

# Insulators, metals, pseudogaps and high-temperature superconductors

A.-M. Tremblay

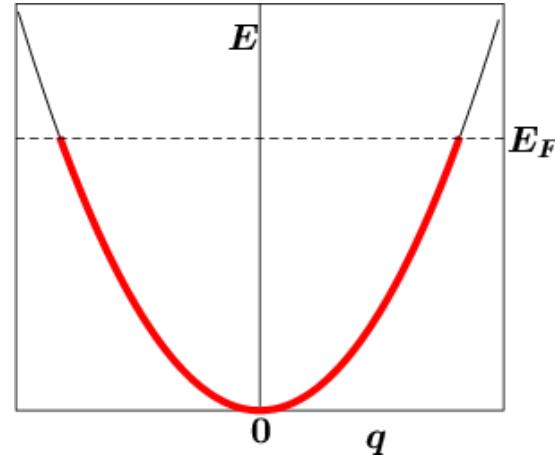
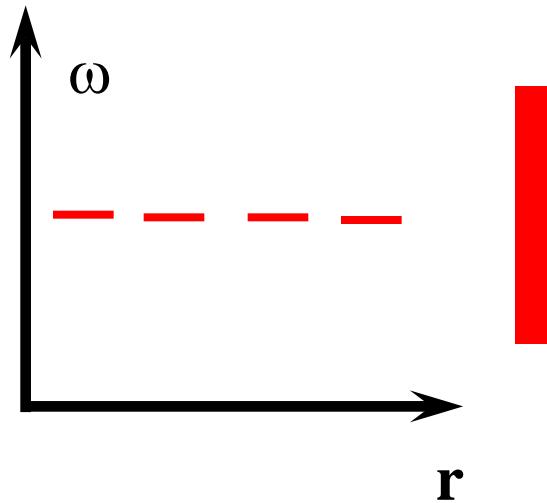
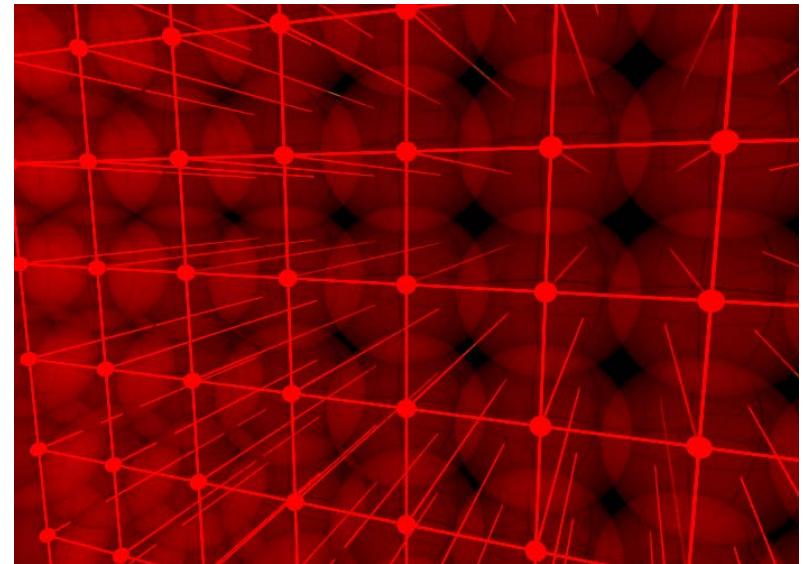
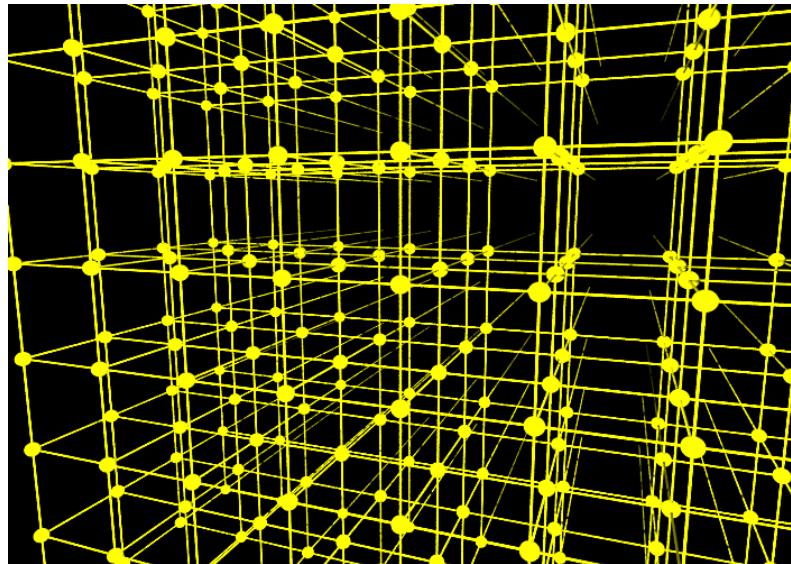
G. Sordi, K. Haule, D. Sénéchal,  
P. Sémond, B. Kyung, G. Kotliar



Rutgers, 24 January, 2012



# How to make a metal



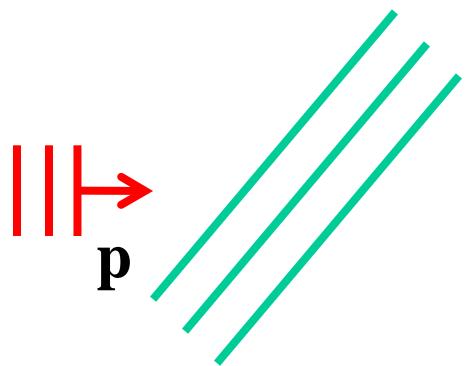
Courtesy, S. Julian



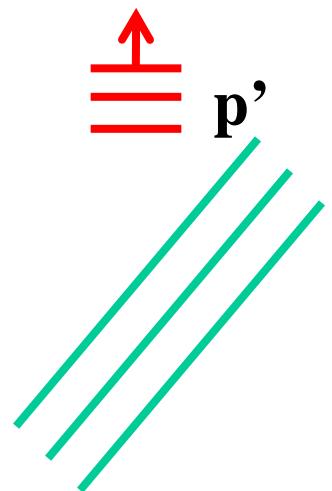
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# Superconductivity

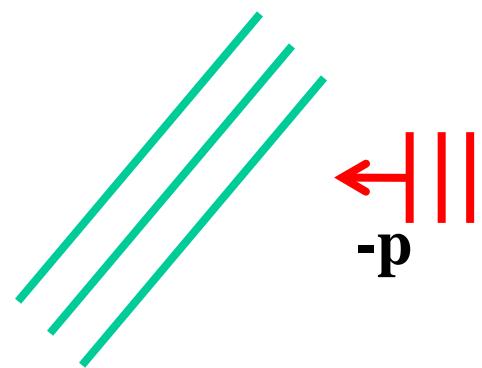
# Attraction mechanism in the metallic state



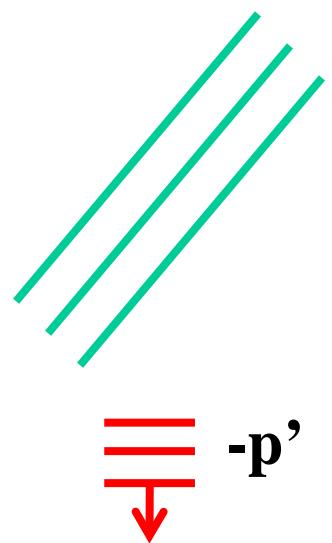
# Attraction mechanism in the metallic state



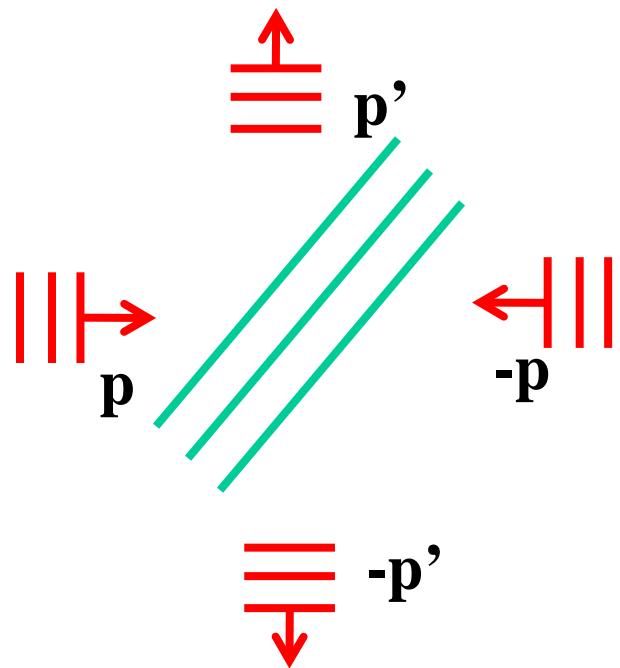
# Attraction mechanism in the metallic state



# Attraction mechanism in the metallic state



# Attraction mechanism in the metallic state



#1 Cooper pair, #2 Phase coherence



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# Half-filled band is metallic?

# Half-filled band: Not always a metal

NiO, Boer and Verway



Peierls, 1937



Mott, 1949



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# « Conventional » Mott transition

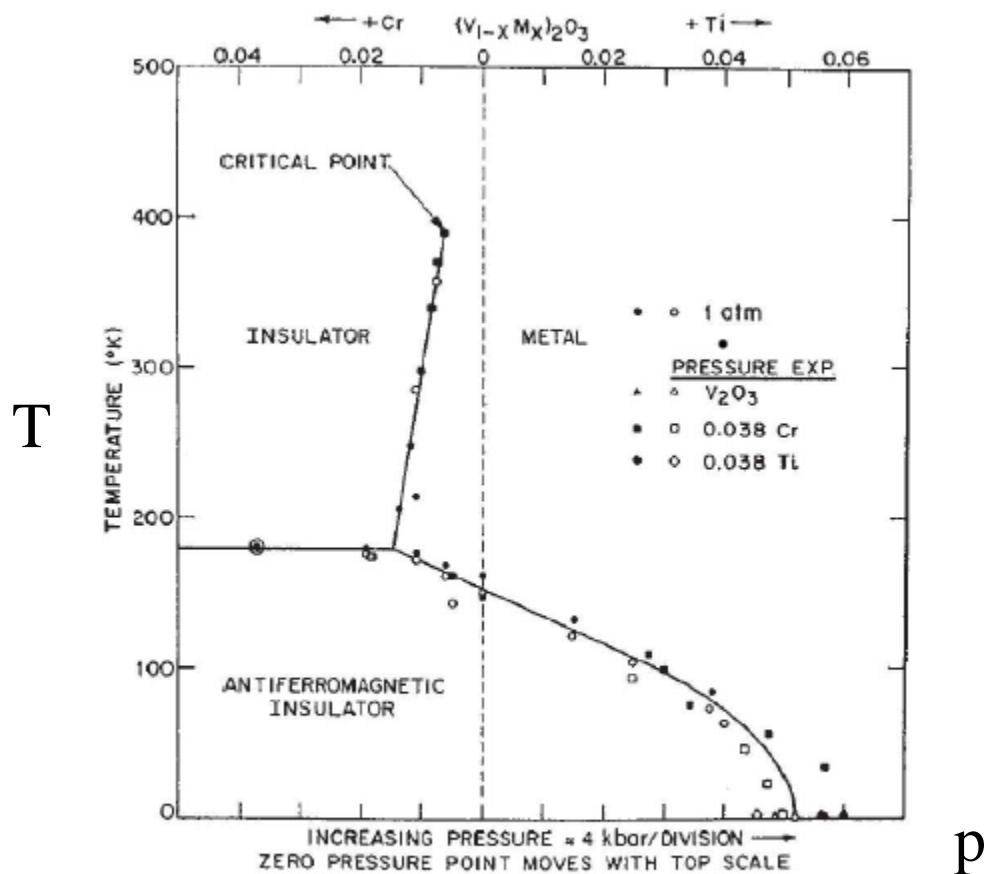
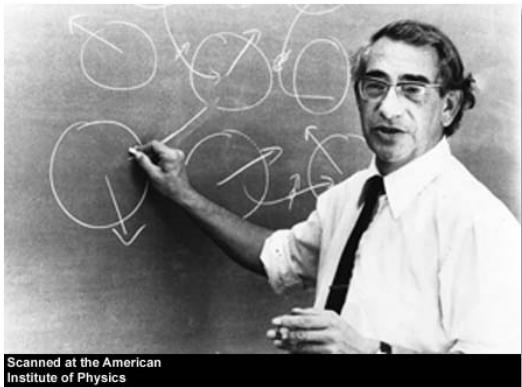
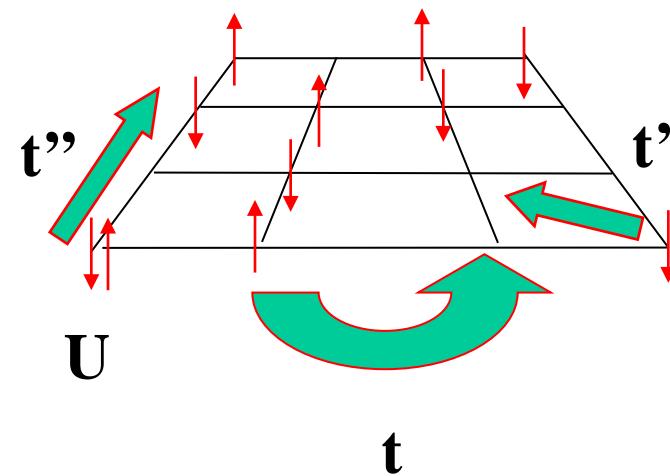


Figure: McWhan, PRB 1970; Limelette, Science 2003

# Hubbard model

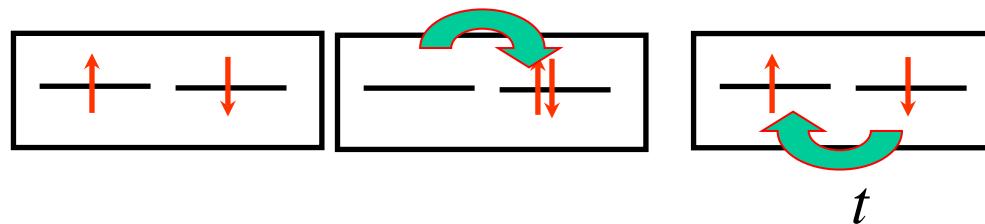


$$\mu$$



1931-1980

$$H = - \sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



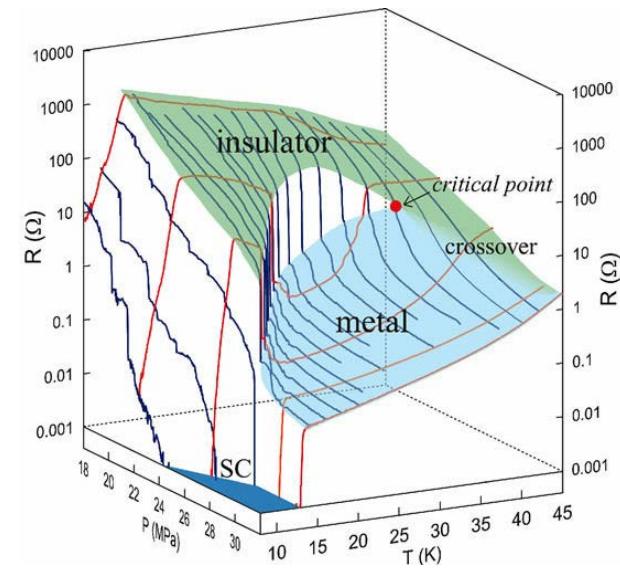
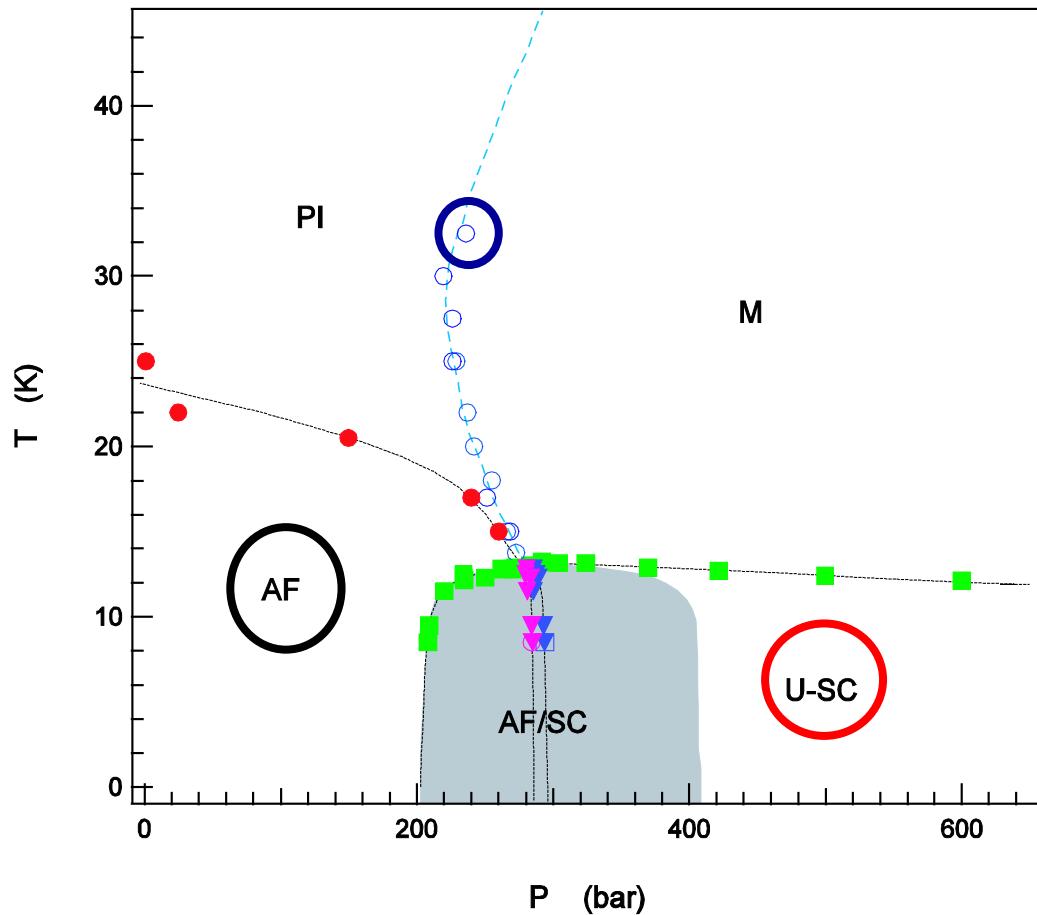
Effective model, Heisenberg:  $J = 4t^2 / U$



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# Superconductivity and attraction?

# Bare Mott critical point in organics



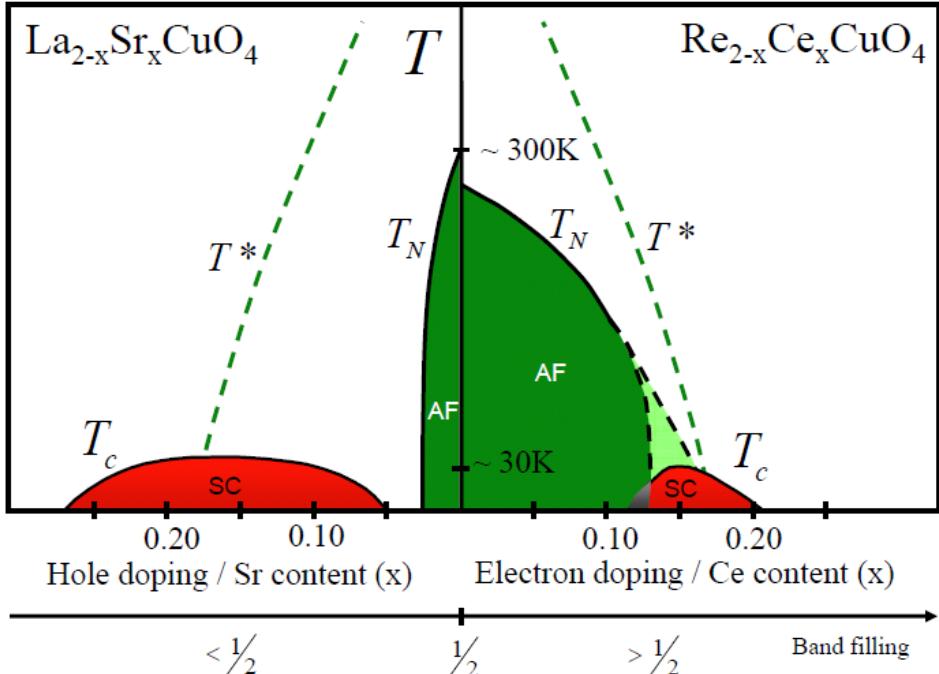
F. Kagawa, K. Miyagawa, + K. Kanoda  
PRB **69** (2004) +Nature **436** (2005)

Phase diagram ( $X = \text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$ )

S. Lefebvre et al. PRL **85**, 5420 (2000), P. Limelette, et al. PRL **91** (2003)

# High-temperature superconductors

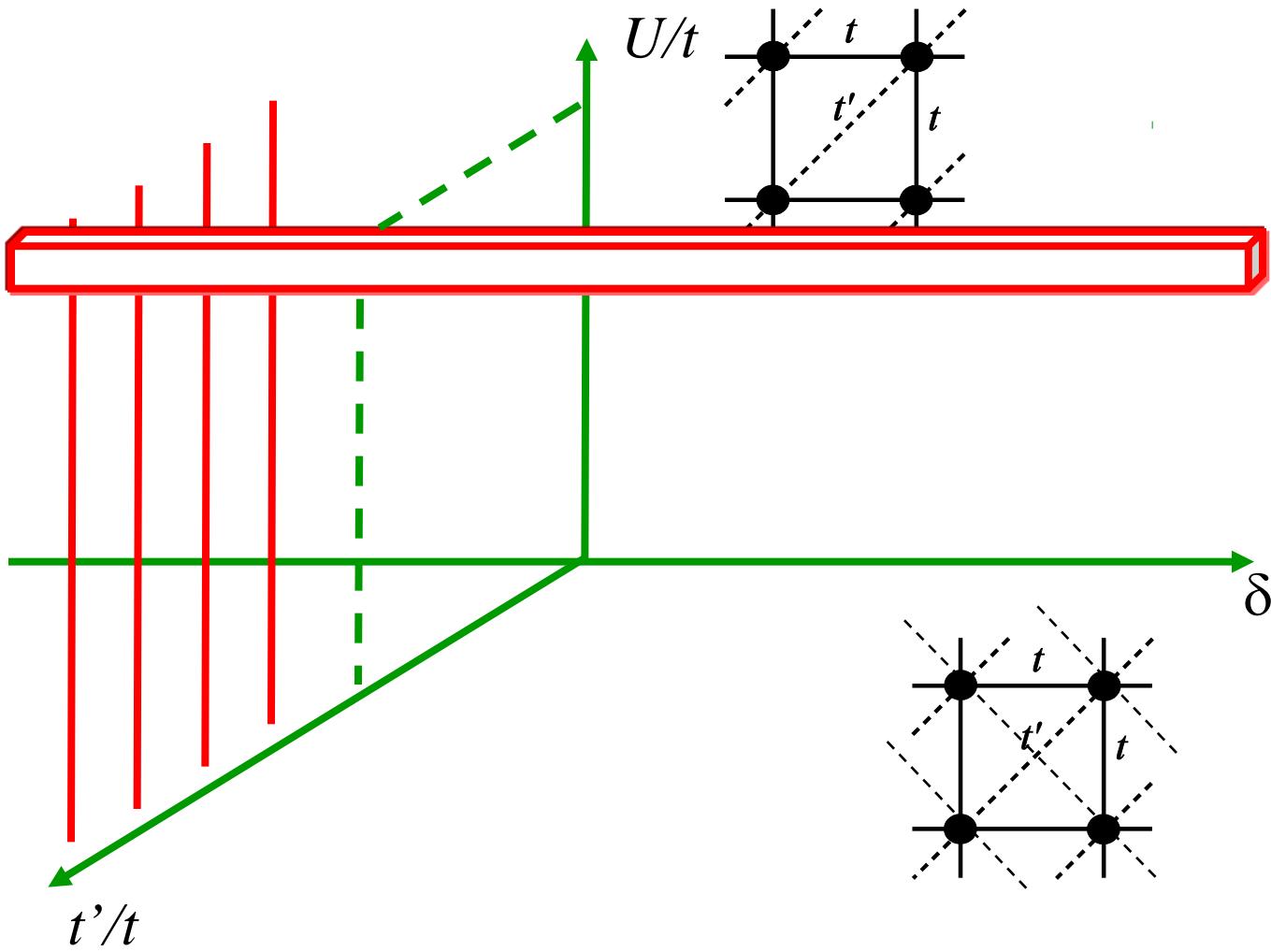
Armitage, Fournier, Greene, RMP (2009)



