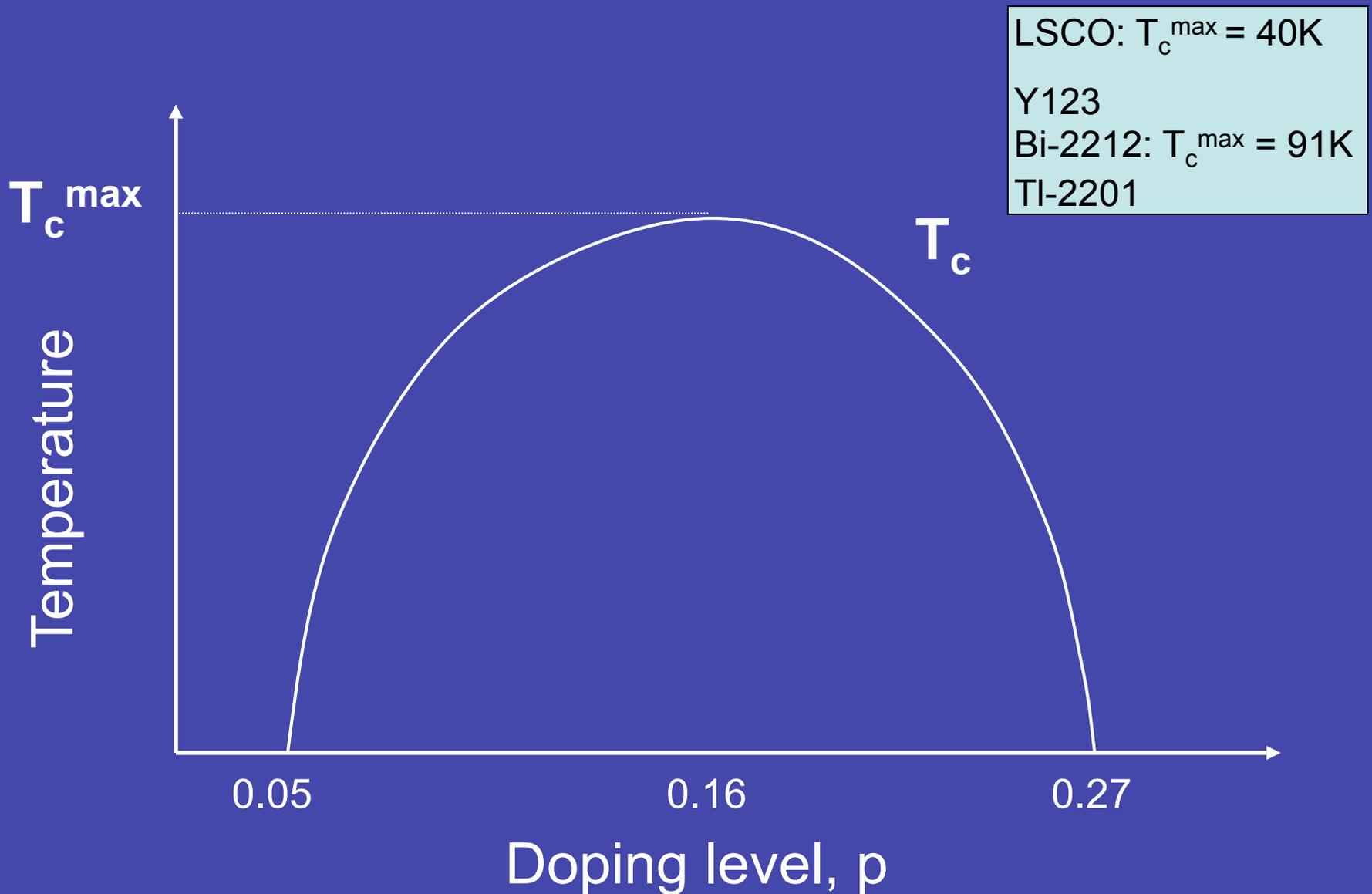


More doping means more holes

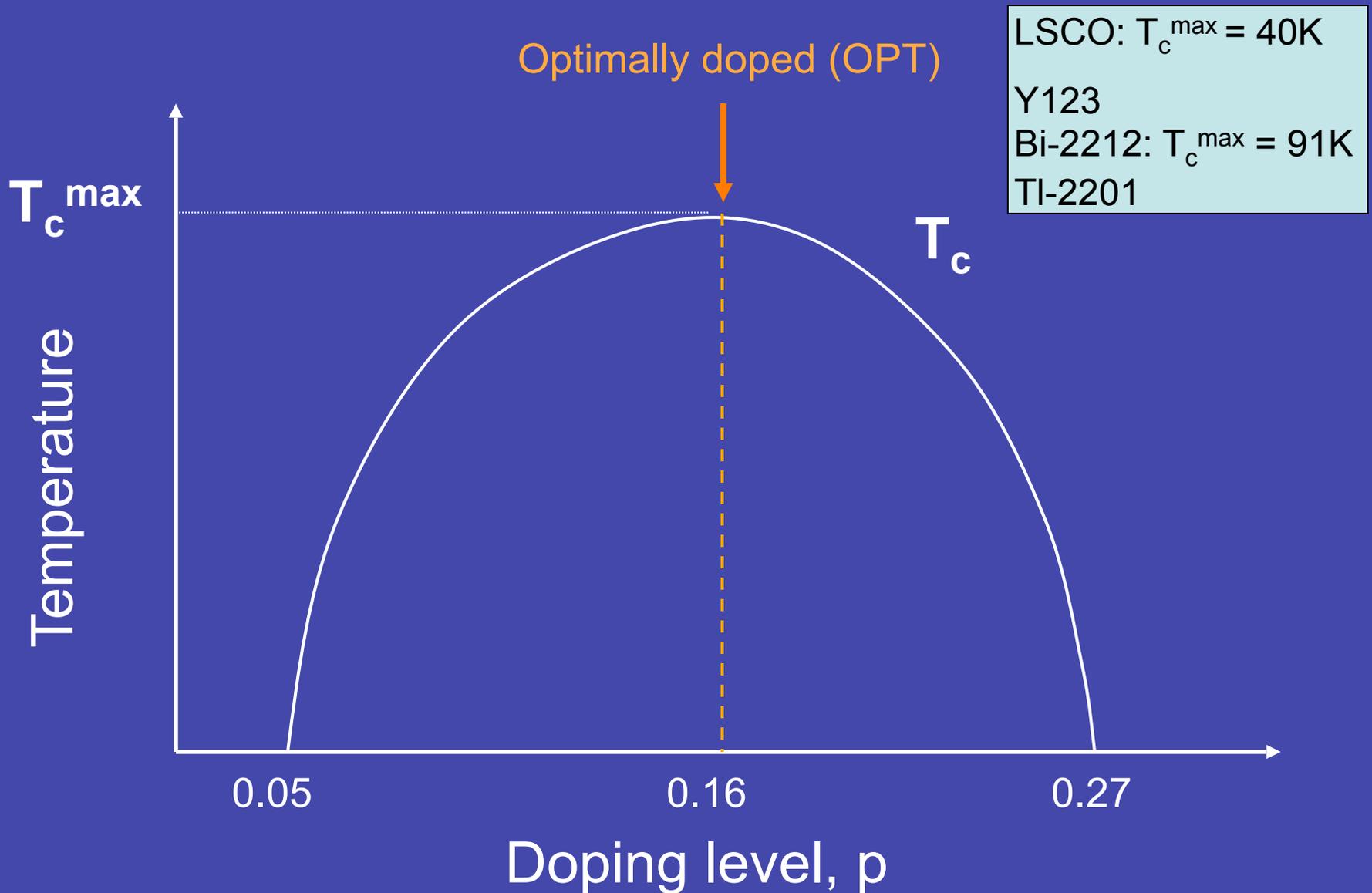
The superconducting dome



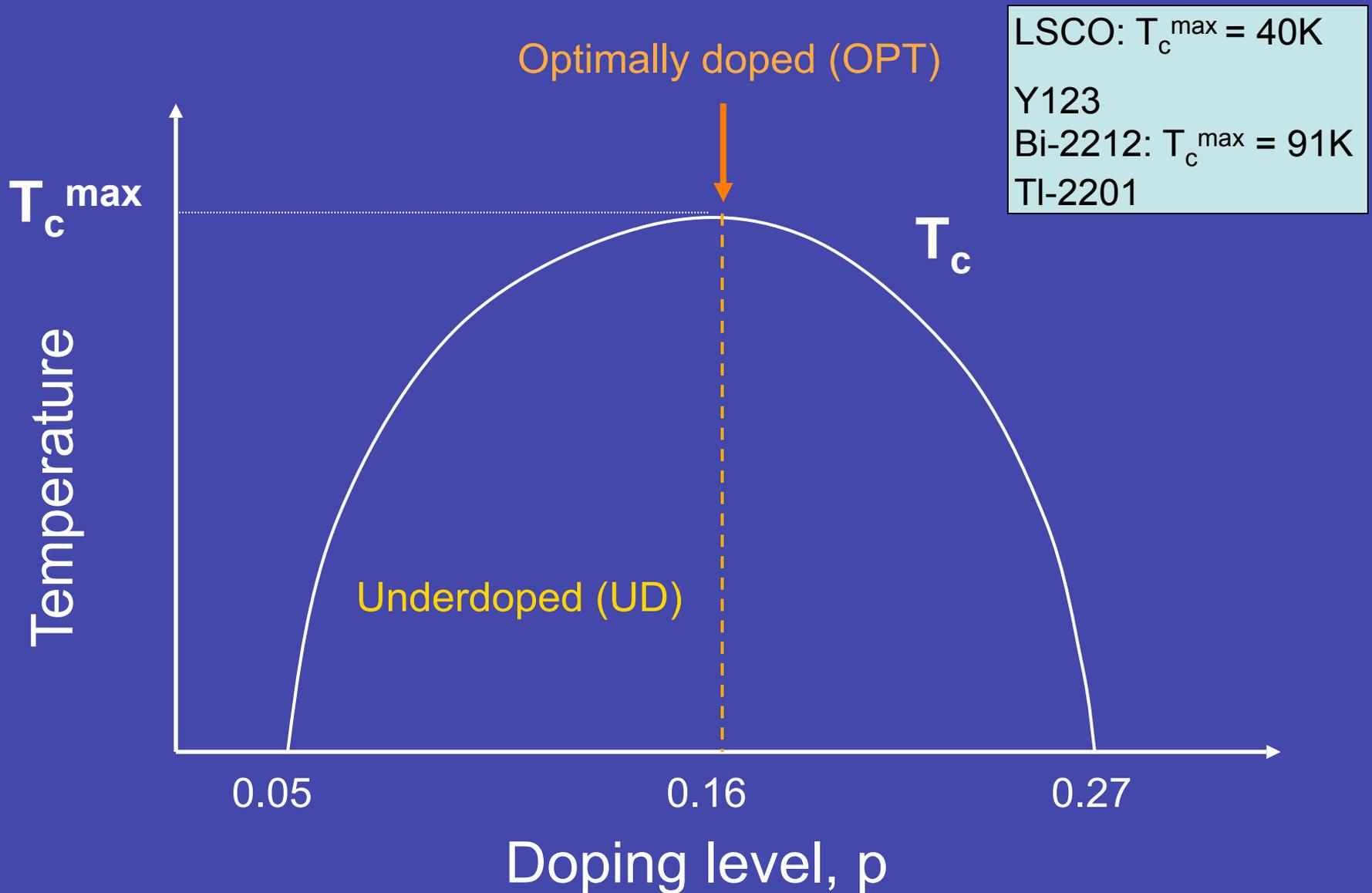
The superconducting dome



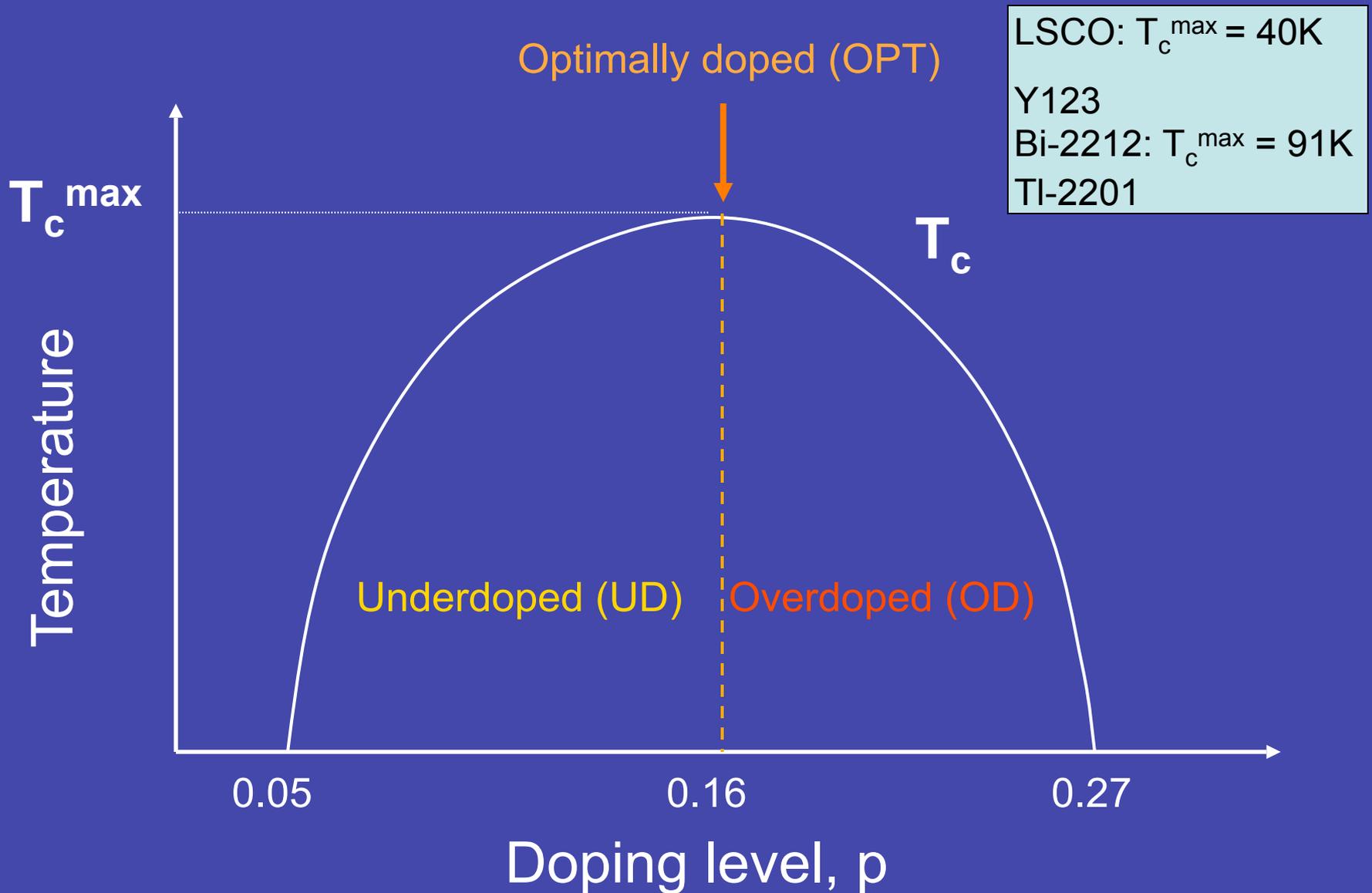
The superconducting dome



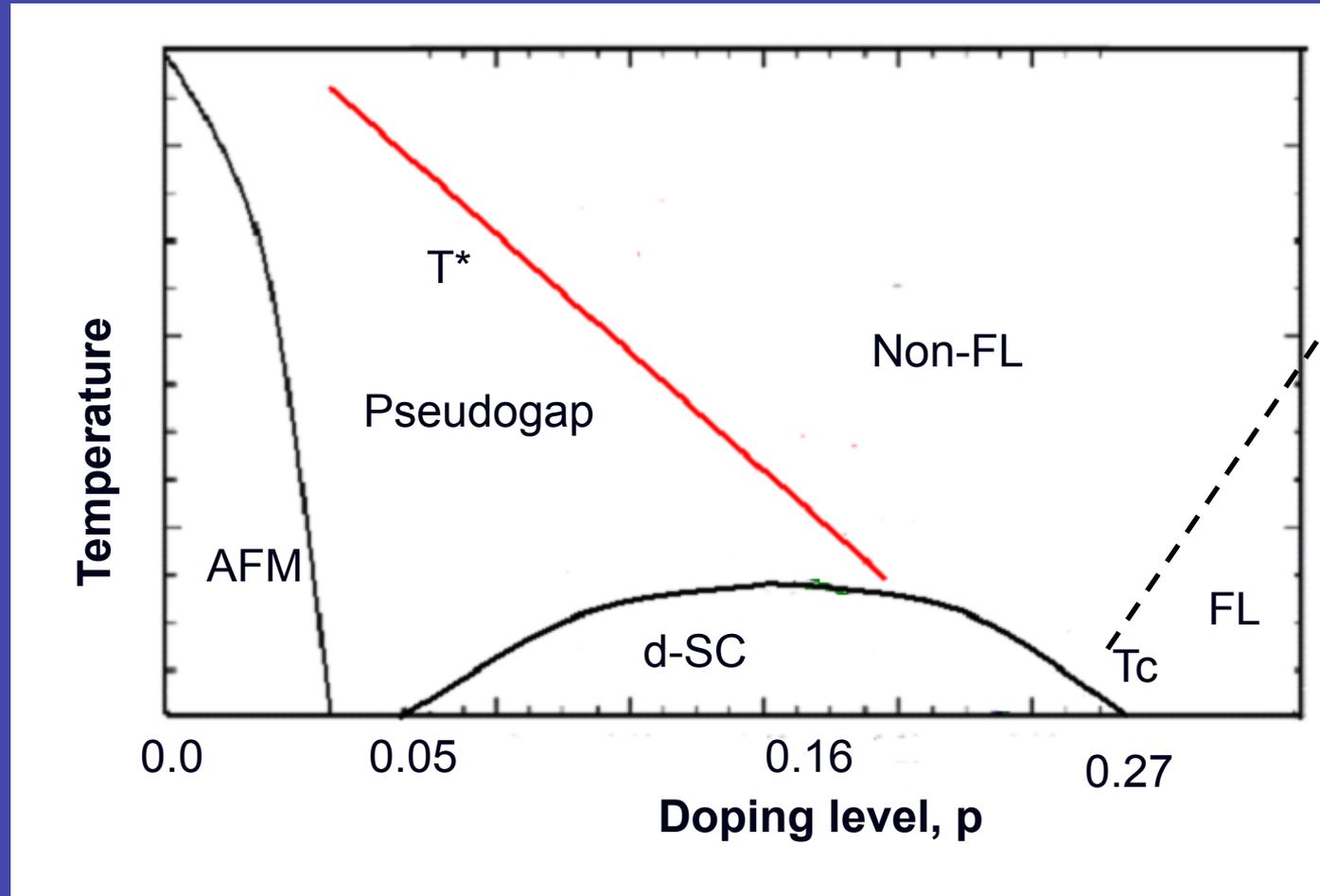
The superconducting dome



The superconducting dome

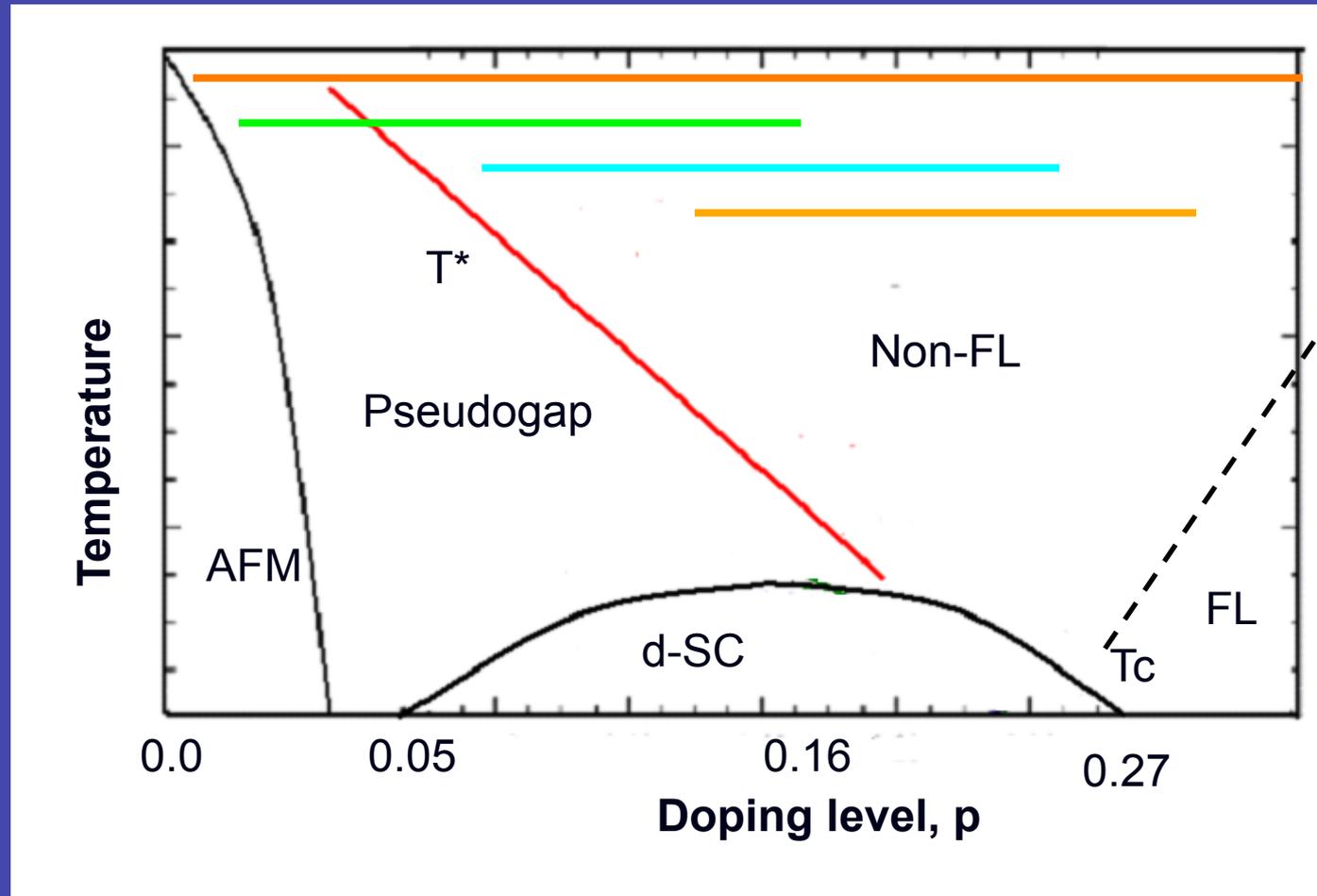


Phase diagram of the cuprates



Basov and Timusk, Rev. Mod. Phys **77**, 721 (2005)

