## **Mott Physics in Superconductors**

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#### How to make a metal









Courtesy, S. Julian



## Not always

#### NiO, Boer and Verway



Peierls, 1937





#### « Conventional » Mott transition



Understood from Hubbard model and dynamical mean field theory

Figure: McWhan, PRB 1970; Limelette, Science 2003



## Hubbard model



1931-1980

$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} \left( c_{i\sigma}^{\dagger} c_{j\sigma} + c_{j\sigma}^{\dagger} c_{i\sigma} \right) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$

Effective model, Heisenberg: 
$$J = 4t^2 / U$$



#### Bare Mott critical point in organics





F. Kagawa, K. Miyagawa, + K. Kanoda PRB **69** (2004) +Nature **436** (2005)

Phase diagram (X=Cu[N(CN)<sub>2</sub>]Cl) S. Lefebvre et al. PRL 85, 5420 (2000), P. Limelette, et al. PRL 91 (2003)

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## Perspective





## Normal state of high-temperature superconductors



What is under the dome? Mott Physics away from n = 1

- Competing order
  - Current loops: Varma, PRB
    81, 064515 (2010)
  - Stripes or nematic: Kivelson et al. RMP 75 1201(2003); J.C.Davis
  - d-density wave : Chakravarty, Nayak, Phys. Rev. B 63, 094503 (2001); Affleck et al. flux phase
  - SDW: Sachdev PRB 80, 155129 (2009) ...
- Or Mott Physics?
  - RVB: P.A. Lee Rep. Prog.
    Phys. 71, 012501 (2008)



## Two views (caricature)



Why  $T_c$  decreases? What is the origin of  $T^*$ ? What is the strange metal? Broken symmetry or not. What lies beneath the dome. Mott Physics away from n = 1



#### An alternate view (a bit of both)



PRL, **104**, 226402 (2010) and Phys. Rev. B. **84**, 075161 (2011)

S. Sachdev, Physica C **470**, S4 (2010) Matthias Punk + Subir Sachdev (unpublished) T. C. Ribeiro and X.-G. Wen, PRL (2005) and Phys. Rev. B 74, 155113 (2006)

## Outline

- Method
- Normal state
  - First order transition
  - Widom line and pseudogap
- Superconducting state
  - Glue



## Method



## Mott transition and Dynamical Mean-Field Theory. The beginnings in d = infinity

- Compute scattering rate (self-energy) of impurity problem.
- Use that self-energy (ω dependent) for lattice.
- Project lattice on single-site and adjust bath so that single-site DOS obtained both ways be equal.



W. Metzner and D. Vollhardt, PRL (1989)A. Georges and G. Kotliar, PRB (1992)M. Jarrell PRB (1992)

DMFT, (d = 3)



#### 2d Hubbard: Quantum cluster method





#### Another way to look at this (Potthoff)

$$\Omega_{\mathbf{t}}[G] = \Phi[G] - Tr[(G_{0\mathbf{t}}^{-1} - G^{-1})G] + Tr\ln(-G)$$

$$\Omega_{t}[\Sigma] = \begin{bmatrix} \frac{\delta \Phi[G]}{\delta G} = \Sigma \\ \Phi[G] - Tr[\Sigma G] - Tr \ln(-G_{0t}^{-1} + \Sigma) \end{bmatrix}$$
Still stationary (chain rule)  

$$\Omega_{t}[\Sigma] = F[\Sigma] - Tr \ln(-G_{0t}^{-1} + \Sigma)$$

M. Potthoff, Eur. Phys. J. B 32, 429 (2003).



## SFT : Self-energy Functional Theory

With  $F[\Sigma]$  Legendre transform of Luttinger-Ward funct.

$$\Omega_{\mathbf{t}}[\Sigma] = F[\Sigma] + \operatorname{Tr}\ln(-(G_0^{-1} - \Sigma)^{-1})$$

is stationary with respect to  $\Sigma$  and equal to grand potential there.

$$\Omega_{\mathbf{t}}[\Sigma] = \Omega_{\mathbf{t}'}[\Sigma] - \mathrm{Tr}\ln(-(G_0^{\prime - 1} - \Sigma)^{-1}) + \mathrm{Tr}\ln(-(G_0^{-1} - \Sigma)^{-1}).$$

Vary with respect to parameters of the cluster (including Weiss fields)

Variation of the self-energy, through parameters in  $H_0(\mathbf{t'})$ 

M. Potthoff, Eur. Phys. J. B 32, 429 (2003).



# Understanding finite temperature phase from a *mean-field theory* down to T = 0

- Fermi liquid
  - Start from Fermi sea
  - Self-energy analytical
  - One to one correspondence of elementary excitations
  - Landau parameters

- Mott insulator
  - Hubbard model
  - Atomic limit
  - Self-energy singular
  - DMFT
  - How many sites in the cluster determines how low in temperature your description of the normal state is valid.