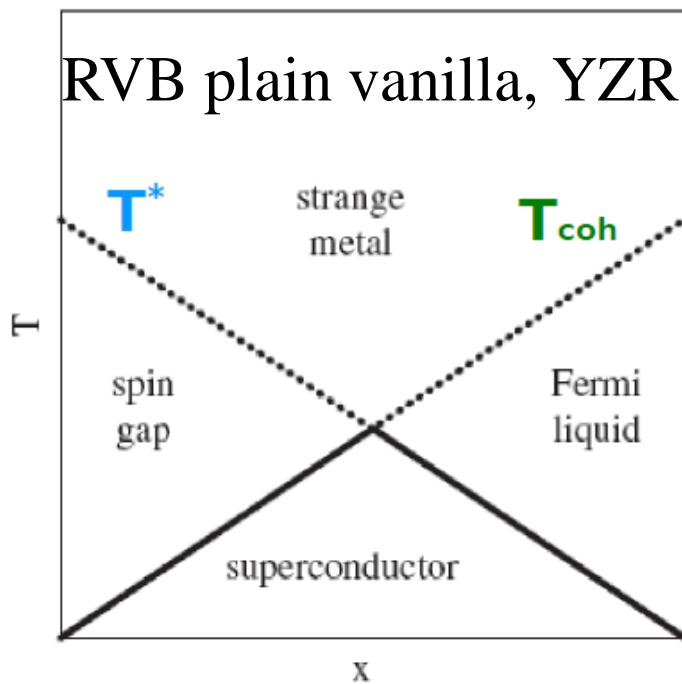
What is under the dome?  
Mott Physics away from  $n = 1$

- Competing order
  - Current loops: Varma, PRB **81**, 064515 (2010)
  - Stripes or nematic: Kivelson et al. RMP 75 1201(2003); J.C.Davis
  - d-density wave : Chakravarty, Nayak, Phys. Rev. B **63**, 094503 (2001); Affleck et al. flux phase
  - SDW: Sachdev PRB **80**, 155129 (2009) ...
- Or Mott Physics?
  - RVB: P.A. Lee Rep. Prog. Phys. **71**, 012501 (2008)

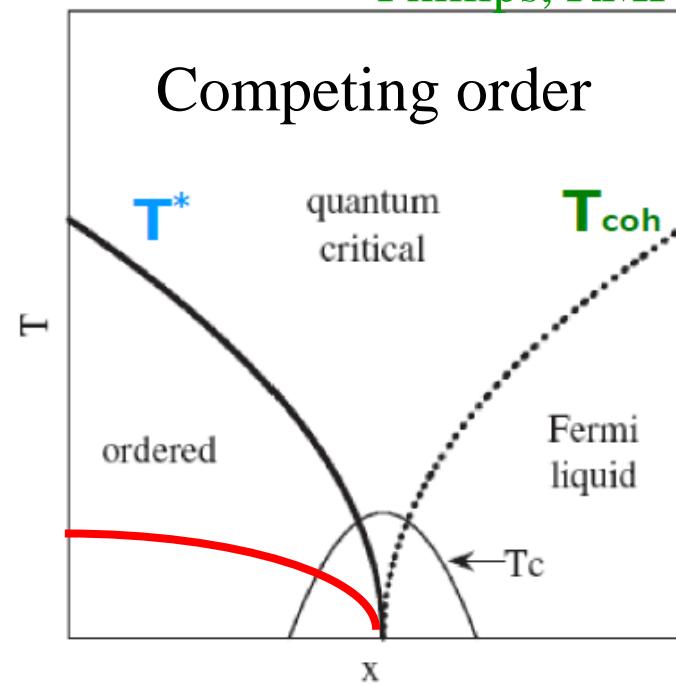
# Perspective



# Two views (caricature)



Why  $T_c$  decreases?  
What is the origin of  $T^*$ ?  
What is the strange metal?



Broken symmetry or not.  
What lies beneath the dome.  
Mott Physics away from  $n = 1$

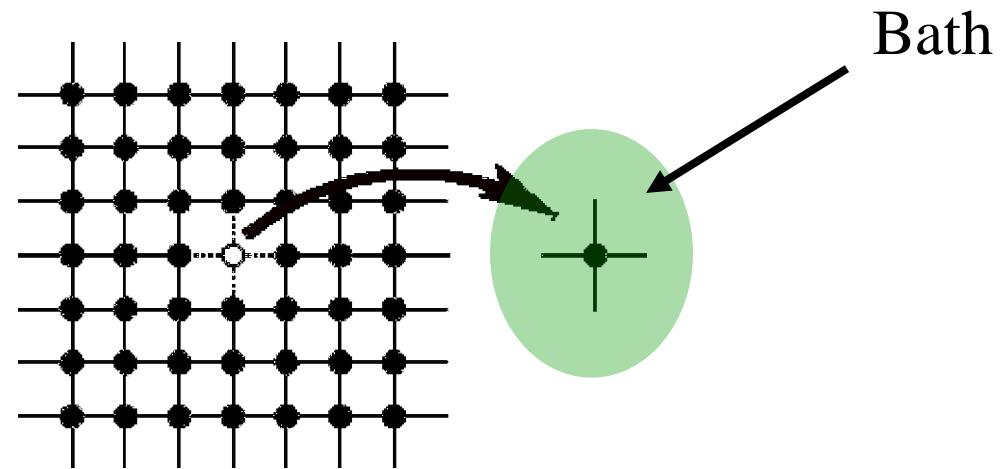
# Outline

- Method
- $T=0$  phase diagram
  - The « glue »
- Finite  $T$  phase diagram
  - Normal state
    - First order transition
    - Widom line and pseudogap
  - Superconductivity

# Method

# Mott transition and Dynamical Mean-Field Theory. The beginnings in $d = infinity$

- Compute scattering rate (self-energy) of impurity problem.
- Use that self-energy ( $\omega$  dependent) for lattice.
- Project lattice on single-site and adjust bath so that single-site DOS obtained both ways be equal.



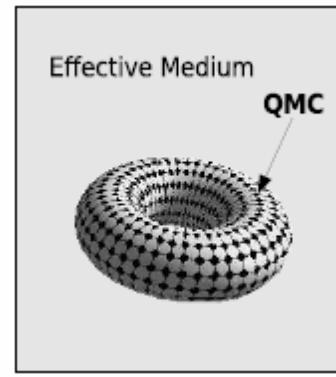
W. Metzner and D. Vollhardt, PRL (1989)

A. Georges and G. Kotliar, PRB (1992)

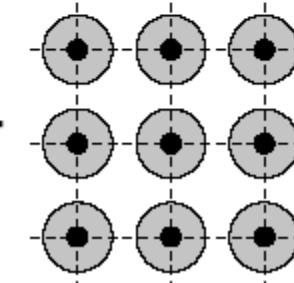
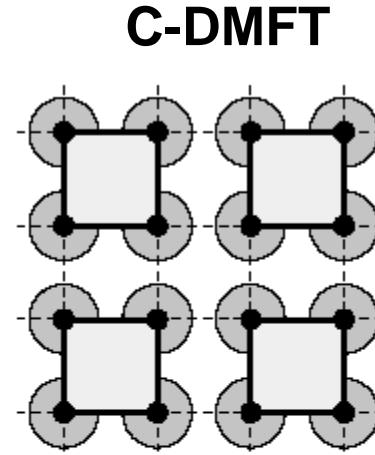
M. Jarrell PRB (1992)

DMFT, ( $d = 3$ )

# *2d Hubbard: Quantum cluster method*



**DCA**



**DMFT**

Hettler ... Jarrell ... Krishnamurty PRB **58** (1998)

Kotliar et al. PRL **87** (2001)

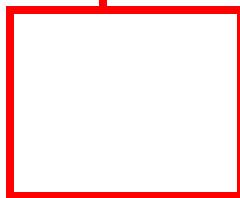
M. Potthoff et al. PRL **91**, 206402 (2003).

Maier, Jarrell et al., Rev. Mod. Phys. **77**, 1027 (2005)

# Another way to look at this (Potthoff)



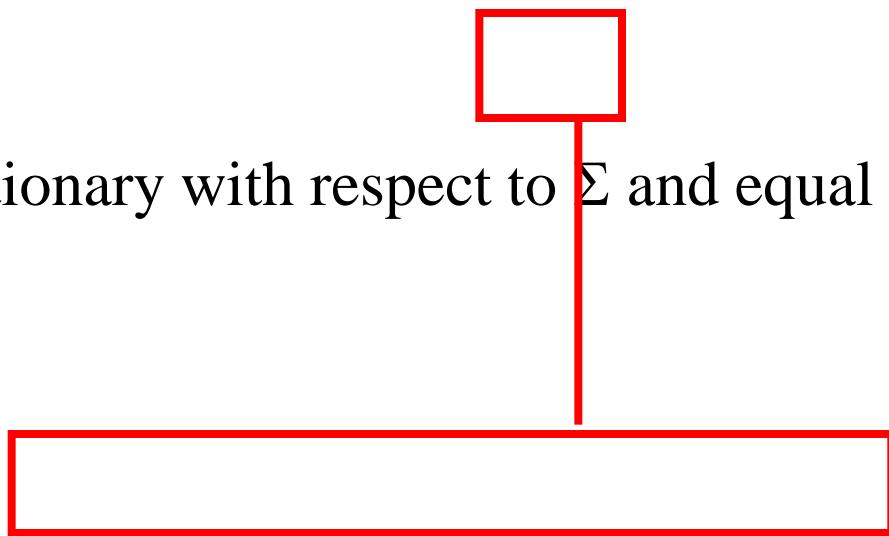
Still stationary (chain rule)



# SFT : Self-energy Functional Theory

With  $F[\Sigma]$  Legendre transform of Luttinger-Ward funct.

is stationary with respect to  $\Sigma$  and equal to grand potential there.

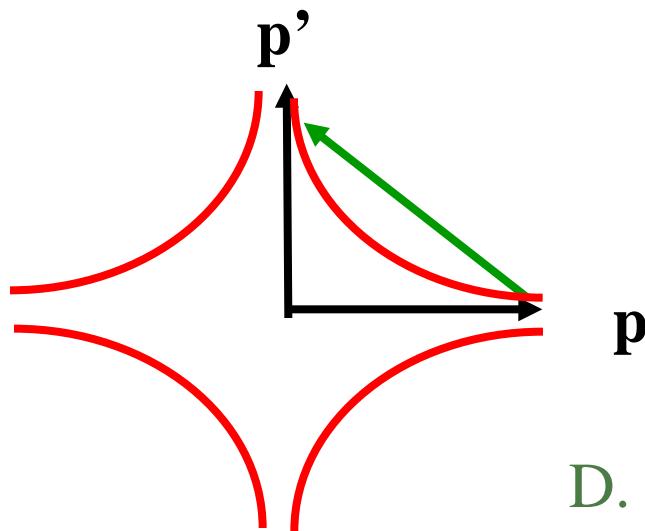


Vary with respect to parameters of the cluster (including Weiss fields)

Variation of the self-energy, through parameters in  $H_0(\mathbf{t}')$

# A bit of physics: superconductivity and repulsion

# Cartoon « BCS » weak-coupling picture

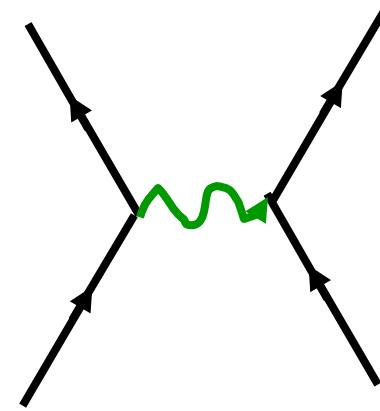


Exchange of spin waves?

Kohn-Luttinger

$T_c$  with pressure

P.W. Anderson Science 317, 1705 (2007)



D. J. Scalapino, E. Loh, Jr., and J. E. Hirsch  
P.R. B 34, 8190-8192 (1986).

Béal-Monod, Bourbonnais, Emery  
P.R. B. 34, 7716 (1986).

Kohn, Luttinger, P.R.L. 15, 524 (1965).

# A cartoon strong coupling picture

P.W. Anderson Science 317, 1705 (2007)

Pitaevskii Brückner:

Pair state orthogonal to repulsive core of Coulomb interaction

Kotliar and Liu, P.R. B **38**, 5142 (1988)

Miyake, Schmitt–Rink, and Varma

P.R. B **34**, 6554-6556 (1986)



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# *d*-wave superconductivity

- **Weak coupling**

- C. J. Halboth and W. Metzner, Phys. Rev. Lett. 85, 5162 (2000).
- B. Kyung, J.-S. Landry, and A. M. S. Tremblay, Phys. Rev. B 68, 174502 (2003).
- C. Bourbonnais and A. Sedeki, Physical Review B 80, 085105 (2009).
- D. J. Scalapino, Physica C: Superconductivity 470, Supplement 1, S1 (2010), ISSN 0921-4534, proceedings of the 9th International Conference on Materials and Mechanisms of Superconductivity.

- **Renormalized Mean-Field Theory**

- P. W. Anderson, P. A. Lee, M. Randeria, T. M. Rice, N. Trivedi, and F. C. Zhang, Journal of Physics: Condensed Matter 16, R755 (2004).
- K.-Y. Yang, T. M. Rice, and F.-C. Zhang, Phys. Rev. B 73, 174501 (2006).

- **Slave particles**

- P. A. Lee, N. Nagaosa, and X.-G. Wen, Rev. Mod. Phys. 78, 17 (2006).
- M. Imada, Y. Yamaji, S. Sakai, and Y. Motome, Annalen der Physik 523, 629 (2011)

- **Variational approaches**

- T. Giamarchi and C. Lhuillier, Phys. Rev. B 43, 12943 (1991).
- A. Paramekanti, M. Randeria, and N. Trivedi, Phys. Rev. B 70, 054504 (2004).

# *d*-wave superconductivity

- Quantum cluster methods

- T. Maier, M. Jarrell, T. Pruschke, and J. Keller, Phys. Rev. Lett. 85, 1524 (2000).
- T. A. Maier, M. Jarrell, T. C. Schulthess, P. R. C. Kent, and J. B. White, Phys. Rev. Lett. 95, 237001 (2005).
- K. Haule and G. Kotliar, Phys. Rev. B 76, 104509 (2007).

# But...

QMC constrained path

S. Zhang, Carlson, Gubernatis Phys. Rev. Lett. 78, 4486 (1997)

Refined variational approach: no

Aimi and Imada, J. Phys. Soc. Jpn (2007)

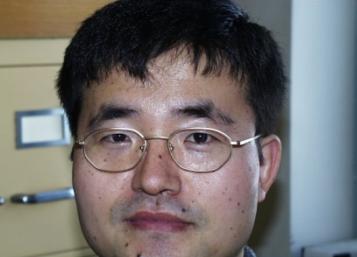


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$T = 0$  phase diagram  $n = 1$

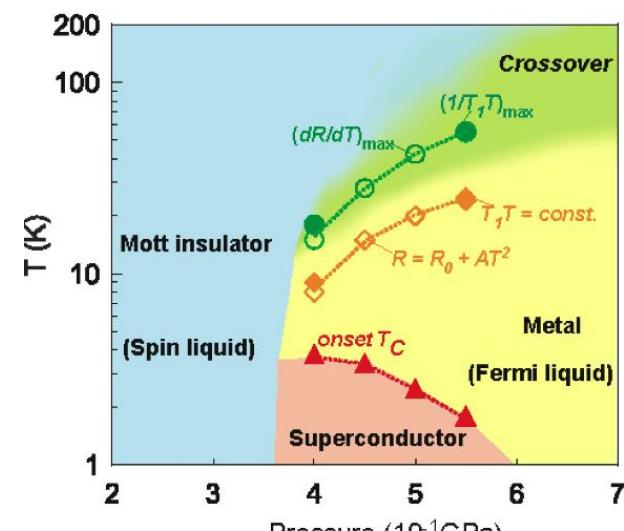
Phase diagram

Exact diagonalization as impurity  
solver ( $T=0$ ).



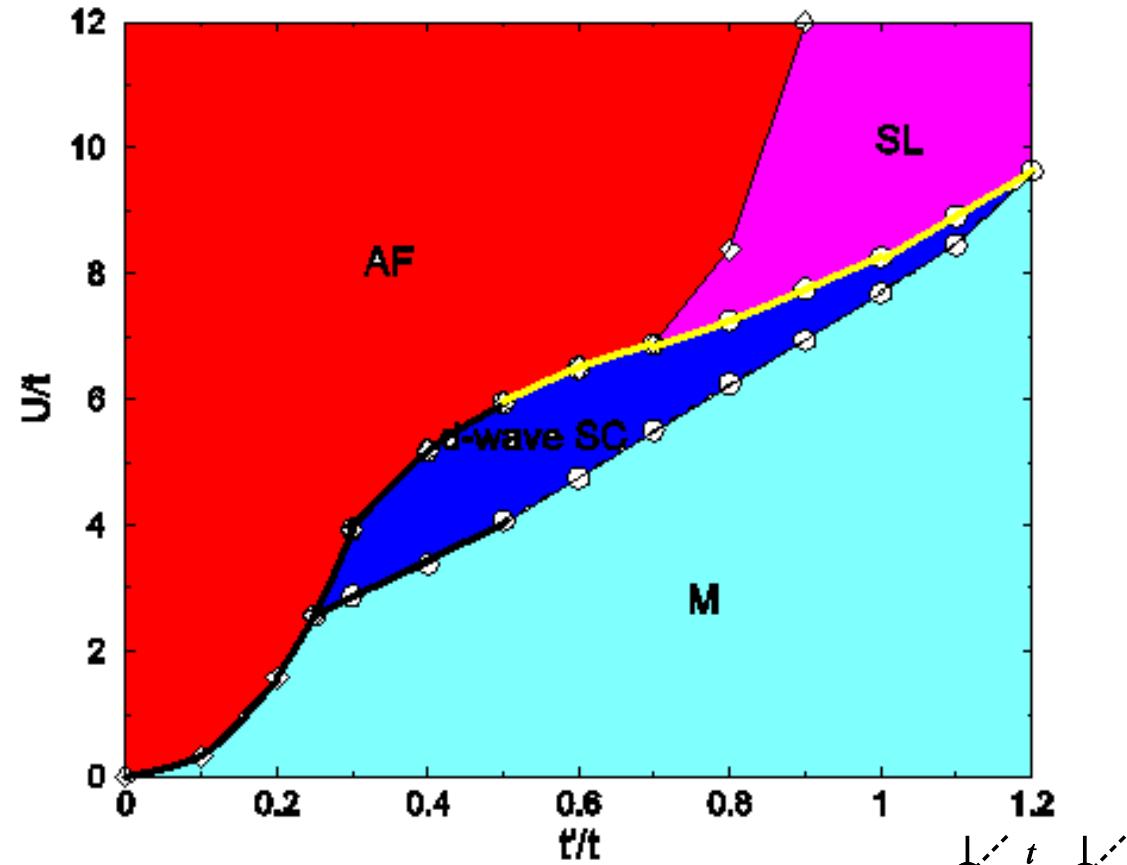
# Theoretical phase diagram BEDT

$X = \text{Cu}_2(\text{CN})_3$  ( $t' \sim t$ )

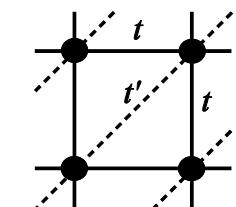


Y. Kurisaki, et al.

Phys. Rev. Lett. **95**, 177001(2005) Y. Shimizu, et al. Phys. Rev. Lett. **91**, (2003)



Kyung, A.-M.S.T. PRL 97, 046402 (2006)

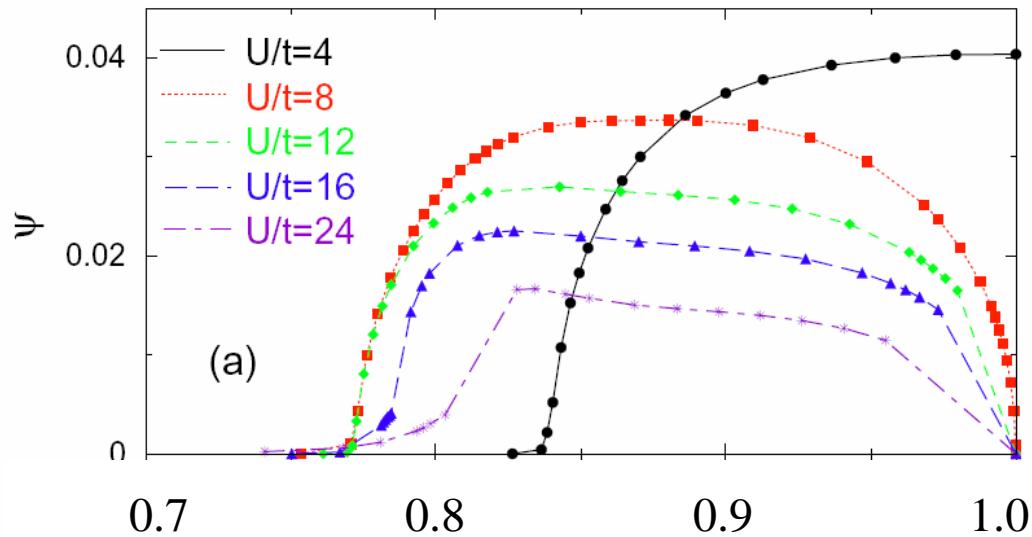


# $T = 0$ phase diagram: cuprates

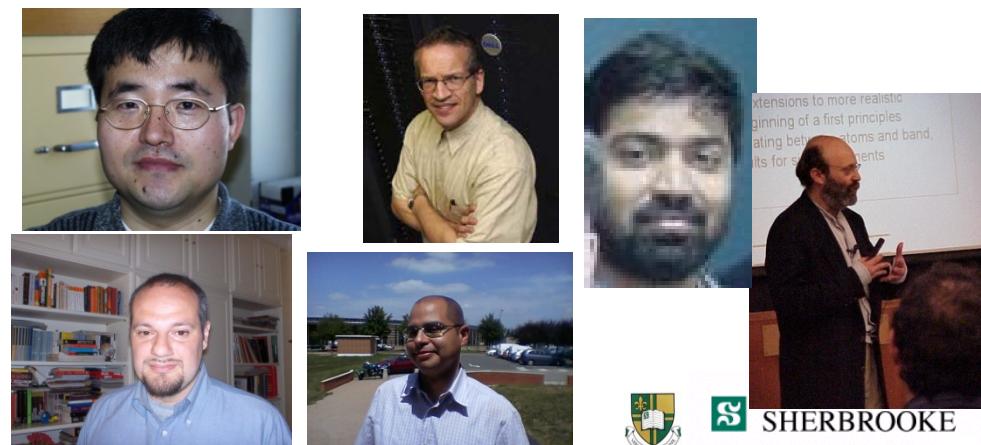
Phase diagram

Exact diagonalization as impurity  
solver ( $T=0$ ).