Phase diagram of the cuprates



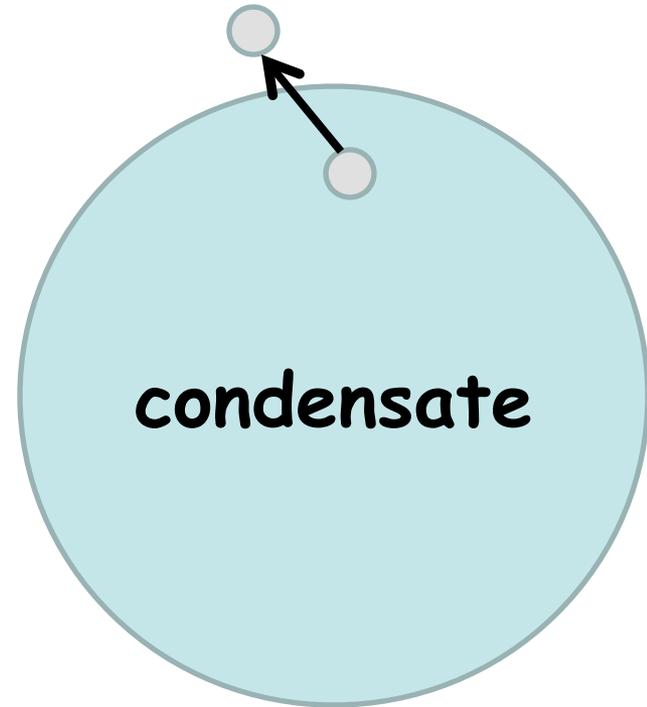
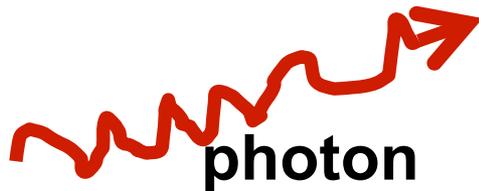
LSCO
Y123
Bi-2212
TI-2201

Basov and Timusk, Rev. Mod. Phys **77**, 721 (2005)

At zero temperature, no absorption till 2Δ , one Δ to pull an electron out of condensate and one more when it is placed back in. This process blocks states that can no longer be used to form condensate

Takes energy gap to pull an electron out of condensate or to put one in

Creates 2 excitations



Process requires twice gap

Macromolecule, all electrons bound together

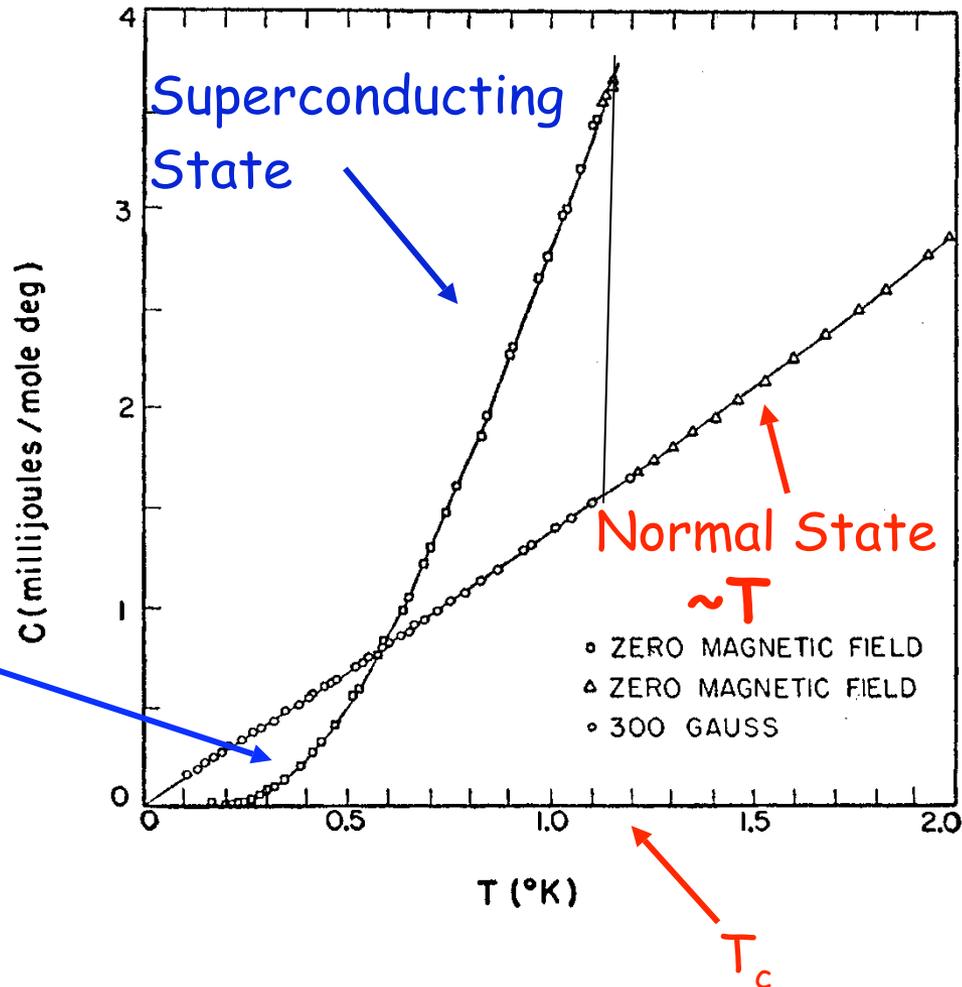
Classic BCS with s-wave gap

Specific Heat of Al

$$\Delta C(T) = -T \frac{d^2 \Delta F}{dT^2}$$

Note the exponential drop at low temperature and a jump at T_c

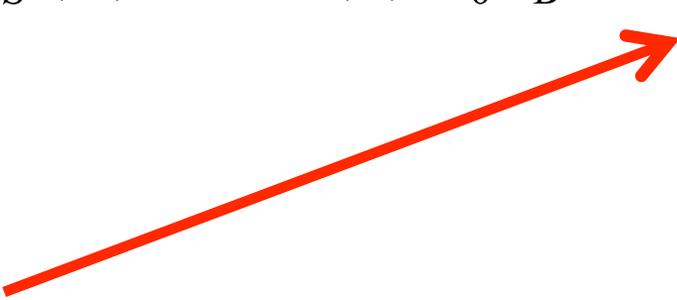
Second order phase transition



Phillips, Phys. Rev. 114, 67 (1959)

Because of gap, takes energy Δ to release an electron from condensate and make an excitation [quasiparticle].

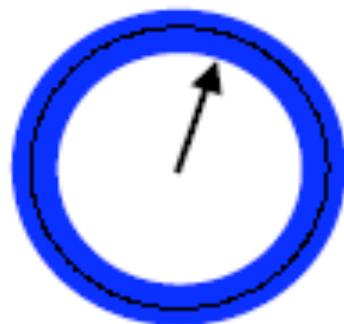
Specific heat is exponentially activated at low temperature.

$$C_S(T) \sim 2N(0)\Delta_0 k_B \sqrt{2\pi} \left(\frac{\Delta_0}{k_B T} \right)^{3/2} e^{-\Delta/k_B T}$$


Exponential activation

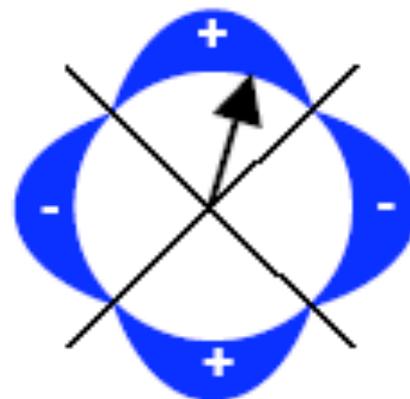
Note $1/T$ dependence, still exponential dominates at low T .

BCS (conventional)
superconductors:



s-wave symmetry

Cuprate HTSC
superconductors:



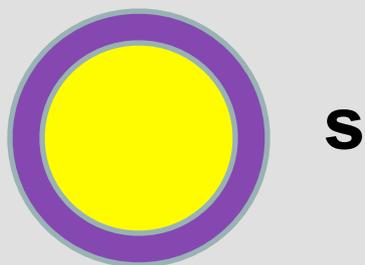
d-wave symmetry

Density of electronic states in s- and d-wave superconductor

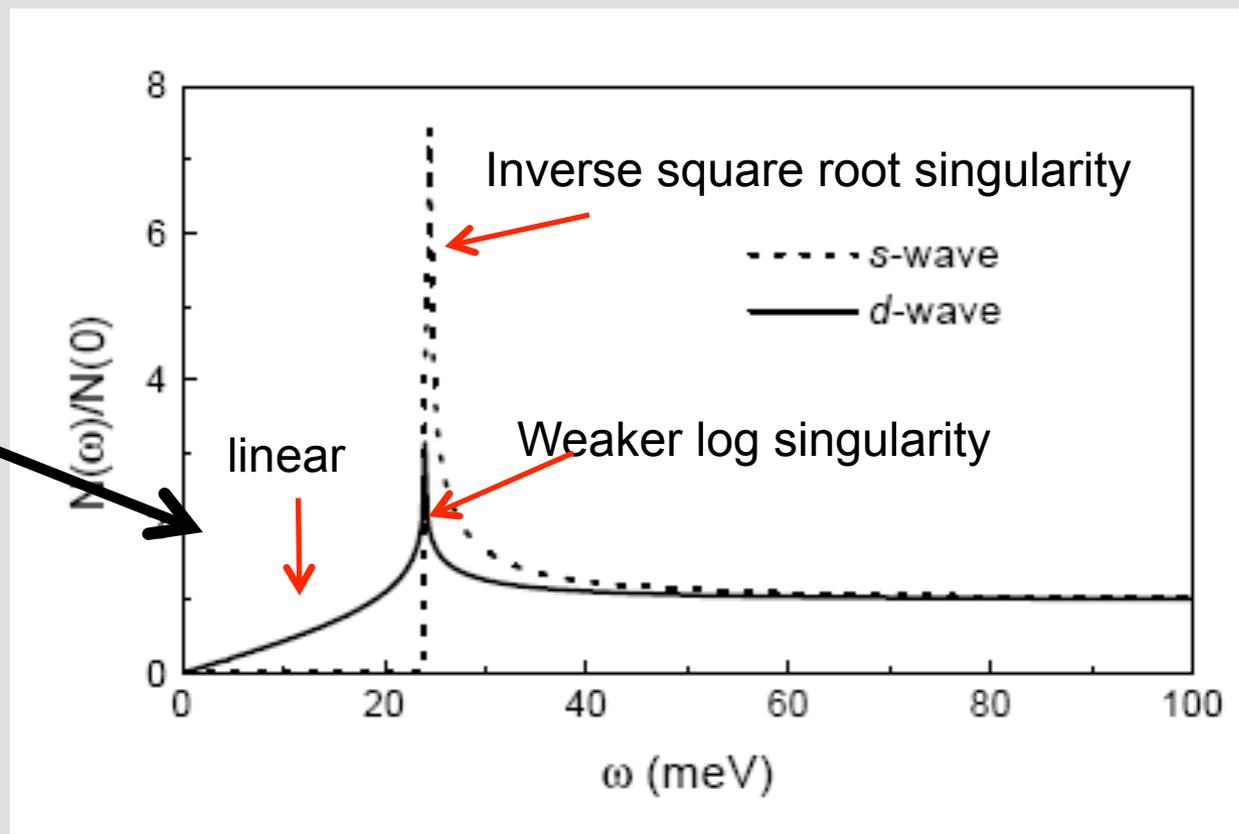
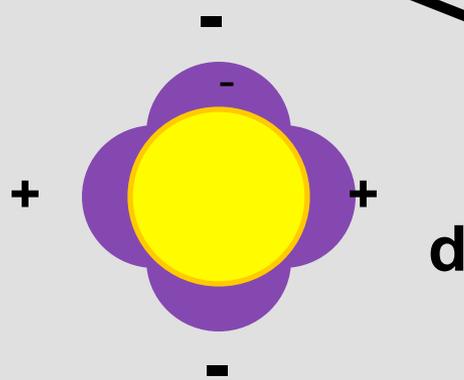
$$N(\omega) = \Re \left\{ \frac{\omega}{\sqrt{\omega^2 - \Delta_0^2}} \right\}$$

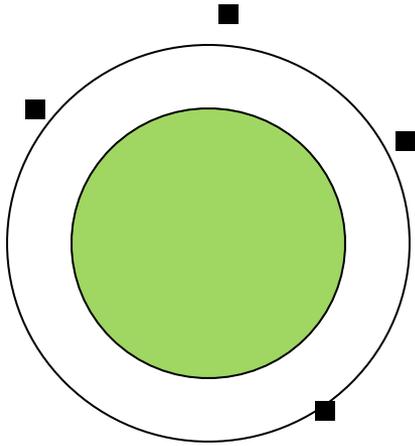
In d-wave distribution of gaps from 0 to maximum gap

$$\cos[2\theta]$$



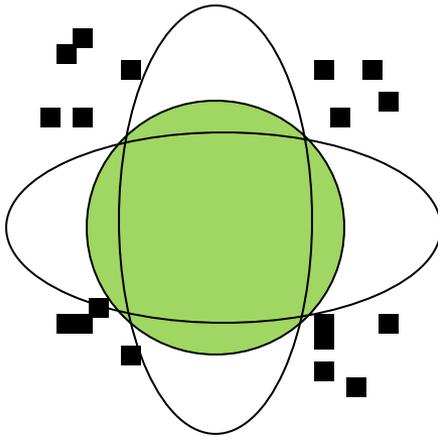
Just depression, no real gap





S-wave few excited electrons

*Temperature creates excitations
out of ground state*

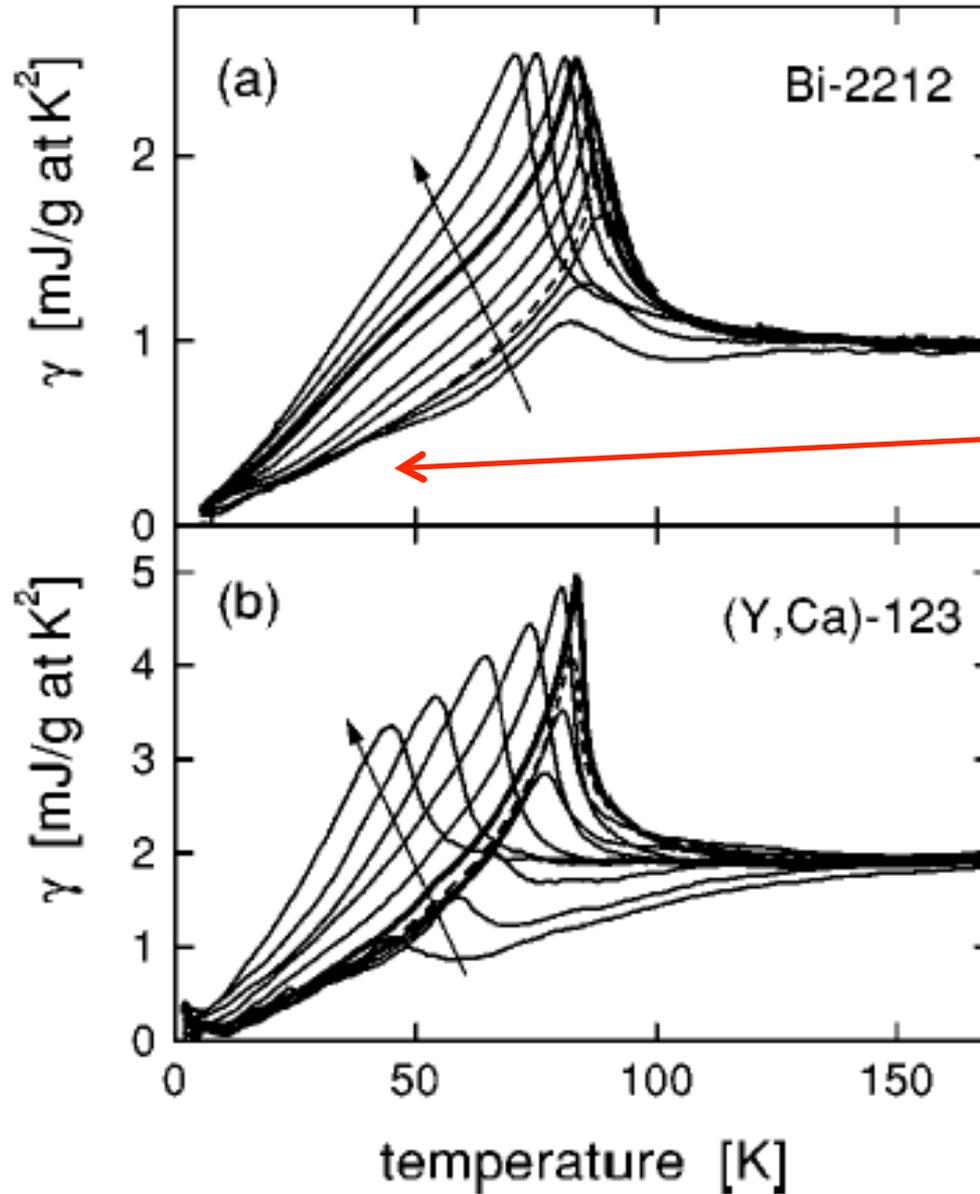


**D-wave more excited electrons
only around nodes**

Specific heat for many dopings

Increasing doping 

Specific heat
gamma
is $C\{T\}/T$



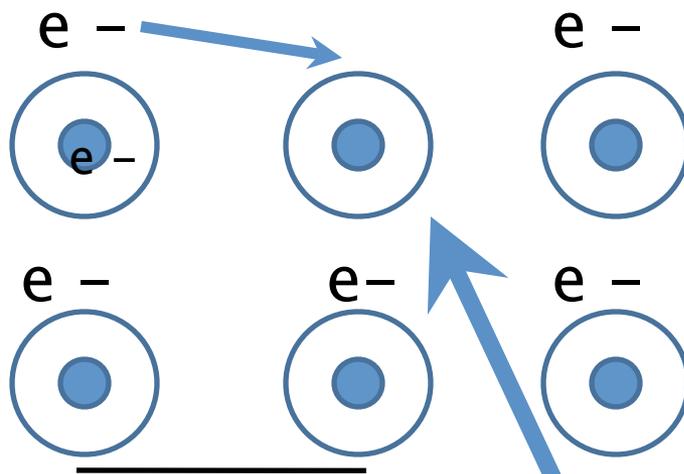
Loram et. al. PRB
69
,060502 [2004]

