

## ② Parallel transport in quantum mechanics

### 2.1 Berry phase

$\mathcal{H}(\vec{R})$ , where

$$\vec{R} = (R_1, R_2, \dots, R_N)$$

$$\mathcal{H}(\vec{R}) |n, \vec{R}\rangle = E_n(\vec{R}) |n, \vec{R}\rangle$$

$\{|n, \vec{R}\rangle\}$ : smooth basis

Vary  $\vec{R}$  adiabatically in time:

$$t: 0 \rightarrow T$$

Path  $C$ .

Note:  $C$  can be an open or a closed path.

Initial state:

$$|\psi(0)\rangle = |n, \vec{R}(0)\rangle$$

$$|\psi(T)\rangle = e^{i(\delta_n + \gamma_n)} |\psi(0)\rangle$$

$\delta_n$  = dynamical phase

$\gamma_n$  = Berry phase

$$= i \int_0^T dt \langle n, \vec{R}(t) | \frac{d}{dt} | n, \vec{R}(t) \rangle$$

$$= i \int_C \langle n, \vec{R} | \frac{d}{d\vec{R}} | n, \vec{R} \rangle \cdot d\vec{R}$$

$$\gamma_n \in \mathbb{R}$$

Proof:

$$n, \vec{R} \Rightarrow x$$

$$\left\langle x \left| \frac{dx}{dt} \right. \right\rangle^* =$$

$$= \left\langle \frac{dx}{dt} \mid x \right\rangle$$

$$= \frac{d}{dt} \left( \underbrace{\langle x \mid x \rangle}_{1 \text{ for all } t} \right)$$

$$- \left\langle x \mid \frac{dx}{dt} \right\rangle$$

$$= - \left\langle x \mid \frac{dx}{dt} \right\rangle$$

$\Rightarrow \left\langle x \mid \frac{dx}{dt} \right\rangle$  is purely  
imaginary  $\Rightarrow \delta_m \in \mathbb{R}$

## 2.2 Berry connection

$$\vec{A}_m(\vec{R}) \equiv i \langle m, \vec{R} | \frac{d}{d\vec{R}} | m, \vec{R} \rangle$$

If  $\vec{R} = (R_1, R_2, \dots, R_N)$ ,  
then  $\vec{A}_m$  is a  $N$ -component  
vector.

Then,

$$\gamma_m = \int_C \vec{A}_m(\vec{R}) \cdot d\vec{R}$$

$$e^{i\gamma_m} = e^{i \int_C \vec{A}_m \cdot d\vec{R}}$$

Analogy w/ electromagnetism :  
 Charged particle moving in  
 the presence of a vector  
 potential along a path  $C$ ,  
 its wavefunction acquires  
 a phase

$$e^{i \frac{q}{\hbar} \int_C \vec{A} \cdot d\vec{r}}$$

$\uparrow$  EM vector potential  
 $\rightarrow$  real space

$$e^{i \frac{1}{\hbar} \vec{p} \cdot \vec{r}} = e^{i \frac{1}{\hbar} \int_0^{\vec{r}} \vec{p} \cdot d\vec{r}'}$$

$$\vec{p} \rightarrow \vec{p} + q \vec{A}$$

Extra phase  $e^{\frac{i}{\hbar} q \int_0^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'}$

Analogy:

$$\left\{ \begin{array}{l} \vec{A}_m(\vec{R}) \leftrightarrow \vec{A}(\vec{r}) \\ \vec{R} \leftrightarrow \vec{r} \end{array} \right.$$

Consider a "gauge transformation":

$$\widetilde{|n, \vec{R}\rangle} = e^{i\varphi_n(\vec{R})} |n, \vec{R}\rangle$$

$$\vec{A}_m \widetilde{|n, \vec{R}\rangle} = i \langle n, \vec{R} | \frac{d}{d\vec{R}} \widetilde{|n, \vec{R}\rangle}$$

$$= \vec{A}_m + \frac{d}{d\vec{R}} \varphi_n(\vec{R})$$

Same transformation as  
vector potential in EM.