# Dome vs Mott (CDMFT)

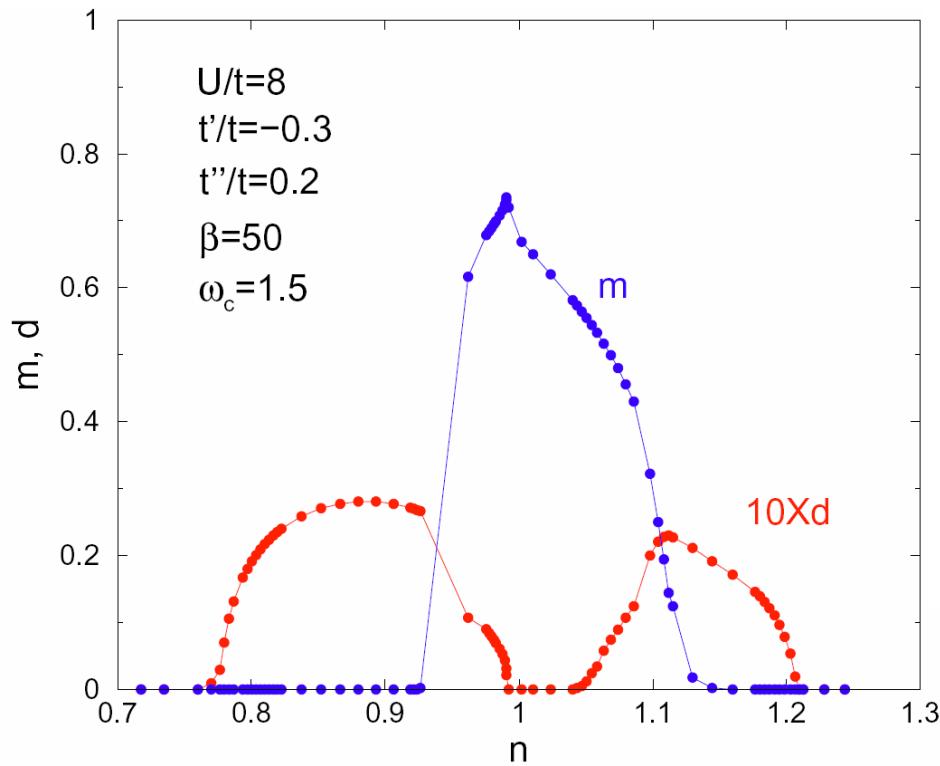


Kancharla, Kyung, Civelli,  
Sénéchal, Kotliar AMST  
Phys. Rev. B (2008)



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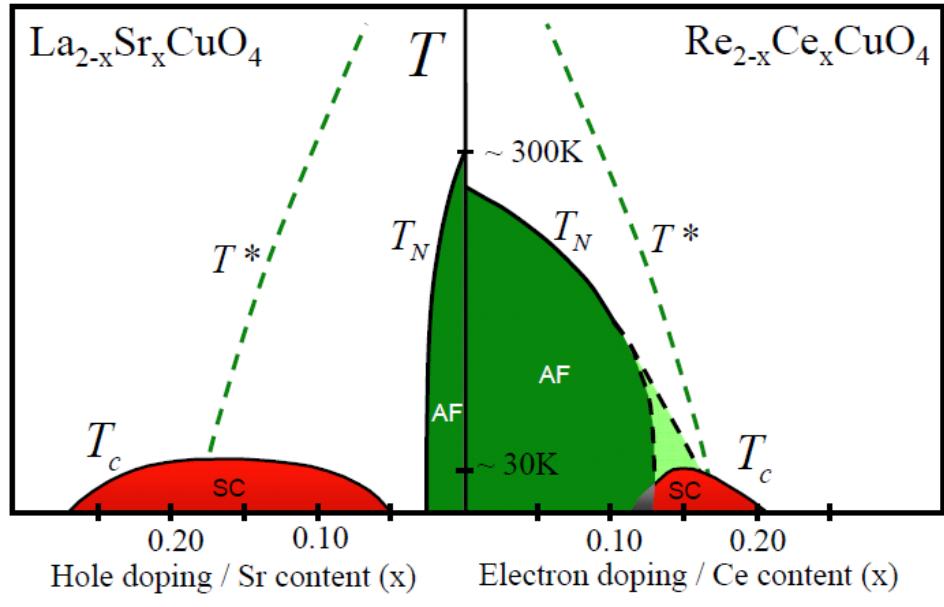
# CDMFT global phase diagram



Kancharla, Kyung, Civelli,  
Sénéchal, Kotliar AMST

Phys. Rev. B (2008)

AND Capone, Kotliar PRL (2006)



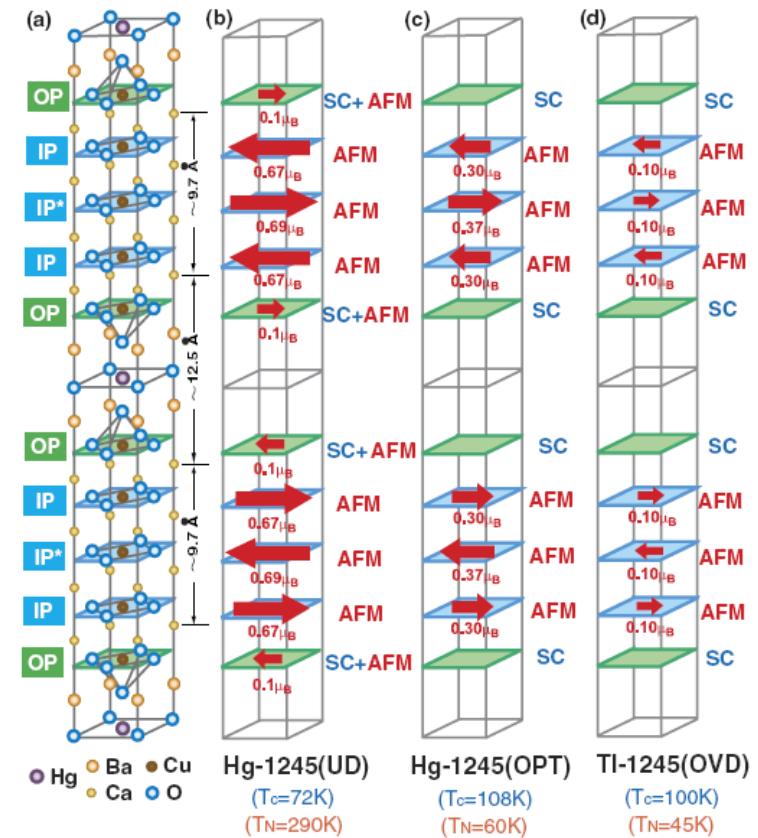
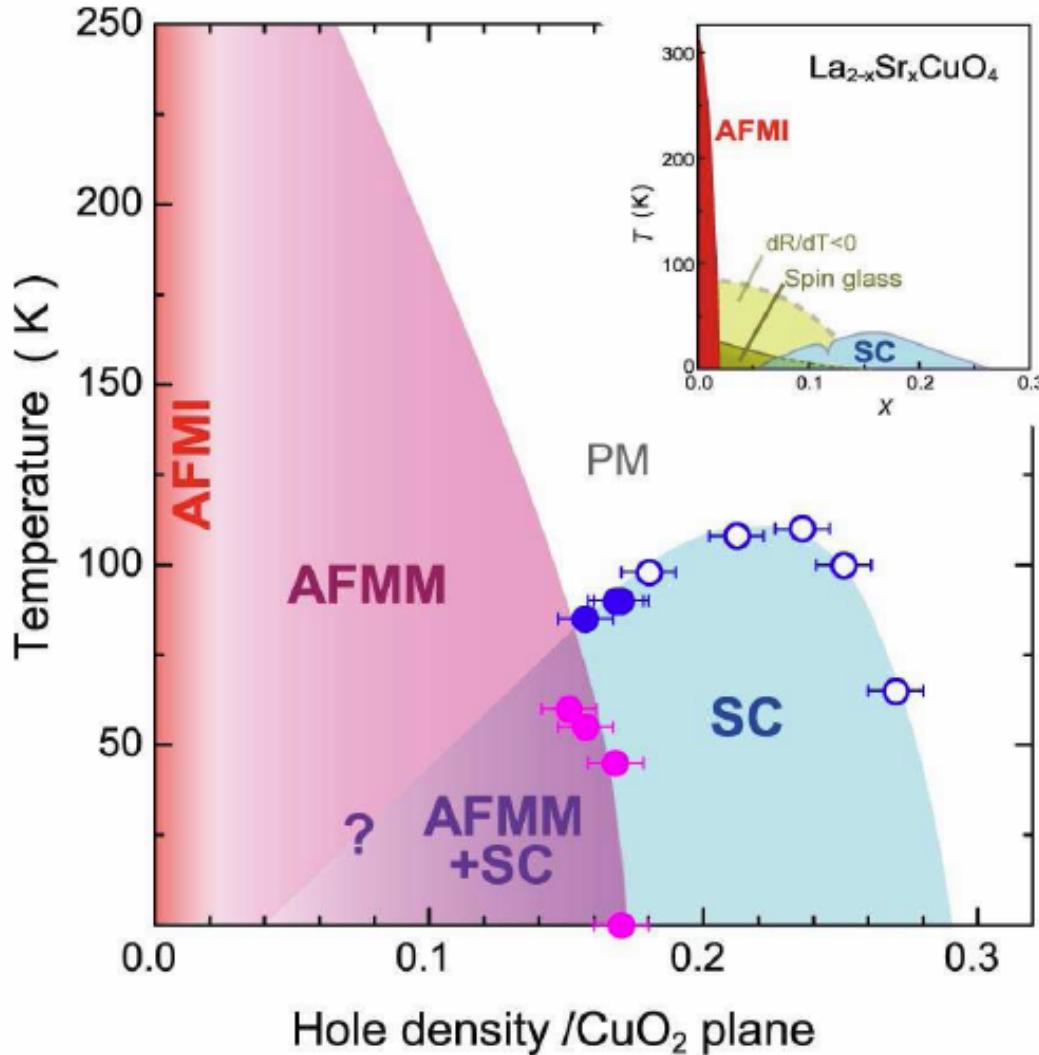
Armitage, Fournier, Greene, RMP (2009)



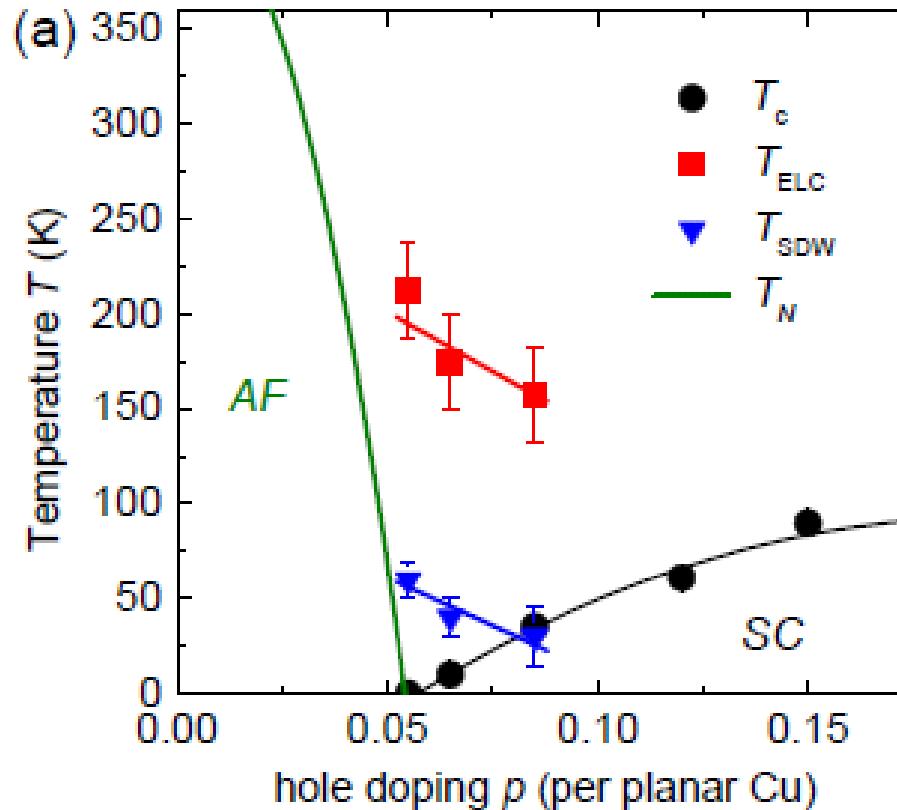
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# Consistent with following experiments

H. Mukuda, Y. Yamaguchi, S. Shimizu, ... A. Iyo JPSJ 77, 124706 (2008)

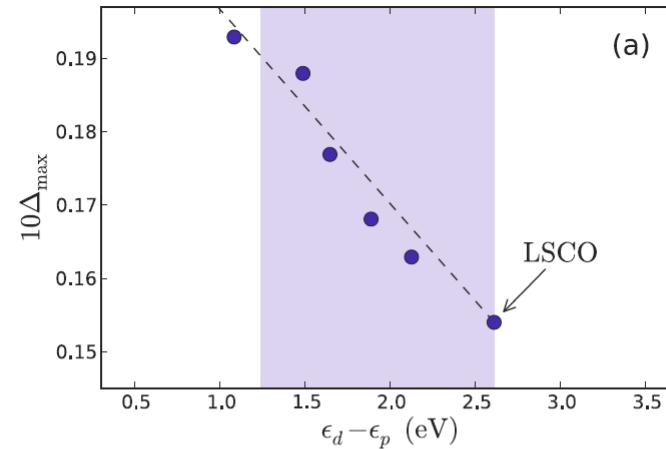
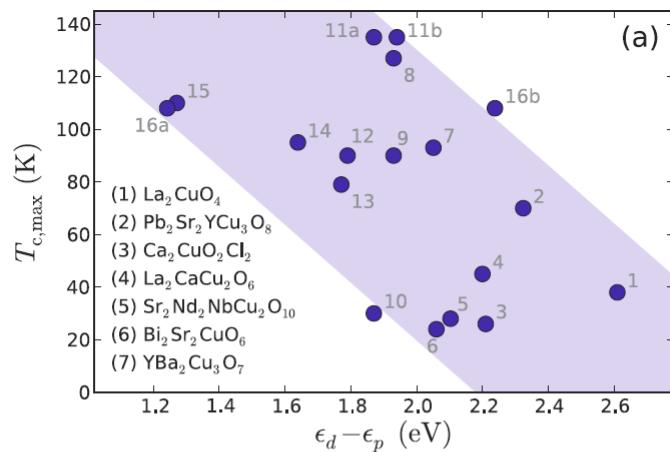


# Magnetic phase diagram of YBCO



Haug, ... Keimer, New J. Phys. 12, 105006 (2010)

# Materials dependent properties



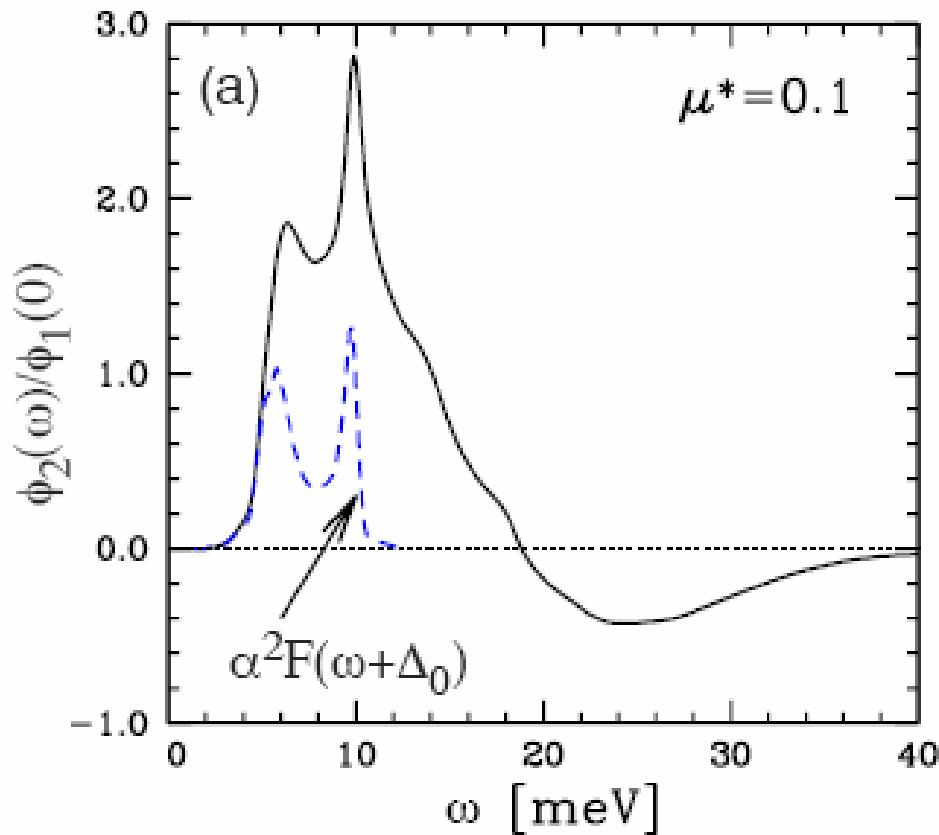
C. Weber, C.-H. Yee, K. Haule, and G. Kotliar, ArXiv e-prints (2011), 1108.3028.

$T = 0$  phase diagram

The glue

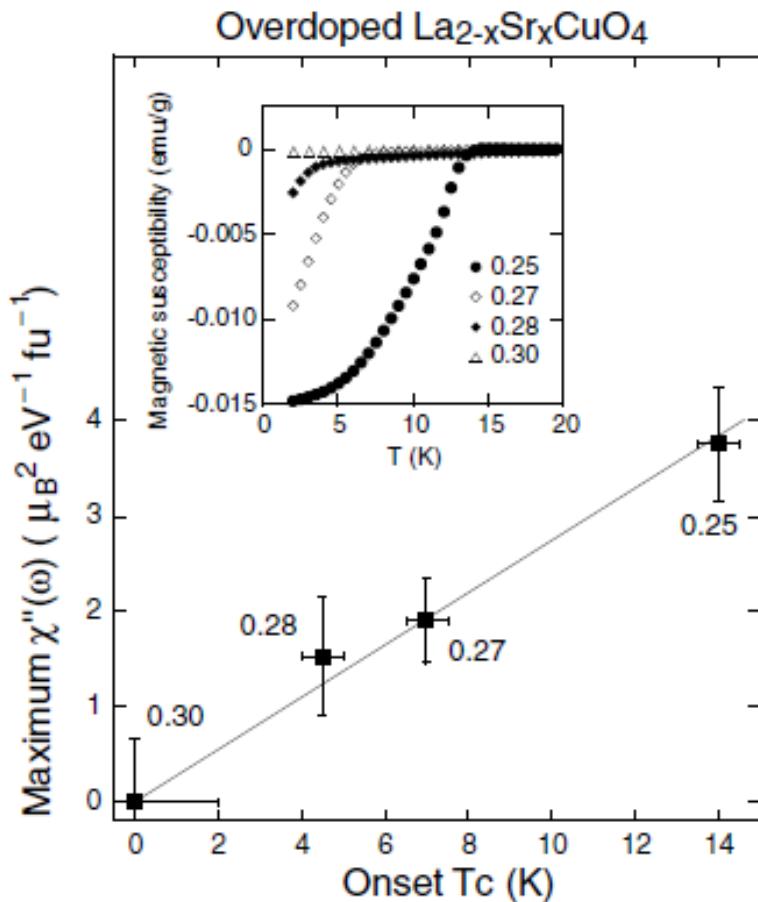
# $\text{Im } \Sigma_{\text{an}}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)

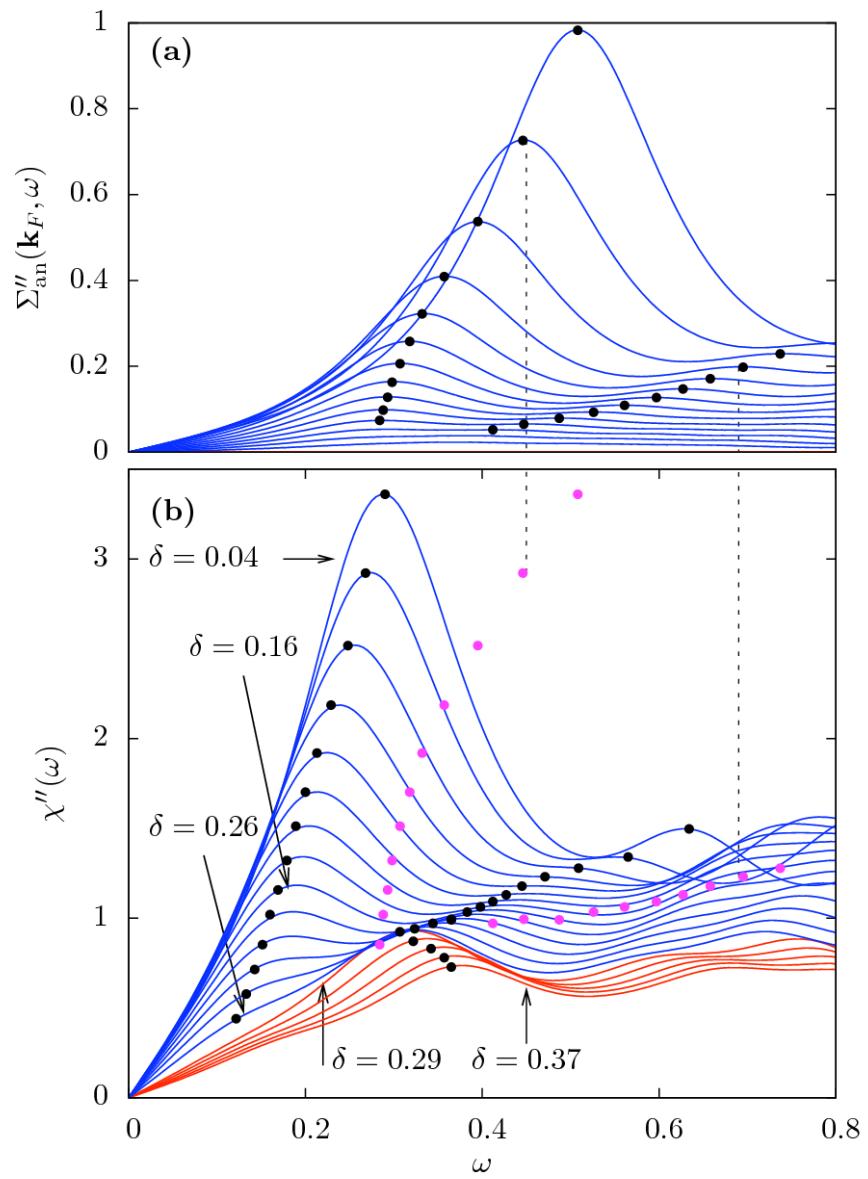


# The glue

Kyung, Sénéchal, Tremblay, Phys. Rev. B  
80, 205109 (2009)



Wakimoto ... Birgeneau  
PRL (2004)



# The glue and neutrons

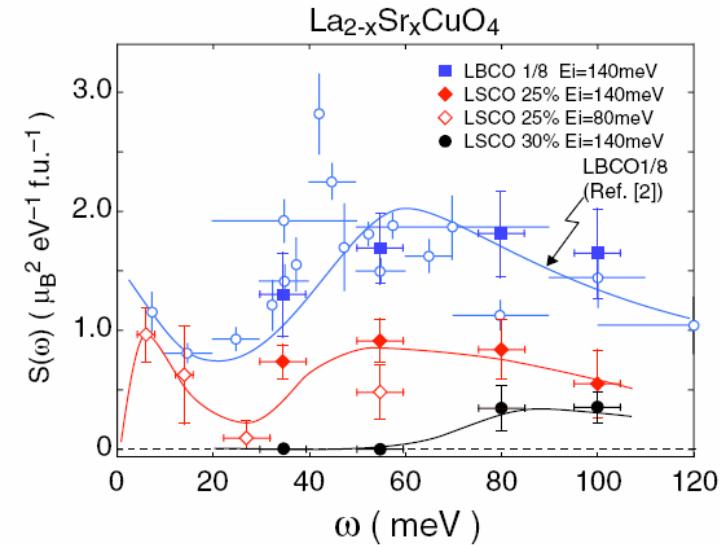
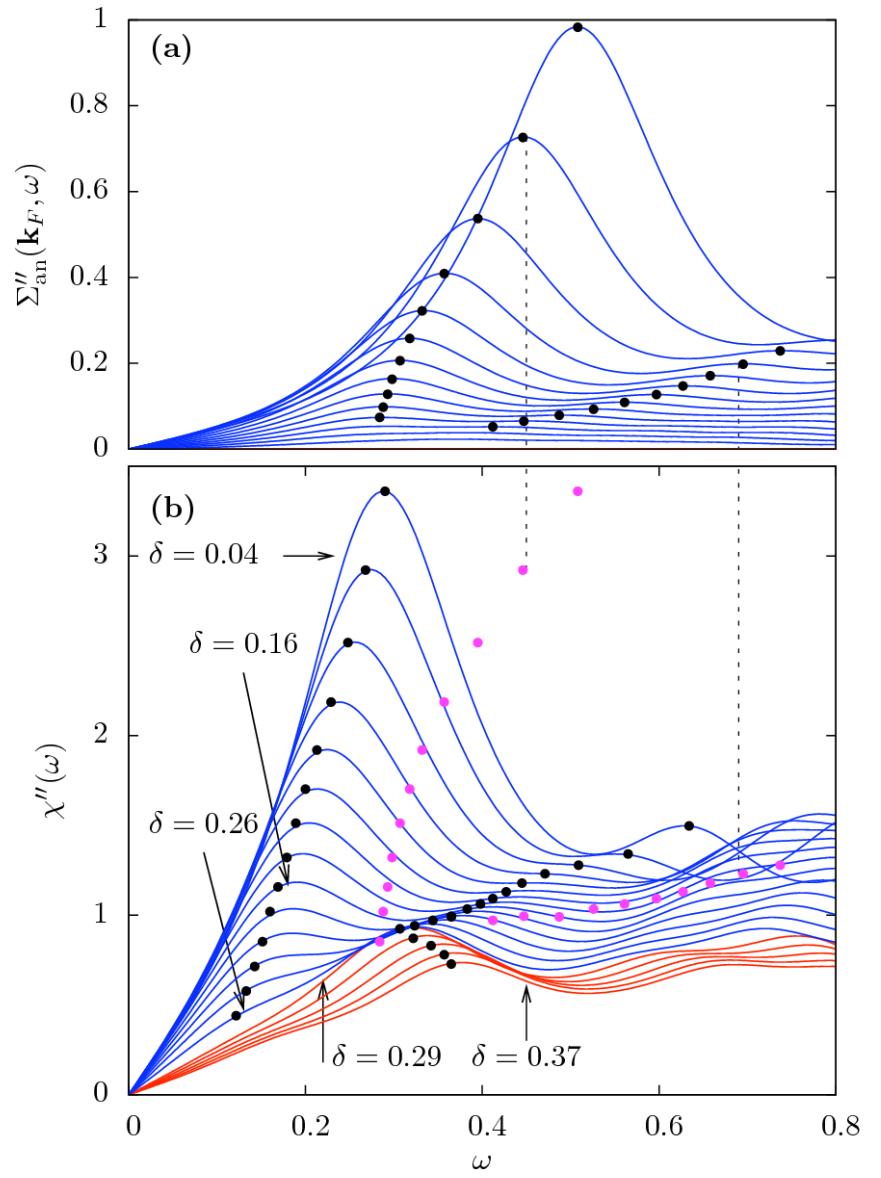


FIG. 3 (color online). **Q**-integrated dynamic structure factor  $S(\omega)$  which is derived from the wide- $H$  integrated profiles for LBCO 1/8 (squares), LSCO  $x = 0.25$  (diamonds; filled for  $E_i = 140 \text{ meV}$ , open for  $E_i = 80 \text{ meV}$ ), and  $x = 0.30$  (filled circles) plotted over  $S(\omega)$  for LBCO 1/8 (open circles) from [2]. The solid lines following data of LSCO  $x = 0.25$  and 0.30 are guides to the eyes.