Cuprates are near half filling for the CuO₂ Brillouin Zone

In band theory this would be a metal

Because of MOTT physics its an insulator at half filling

Mott physics



Lattice parameter a

Hopping to empty site is ok

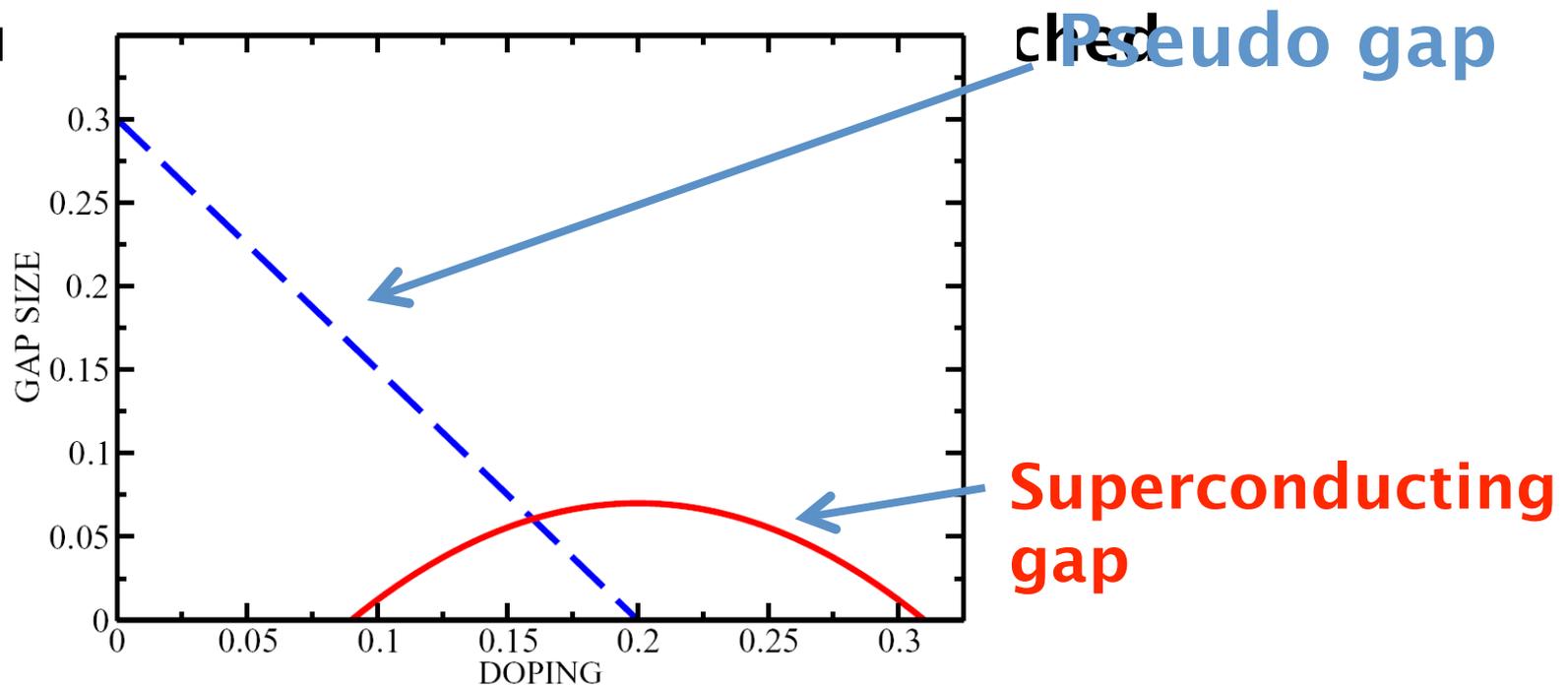
Hopping to filled site is energetically not favorable because of Hubbard U

big on site repulsion
NO double occupancy

Empty state, hole doping

Use the model of Yang, Rice and Zhang

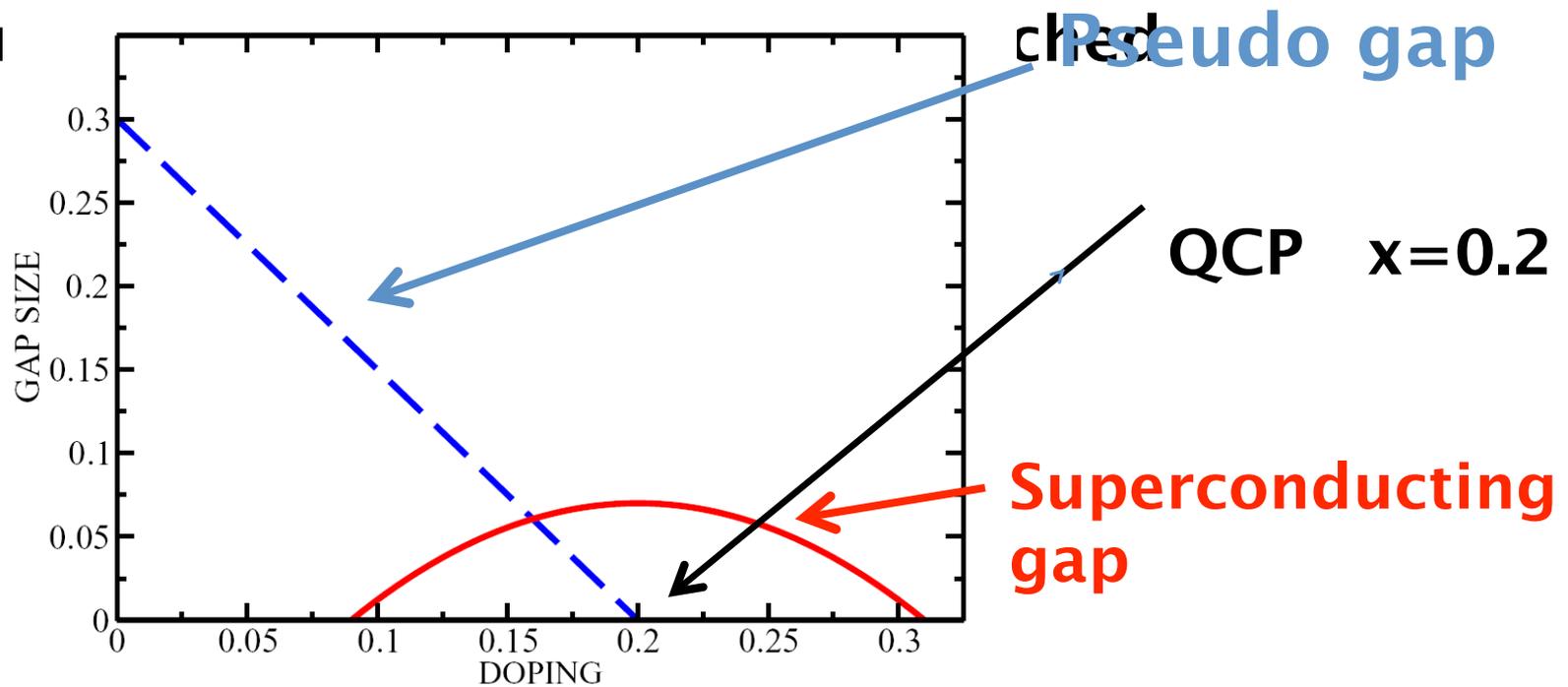
[YRZ] PRB73 ,174501 [2006] based on RVB resonating valence bond, spin liquid, has a quantum critical point [QCP] at doping $x=0.2$ where a pseudogap develops in the electronic structure



Illes et.al. PRB 79 ,100505 [2009] Pseudo gap modifies electronic structure
Fermi surface reconstruction

Use the model of Yang, Rice and Zhang

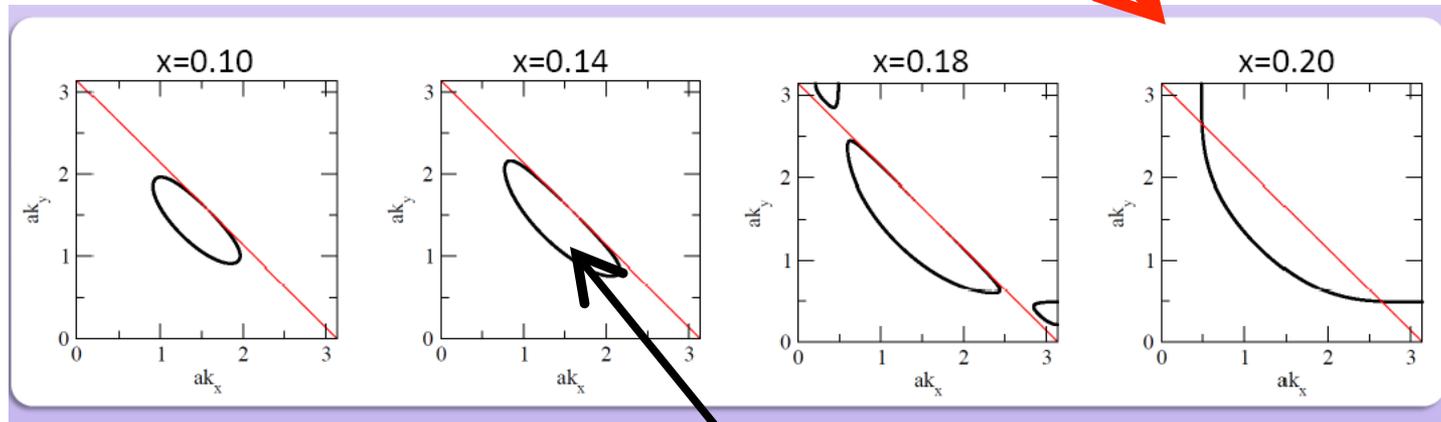
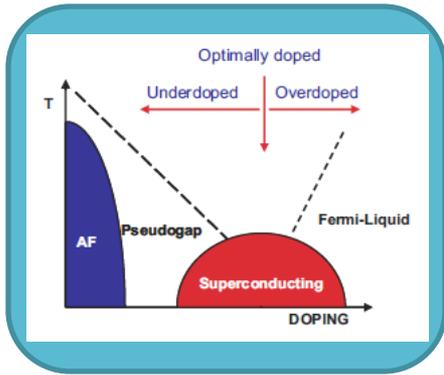
[YRZ] PRB73 ,174501 [2006] based on RVB resonating valence bond, spin liquid, has a quantum critical point [QCP] at doping $x=0.2$ where a pseudogap develops in the electronic structure



Illes et.al. PRB 79 ,100505 [2009] Pseudo gap modifies electronic structure
Fermi surface reconstruction

Usual large Fermi surface of Fermi liquid theory for tight binding bands near half filling

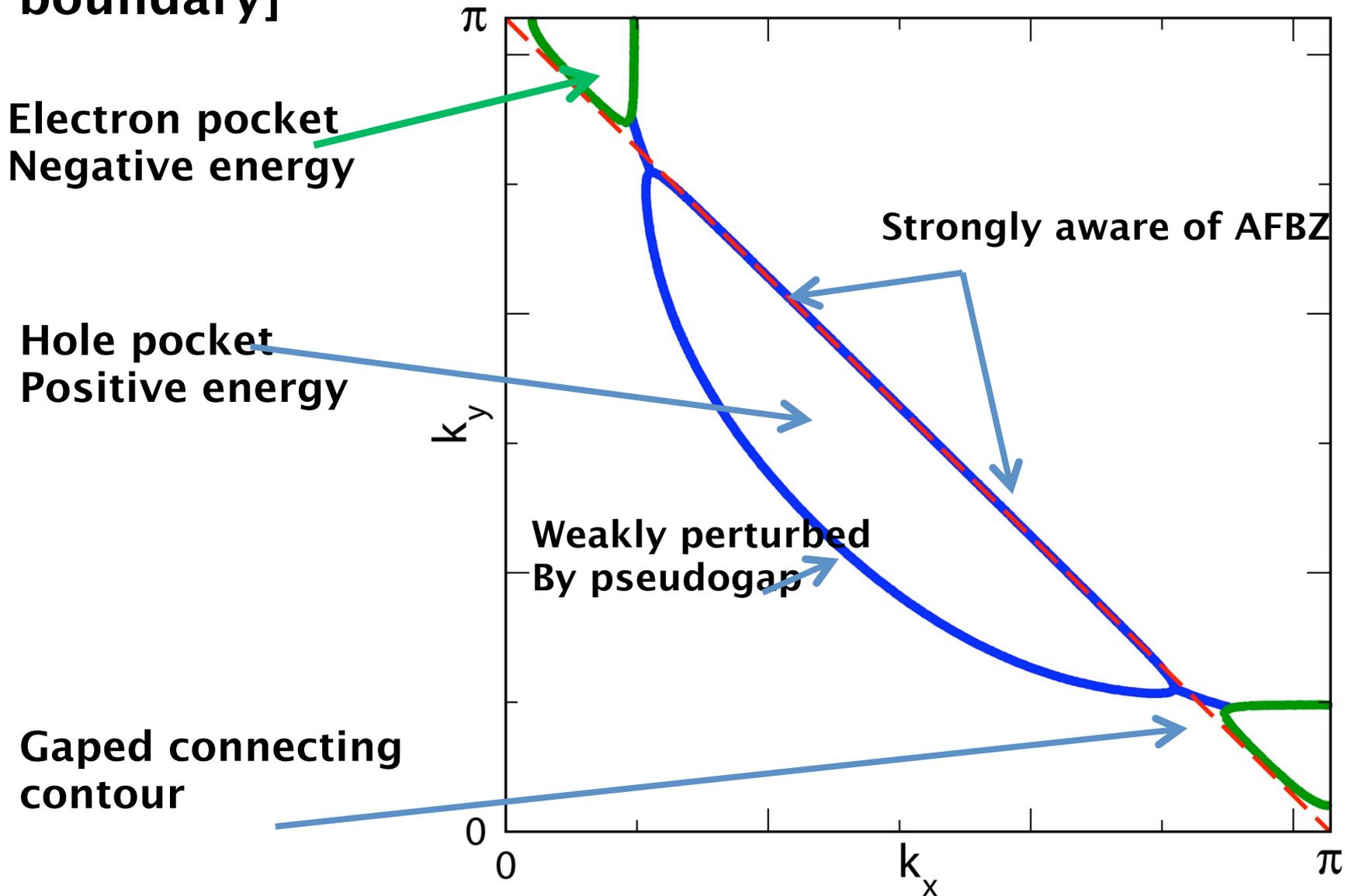
Top right corner of Two-D Cu-O₂ B.Z.



Reconstructed Fermi surface due to pseudogap and approach to Mott Insulator ; metallicity is reduced

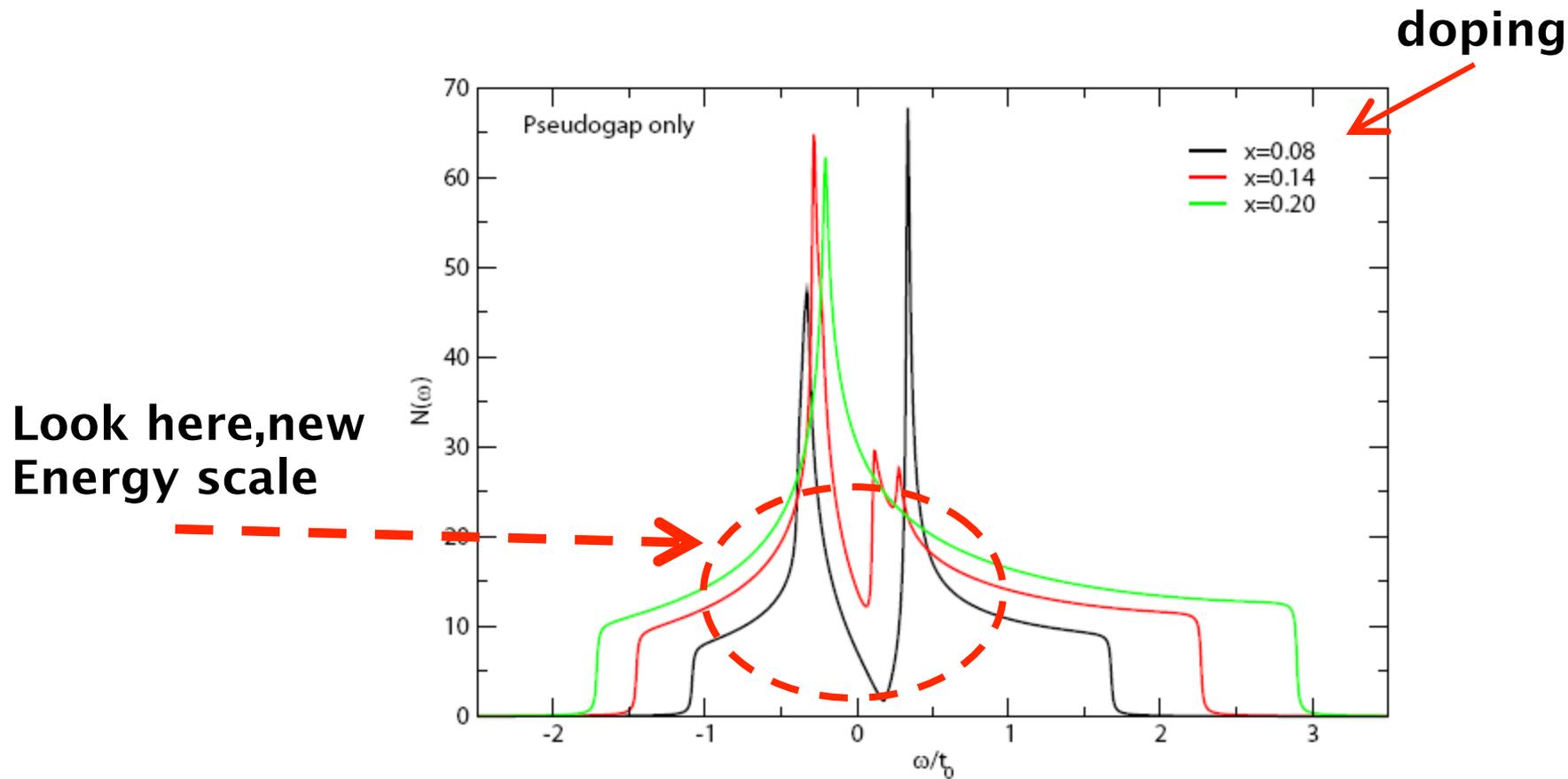
Luttinger hole pocket, small fermi surface
front is weighted order 1, back little weight
Fewer zero energy excitations

For $x=0.19$ can have holes and electron pockets [near B Z boundary]