Consider

$$i\hbar \frac{\partial \psi}{\partial t} = \left[ \frac{1}{2m} (\vec{p} - q\vec{A})^2 + v(\vec{r}) \right] \psi$$

Define  $\tilde{\psi} \equiv \psi e^{i\varphi}$ .

It follows that

$$i\hbar \frac{\partial \tilde{\psi}}{\partial t} = \left[ \frac{1}{2m} (\vec{p} - q\vec{A})^2 + v(\vec{r}) \right] \tilde{\psi}$$

with

$$\vec{A} = \vec{A} + \frac{d\varphi}{d\vec{r}}$$

Change of the Berry  
phase under a gauge  
transformation:

$$\Delta \gamma_m \equiv \int_C \vec{\tilde{A}}_m(\vec{R}) \cdot d\vec{R} - \int_C \vec{A}_m(\vec{R}) \cdot d\vec{R}$$

$$= \int_C \frac{d\varphi_m(\vec{R})}{d\vec{R}} \cdot d\vec{R}$$

$$\vec{\tilde{A}} = \vec{A} + \frac{d\varphi}{d\vec{R}}$$

$$= \varphi_m(\vec{R}(T)) - \varphi_m(\vec{R}(0))$$

For open paths  $[\vec{R}(T) \neq \vec{R}(0)]$

$$\varphi_m(\vec{R}(T)) - \varphi_m(\vec{R}(0))$$

can be anything.

$\Rightarrow \delta_m$  is not gauge-invariant  
for open paths  $\Rightarrow$  not  
physically observable.

For closed paths  $[\vec{R}(T) = \vec{R}(0)]$ ,

$$\varphi_m(\vec{R}(T)) = \varphi_m(\vec{R}(0)) \\ \text{mod } 2\pi$$

$$e^{i 2\pi} = 1$$

N.B: we require that

$\} |n, \vec{R}\rangle \}$  be single  
-valued.

$$\text{Then } e^{i\Delta\delta_m} = e^{i2\pi n} \uparrow \in \mathbb{Z}$$

$$= 1$$

$\Rightarrow e^{i\delta_m}$  is gauge-invariant  
for closed loops  $\Rightarrow$  potentially  
observable.

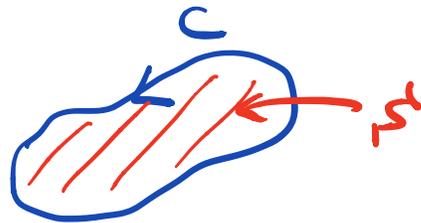
Analogy in EM:

$\int_C \vec{A} \cdot d\vec{r}$  gauge-invariant  
if  $C$  is a closed loop.

Indeed,

Stokes thm

$$\oint_C \vec{A} \cdot d\vec{r} = \int_S (\vec{\nabla} \times \vec{A}) \cdot d\vec{r}$$



magnetic  
field  $\vec{B}$

$\vec{B}$  is gauge-invariant

because  $\vec{\nabla} \times \vec{A}$

$$= \vec{\nabla} \times \left( \vec{A} + \frac{d\psi}{dr} \right)$$

There's an analogue of  
magnetic field for the Berry  
phase.

## 2.3 Berry curvature

$$\vec{R} = (R_1, R_2, \dots, R_N)$$

$N$ -dimensional parameter space.

Consider a 2D surface  $S^1$ , which is bound by the path  $C$ .