Wakimoto ... Birgeneau PRL (2007);  
PRL (2004)



# Outline

- Method
- $T=0$  phase diagram
  - The « glue »
- Finite  $T$  phase diagram
  - Normal state
    - First order transition
    - Widom line and pseudogap
  - Superconductivity

# Finite $T$ phase diagram

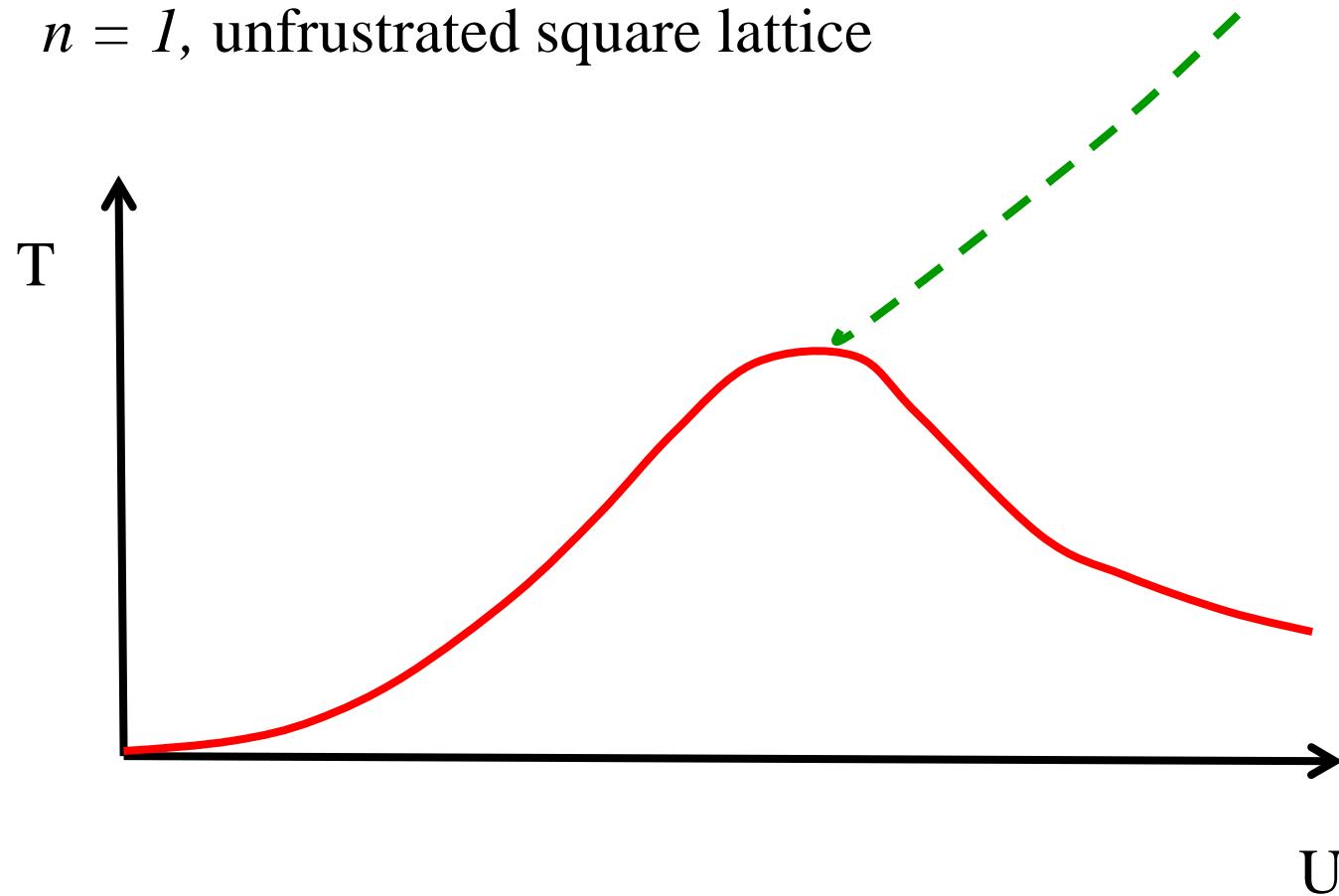
Normal state of the cuprates

# Understanding finite temperature phase from a *mean-field theory* down to $T = 0$

- Fermi liquid
  - Start from Fermi sea
  - Self-energy analytical
  - One to one correspondence of elementary excitations
  - Landau parameters
- Mott insulator
  - Hubbard model
  - Atomic limit
  - Self-energy singular
  - DMFT
  - How many sites in the cluster determines how low in temperature your description of the normal state is valid.

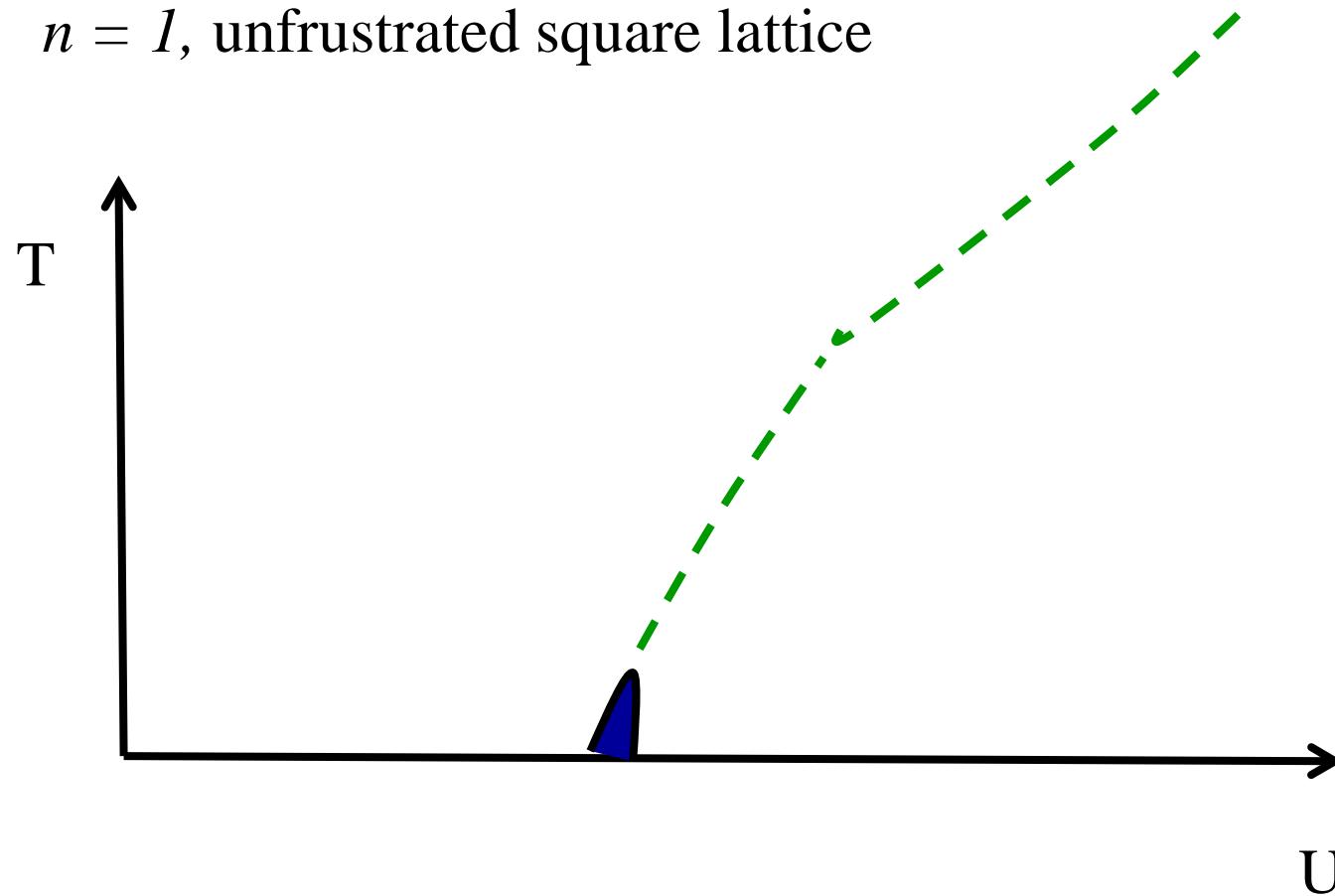
# Local moment and Mott transition

$n = 1$ , unfrustrated square lattice

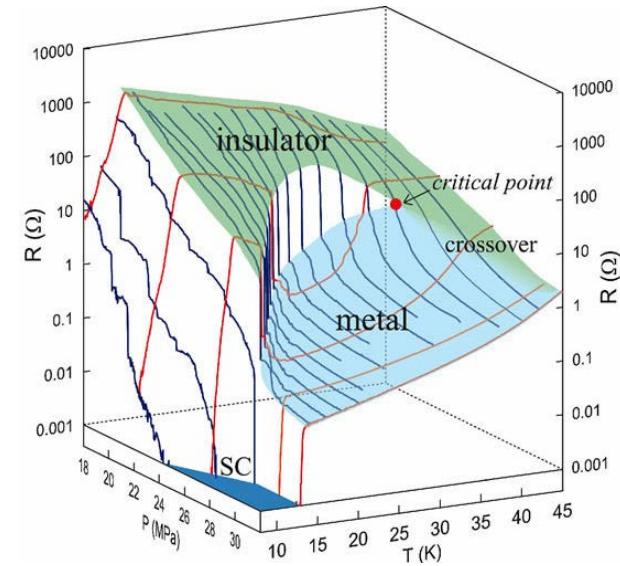
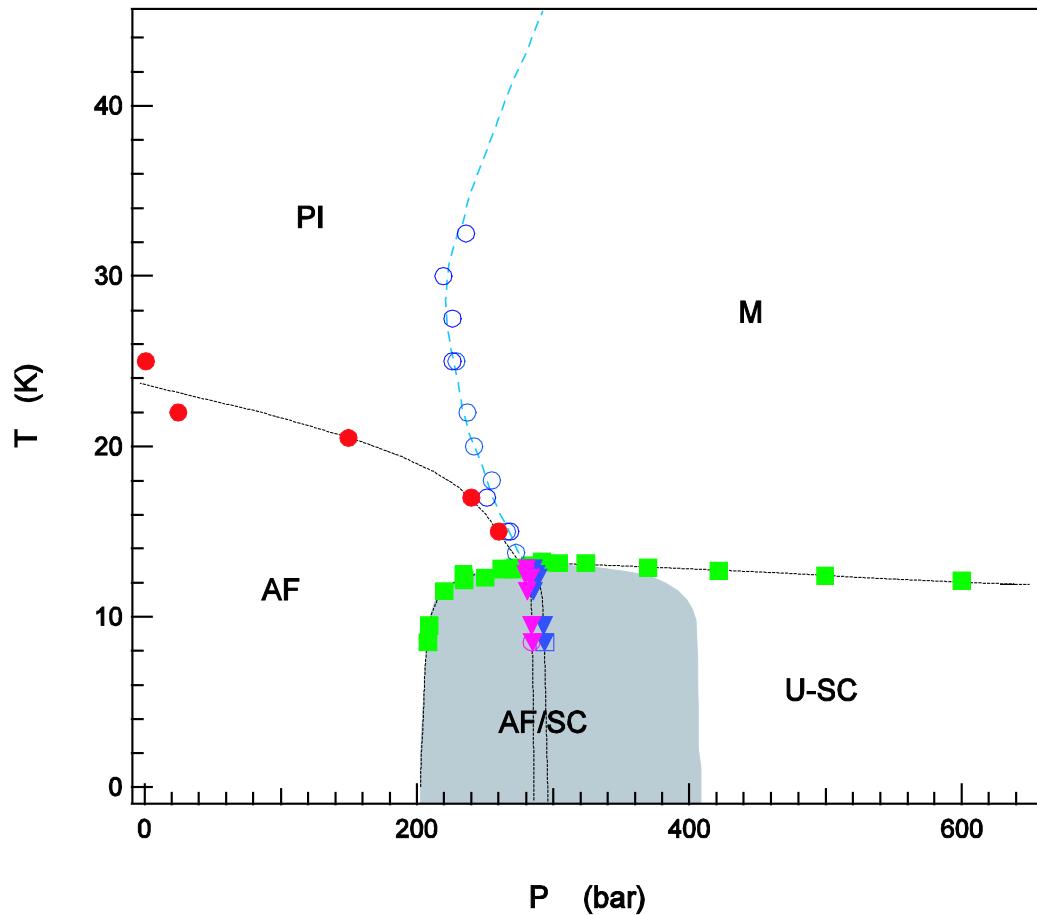


# Local moment and Mott transition

$n = 1$ , unfrustrated square lattice



# Bare Mott critical point in organics

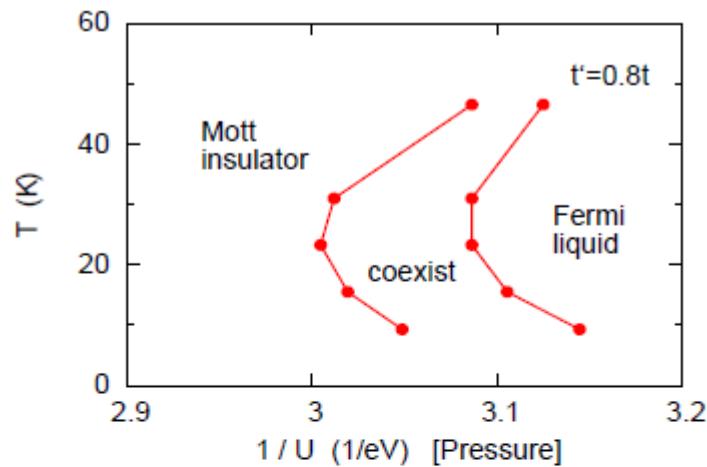


F. Kagawa, K. Miyagawa, + K. Kanoda  
PRB **69** (2004) +Nature **436** (2005)

Phase diagram ( $X=\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$ )

S. Lefebvre et al. PRL **85**, 5420 (2000), P. Limelette, et al. PRL **91** (2003)

# Interaction-induced Mott transition theory



$\kappa$ -BEDT-C1

$\kappa$ -BEDT-CN

Liebsch Phys. Rev. B **79**, 195108 (2009)

See also: Ohashi et al. PRL **100**, 076402 (2008)

# Mott insulator at finite $T$

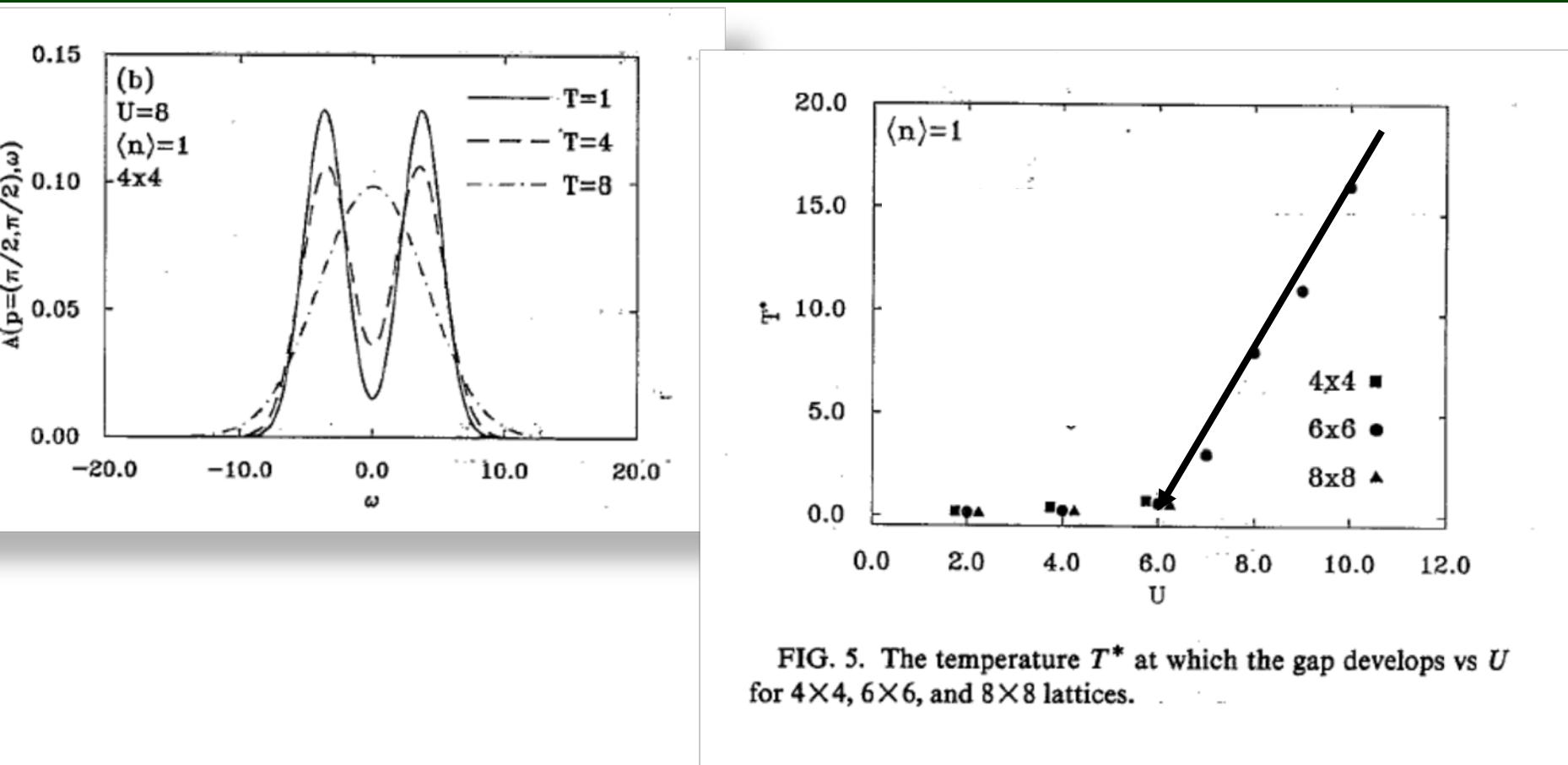


FIG. 5. The temperature  $T^*$  at which the gap develops vs  $U$  for  $4 \times 4$ ,  $6 \times 6$ , and  $8 \times 8$  lattices.

M. Vekic and S.R. White, PRB 47, 1160 (1993)

# Interaction-induced Mott transition, $n = 1$

Method	$U_{c1}$	$U_c$	$U_{c2}$	Ref.
VCA+ED 2 x 2 + 8b	5.25	5.5	6.37	Balzer et al. EPL (2009)
CDMFT+CTQMC+H 2 x 2	5.3		5.7	Park et al. PRL (2008)
DCA+CTQMC+H 8	5.7		6.4	Gull et al. cond-mat (2009)
DCA+CTQMC+H 4	!	~4.2	!	Gull et al. EPL (2008)
Dual fermions	!	~6.5	!	Hafermann et al. (2008)
CDMFT+ED 2 x 2 + 8b 15 parameters	?	~5.6	?	Liebsch, Merino... (2008)
CDMFT+ED 2,3,4		~4		Zhang et al. PRB (2007) (3d also)
QMC 6 x 6		6		Vekic et al. (1993)

# Finite $T$ phase diagram

Normal state of the cuprates



Giovanni Sordi

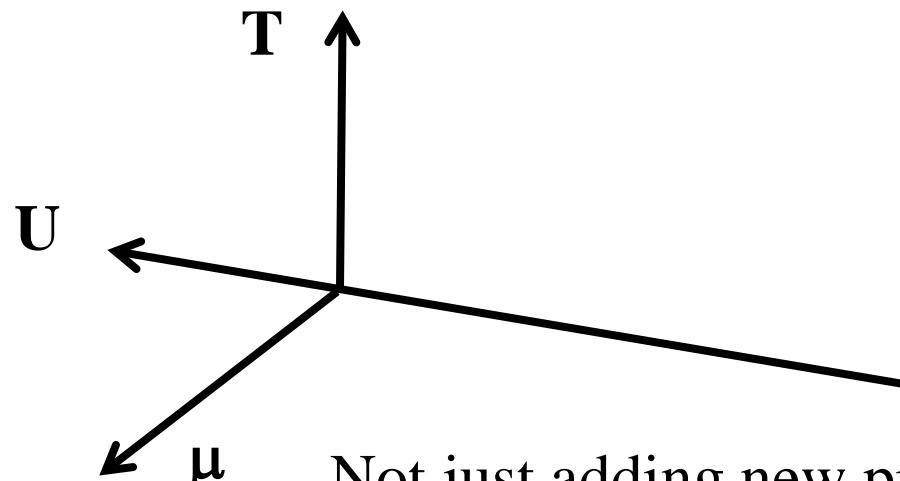
G. Sordi, K. Haule, A.-M.S.T

PRL, **104**, 226402 (2010)

and

Phys. Rev. B, **84**, 075161 (2011)

## Doping-induced Mott transition ( $t'=0$ )



Not just adding new piece:

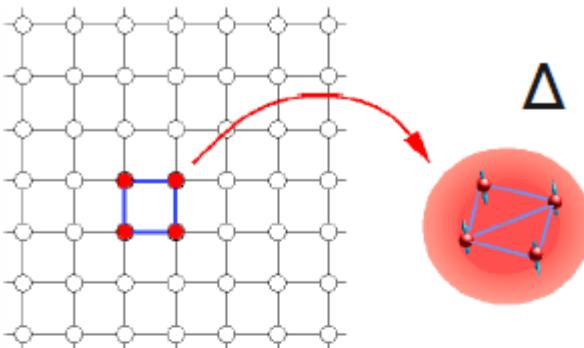
Kristjan Haule

Lesson from DMFT, first order transition + critical  
point governs phase diagram



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# C-DMFT



$$Z = \int \mathcal{D}[\psi^\dagger, \psi] e^{-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{\mathbf{k}} \psi_{\mathbf{k}}^\dagger(\tau) \Delta_{\mathbf{k}}(\tau, \tau') \psi_{\mathbf{k}}(\tau')}$$

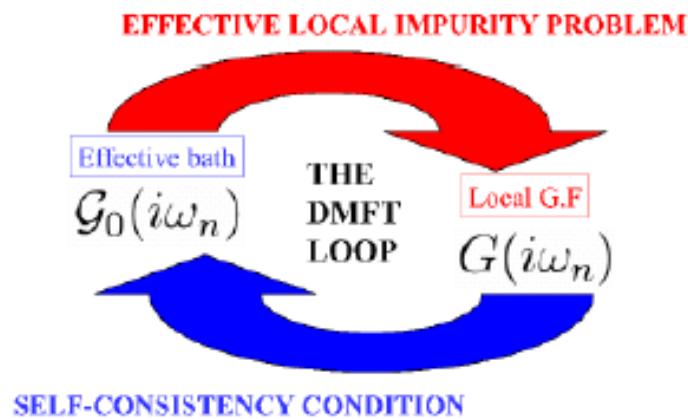
Mean-field is not a trivial problem! Many impurity solvers.