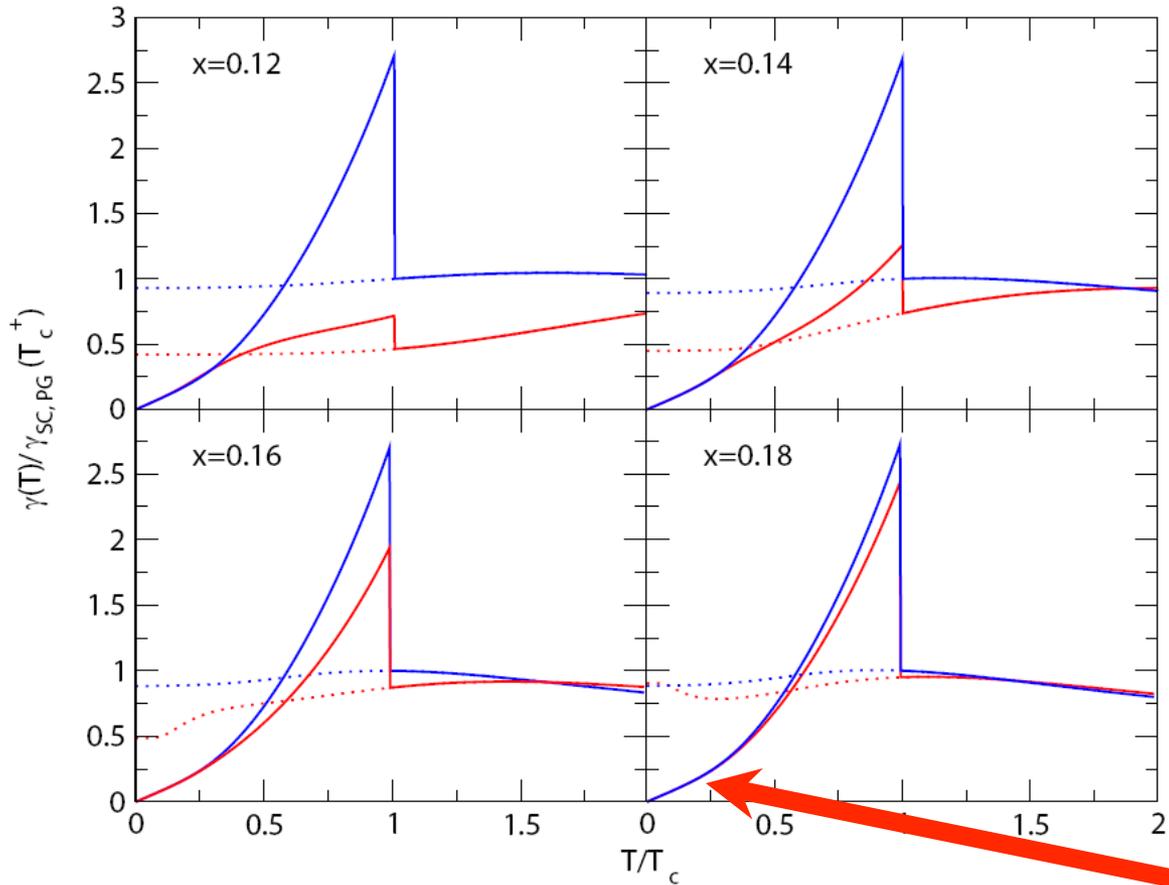
Second energy scale associated with Mott transition to ins

Density of states $N[\omega]$

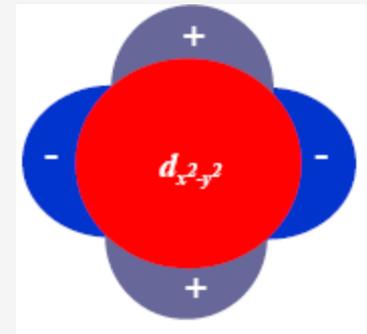


Pseudogap does not change Low temperature law or its slope

- γ_{SC} , superconducting state, with pseudogap off
- - - γ_N , normal state, with pseudogap off
- γ_{SC} , superconducting state, with pseudogap on
- - - γ_N , normal state, with pseudogap on



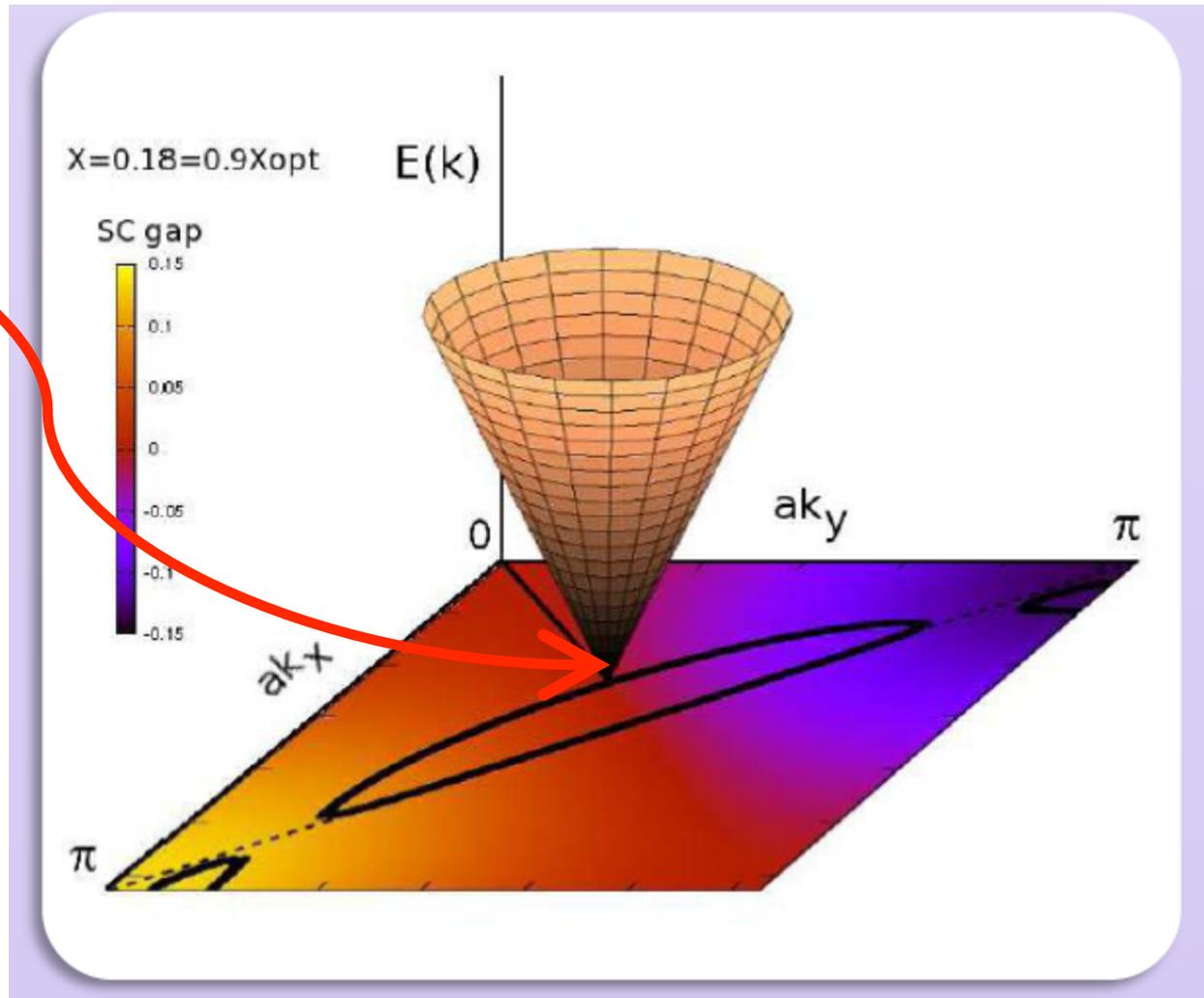
Gama is specific heat over temperature



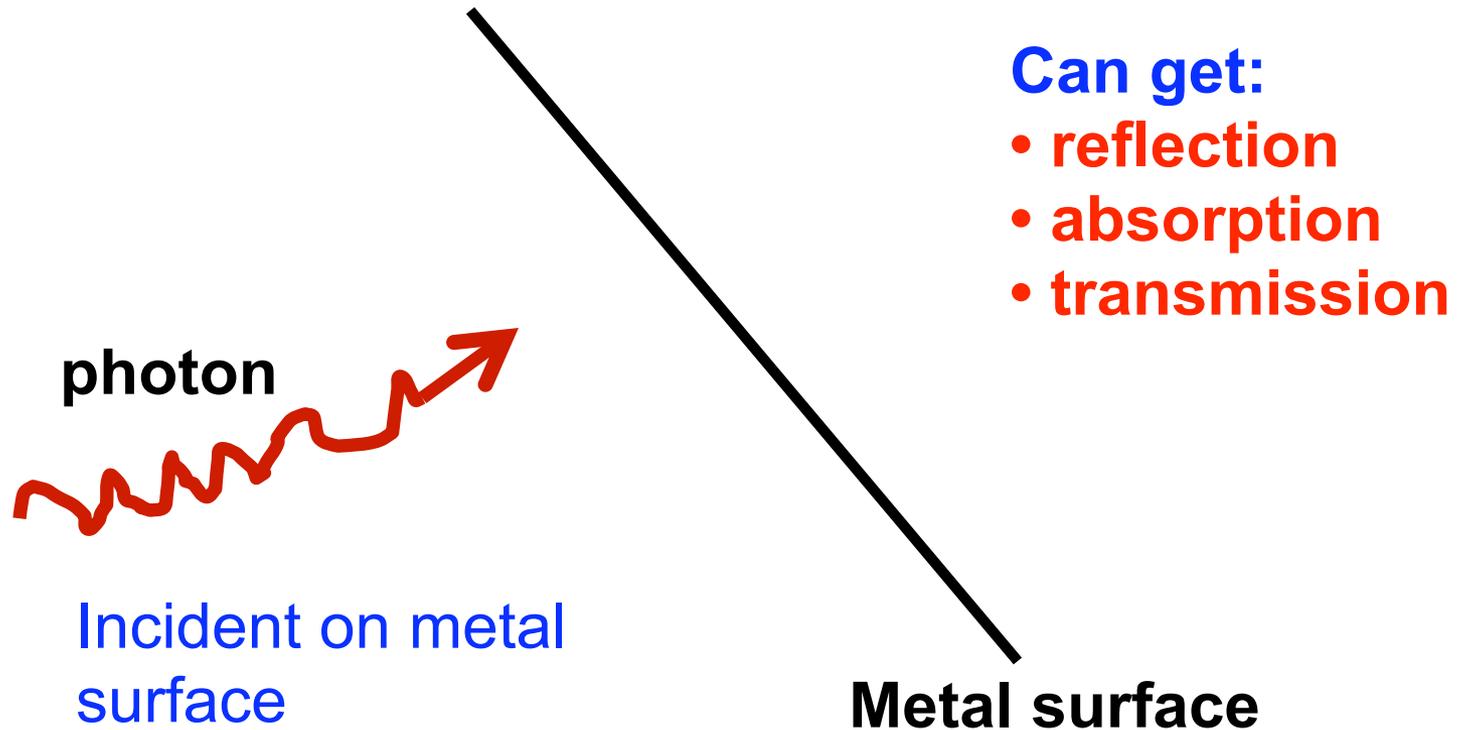
Linear ,NO change

Of course ,the gutzwiler coherence factor will come in additionally

***Dirac point is
only active spot
at low temperature***



Optical Properties in BCS



In conventional superconductors, tunneling has been method of choice to get information on gap and phonons

Optics has been hard, good metals reflectance near 1

In poor metals such as oxides, optics has been great!

Reflectance is an experimentally measured quantity

From it can get optical the conductivity as a function of energy

Has real and imaginary part

Real part is absorptive part

Interested in conductivity in energy range of gap and phonon energies: far infrared

DRUDE model

No damping term
no absorption

$$m \frac{d\mathbf{v}}{dt} = e\mathbf{E} - \frac{m}{\tau} \mathbf{v}$$

electron mass \rightarrow m e electron charge \downarrow \mathbf{v} velocity \leftarrow τ elastic scattering time \swarrow

$$\mathbf{J} = ne\mathbf{v}$$

$$\mathbf{E} = \mathbf{E}_0 \exp[-i\omega t]$$

electric field

$$\mathbf{J}(\omega) = \sigma(\omega)\mathbf{E}(\omega)$$

n : electron density

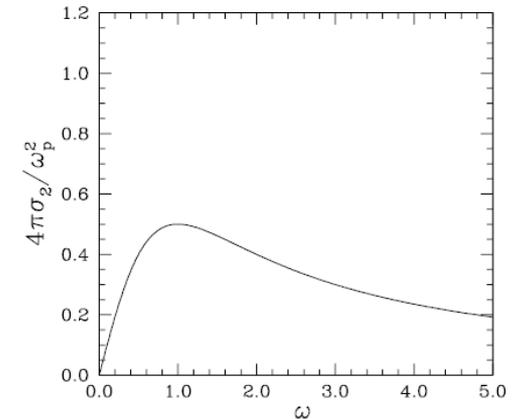
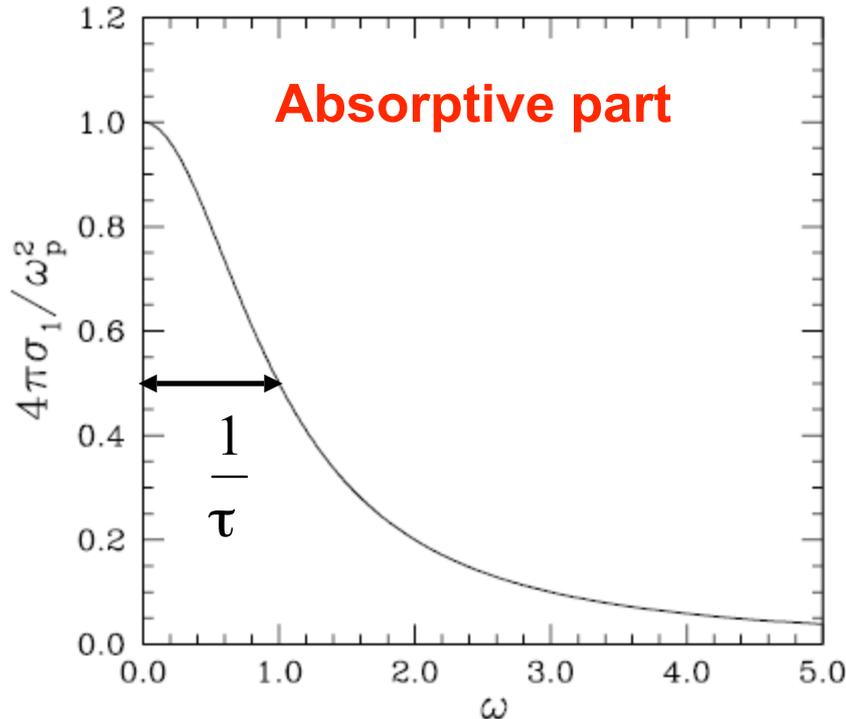
$$\sigma(\omega) = \frac{\sigma_0}{1 - i\omega\tau}$$

Drude
Conductivity

DC value

$$\sigma_0 = ne^2\tau/m$$

Real [left] and imaginary [right] part of DRUDE conductivity



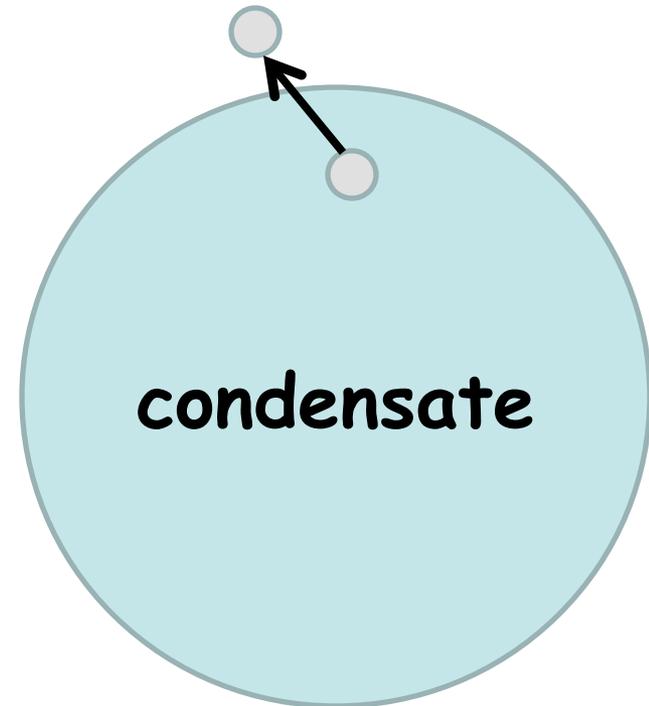
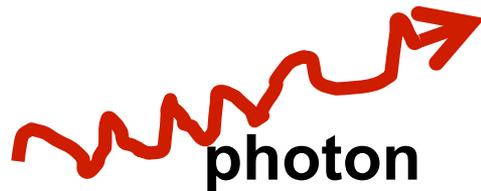
Width at half maximum is optical scattering rate $1/\tau$ – here it is 1.0

$$\frac{\omega_p^2}{4\pi} \equiv \frac{ne^2}{m} \quad \leftarrow \text{Plasma frequency } \omega_p$$

$$\sigma_0 = ne^2\tau/m$$

At zero temperature, need one Δ to pull an electron out of condensate and one more to place it back in.
This process blocks states that can no longer be used to form condensate

Takes energy gap to pull an electron out of condensate or to put one in



Macromolecule, all electrons bound together

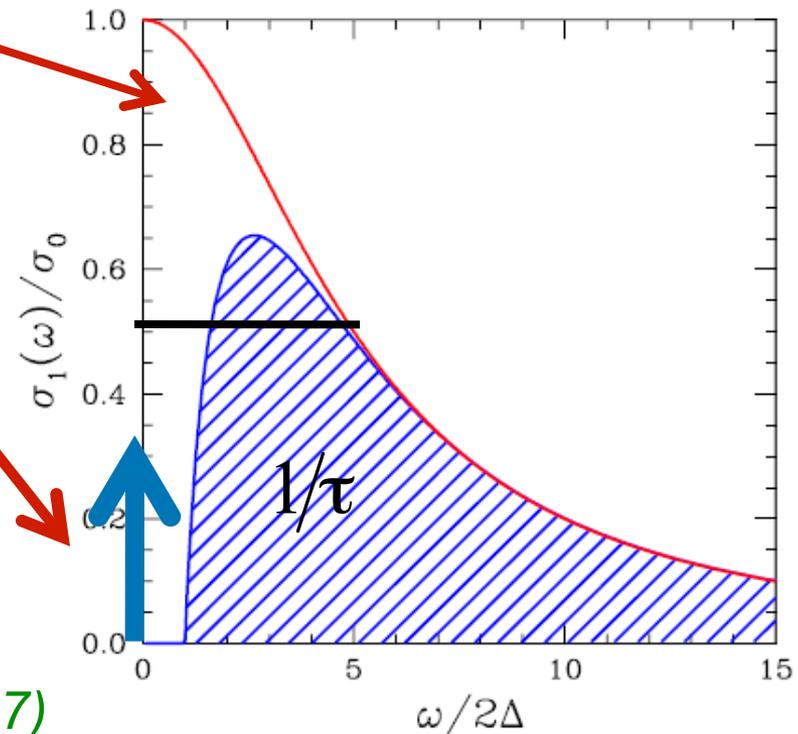
Real part of conductivity s-wave superconductor

Missing area
goes into a delta
function at origin

**Optical spectral
weight conserved**

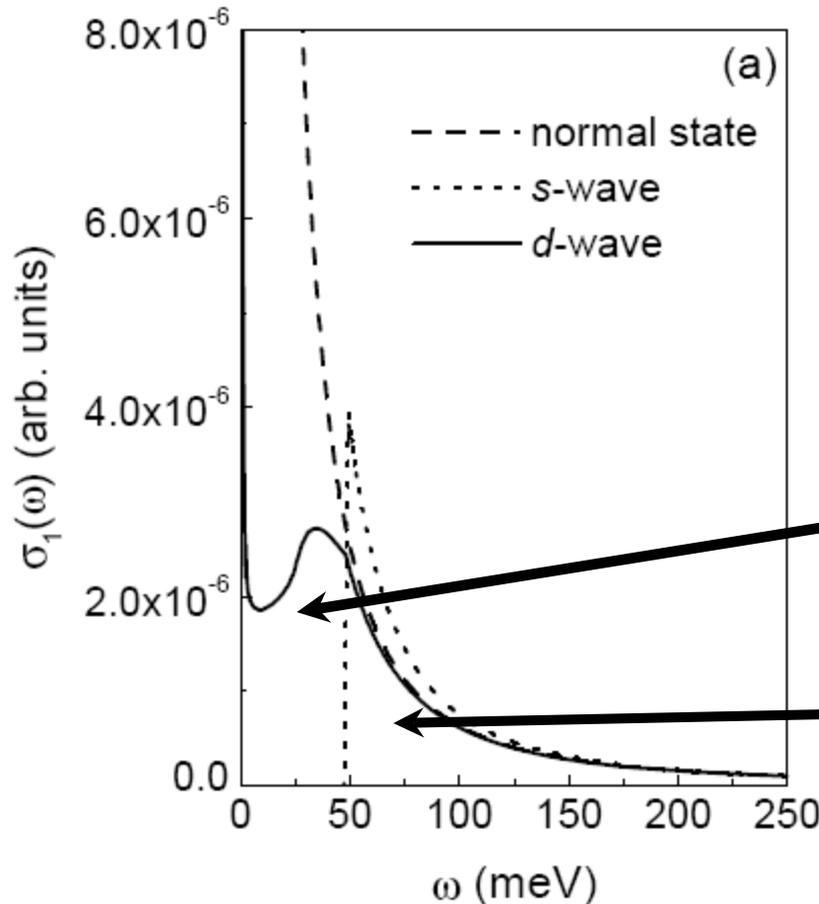
$$1/\tau \gg \Delta$$

Fairly dirty case

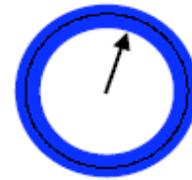


Nam, Phys. Rev. **156**, 487 (1967)

Comparison of real part of conductivity in s- and d-wave BCS at zero temp.