Parametrise the surface with 2 coordinates  $(\underline{x}_i, \underline{x}_j)$ .

ex: if  $S^1$  is a region of the surface of a sphere,

$$(\underline{x}_i, \underline{x}_j) = (\theta, \phi)$$

Then, Stokes' theorem gives

$$\begin{aligned} \gamma_m(c) &= \oint_c \vec{A}_m(\vec{R}) \cdot d\vec{R} \\ &= \int_{\mathcal{S}} B_{ij,m}(\vec{X}) dX_i dX_j \end{aligned}$$

$\uparrow$   
 $(X_i, X_j)$

if the surface has no holes.

where

$$B_{ij,m}(\vec{X}) \equiv \left( \frac{\partial A_{j,m}}{\partial X_i} - \frac{\partial A_{i,m}}{\partial X_j} \right)$$

"Berry curvature" tensor

} real  
} anti-symmetric under  $i \leftrightarrow j$

$B_{ij}$  is gauge-invariant.

$$\tilde{B}_{ij} = B_{ij}$$

Question: we showed that

$$\left. \begin{aligned} \tilde{\gamma}_m &= \gamma_m + 2\pi \textcircled{n} \in \mathbb{Z} \\ \tilde{B}_{ij} &= B_{ij} \end{aligned} \right\} \text{how}$$

to reconcile this?

Another (popular) way of

writing  $B_{ij, n}$ :

$$B_{ij, n}(\vec{x}) = \textcircled{i} \left[ \frac{\partial \langle n, \vec{x} |}{\partial x_i} \frac{\partial |n, \vec{x} \rangle}{\partial x_j} - (i \leftrightarrow j) \right]$$

complex #

This allows to prove that

$$\sum_n B_{n,ij} = 0$$

$$\Rightarrow \sum_n \gamma_n = 0 \pmod{2\pi} \leftarrow$$

$\Rightarrow$  need at least 2  
-dimensional Hilbert  
space in order to have  
a nonzero Berry phase.

Proof of  $\sum_n B_{n,ij} = 0$ :

$$B_{m,ij} = i \sum_m \left[ \frac{\partial \langle m, \vec{x} |}{\partial x_i} |m, \vec{x}\rangle \right]$$

$$\sum_m |m, \vec{x}\rangle \langle m, \vec{x}| = \mathbb{1}$$

$$\left[ \langle m, \vec{x} | \frac{\partial}{\partial x_j} |m, \vec{x}\rangle - (i \leftrightarrow j) \right]$$

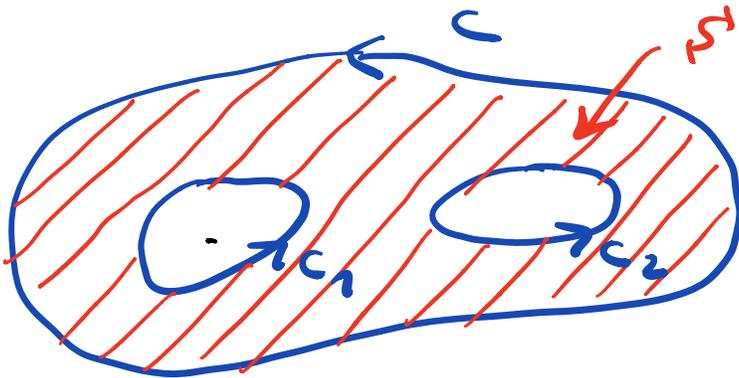
$$= i \sum_m \left[ - \langle m, \vec{x} | \frac{\partial}{\partial x_i} |m, \vec{x}\rangle + \langle m, \vec{x} | \frac{\partial}{\partial x_j} |m, \vec{x}\rangle \right]$$

$$\left[ \langle m, \vec{x} | \frac{\partial}{\partial x_j} |m, \vec{x}\rangle + \langle m, \vec{x} | \frac{\partial}{\partial x_i} |m, \vec{x}\rangle \right]$$

Now it's clear that

$$\sum_m B_{ij,m} = 0.$$

What if the surface  $S$  has holes in it?