Here: continuous time QMC

P. Werner, PRL 2006

P. Werner, PRB 2007

K. Haule, PRB 2007



$$\Delta(i\omega_n) = i\omega_n + \mu - \Sigma_c(i\omega_n)$$

$$- \left[ \sum_{\tilde{k}} \frac{1}{i\omega_n + \mu - t_c(\tilde{k}) - \Sigma_c(i\omega_n)} \right]^{-1}$$

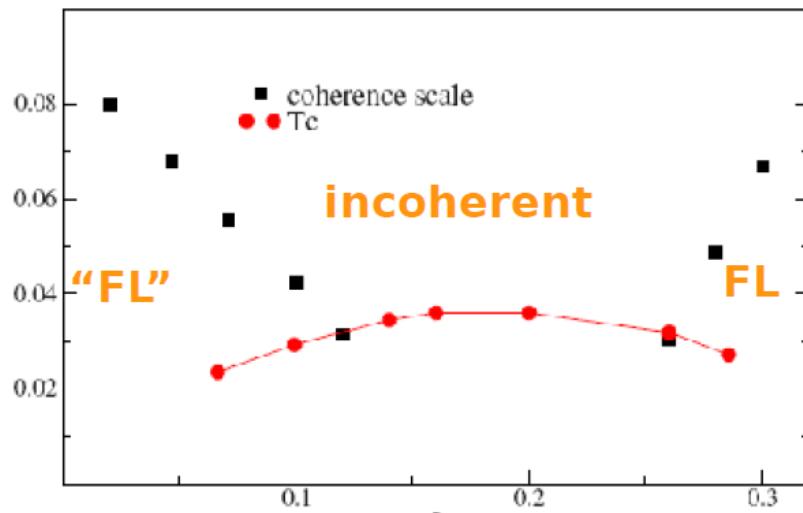
# Solving cluster in a bath problem

- Continuous-time Quantum Monte Carlo calculations to sum all diagrams generated from expansion in powers of hybridization.
  - P. Werner, A. Comanac, L. de' Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. **97**, 076405 (2006).
  - K. Haule, Phys. Rev. B **75**, 155113 (2007).

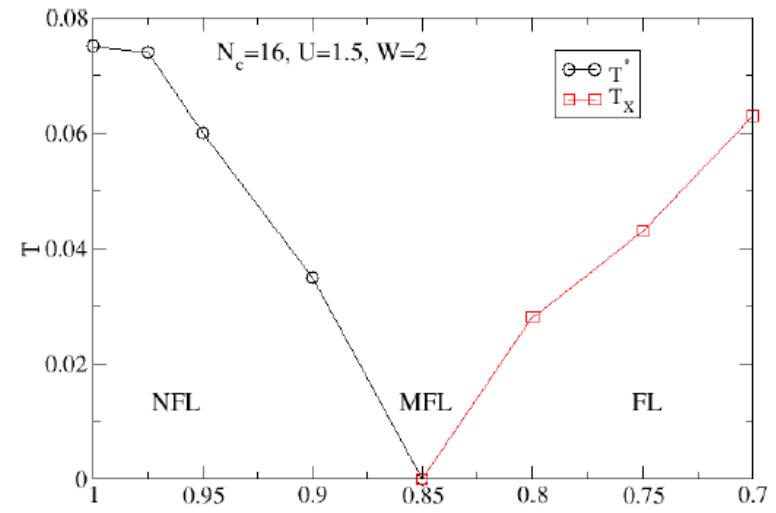


# Doping driven Mott transition, $t' = 0$

Method	$t'$	Orbital selective	$U$	Critical point	Ref.
D+C+H 8			7		Werner et al. cond-mat (2009)
D+C+H 4					Gull et al. EPL (2008)
	-0.3		10,6		Liebsch, Merino... (2008)
					Ferrero et al. PRB (2009)
D+C+H 8			7		Gull, et al. PRB (2009)

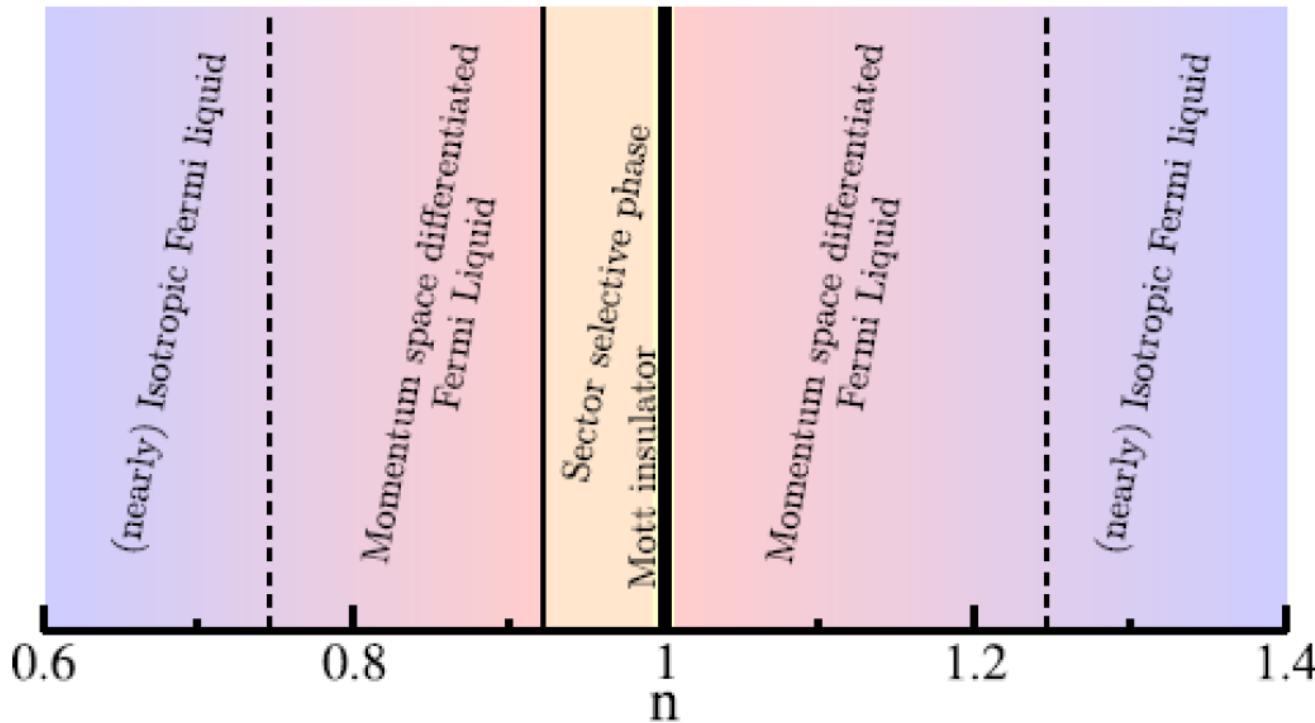


K. Haule, G. Kotliar, PRB (2008)



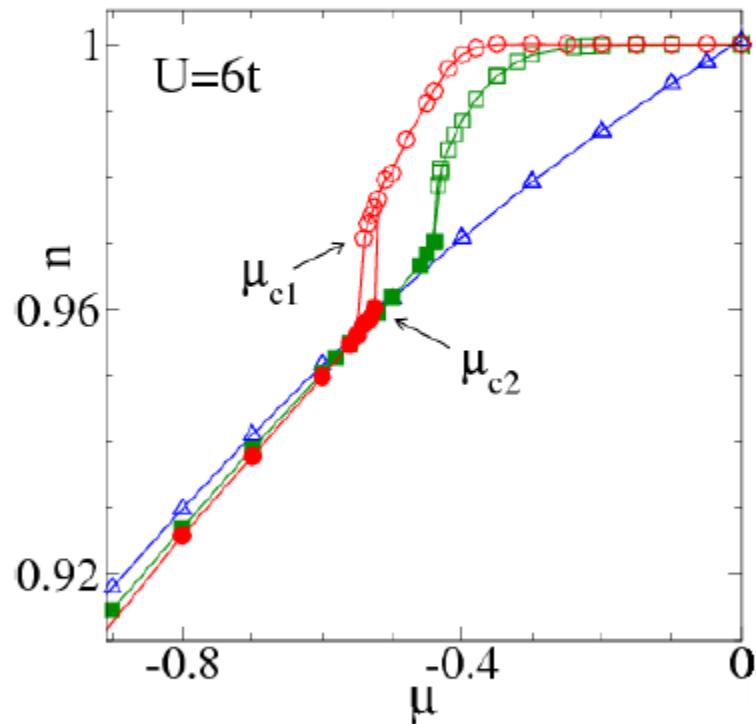
Vildhyadhiraja, PRL (2009)

# Doping driven Mott transition



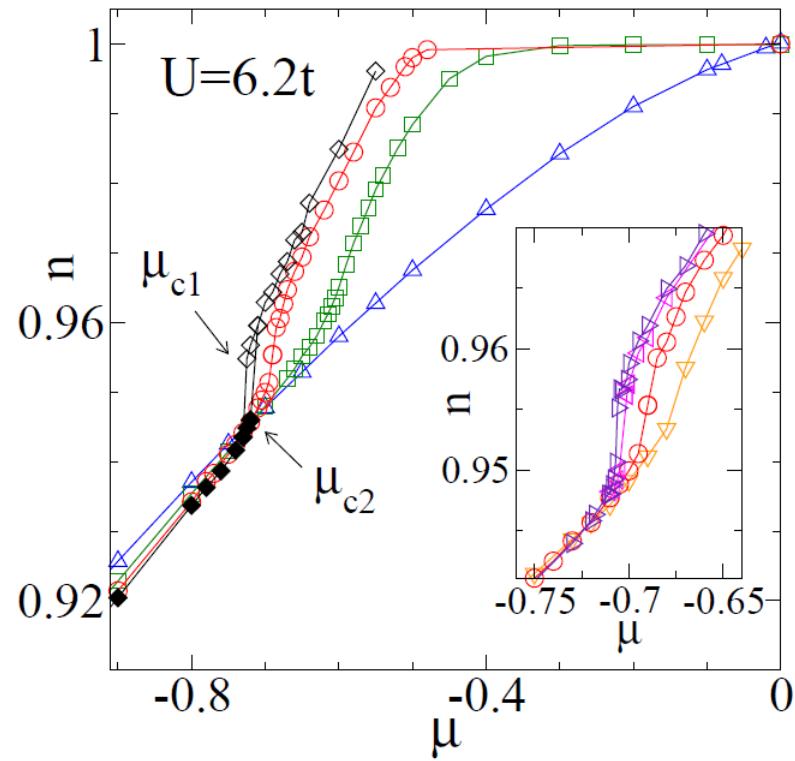
Gull, Werner, Millis, (2009)

# First order transition at finite doping

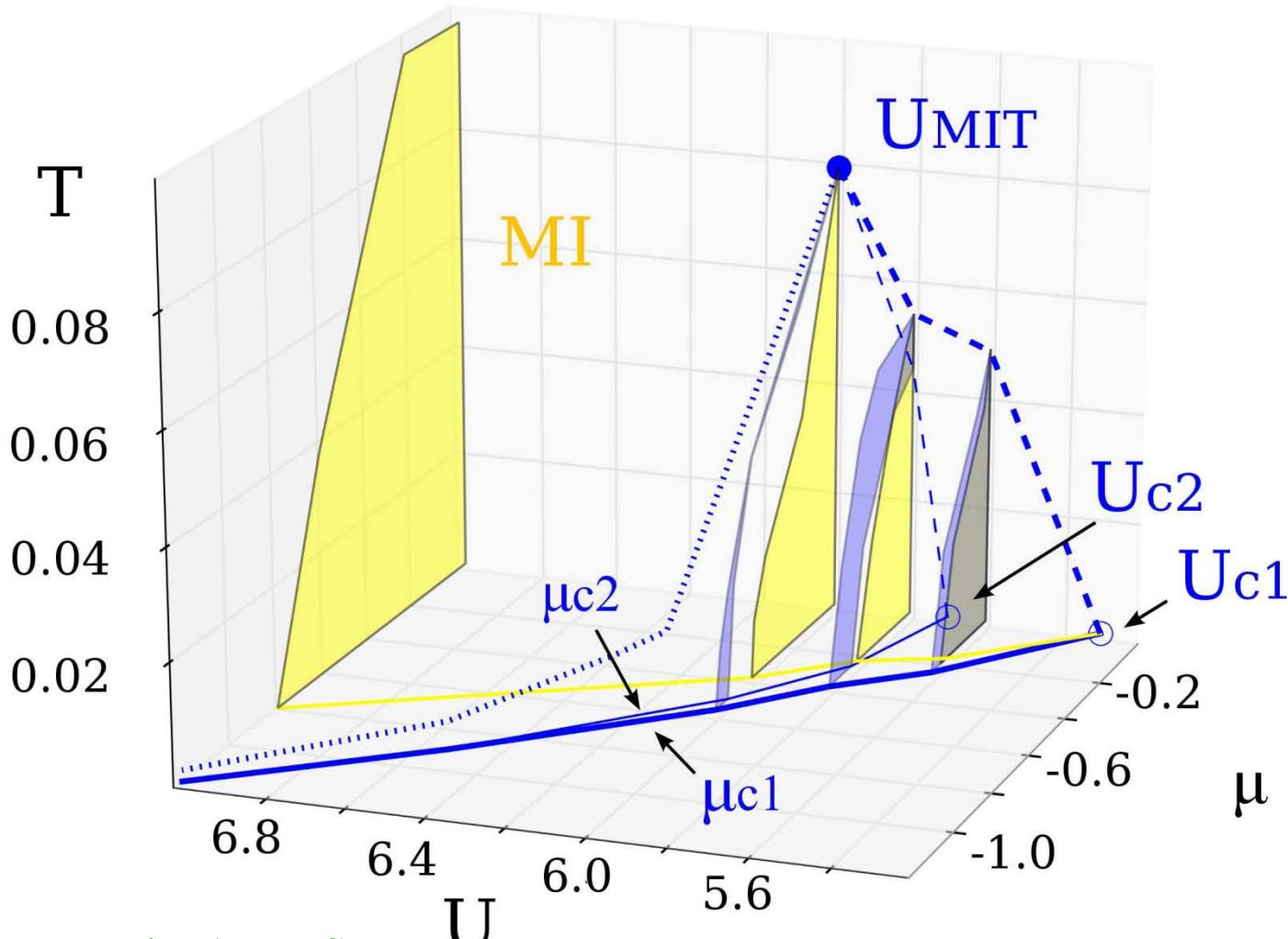


$n(\mu)$  for several temperatures:  
 $T/t = 1/10, 1/25, 1/50$

# The critical point



# Normal state phase diagram

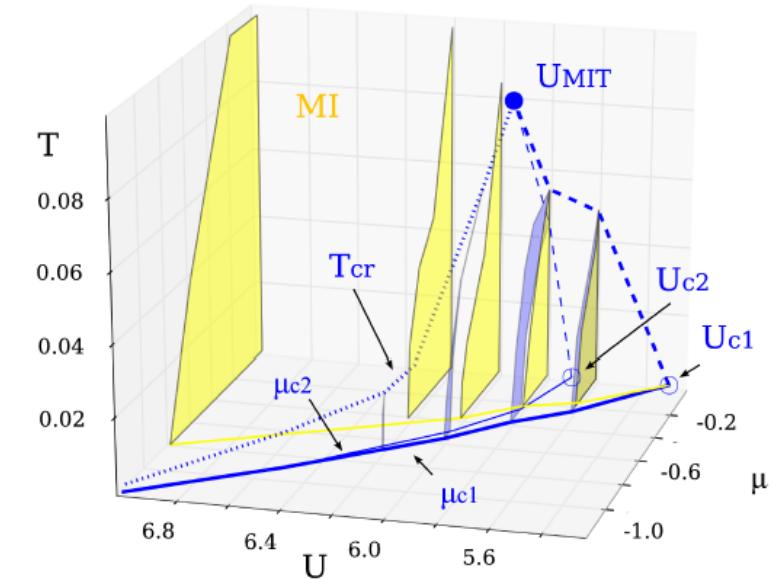
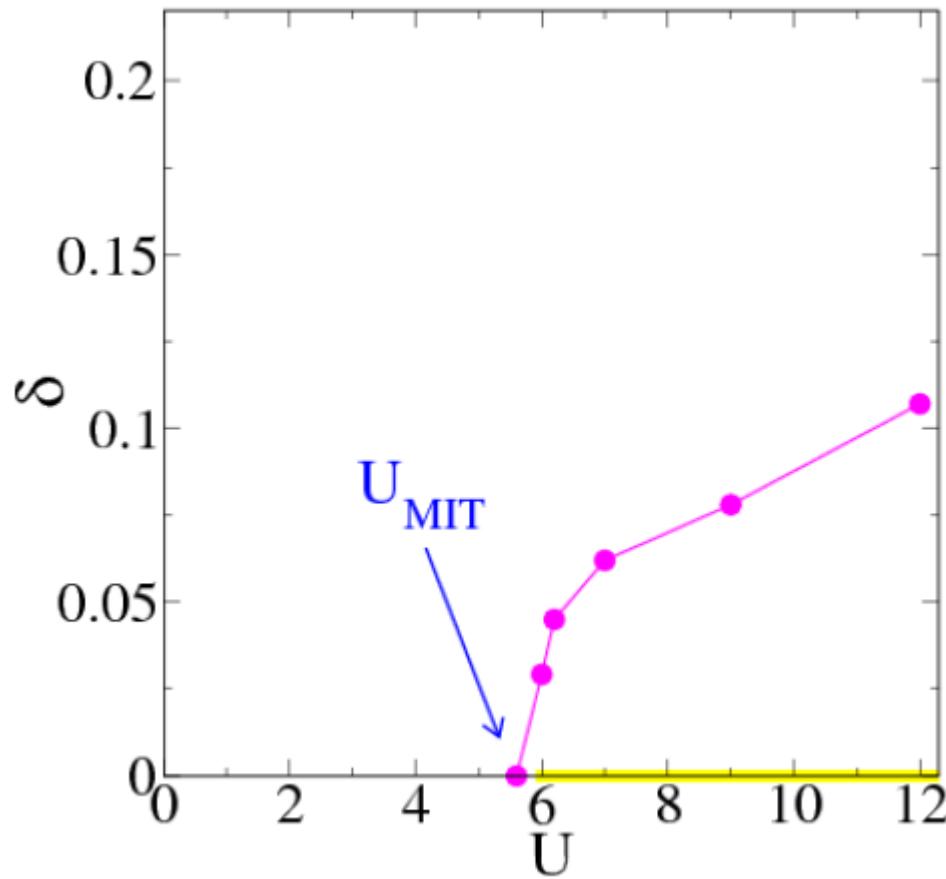


G. Sordi, K. Haule, A.-M.S.T  
PRL, 104, 226402 (2010)

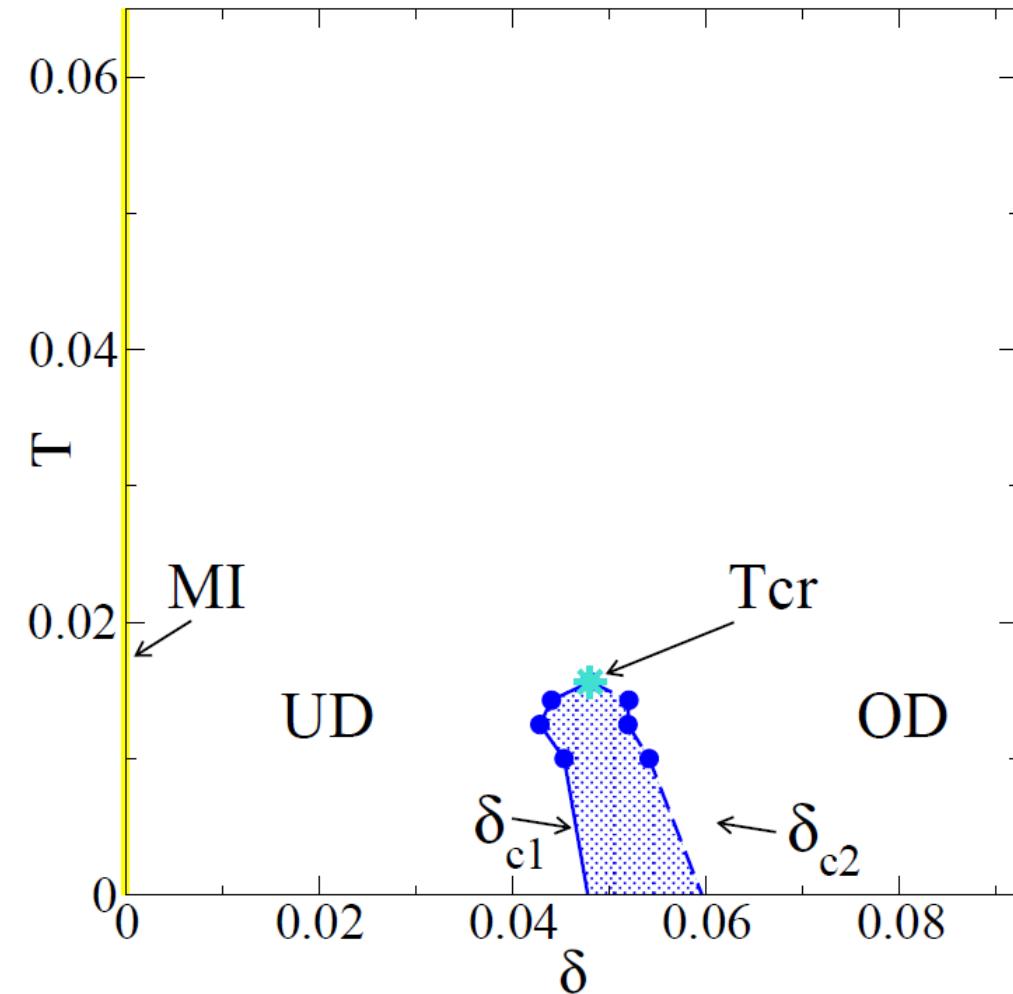
$\mu = 0$ , H. Park, K. Haule, and G. Kotliar,  
Phys. Rev. Lett. 101, 186403 (2008).