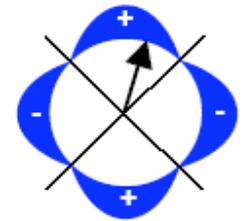


BCS (conventional) superconductors:



s-wave symmetry

Cuprate HTSC superconductors:



d-wave symmetry

No real gap

Real gap

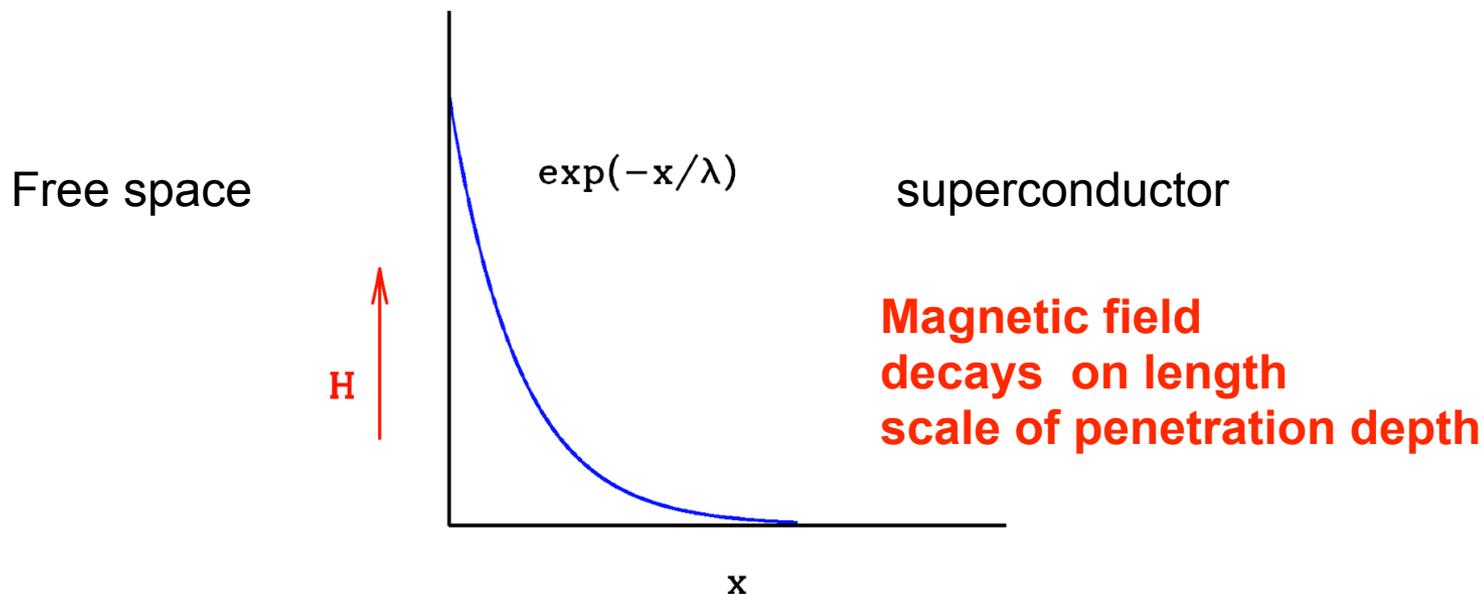
E. Schachinger and J.P. Carbotte, Models in Methods of High-Tc Superconductivity, Vol 2, Edited by J K Srivastava and S M Rao, pp73-169

Optical conductivity has real and imaginary part
Real part is absorptive part

In superconducting state, imaginary part is related to the penetration depth

$$\frac{1}{\lambda^2(T)} = \lim_{\omega \rightarrow 0} \frac{4\pi}{c^2} \omega \sigma_2(\omega)$$

$$\lambda_L^{-2}(T) \cong (4\pi n_s(T) e^2 / mc^2)$$



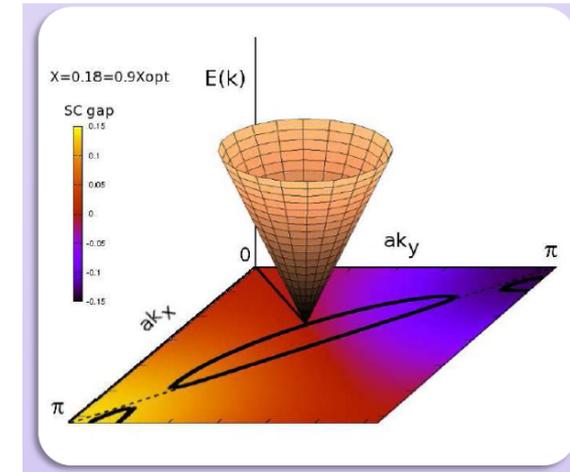
Low temperature behaviour of superfluid density in s- and d-wave

s-wave is exponentially activated

d-wave is linear in temperature

$$\frac{1}{\lambda^2(T)} = \frac{4\pi n e^2}{m c^2} \left[1 - \sqrt{\frac{2\pi\Delta_0}{k_B T_c}} e^{-\frac{\Delta_0}{k_B T}} \right] \quad \text{s-wave}$$

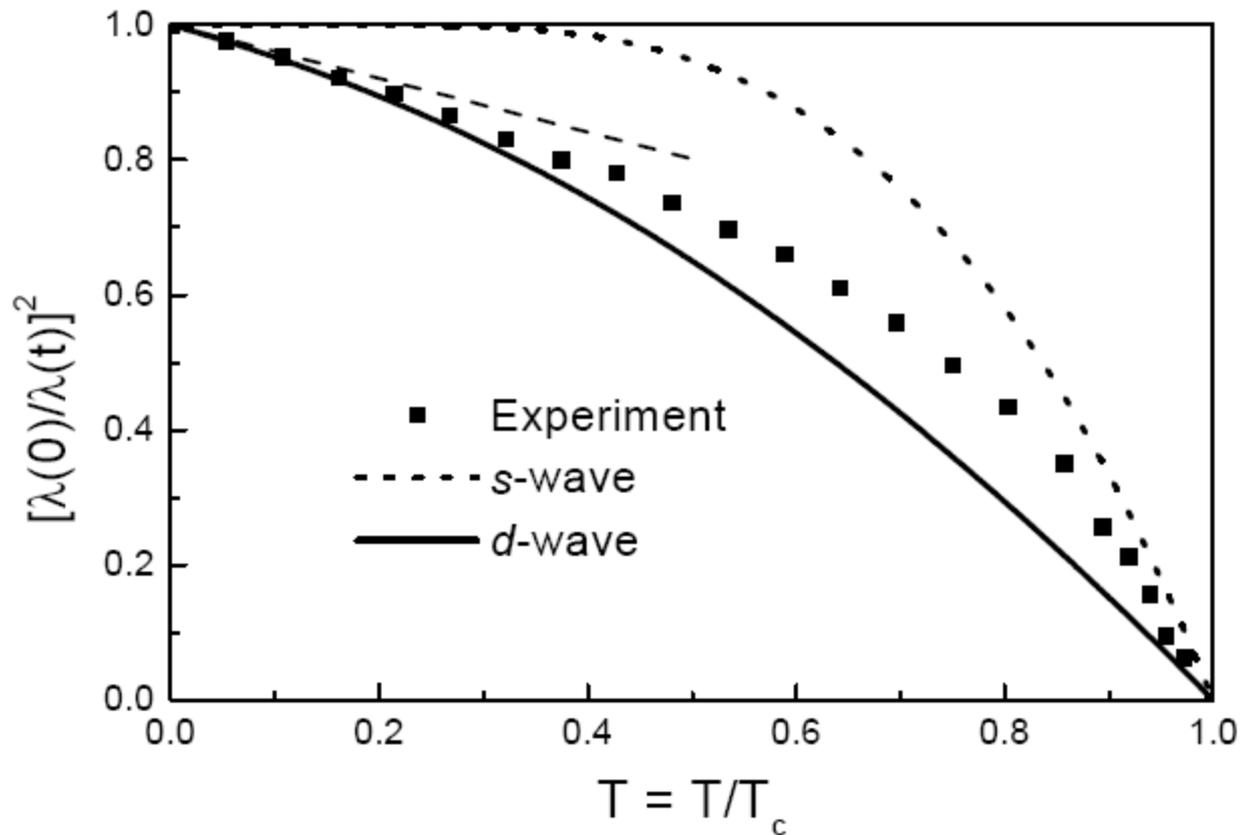
$$\frac{1}{\lambda^2(T)} = \frac{4\pi n e^2}{m c^2} \left[1 - \frac{2 \ln(2)}{\Delta_0} k_B T \right] \quad \text{d-wave}$$



Inverse square of London penetration depth is proportional to superfluid density

Comparison of London penetration depth for s- and d-wave symmetry in BCS

Penetration depth is distance an external magnetic field can penetrate into a superconductor [screening supercurrents are set up]

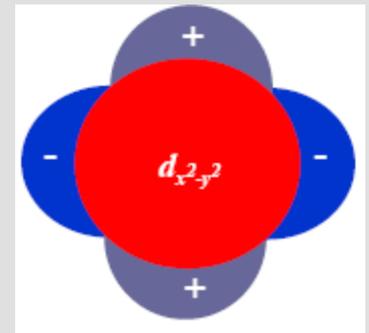
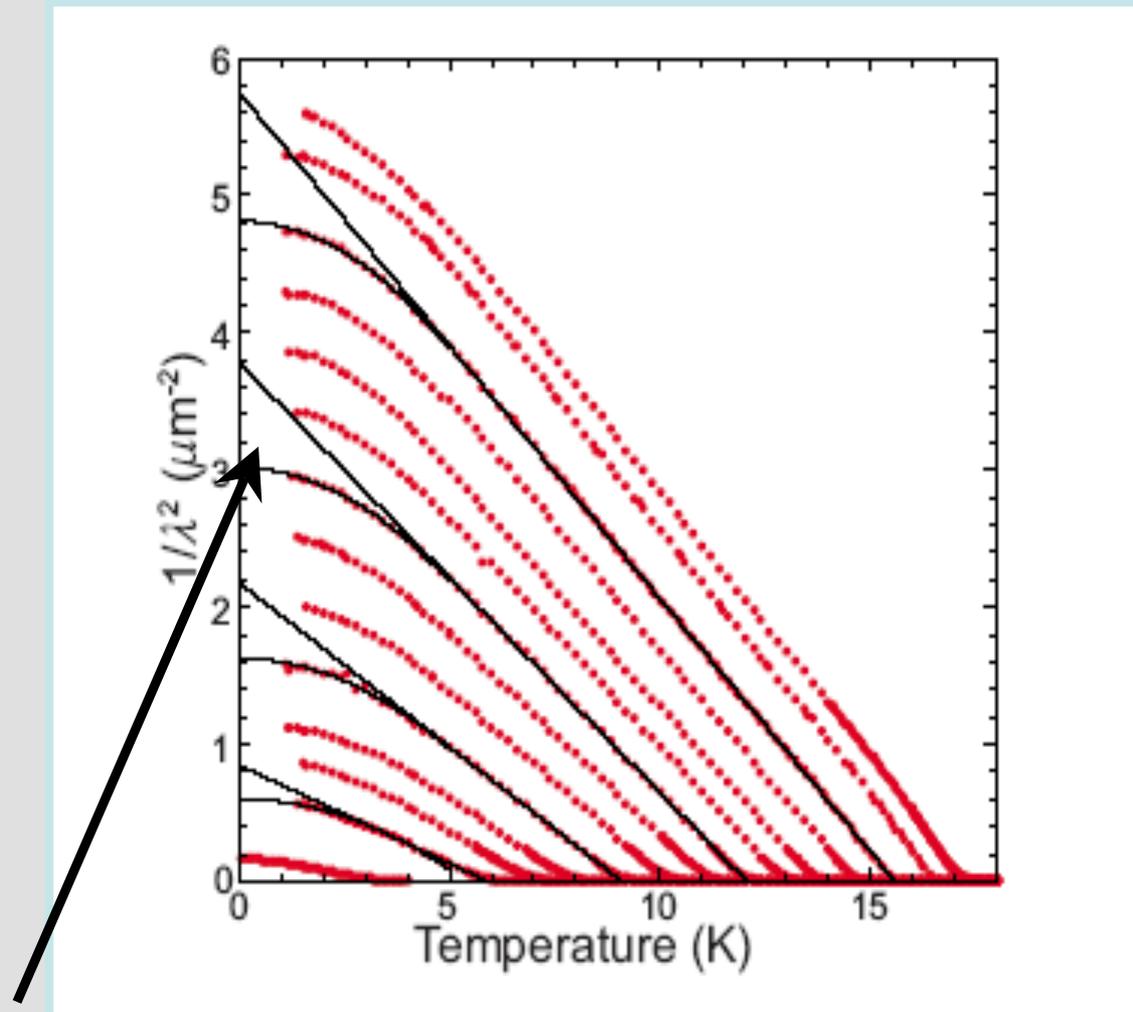


Experimental data in YBCO: D. A. Bonn et al, PRB 50, 4051 (1994)

Highly underdoped ortho YBCO

Pure d-wave
linear in T
at low temp

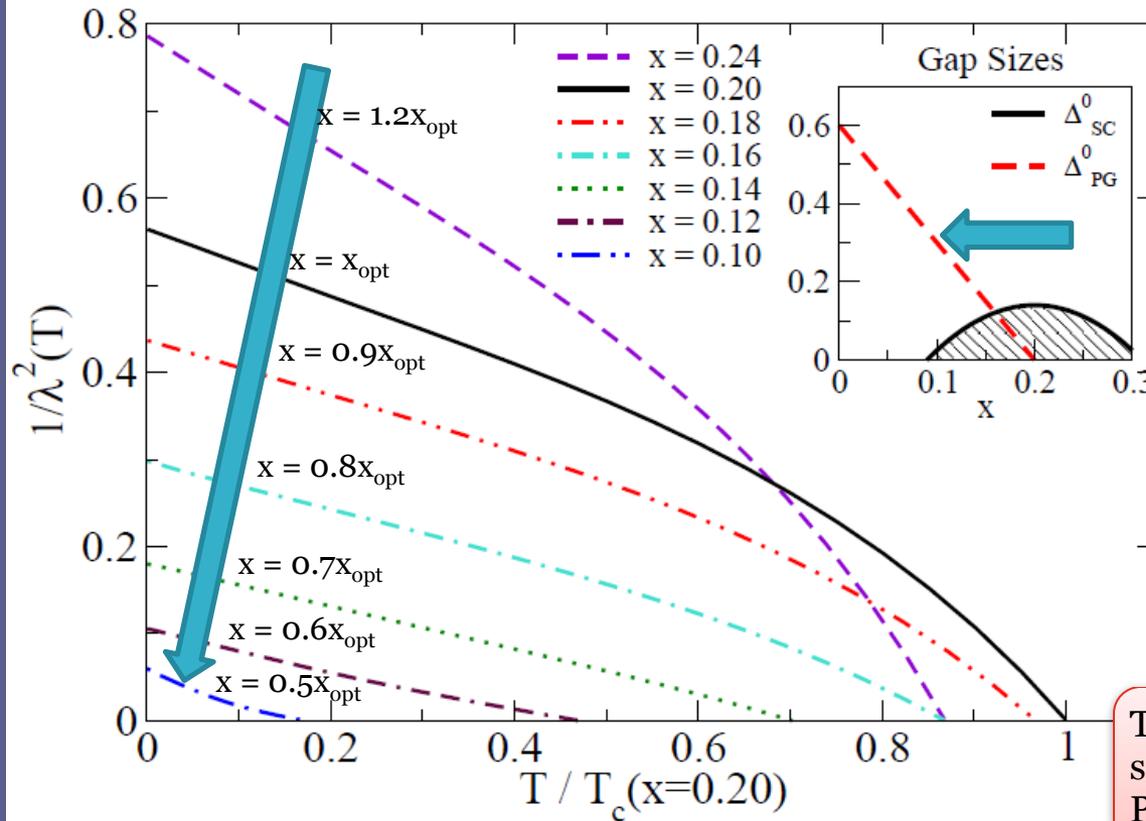
Dirty d-wave
Crossover to
 T^2
due to scattering



Crossover from linear to quadratic

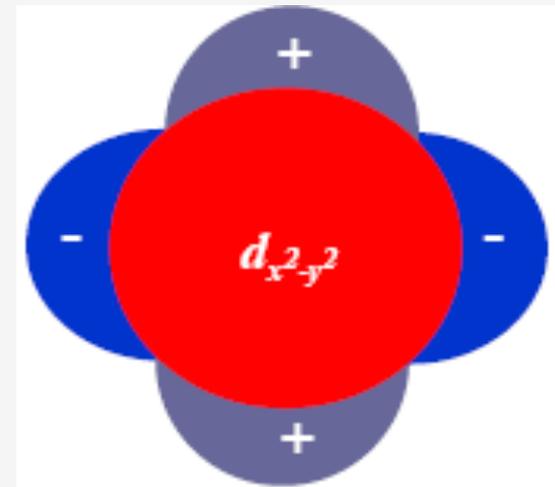
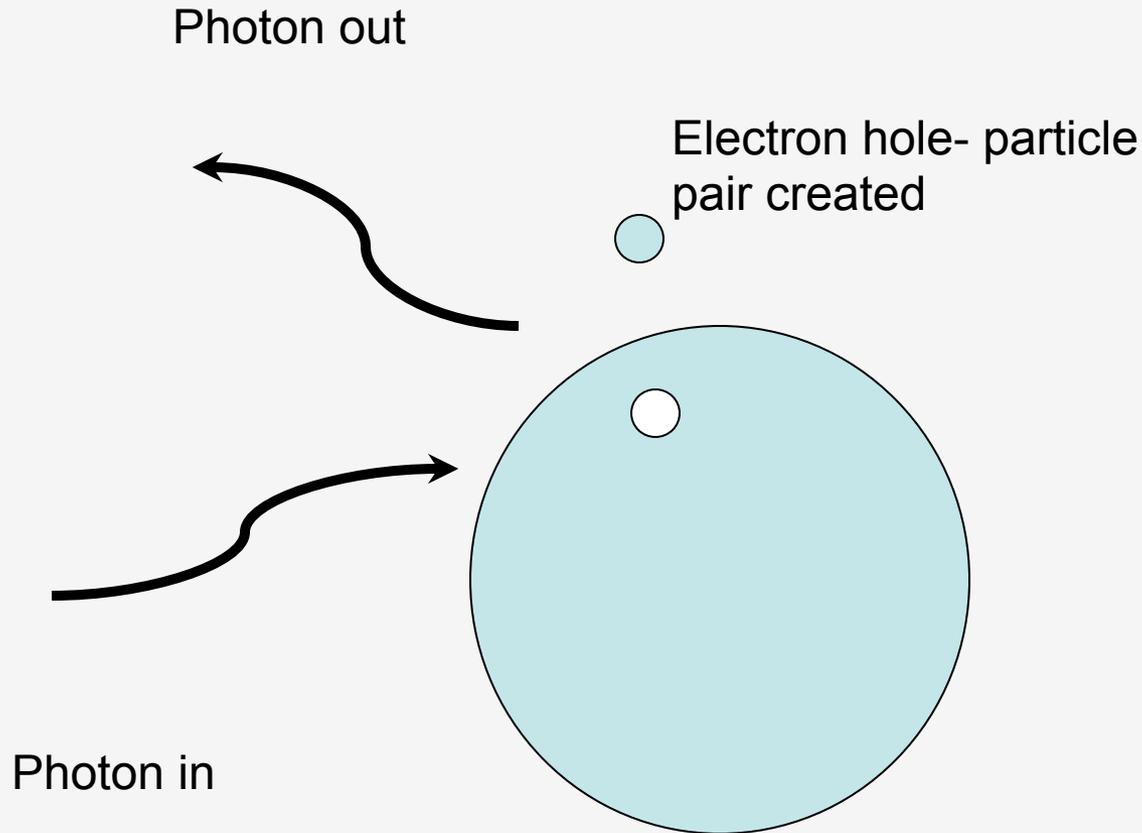
Huttema et.al. PRB 80,104509 [2009]