### **C-DMFT**

$$Z = \int \mathcal{D}[\psi^{\dagger}, \psi] \,\mathrm{e}^{-S_{c} - \int_{0}^{\beta} d\tau \int_{0}^{\beta} d\tau' \sum_{\mathbf{K}} \psi_{\mathbf{K}}^{\dagger}(\tau) \Delta(\tau, \tau') \psi_{\mathbf{K}}(\tau')}_{\mathbf{K}}$$



Mean-field is not a trivial problem! Many impurity solvers.

EFFECTIVE LOCAL IMPURITY PROBLEM



SELF-CONSISTENCY CONDITION

Here: continuous time QMC

P. Werner, PRL 2006 P. Werner, PRB 2007 K. Haule, PRB 2007

$$\Delta(i\omega_n) = i\omega_n + \mu - \Sigma_c(i\omega_n) \\ - \left[\sum_{\tilde{k}} \frac{1}{i\omega_n + \mu - t_c(\tilde{k}) - \Sigma_c(i\omega_n)}\right]^{-1}$$

#### Solving cluster in a bath problem

- Continuous-time Quantum Monte Carlo calculations to sum all diagrams generated from expansion in powers of hybridization.
  - P. Werner, A. Comanac, L. de' Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. 97, 076405 (2006).
  - K. Haule, Phys. Rev. B 75, 155113 (2007).



#### Mott insulator at finite T



FIG. 5. The temperature  $T^*$  at which the gap develops vs U for  $4 \times 4$ ,  $6 \times 6$ , and  $8 \times 8$  lattices.

M. Vekic and S.R. White, PRB 47, 1160 (1993)



## Interaction-induced Mott transition, n = 1

Method	U <sub>c1</sub>	$\mathbf{U_c}$	$U_{c2}$	Ref.
VCA+ED 2 x 2 + 8b	5.25	5.5	6.37	Balzer et al. EPL (2009)
CDMFT+CTQMC+H 2 x 2	5.3		5.7	Park et al. PRL (2008)
DCA+CTQMC+H 8	5.7		6.4	Gull et al. cond-mat (2009)
DCA+CTQMC+H 4	!	~4.2	!	Gull et al. EPL (2008)
Dual fermions	!	~6.5	!	Hafermann et al. (2008)
CDMFT+ED 2 x 2 + 8b 15 parameters	?	~5.6	?	Liebsch, Merino (2008)
CDMFT+ED 2,3,4		~4		Zhang et al. PRB (2007) (3d also)
QMC 6 x 6		6		Vekic et al. (1993)



## Cuprates as doped Mott insulators



## Spectral weight transfer





## **Experiment: X-Ray absorption**



Chen et al. PRL 66, 104 (1991)



Peets et al. PRL **103**, (2009), Phillips, Jarrell PRL , vol. **105**, 199701 (2010)

Number of low energy states above  $\omega = 0$  scales as 2x +Not as 1+x as in Fermi liquid

Meinders et al. PRB 48, 3916 (1993)





Giovanni Sordi

G. Sordi, K. Haule, A.-M.S.T PRL, **104**, 226402 (2010) and Phys. Rev. B. **84**, 075161 (2011)

## Doping-induced Mott transition (t'=0)





μNot just adding new piece:Kristjan HauleLesson from DMFT, first order transition + critical<br/>point governs phase diagramImage: Image: Image

## Doping driven Mott transition, t' = 0

Method	ť'	Orbital selective	U	Critical point	Ref.	
D+C+H 8			7		Werner et al. cond-mat (2009)	
D+C+H 4					Gull et al. EPL (2008)	
	-0.3		10,6		Liebsch, Merino (2008)	
					Ferrero et al. PRB (2009)	
D+C+H 8			7		Gull, et al. PRB (2009)	
0.08 0.06 0.04 <b>"FL"</b> 0.02 0.1	herence scale	nt FL		$0.08$ $N_{c} =$ $0.06$ $N_{c} =$ 0.04 $-0.02$ $NFL0$ $0.95$ $-$	16, U=1.5, W=2 $\Theta \oplus T^{*}$ $\Pi \oplus T_{X}$ MFL MFL FL 0.9 0.85 0.8 0.75 0.7	
K. Haule, G. Kotliar, PRB (2008)			3)	Vildhyadhiraja, PRL (2009)		

3

#### Doping driven Mott transition



Gull, Werner, Millis, (2009)



#### First order transition at finite doping



 $n(\mu)$  for several temperatures: T/t = 1/10, 1/25, 1/50



## The critical point





#### Normal state phase diagram



G. Sordi, K. Haule, A.-M.S.T PRL, **104**, 226402 (2010)



#### Link to Mott transition up to optimal doping

Doping dependence of critical point as a function of U





#### Characterisation of the phases (U=6.2t)



 $U > U_{\rm MIT}$ :

- 1. Mott insulator (MI)
- 2. Underdoped phase (UD):  $\delta < \delta_{\rm c}$
- 3. Overdoped phase (OD):  $\delta > \delta_{\rm c}$
- 4. Coexistence/forbidden region

Here "optimal doping"  $\delta_{\rm c}=$  doping at which the 1st order transition occurs

How does the UD phase differ from the OD phase?