In this case,

$$\gamma_m(c) = \int_S B_{ij,m}(\vec{x}) d\bar{x}_1 d\bar{x}_2 + \sum_k N_k(c) \gamma_m(c_k)$$

$\uparrow$   
hole index

where

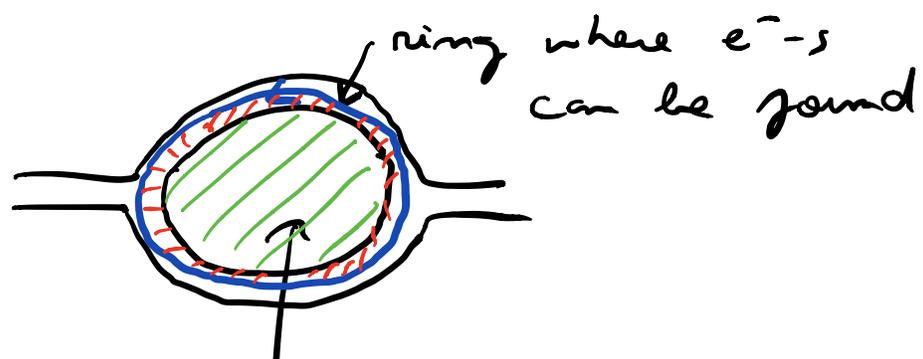
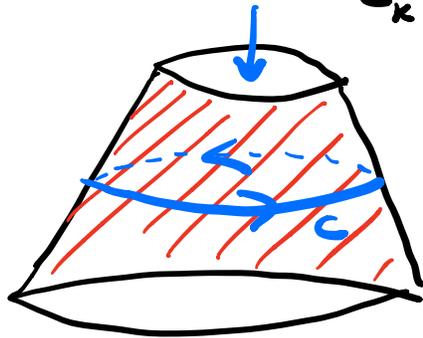
$N_k(c) =$  winding # of  $c$   
around hole  $k$

$=$  # of ↻ turns

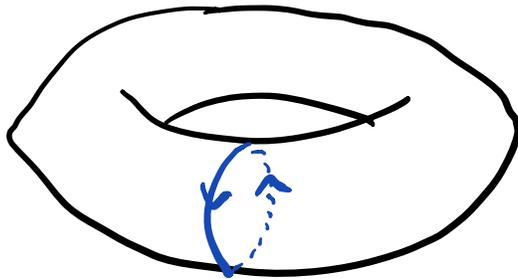
$-$  # of ↺ turns

that  $c$  makes around  
hole  $k$ .

$$\gamma_m(c_k) \equiv \oint_{c_k} \vec{A}_m \cdot d\vec{R}$$



↓  
hole.



The preceding expressions become simpler when  $\vec{R} = (R_1, R_2, R_3)$ .

Then,

$$\gamma_m(c) = \int_{S^1} \underbrace{\vec{B}_m(\vec{X})}_{\text{red bracket}} \cdot d\vec{S} + \sum_{\kappa} N_{\kappa}(c) \gamma_m(c_{\kappa})$$

where

$$\vec{B}_m(\vec{R}) = \frac{\partial}{\partial \vec{R}} \times \vec{A}_m(\vec{R})$$

Berry curvature vector.

Computational issue with the

Berry phase:

$$\frac{\partial |m, \vec{R}\rangle}{\partial R_1} = \frac{|m, \vec{R} + dR \hat{e}_1\rangle - |m, \vec{R}\rangle}{dR}$$

A computer can give very different phase factors

for  $|n, \vec{R}\rangle$  and  $|n, \vec{R} + d\vec{R}\hat{e}_1\rangle$   
 and that's bad.

Solutions:

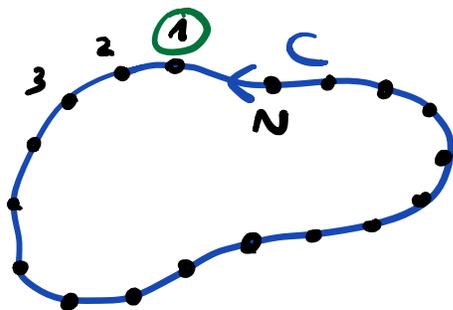
(1) In 3D parameter space:

$$\vec{B}_n(\vec{R}) =$$

$$= i \sum_{\substack{m \\ (m \neq n)}} \frac{\langle n, \vec{R} | \frac{\partial \mathcal{H}}{\partial \vec{R}} | m, \vec{R} \rangle \times (n \leftrightarrow m)}{[E_m(\vec{R}) - E_n(\vec{R})]^2}$$