$1/\lambda^2(T)$ For Various Dopings



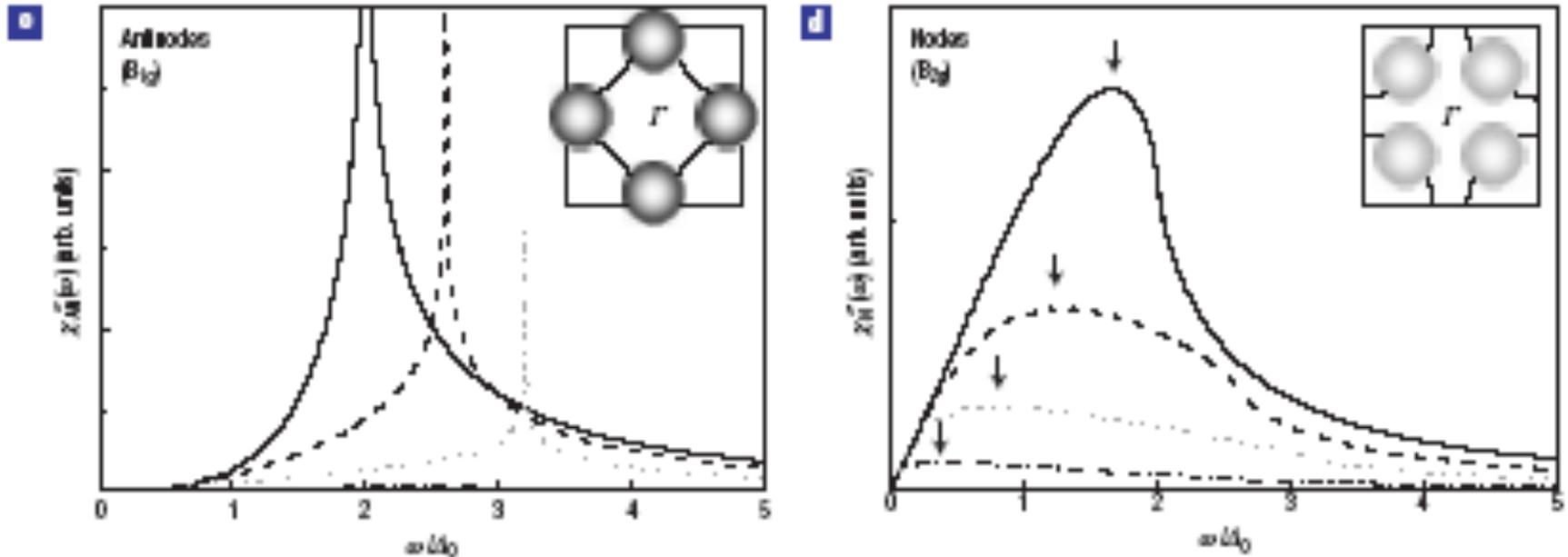
This trend is seen in experiment
see Anukool et al. (Cambridge)
PRB **80**, 024516 (2009)

Raman in d-wave superconductor



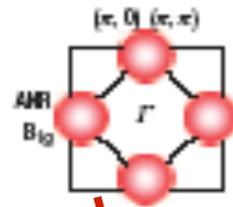
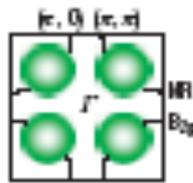
**Depends on polarization of the light ,
nodal, antinodal are different**

Raman scattering

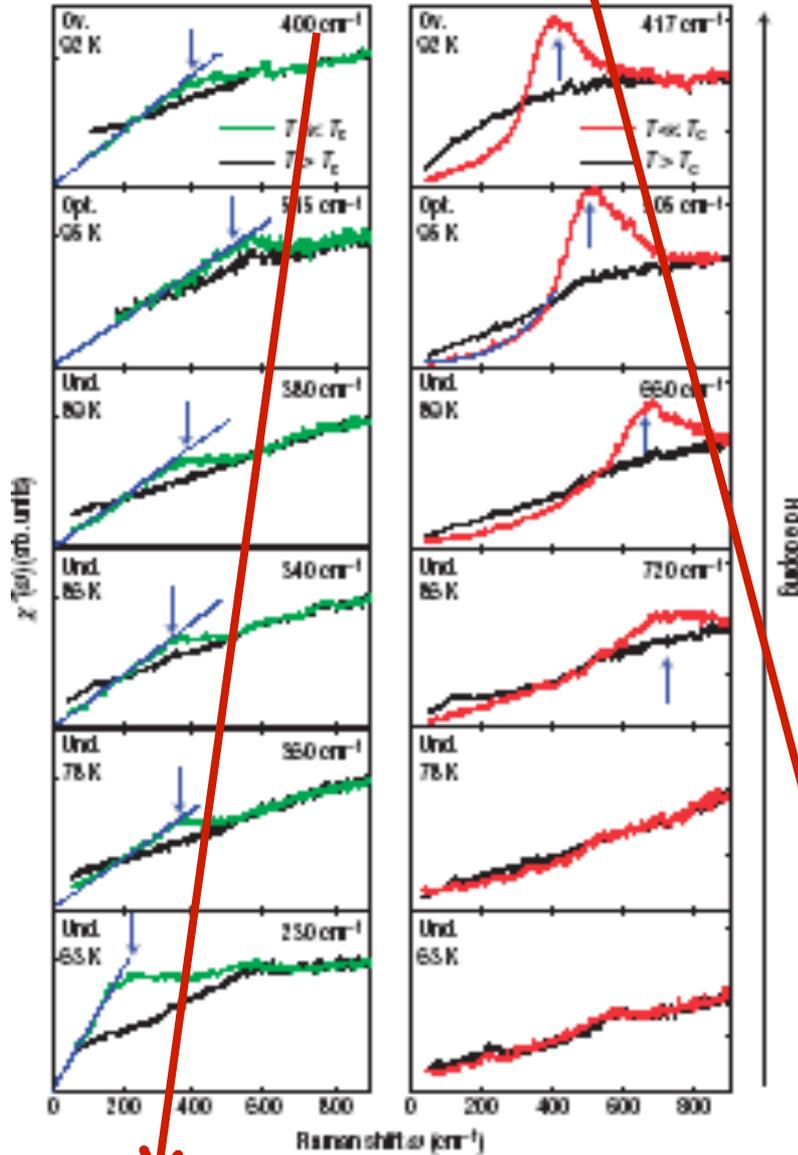


Different polarization of light ,have different sampling factors [images different parts of k-space]

B1g samples most antinodal and B2g nodal direction



Le Tacon et al. Nature Physics 2, 537 [2006]



Peak energy scale
down

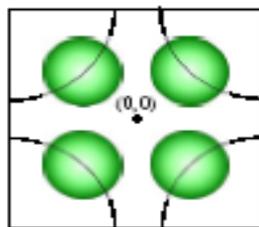
Peak energy scale
up

Less doping, more MOTT

YRZ theory of underdoped cuprates

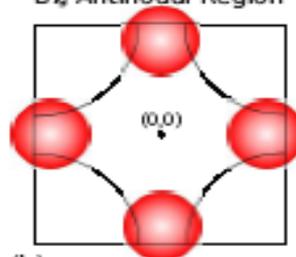
Leblanc et.al.
PRB 81,064504
[2010]

B_{2g} Nodal Region

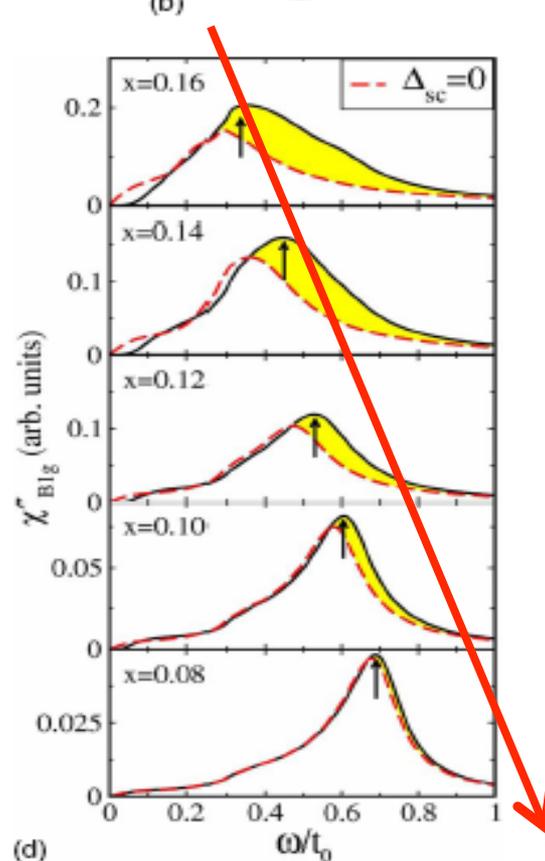
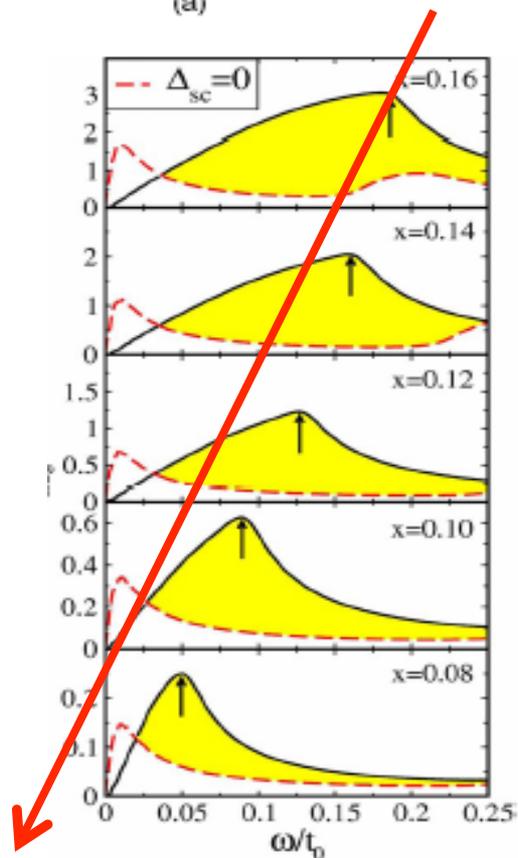


(a)

B_{2g} Antinodal Region

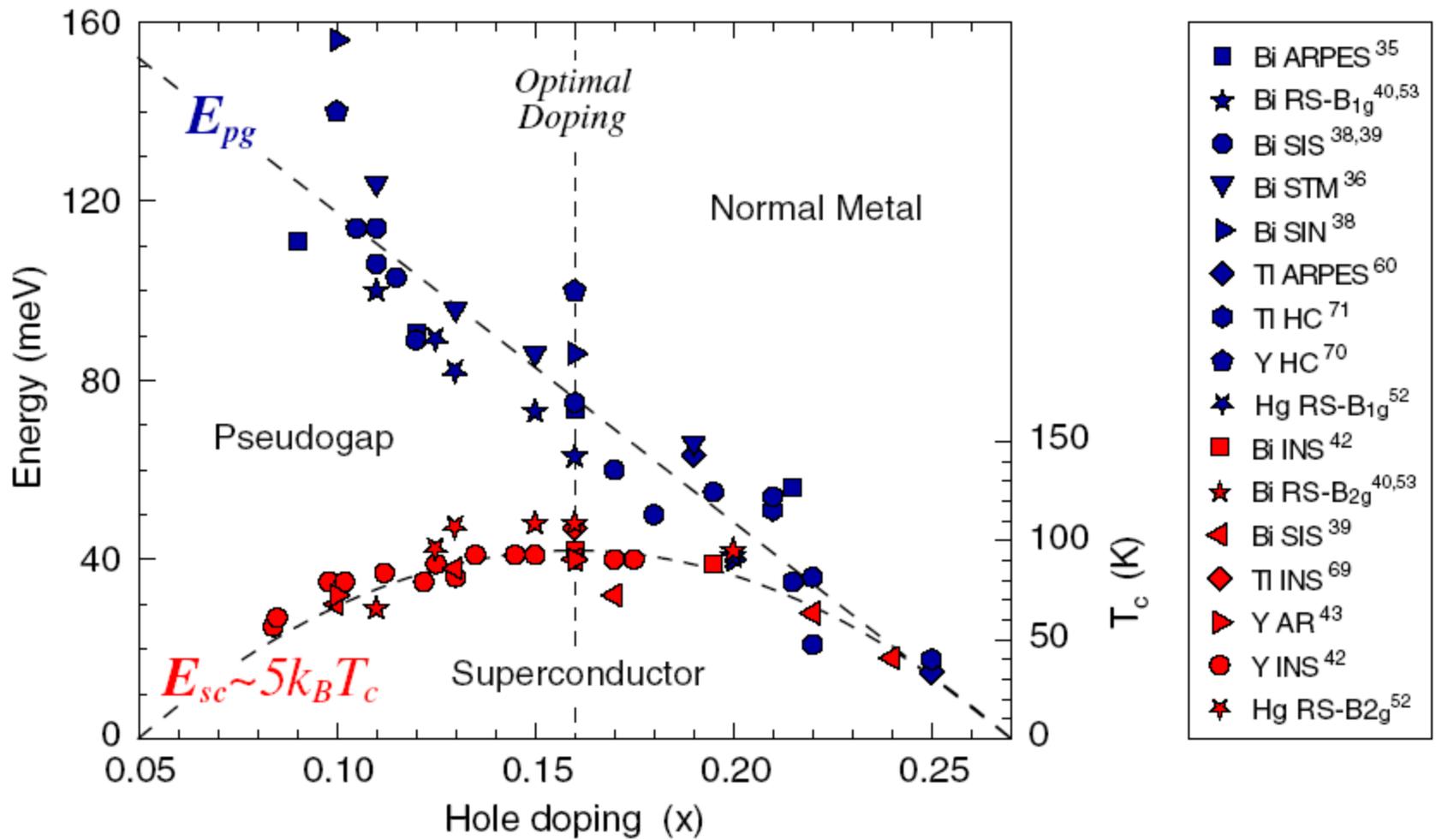


(b)



G-McM-group

Both scales are part
of YRZ model
No pseudogap in
nodal
direction
Can dominate anti-
nodal direction



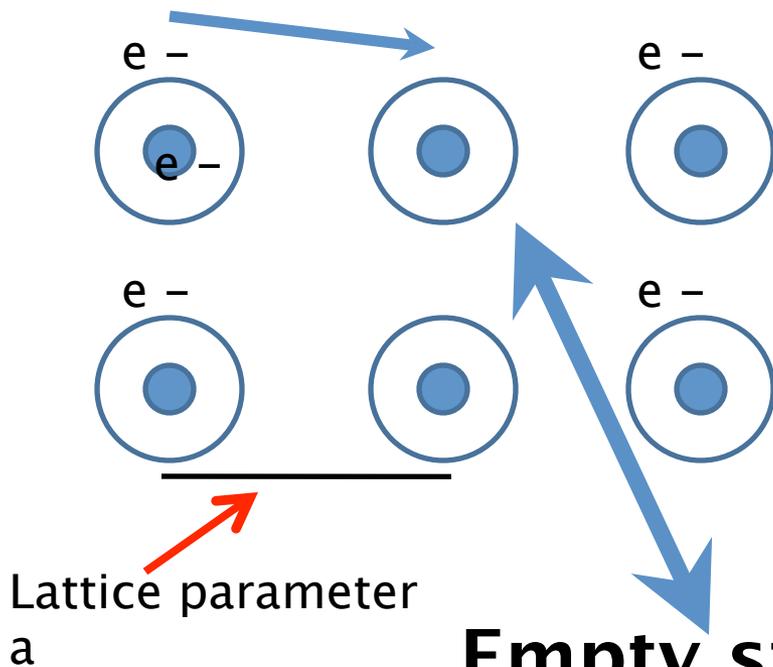
Hufner et. Al. Rep. Prog. Phys. 71, 062501 [2008]

**There are two gaps . Superconducting gap
and a normal state gap associated with loss of
metallicity as Mott transition to insulating
state
is approached**

Hard to escape there are two gaps in underdoped cuprates

one superconducting gap ,the other a pseudogap associated with Mott physics

Mott physics



Hopping to empty site is ok

Hopping to filled site is energetically not favorable because of Hubbard U

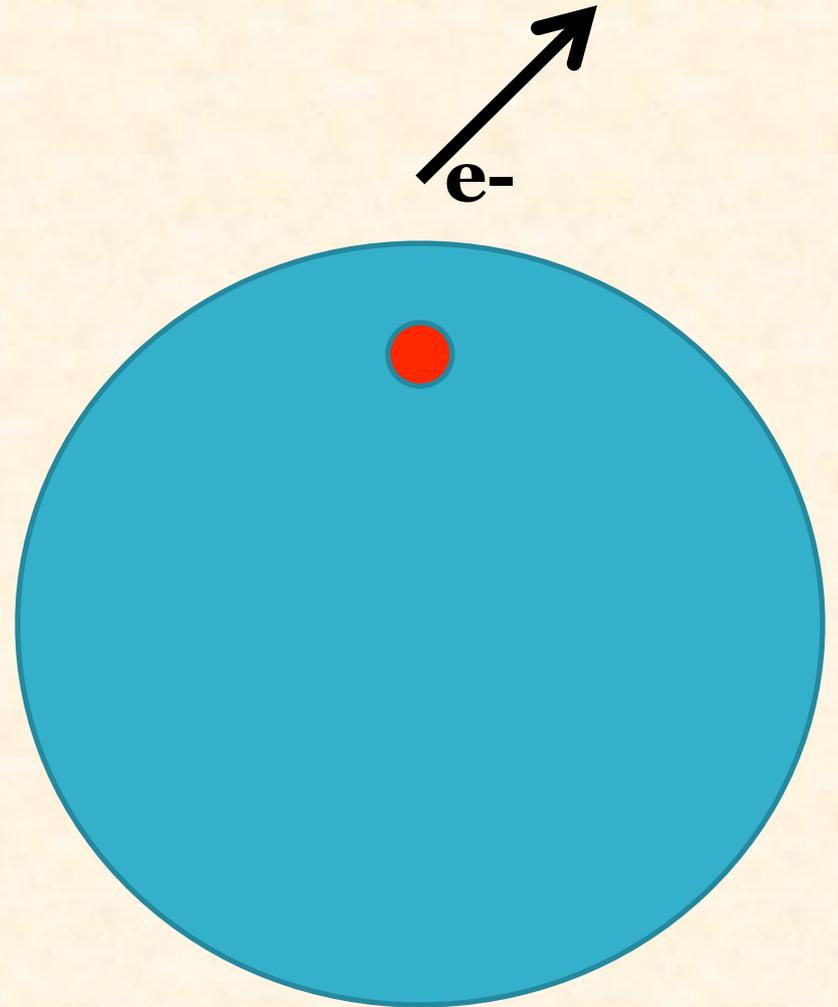
big on site repulsion
NO double occupancy