# Link to Mott transition up to optimal doping

Doping dependence of critical point as a function of  $U$



# Characterisation of the phases ( $U=6.2t$ )



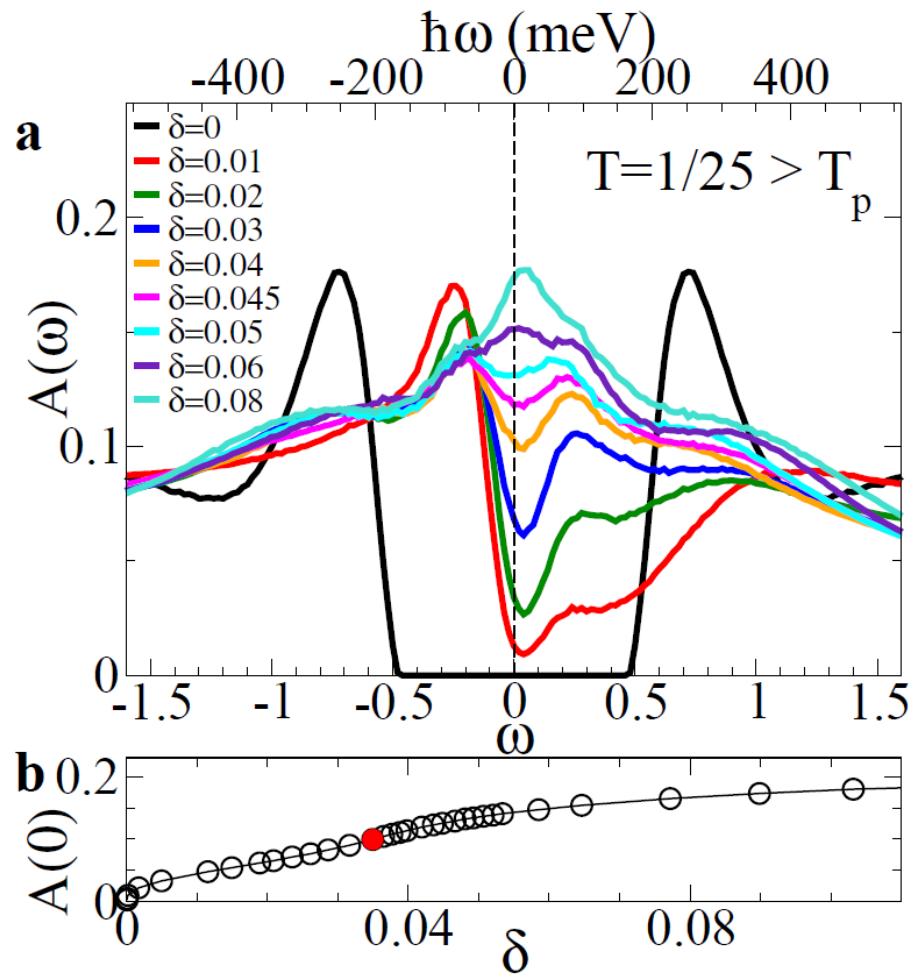
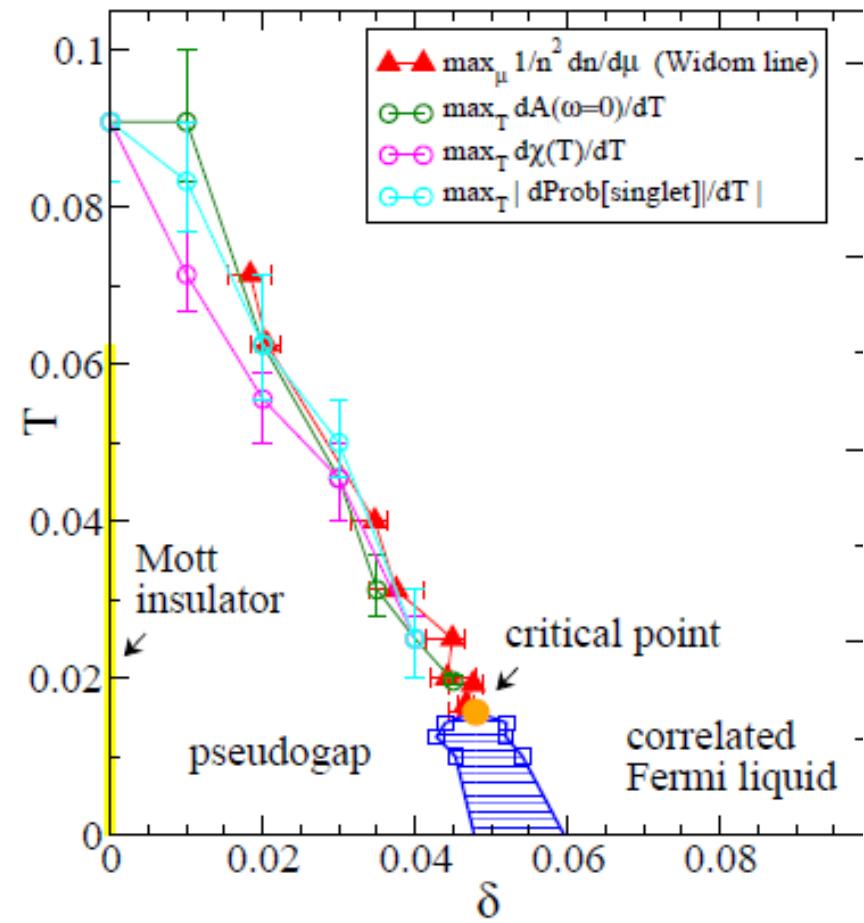
$U > U_{\text{MIT}}$ :

1. Mott insulator (MI)
2. Underdoped phase (UD):  
 $\delta < \delta_c$
3. Overdoped phase (OD):  
 $\delta > \delta_c$
4. Coexistence/forbidden region

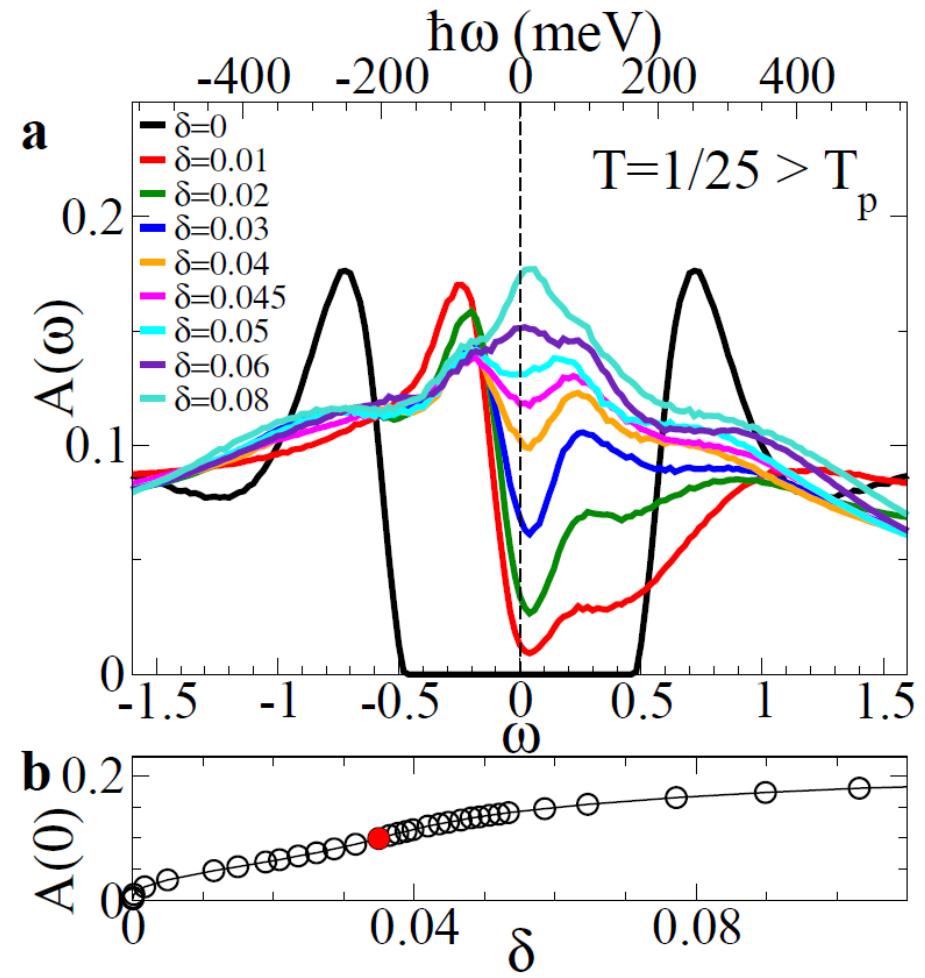
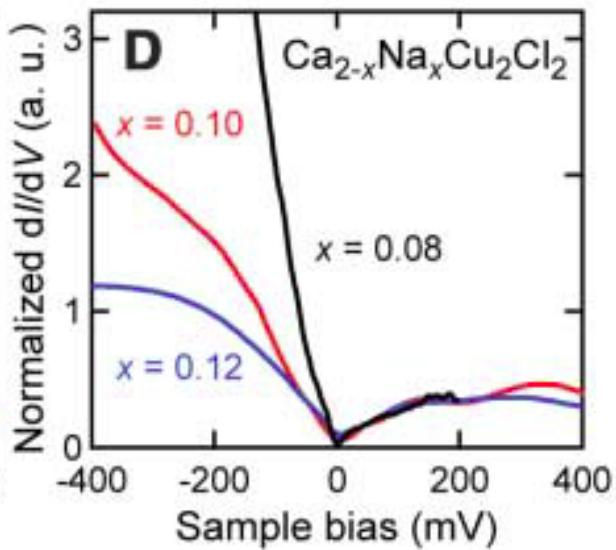
Here “optimal doping”  $\delta_c$  = doping at which the 1st order transition occurs

How does the UD phase differ from the OD phase?

# Density of states

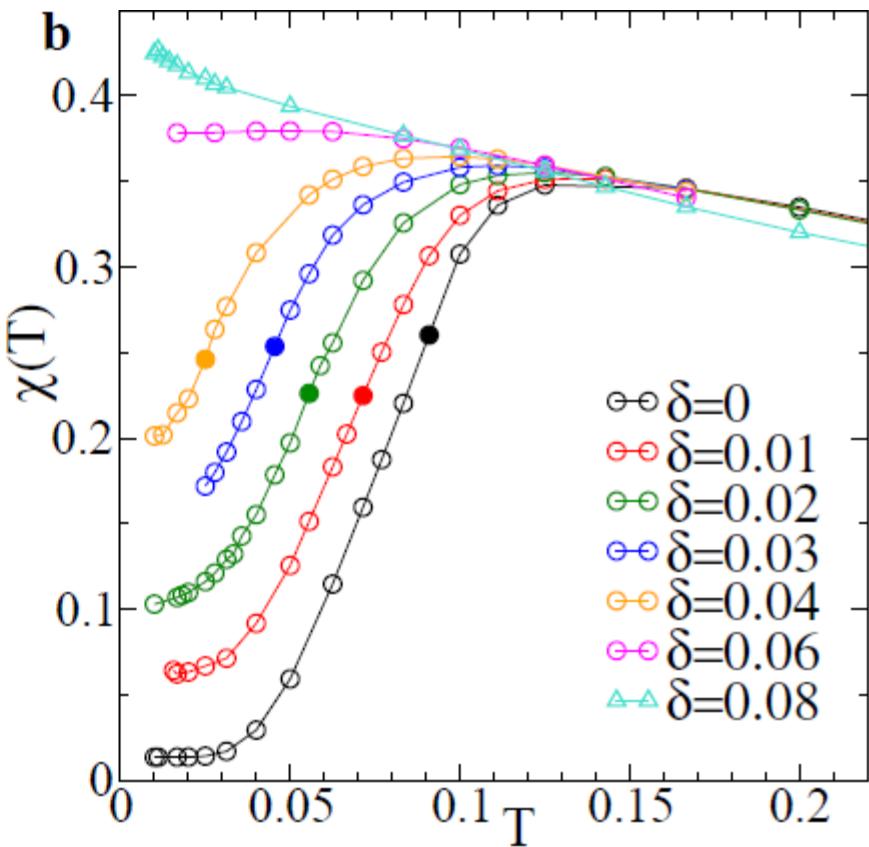
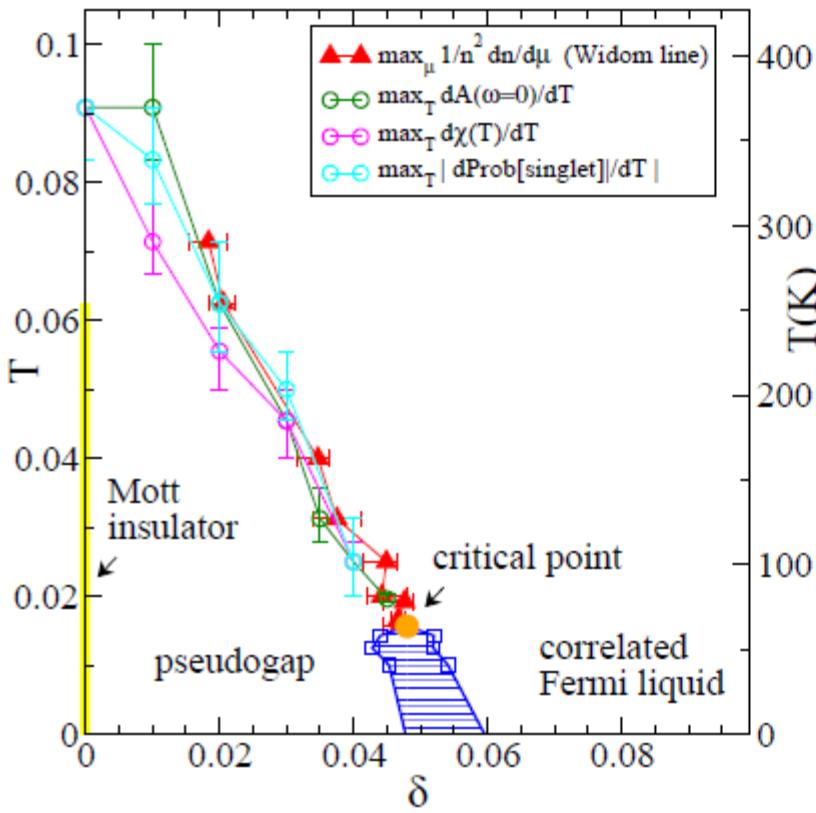


# Density of states

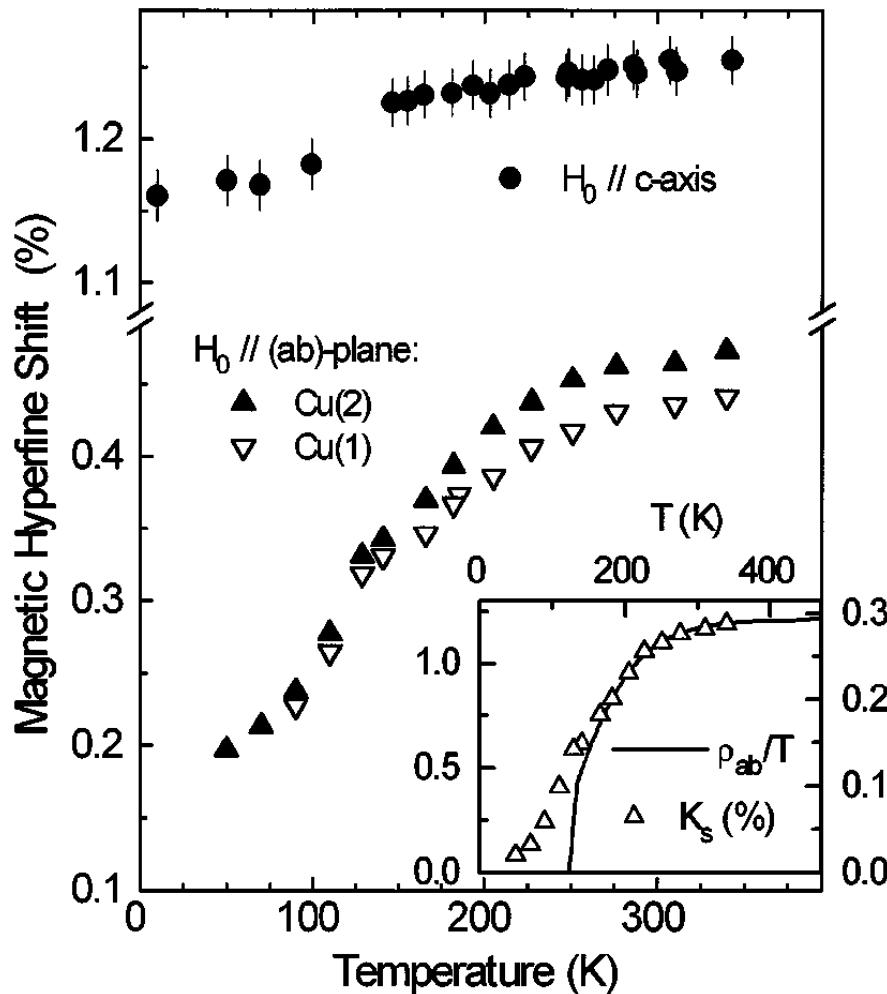


Khosaka et al. *Science* **315**, 1380 (2007);

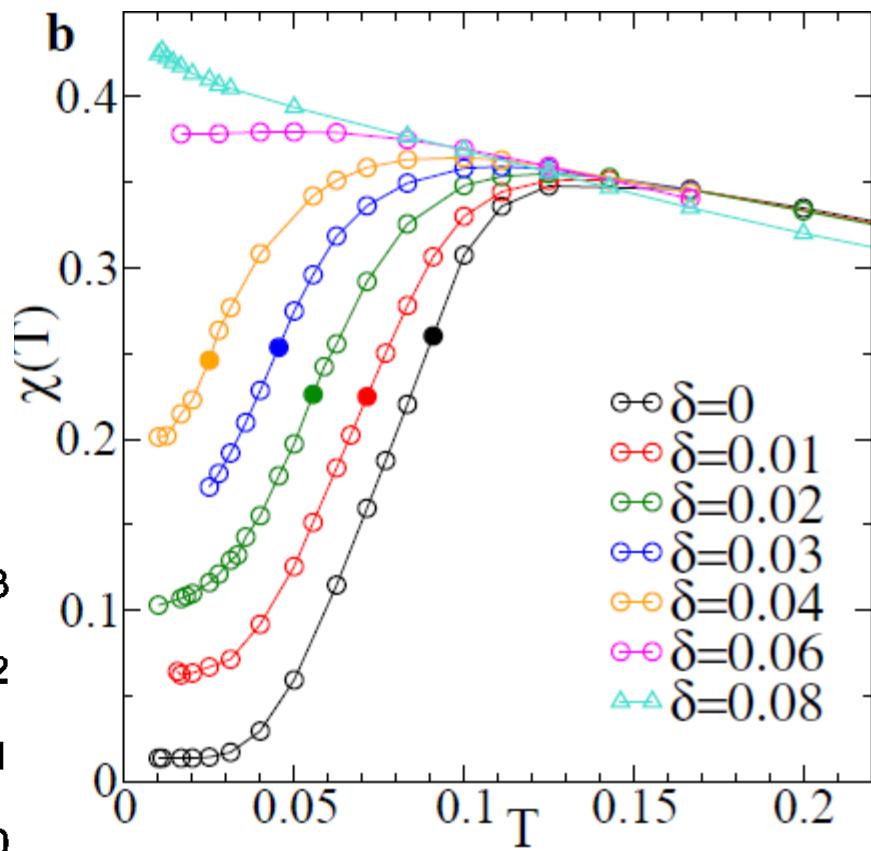
# Spin susceptibility



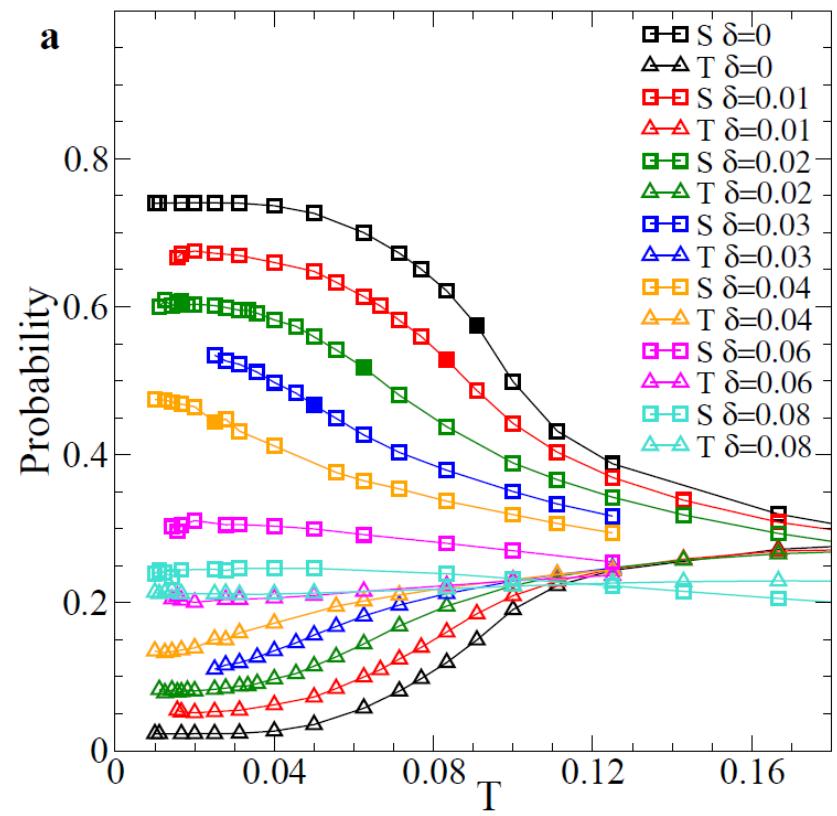
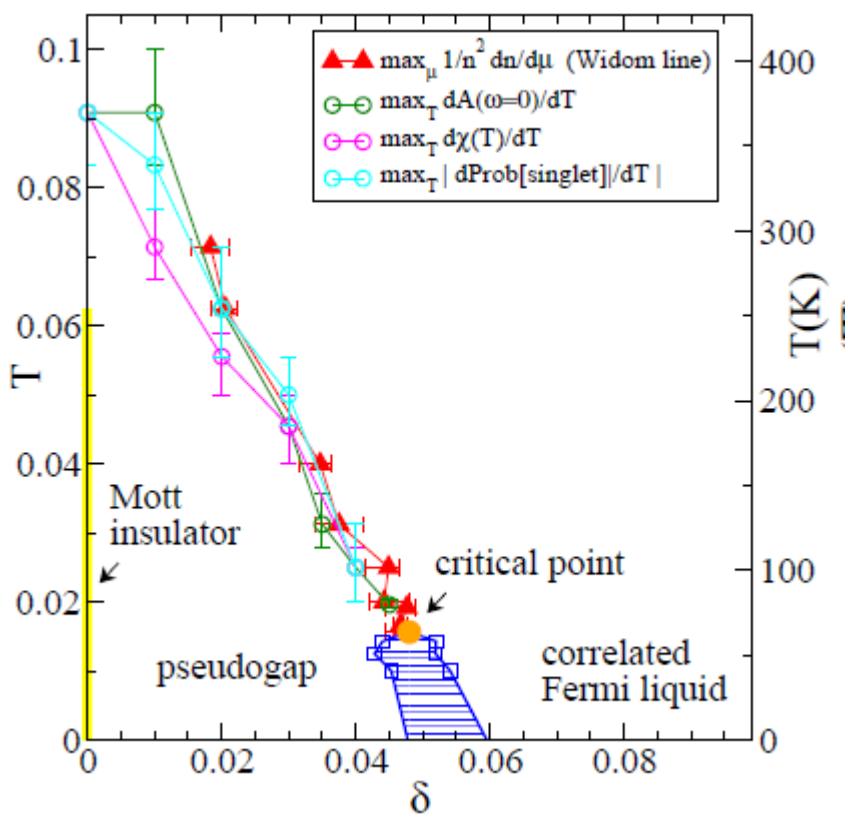
# Spin susceptibility