vector product  
↓  
x

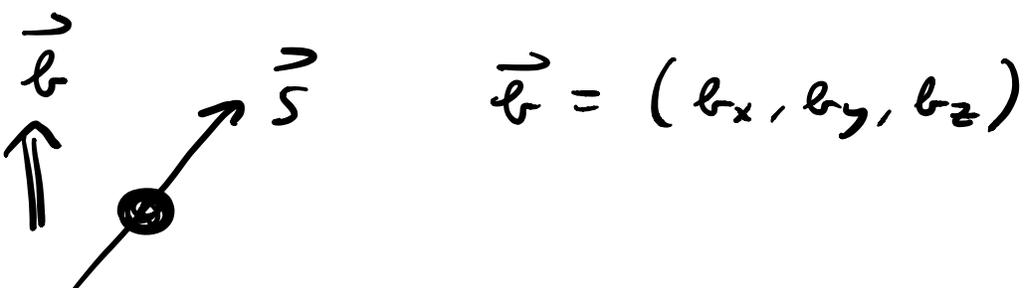
(2) Valid generally



$$\gamma_m = -\text{Im} \ln \left[ \langle m, \vec{R}_1 | \underbrace{m, \vec{R}_2} \rangle \underbrace{\langle m, \vec{R}_2 | m, \vec{R}_3} \rangle \dots \langle m, \vec{R}_N | m, \vec{R}_1 \rangle \right]$$

## 2.4 Example

Spin  $S$  particle in a magnetic field  $\vec{b}$ .



$$\vec{b} = (b_x, b_y, b_z)$$

$$\mathcal{H}(\vec{b}) = - \vec{b} \cdot \vec{S}$$

spin operator

(absorbed  $g\mu_B$  in  $\vec{b}$ )

$\vec{b}$  plays the role of  $\vec{R}$ .

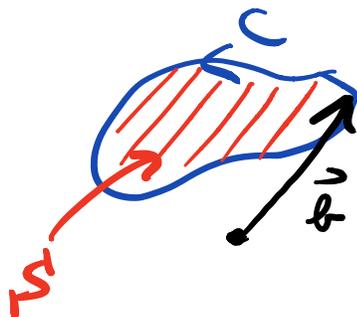
Eigenvalues of  $\mathcal{H}$ :  $E_m(\vec{b})$

Eigenvectors of  $\mathcal{H}$ :  $|m, \vec{b}\rangle$

$$m = -S, -S+1, \dots, S-1, S$$

$2S+1$  values

Suppose that  $\vec{b}$  is varied slowly along a closed path  $C$ :



$$|\psi(0)\rangle = | \underline{n}, \vec{b}(0) \rangle$$

$$\underline{\underline{|\psi(T)\rangle}} = e^{i(\delta_n + \gamma_n)} | \underline{n}, \vec{b}(T) \rangle$$

$$\gamma_n = ?$$

$$\gamma_n = \int_{S^1} \vec{B}_n \cdot d\vec{s}$$

A calculation gives :

$$\boxed{\vec{B}_n = -n \frac{\vec{b}}{b^3}} \leftarrow$$

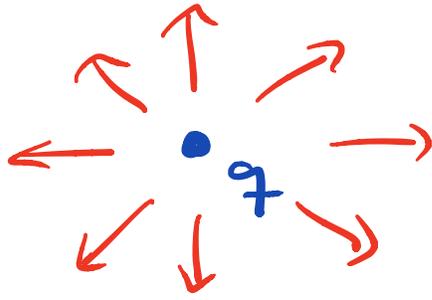
Magnetic field created by

a magnetic monopole

of charge  $n$ , in parameter

space. Monopole is located

at  $\vec{b} = 0$ .



$$\frac{q}{r^2} \hat{r}$$
$$= \frac{q}{r^3} \vec{r}$$

$\vec{E} = 0$  : degeneracy of  $\chi$ .