Empty state, hole doping

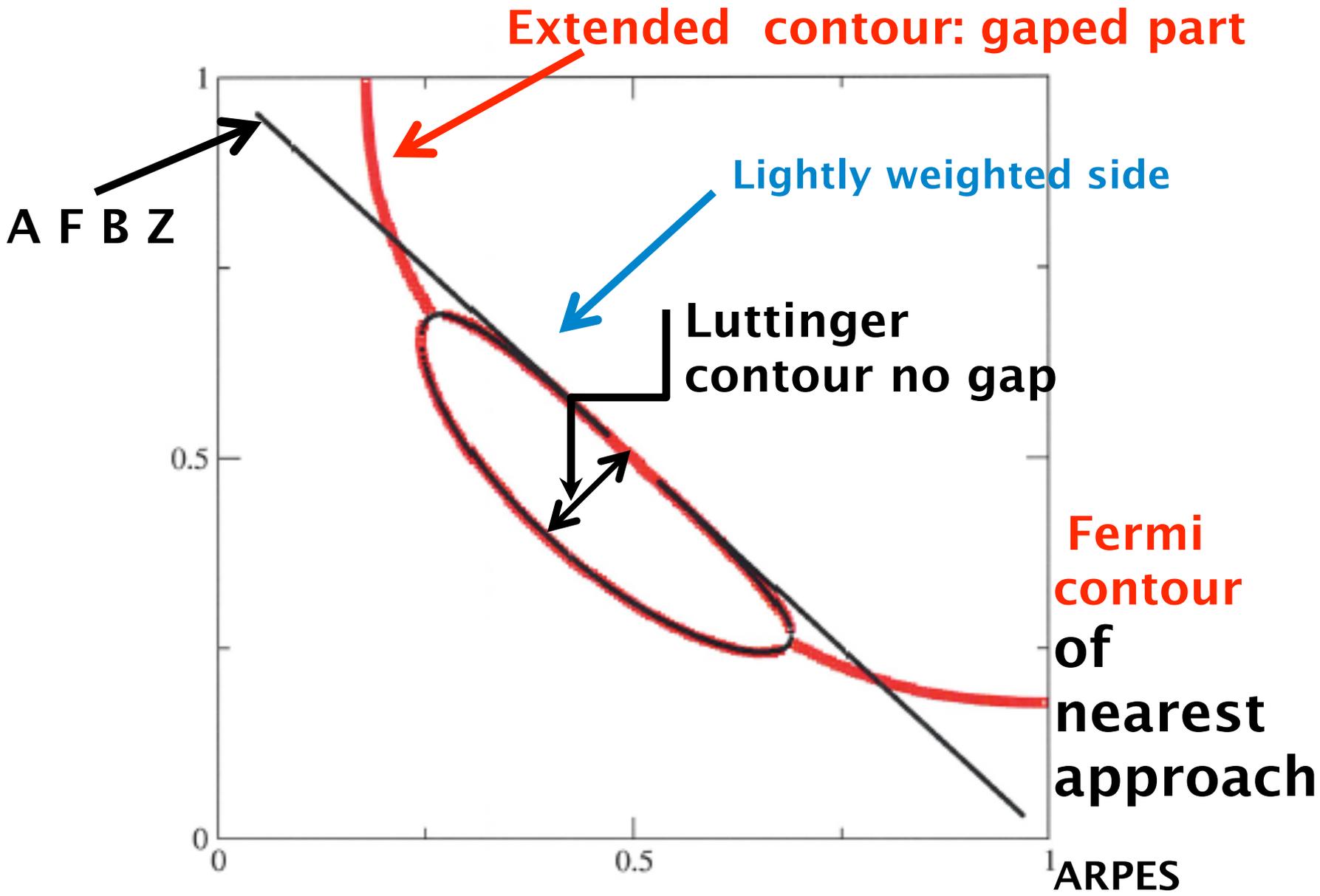
Angular resolved photo emission ARPES

Photon in, electron out

photon



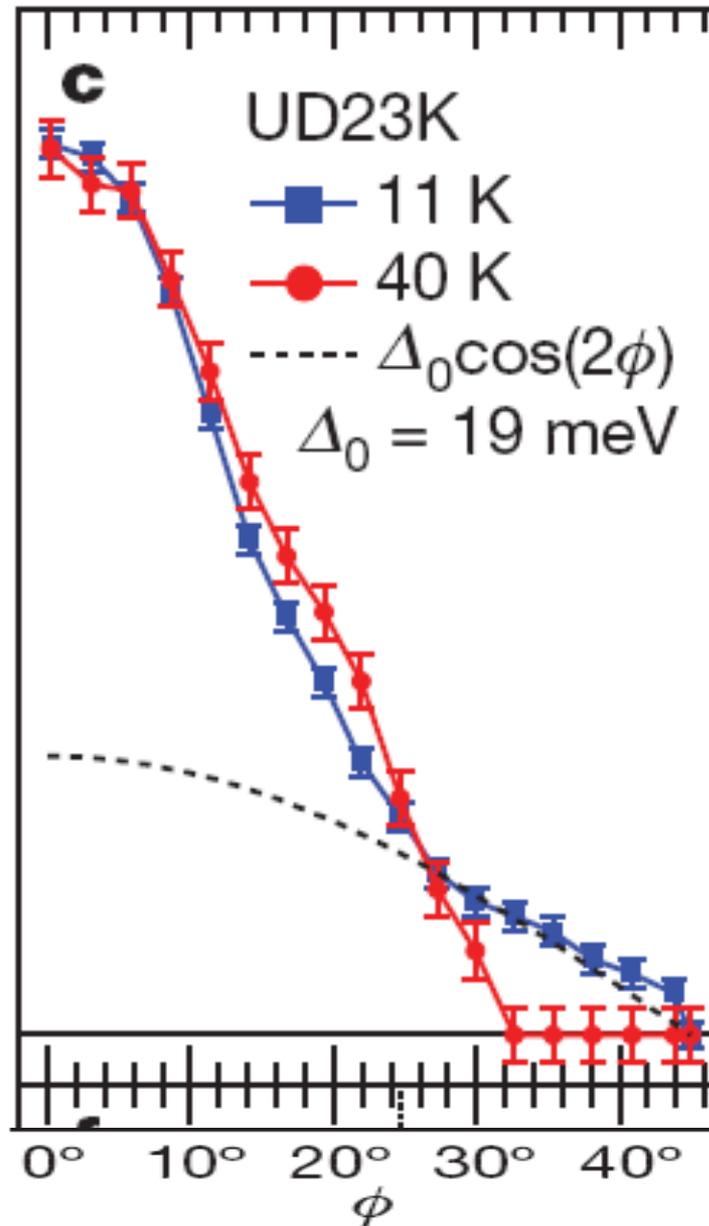
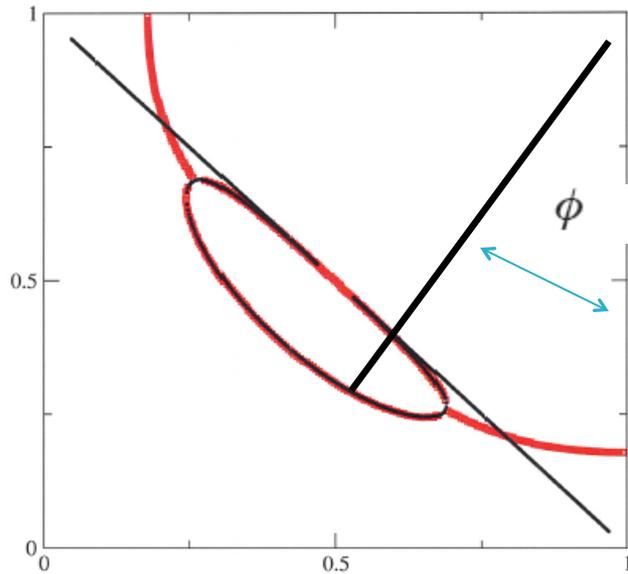
Measures electron dispersion curve



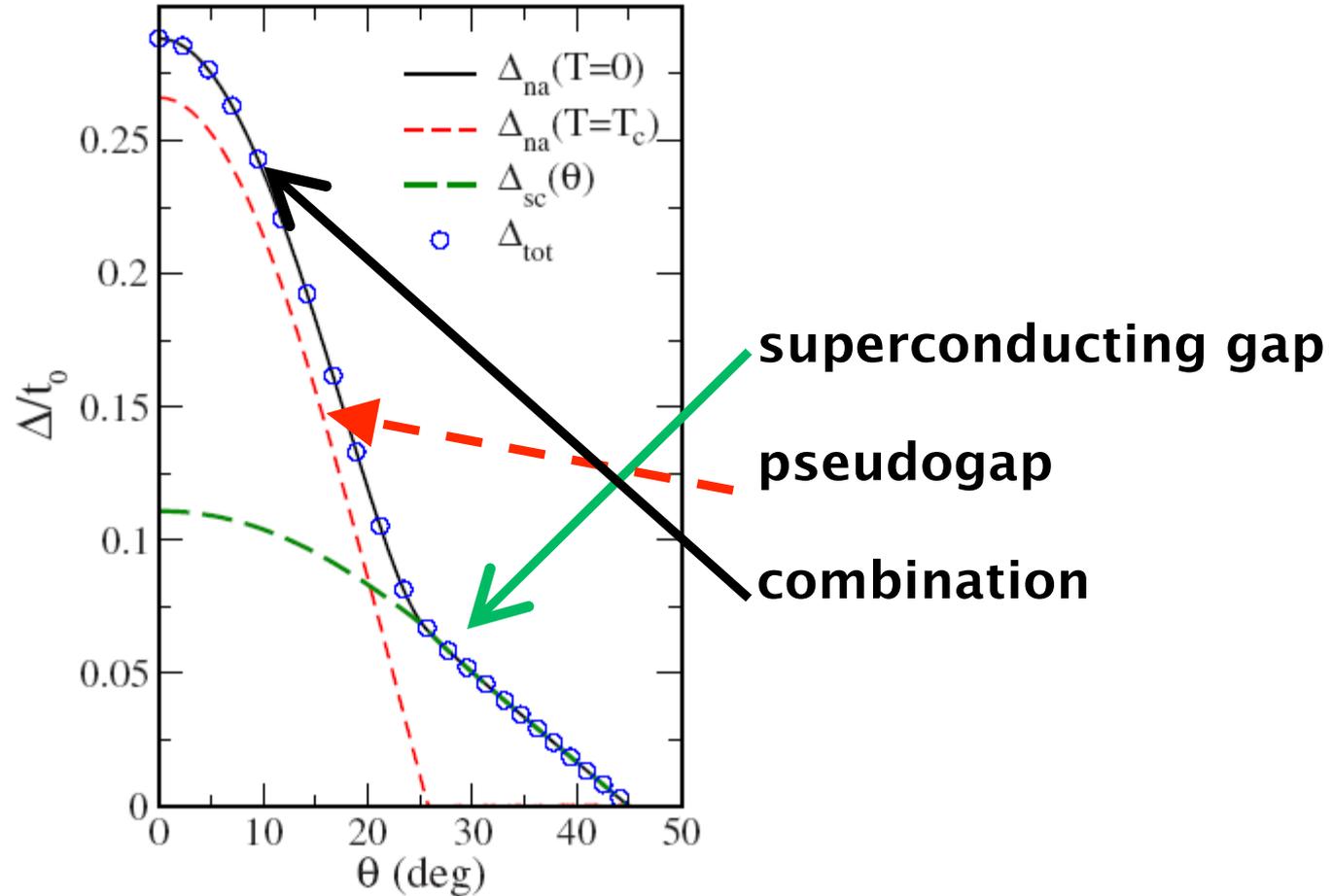
Measure along red contour and front part of luttinger fermi surface ,back has little weight

Kondo et. al. Nature,
457,296 [2009]

ARPES

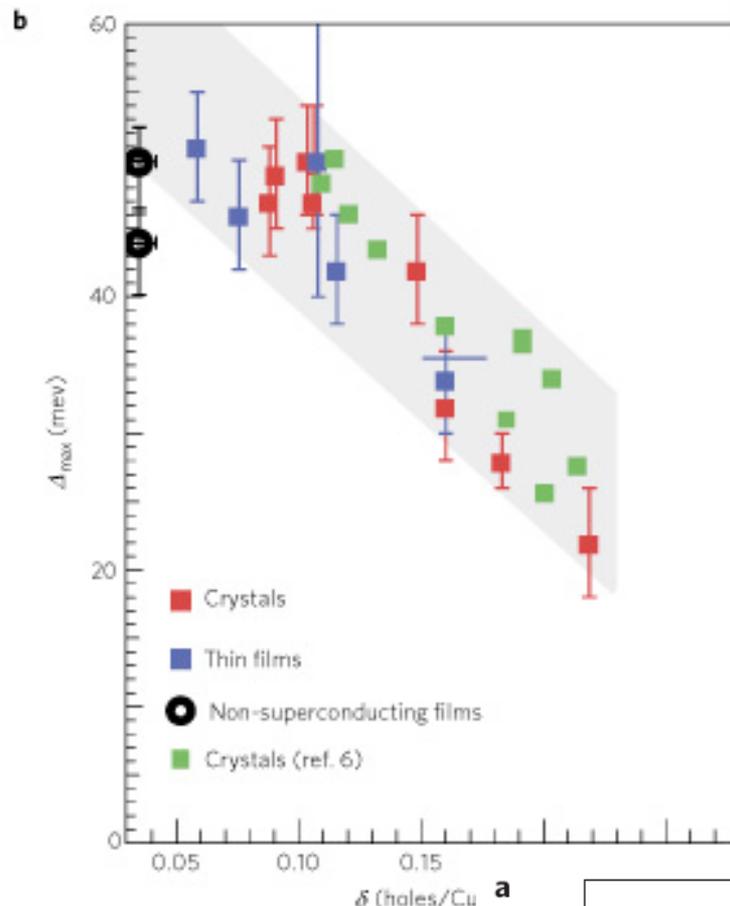
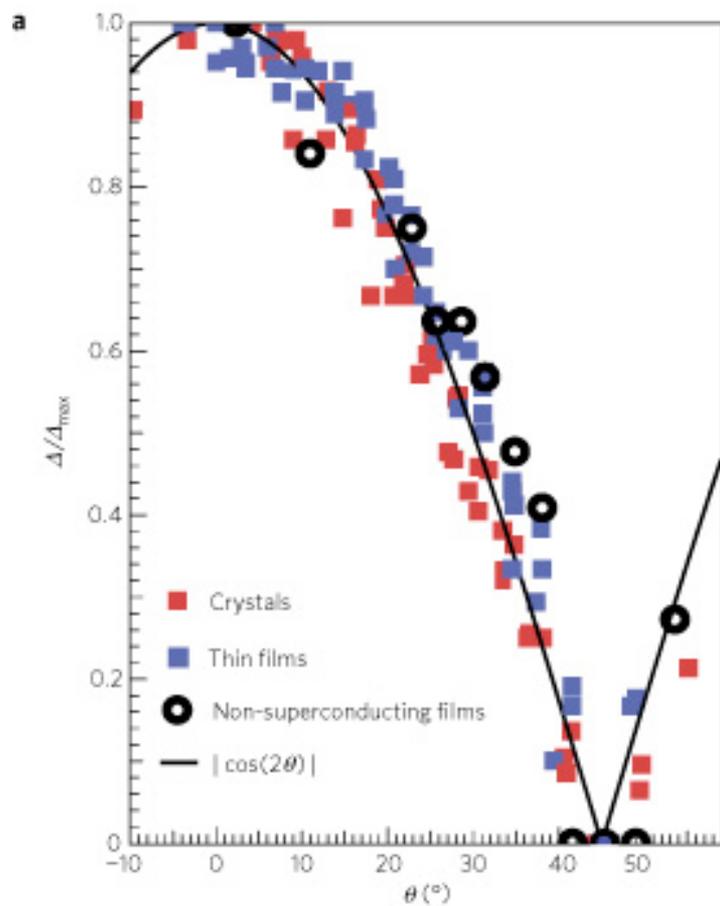


YRZ theory applied to ARPES ,Leblanc et.al. Phys. Rev. B 81, 064504 [2010]

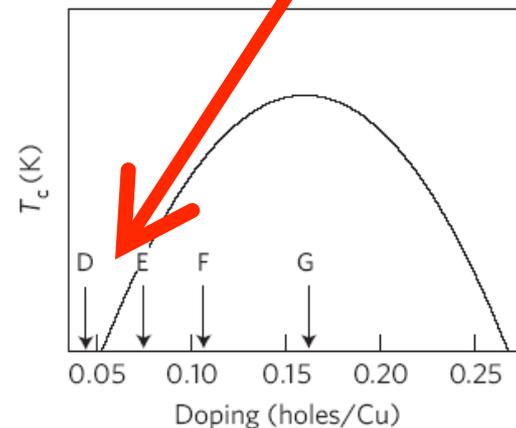


Total is square root of sum of squares of pseudogap [na]and superconducting gap

Chatterjee et.al. Nature Physics 6,99 [2010]