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Patrick Sémon



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## Pseudogap and the Widom line



#### The Widom line



#### Xu et al. PNAS, **102**, 46 (2005) Simeoni et al., Nature Physics **6**, 503 (2010)



#### The Widom line





#### Rapid change also in dynamical quantities




















### T dependence of the DOS









## **Tunneling DOS**



Khosaka et al. Science 315, 1380 (2007);







### Spin susceptibility



Underdoped Hg1223 Julien et al. PRL **76**, 4238 (1996)







### Plaquette eigenstates









### Local moment and Mott transition





### Local moment and Mott transition





### Local singlet and pseudogap transition





### Local singlet and pseudogap transition





## Another property of the UD phase



### Underdoped metal very sensitive to anisotropy





#### Okamoto, Sénéchal, Civelli, AMST Phys. Rev. B **82**, 180511R 2010





Satoshi Okamoto







D. Fournier et al. Nature Physics (Marcello Civelli

# Superconductivity

# Phase diagram Exact diagonalization as impurity solver (T=0).



### Dome vs Mott (CDMFT)



### Kancharla, Kyung, Civelli, Sénéchal, Kotliar AMST Phys. Rev. B (2008)



### CDMFT global phase diagram



Kancharla, Kyung, Civelli, Sénéchal, Kotliar AMST Phys. Rev. B (2008)



#### Armitage, Fournier, Greene, RMP (2009)









### Consistent with following experiment

H. Mukuda, Y. Yamaguchi, S. Shimizu, ... A. Iyo JPSJ 77, 124706 (2008)



### Magnetic phase diagram of YBCO



Haug, ... Keimer, New J. Phys. 12, 105006 (2010)





### Theoretical phase diagram BEDT

 $X = Cu_2(CN)_3 (t' \sim t)$ 





Phys. Rev. Lett. 95, 177001(2005) Y. Shimizu, et al. Phys. Rev. Lett. 91, (2003)





### Im $\Sigma_{an}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)



### The glue



### The glue and neutrons



FIG. 3 (color online). **Q**-integrated dynamic structure factor  $S(\omega)$  which is derived from the wide-*H* integrated profiles for LBCO 1/8 (squares), LSCO x = 0.25 (diamonds; filled for  $E_i = 140 \text{ meV}$ , open for  $E_i = 80 \text{ meV}$ ), and x = 0.30 (filled circles) plotted over  $S(\omega)$  for LBCO 1/8 (open circles) from [2]. The solid lines following data of LSCO x = 0.25 and 0.30 are guides to the eyes.

#### Wakimoto ... Birgeneau PRL (2007); PRL (2004)



### Main collaborators



Giovanni Sordi



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Satoshi Okamoto



# d = 2 precursors, e-doped



$$\xi^{\star} = 2.6(2)\xi_{\rm th}$$

Vilk, A.-M.S.T (1997)

Kyung, Hankevych, A.-M.S.T., PRL, sept. 2004

Semi-quantitative fits of both ARPES and neutron



# **TPSC:** general ideas

- General philosophy
  - Drop diagrams
  - Impose constraints and sum rules
    - Conservation laws
    - Pauli principle (  $\langle n_{\sigma}^2 \rangle = \langle n_{\sigma} \rangle$ )
    - Local moment and local density sum-rules
- Get for free:
  - Mermin-Wagner theorem
  - Kanamori-Brückner screening
  - Consistency between one- and two-particle  $\Sigma G =$

 $U < n_{\sigma} n_{-\sigma} >$ Vilk, AMT J. Phys. I France, 7, 1309 (1997); Theoretical methods for strongly correlated electrons also (Mahan, 3<sup>rd</sup>)  $\bigcup$  Stherebrooke

# Resistivity (TPSC)









**Dominic Bergeron** 



## Thermoelectric power





#### Louis-François Arsenault



Sriram Shastry



Patrick Sémon



### Heterostructures





#### Maxime Charlebois



Syed Hassan



### David Sénéchal



Patrick Fournier



Maxime Dion



Simon Verret



## **Retardation effects**





David Sénéchal





### André-Marie Tremblay





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## Conclusions

- Tools for Hubbard model, high Tc.
- The influence of Mott Physics extends way beyond half-filling
  - Pseudogap as a phase
  - Effects of critical point at high temperature (Widom line)
  - Superconductivity
    - Dome
    - Retardation effects in pairing come from spin fluctuations.