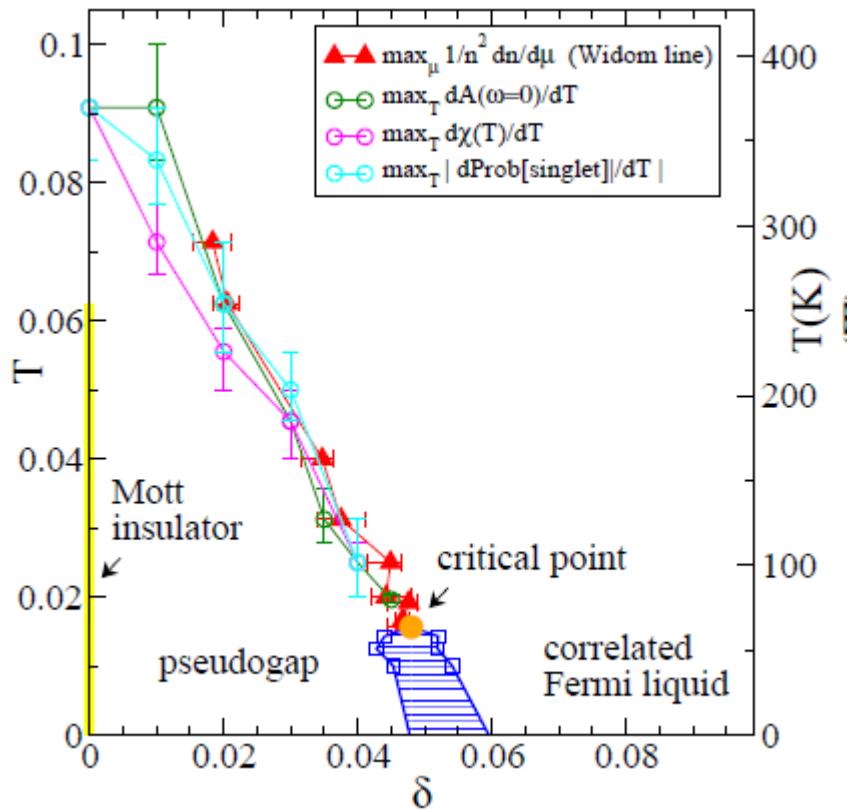
Underdoped Hg1223  
Julien et al. PRL 76, 4238 (1996)



# Plaquette eigenstates



# Pseudogap $T^*$ along the Widom line





Giovanni Sordi



Patrick Sémon



Kristjan Haukka

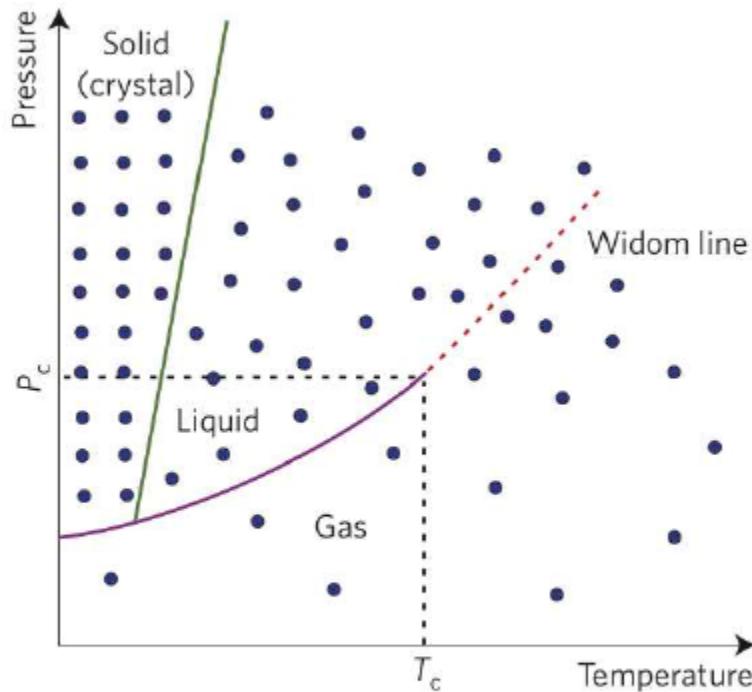
# Finite $T$ phase diagram

Pseudogap in the normal state and the  
Widom line



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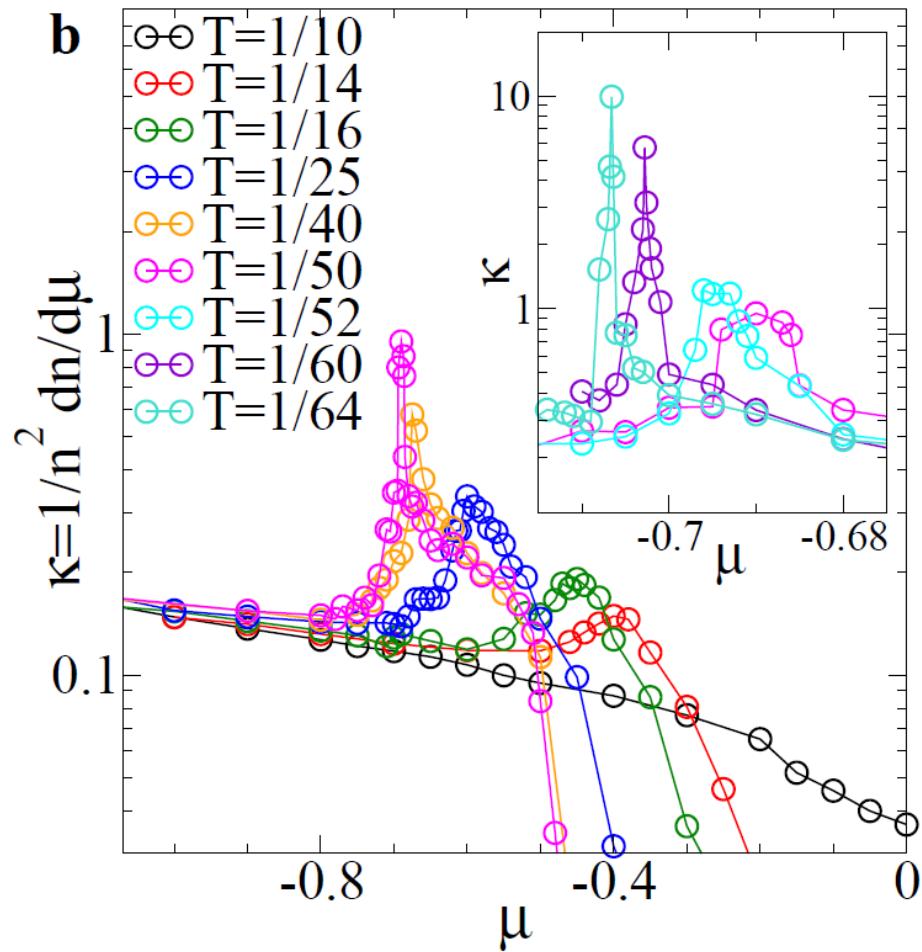
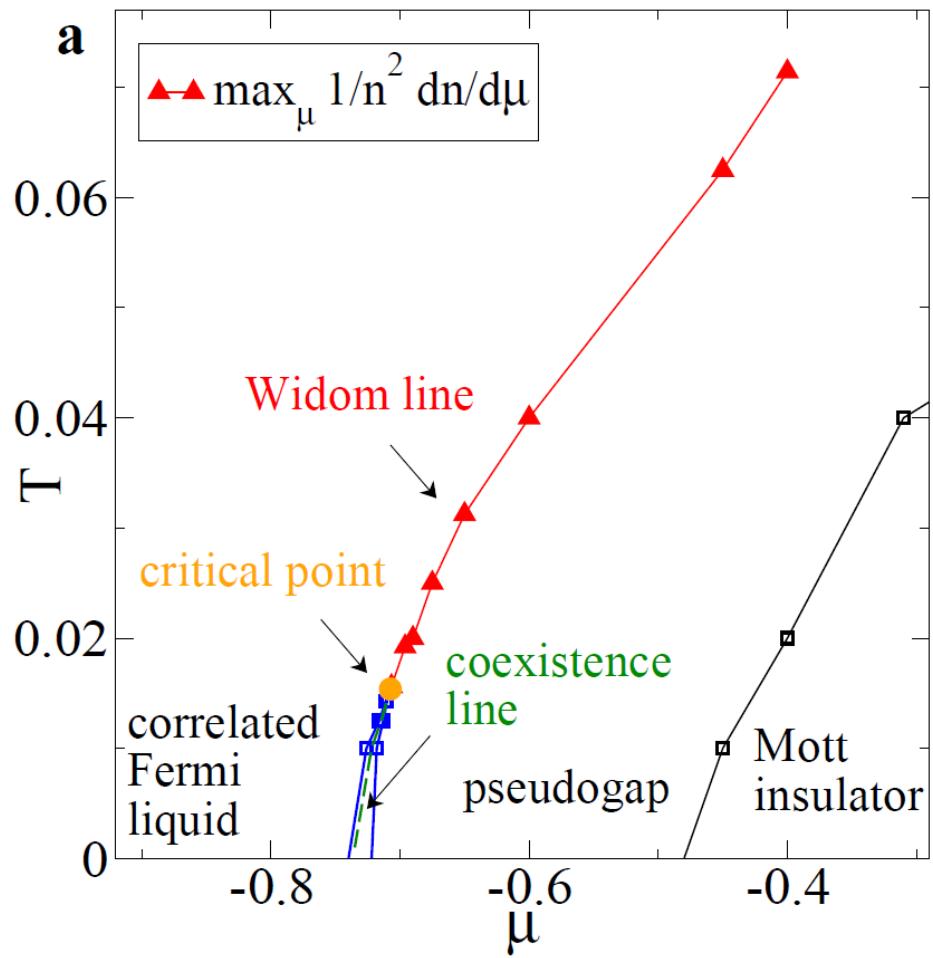
# What is the Widom line?



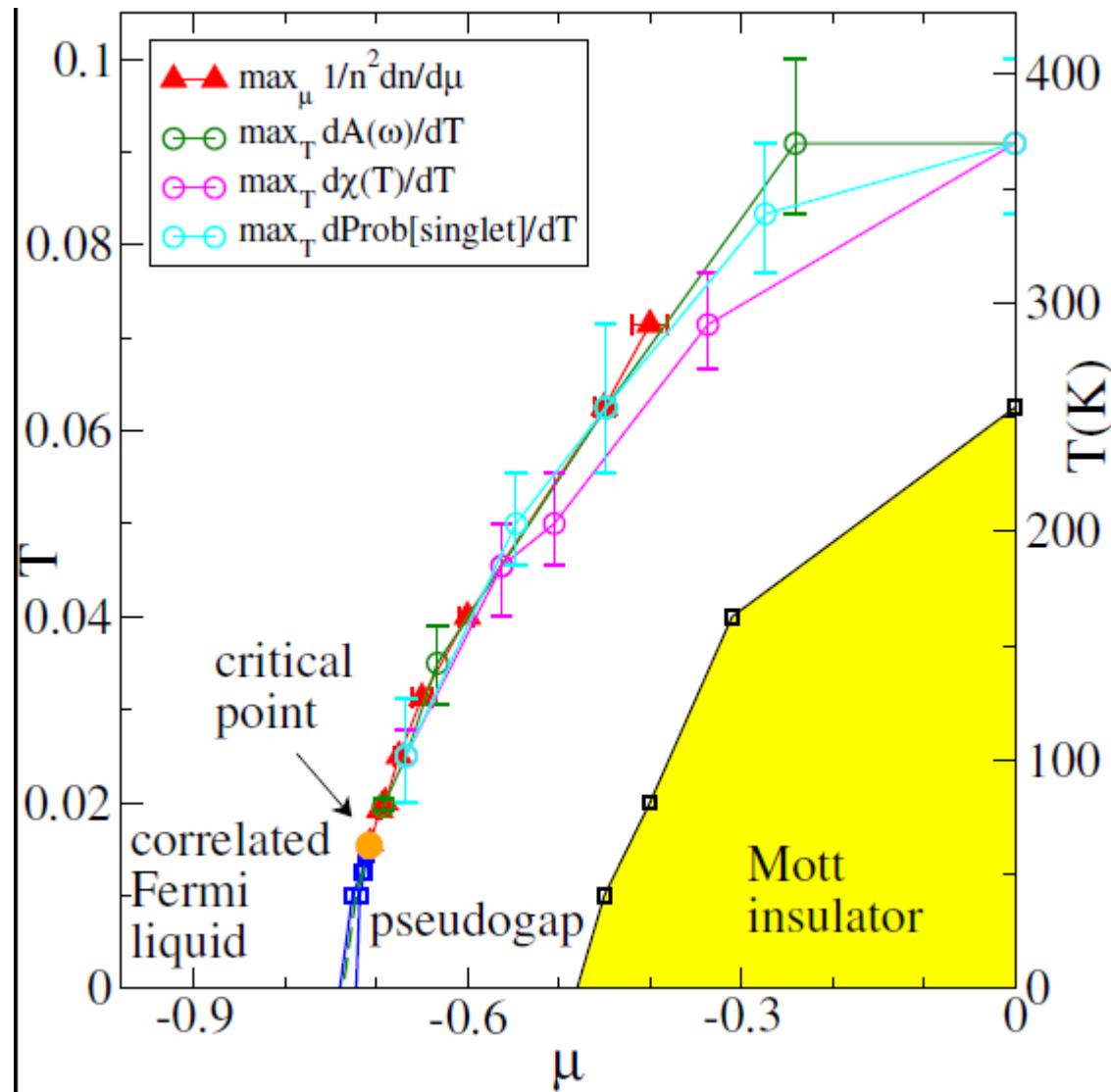
- ▶ it is the continuation of the coexistence line in the supercritical region
- ▶ line where the **maxima of different response functions** touch each other asymptotically as  $T \rightarrow T_p$
- ▶ liquid-gas transition in water: max in isobaric heat capacity  $C_p$ , isothermal compressibility, isobaric heat expansion, etc
- ▶ **DYNAMIC crossover arises from crossing the Widom line!**  
water: Xu et al, PNAS 2005,  
Simeoni et al Nat Phys 2010

McMillan and Stanley, Nat Phys 2010

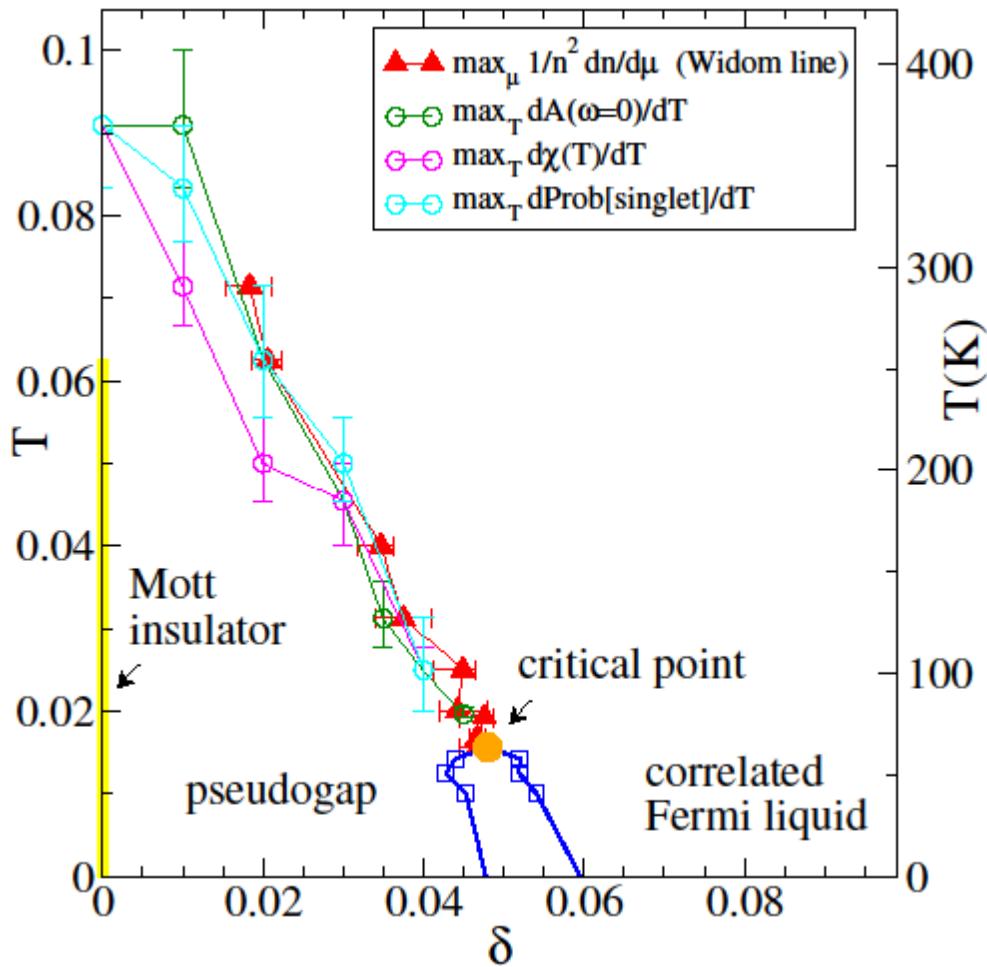
# The Widom line



# Rapid change also in dynamical quantities



# Phase diagram





Giovanni Sordi



Patrick Sémon



Kristjan Haukka

# Finite $T$ phase diagram

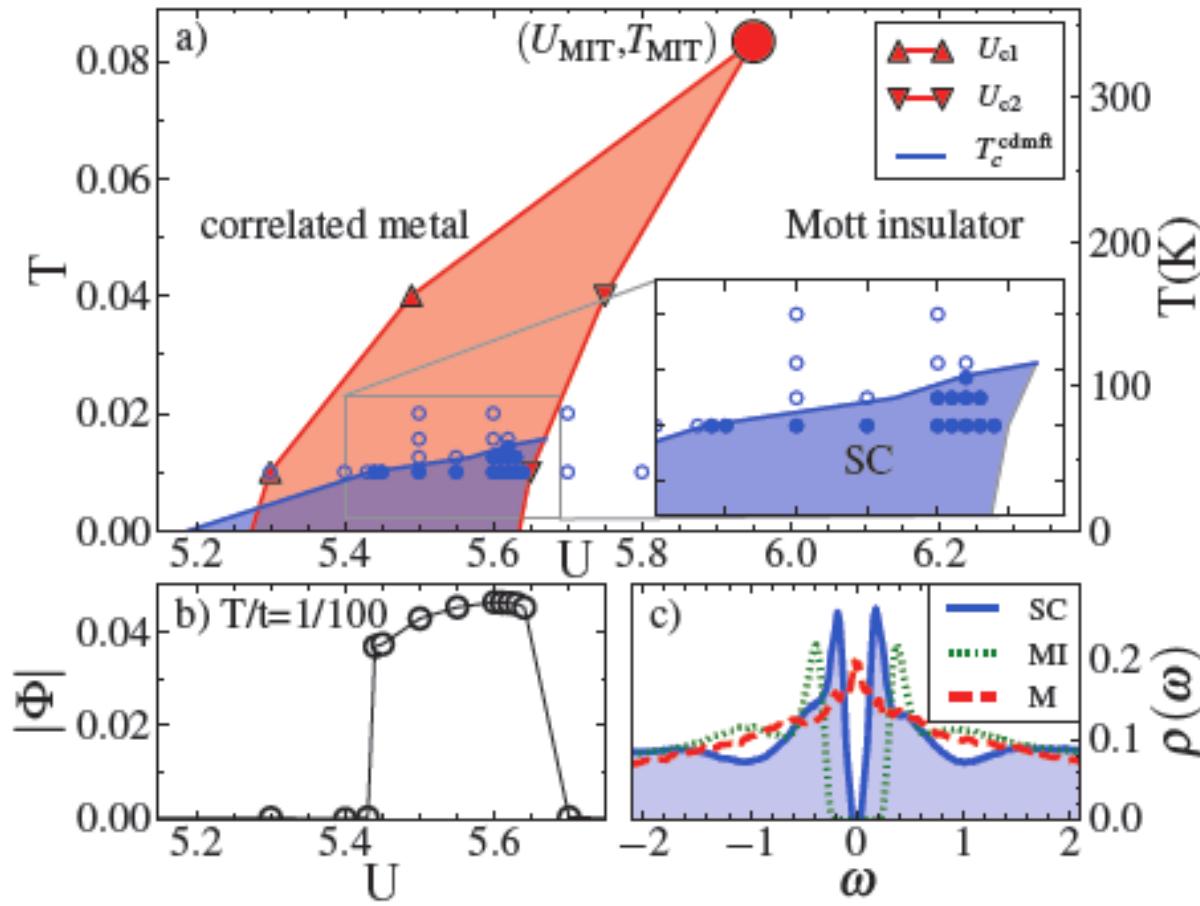
## Superconductivity

arXiv:1201.1283v1

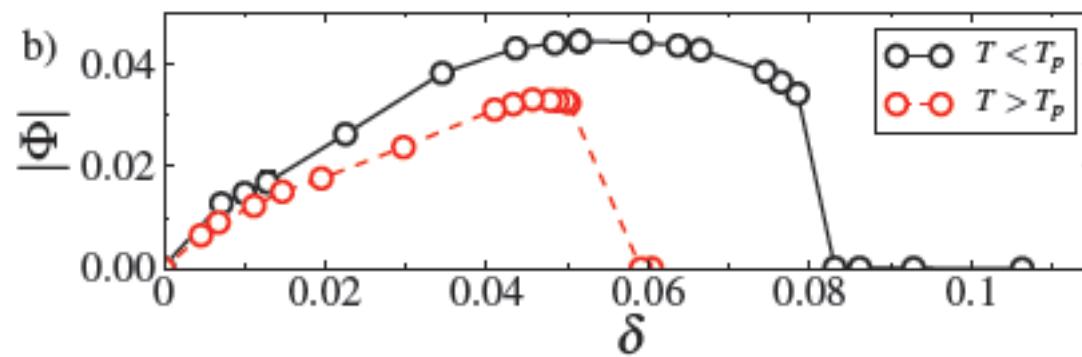


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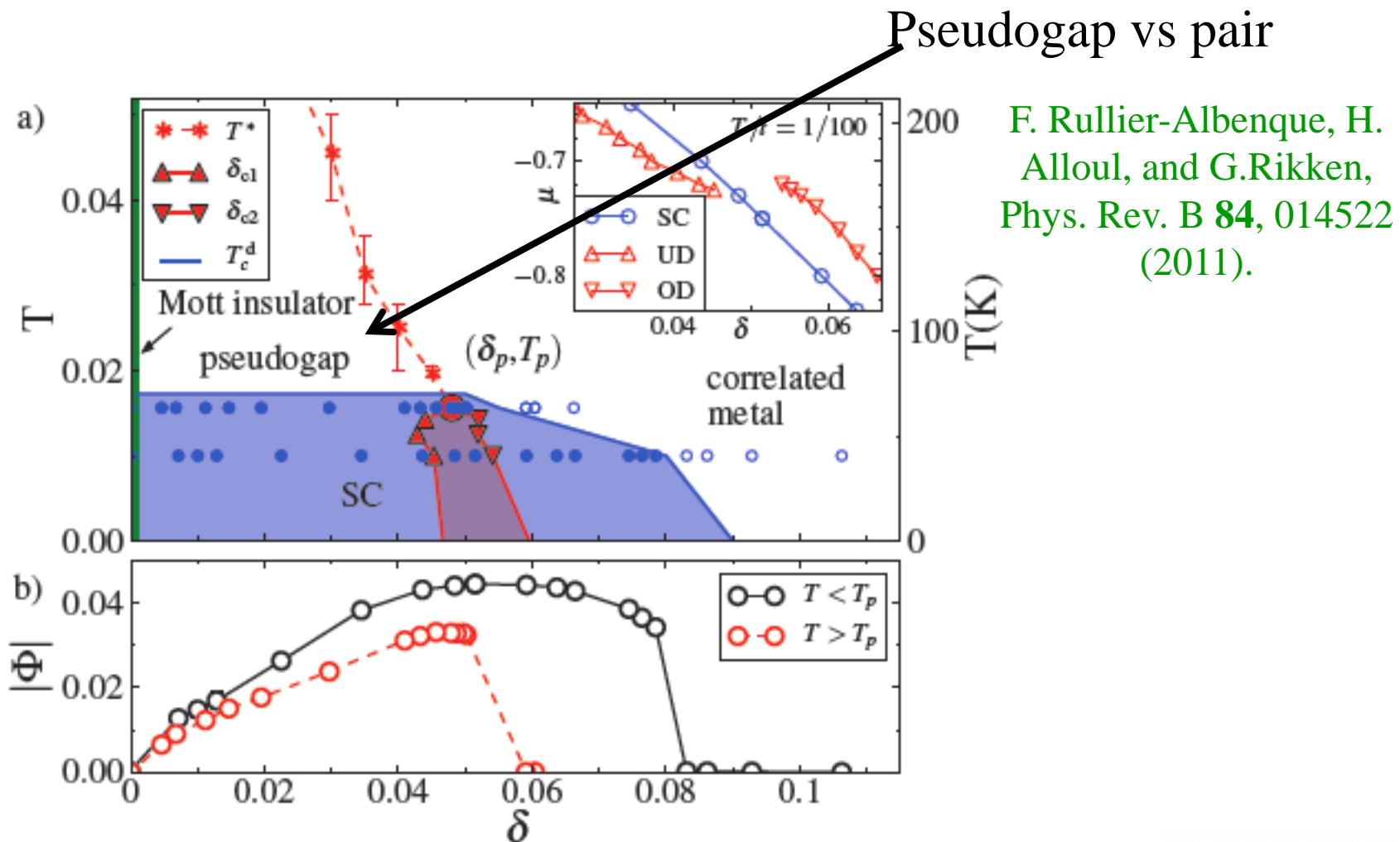
# $n = 1$ , Almost layered organics BEDT