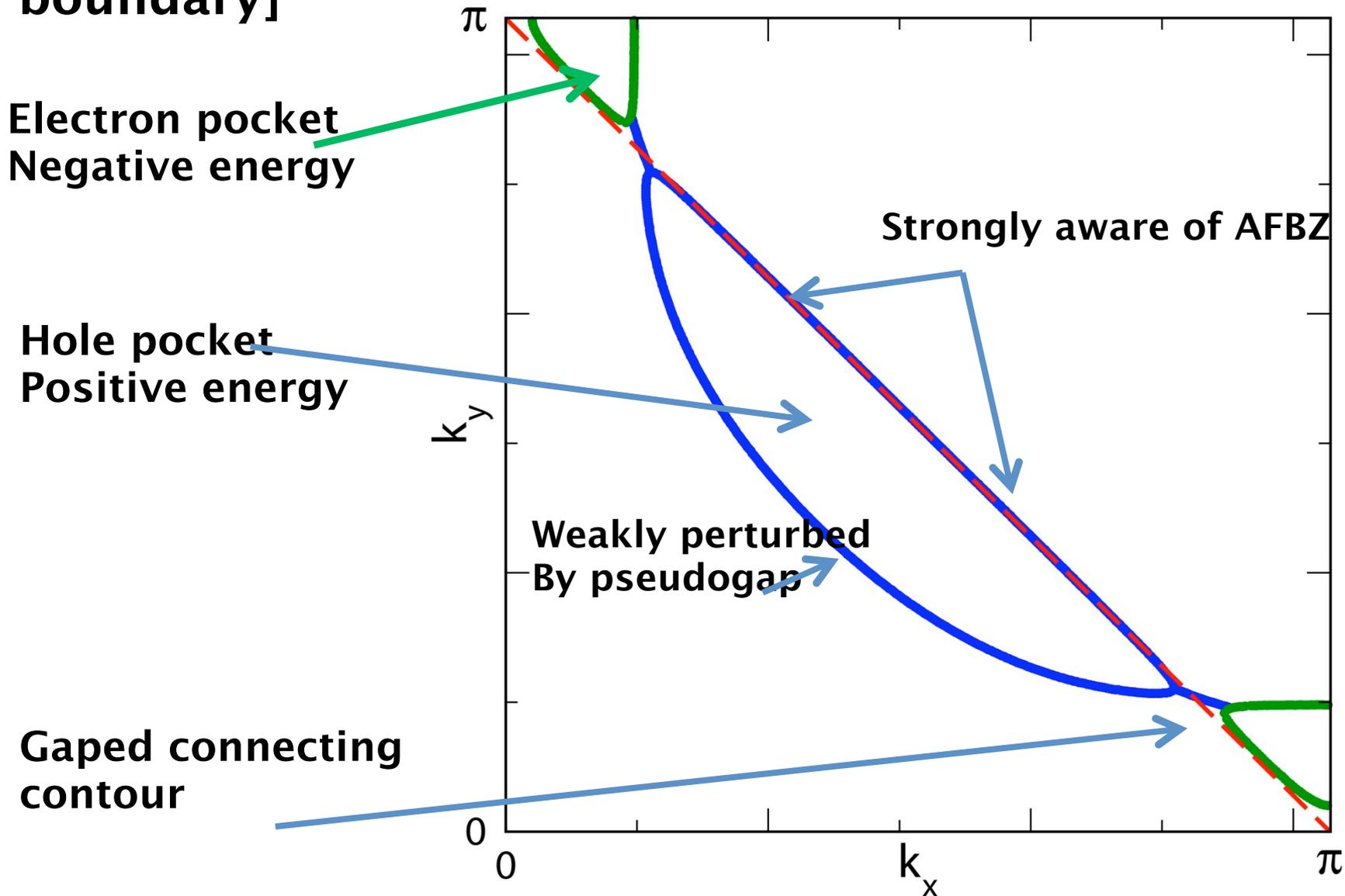


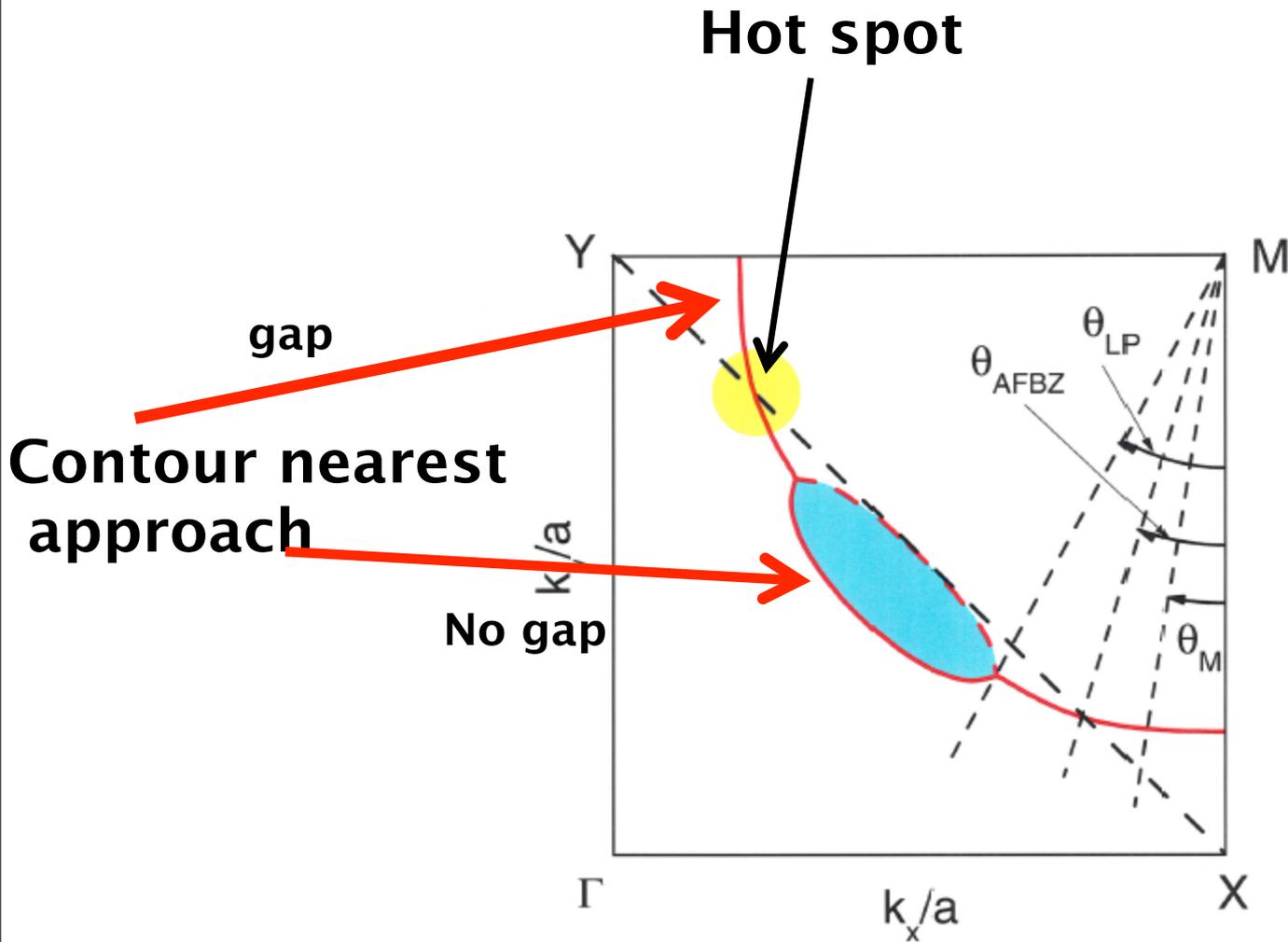
Highly underdoped Bi2212 NO sign of second or arcs around 45 degree from luttingr pockets



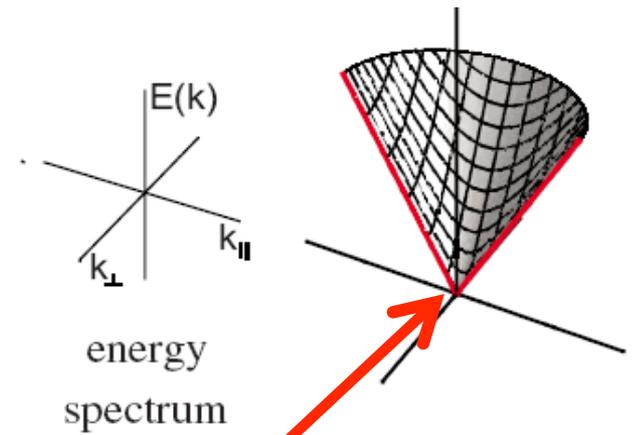
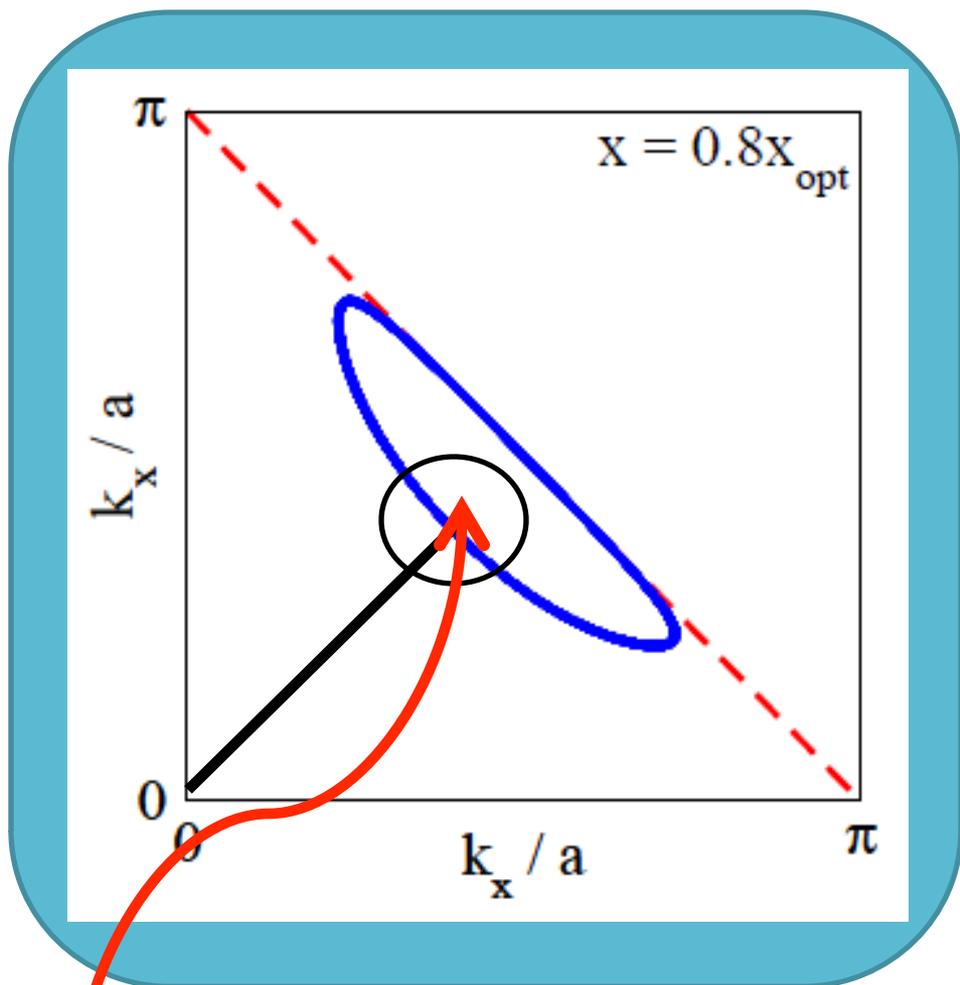
END

For $x=0.19$ can have holes and electron pockets [near B Z boundary]





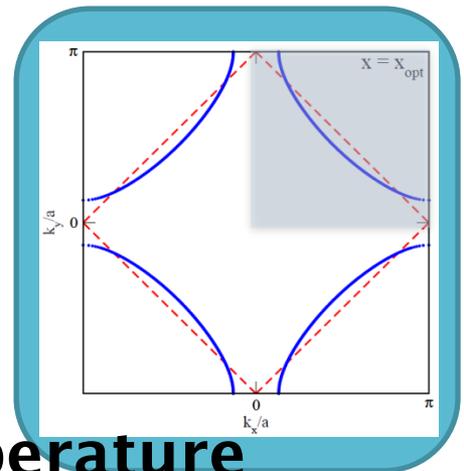
ARPES measures dispersion curves for occupied states. Can “see” if there are states of zero energies [real Fermi surface].



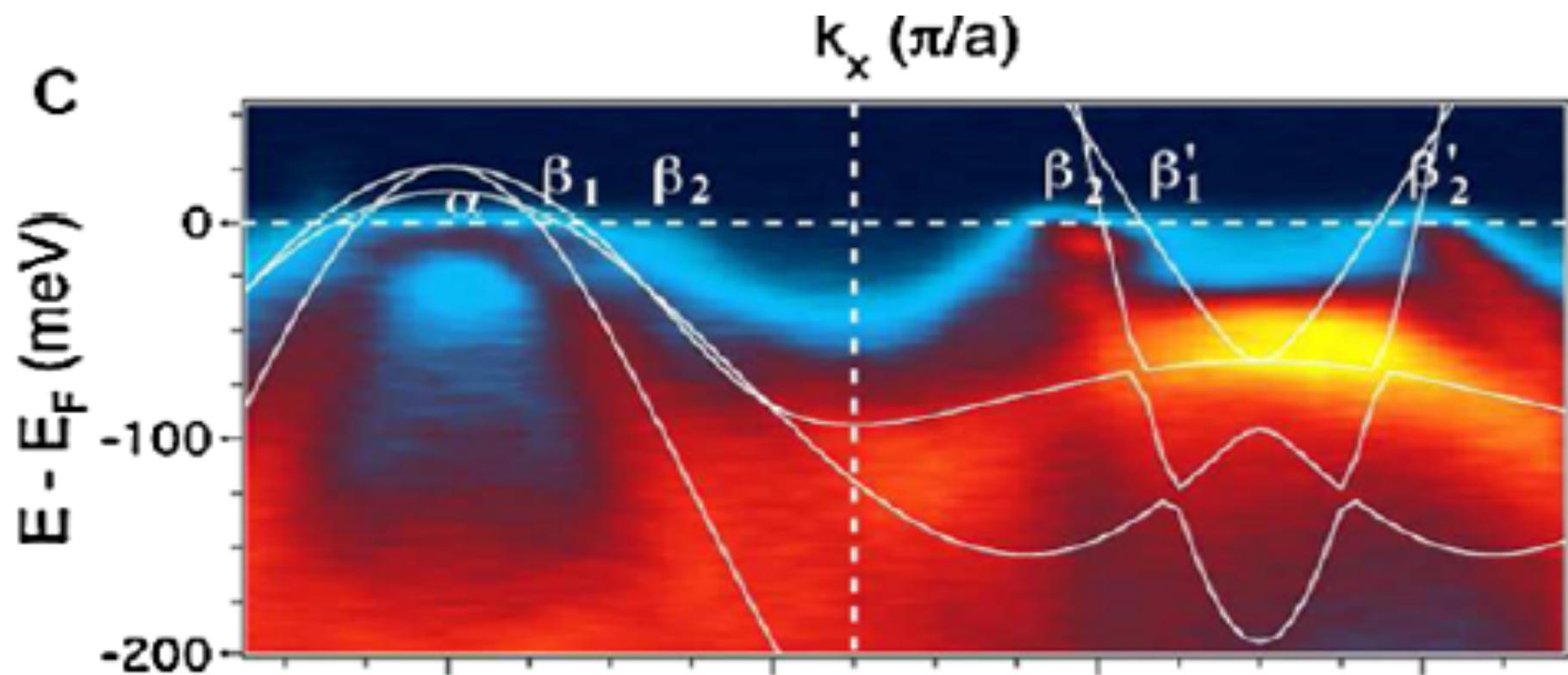
Dirac point

$x = 0.16$

Dirac point is only active spot at low temperature



$x = 0.20$



Discovery of superconductivity 1911



Kamerlingh-Onnes
1911

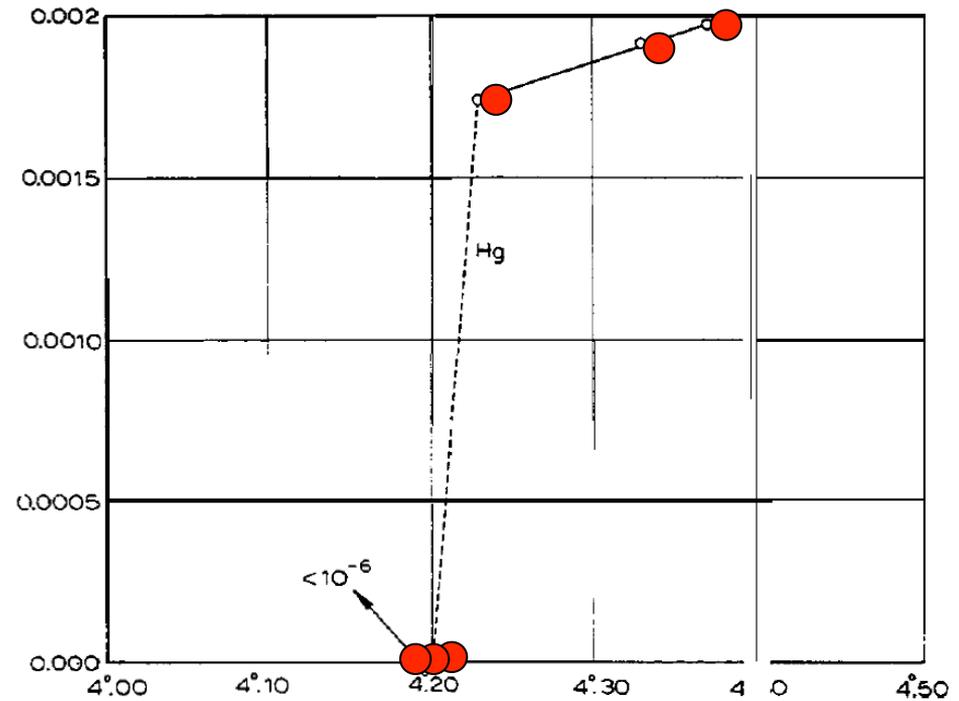
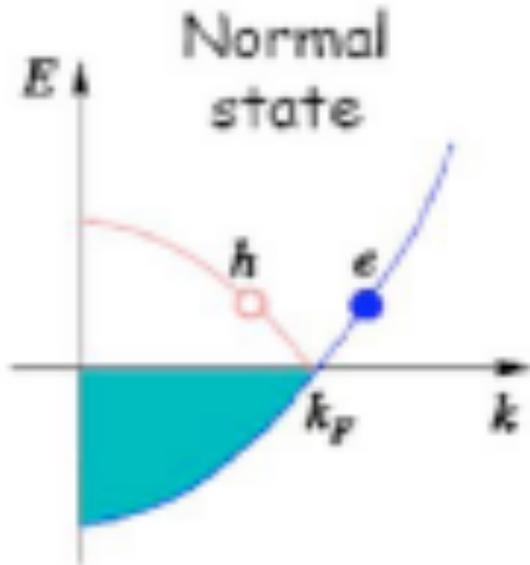


Fig. 17.

Temperature excites electrons out of fermi sea, create particle hole excitations



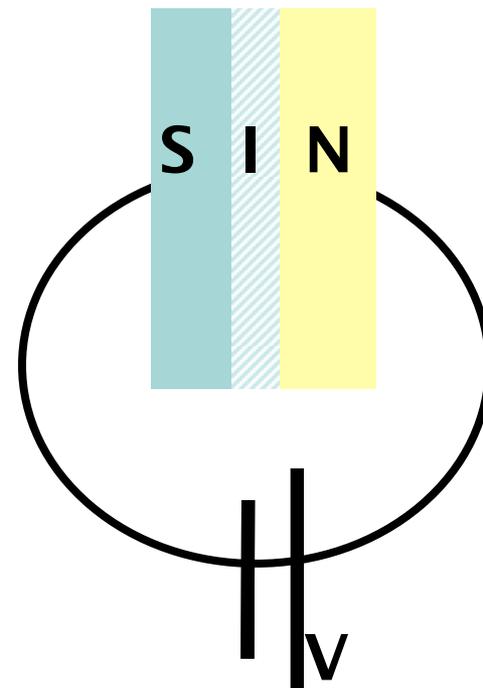
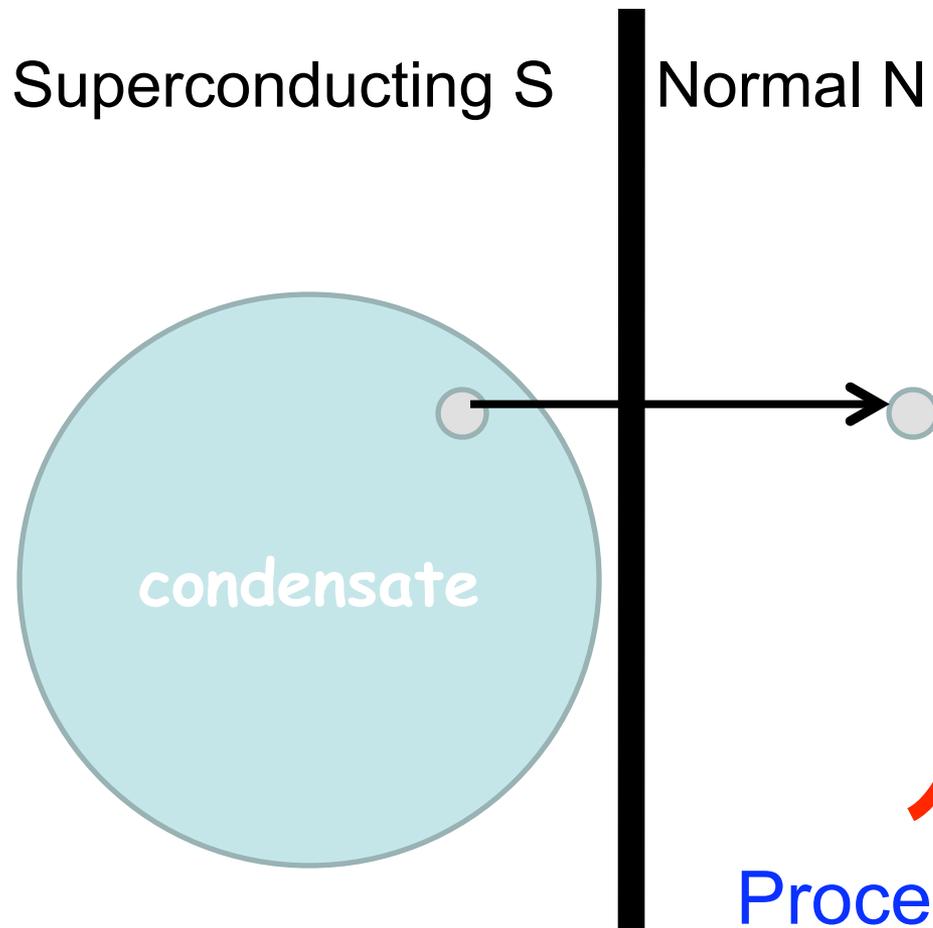
Number is $N[0] * T * T$
Internal energy U change
goes like above and

Specific heat like T

In s-wave superconducting state there is a gap and so
exponential activation

In a d-wave superconductor have distribution of gaps and DOS $N[w]$ is linear
in w so U goes like **T^{**3} and specific heat like T^{**2}**

Compare with tunneling



Process requires only one gap

Hard to miss second gap ,perhaps seen best in c-axis optics
It is there in normal state above T_c