# Cuprates (doping driven transition)



# Cuprates (doping driven transition)



# Contrast Tc and order parameter even at large $U$

Haule&Kotliar PRB 76 104509: t-J model ( $J/t=0.3$ )

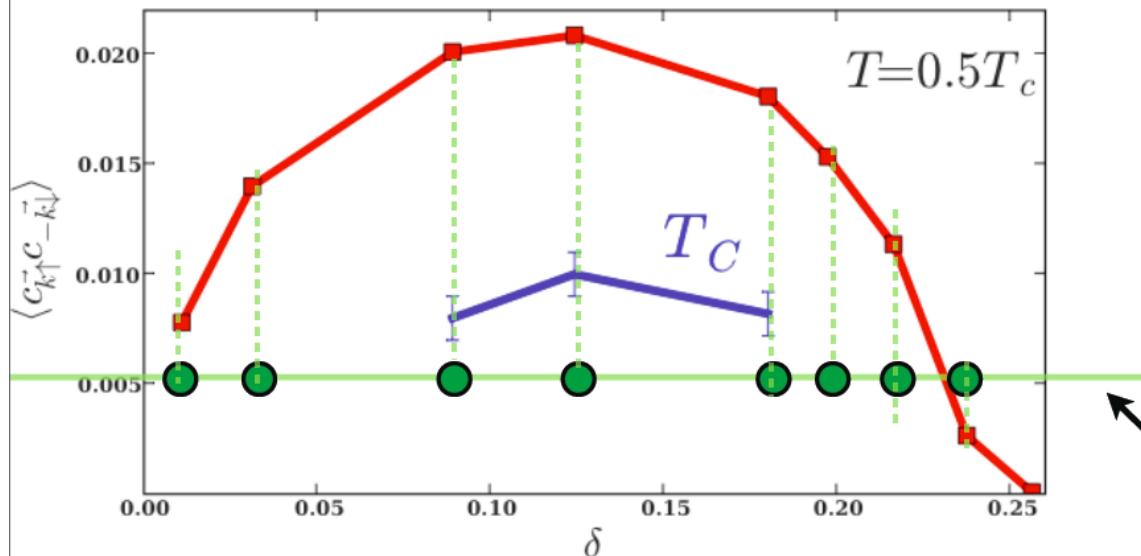
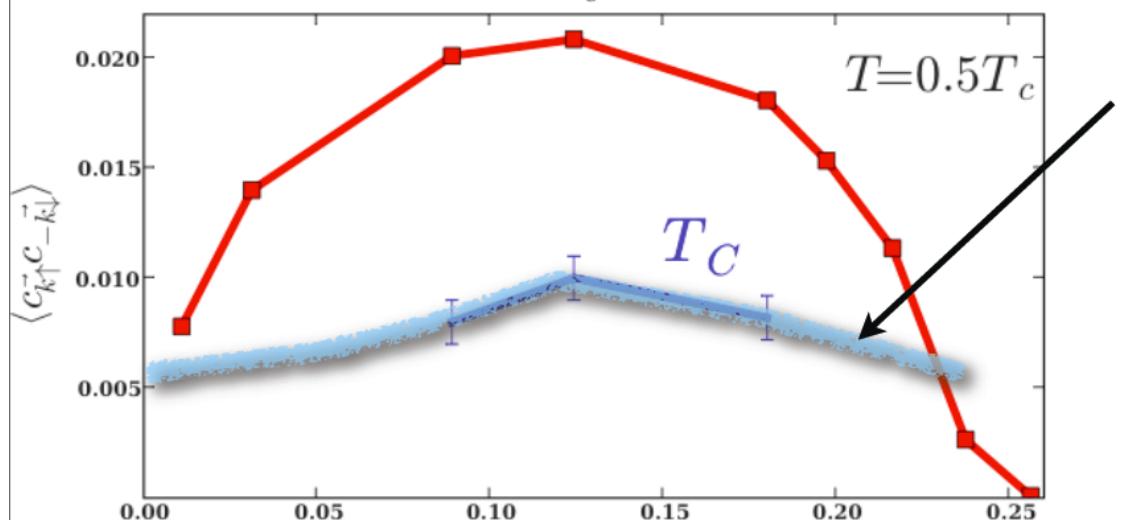


FIG. 20. (Color online) Order parameter in C-DMFT computed with CTQMC at  $T=0.5T_{c\max}$ . The critical temperature (in units of  $t$ ) for a few doping values is also displayed.

$T_c^{\max/2}$

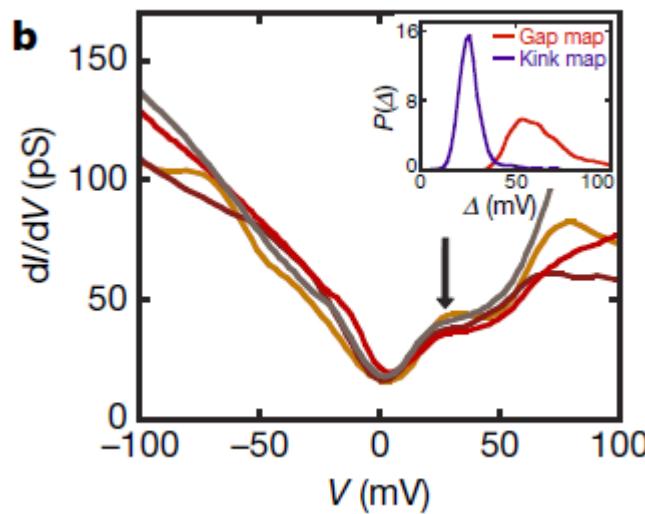


Lower bound

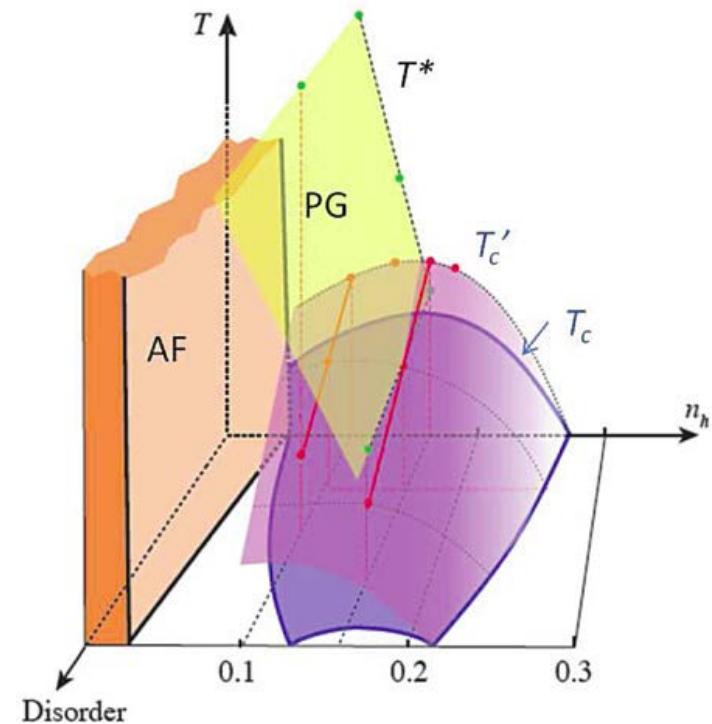
FIG. 20. (Color online) Order parameter in C-DMFT computed with CTQMC at  $T=0.5T_{c\max}$ . The critical temperature (in units of  $t$ ) for a few doping values is also displayed.

# Meaning of $T_c^d$

- Local pair formation



K. K. Gomes, A. N. Pasupathy, A. Pushp,  
S. Ono, Y. Ando, and A. Yazdani,  
Nature **447**, 569 (2007)

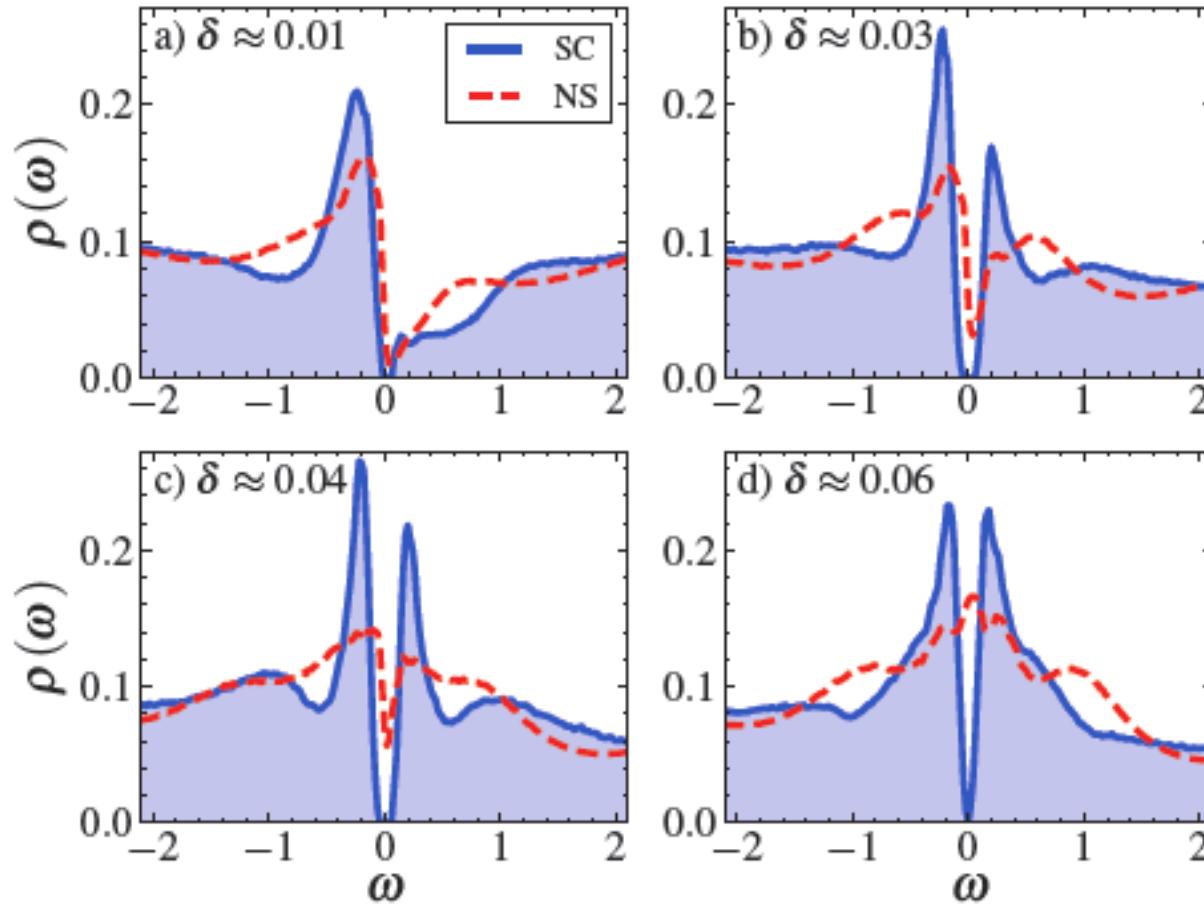


F. Rullier-Albenque, H. Alloul, and G. Rikken,  
Phys. Rev. B **84**, 014522 (2011).

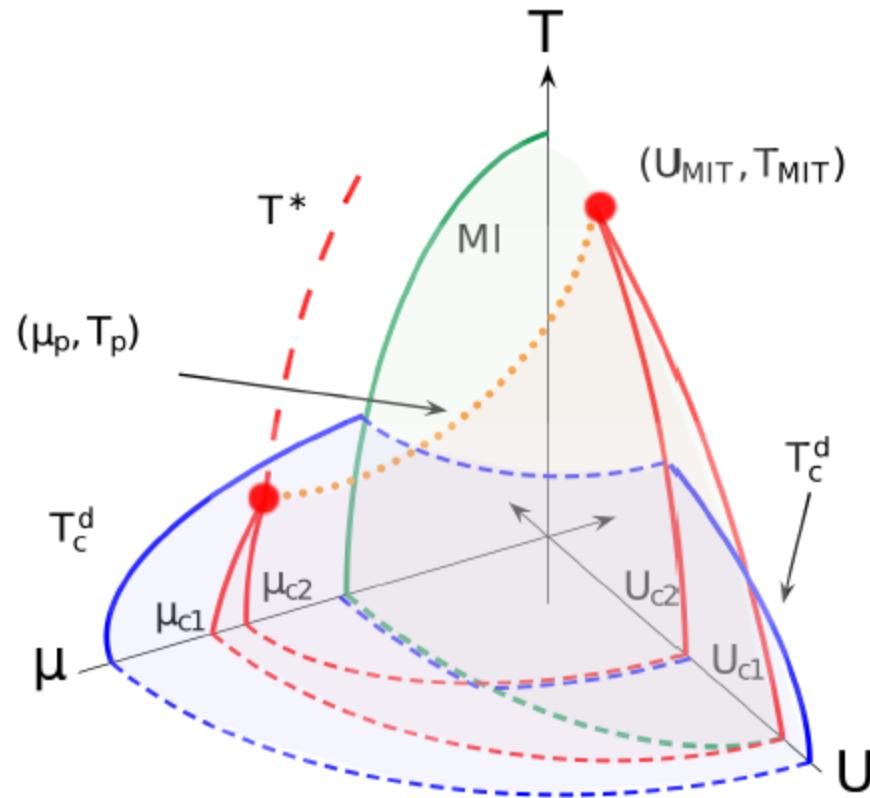
# Actual $T_c$ in underdoped

- Quantum and classical phase fluctuations
  - V. J. Emery and S. A. Kivelson, Phys. Rev. Lett. **74**, 3253 (1995).
  - V. J. Emery and S. A. Kivelson, Nature **374**, 474 (1995).
  - D. Podolsky, S. Raghu, and A. Vishwanath, Phys. Rev. Lett. **99**, 117004 (2007).
  - Z. Tesanovic, Nat Phys **4**, 408 (2008).
- Magnitude fluctuations
  - I. Ussishkin, S. L. Sondhi, and D. A. Huse, Phys. Rev. Lett. **89**, 287001 (2002).
- Competing order
  - E. Fradkin, S. A. Kivelson, M. J. Lawler, J. P. Eisenstein, and A. P. Mackenzie, Annual Review of Condensed Matter Physics **1**, 153 (2010).
- Disorder
  - F. Rullier-Albenque, H. Alloul, F. Balakirev, and C. Proust, EPL (Europhysics Letters) **81**, 37008 (2008).
  - H. Alloul, J. Bobro, M. Gabay, and P. J. Hirschfeld, Rev. Mod. Phys. **81**, 45 (2009).

# First-order transition leaves its mark



# Unified phase diagram



# Other topics

# Main collaborators



Giovanni Sordi



Kristjan Haule



David Sénéchal



Bumsoo Kyung



Patrick Sémon



Massimo Capone



Sarma Kanchala



Marcello Civelli

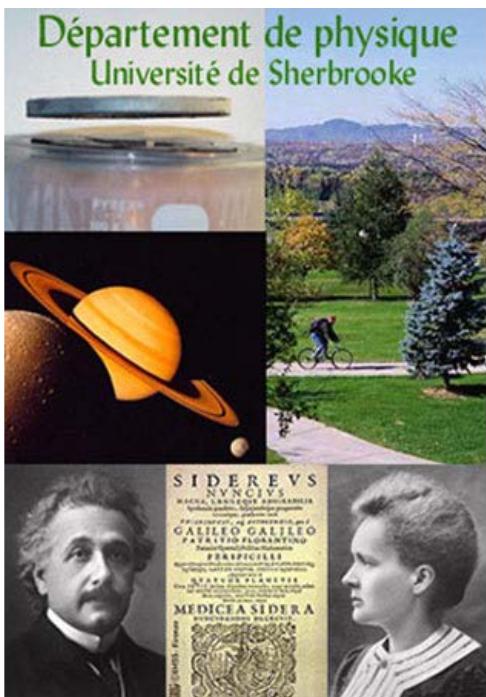


Gabriel Kotliar



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# André-Marie Tremblay



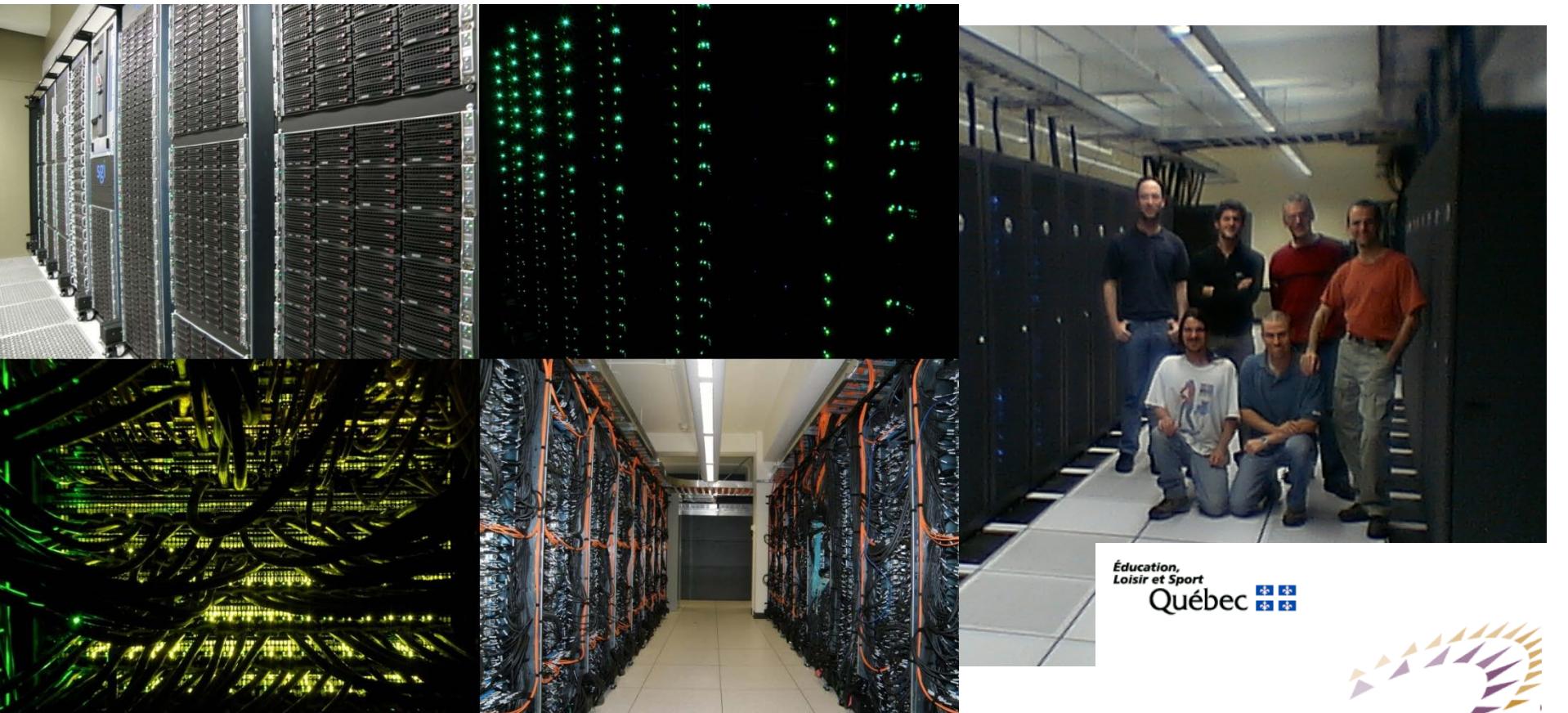
Le regroupement québécois sur les matériaux de pointe



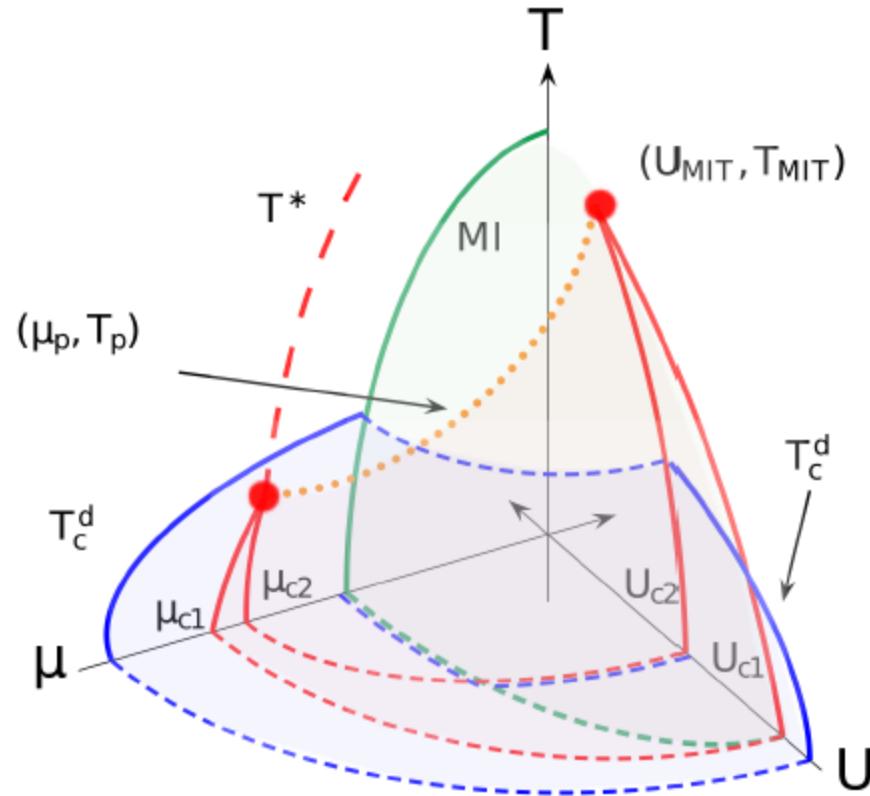
## Sponsors:



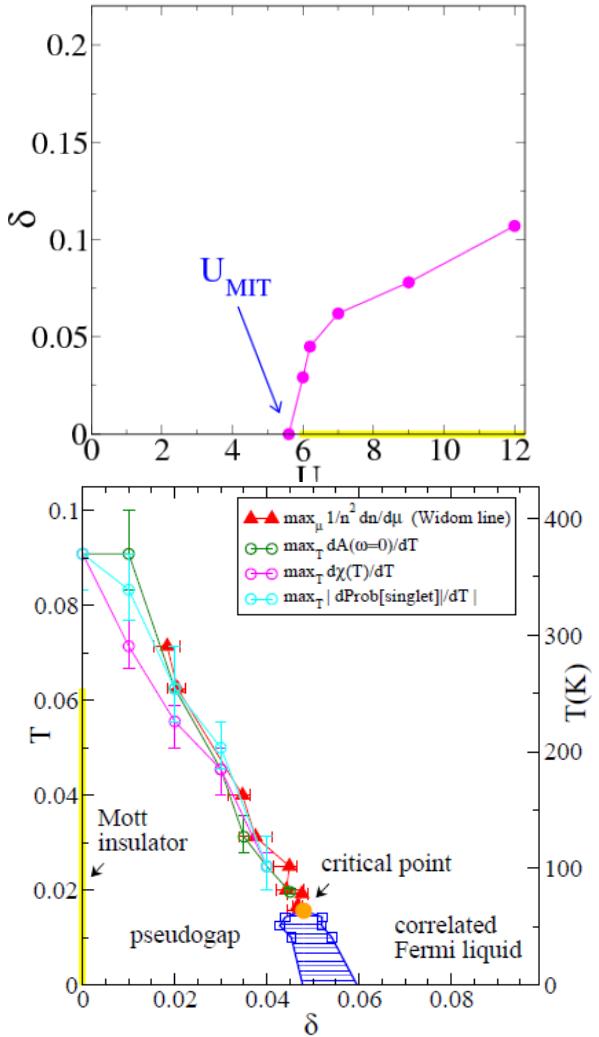
# Mammouth



# Summary

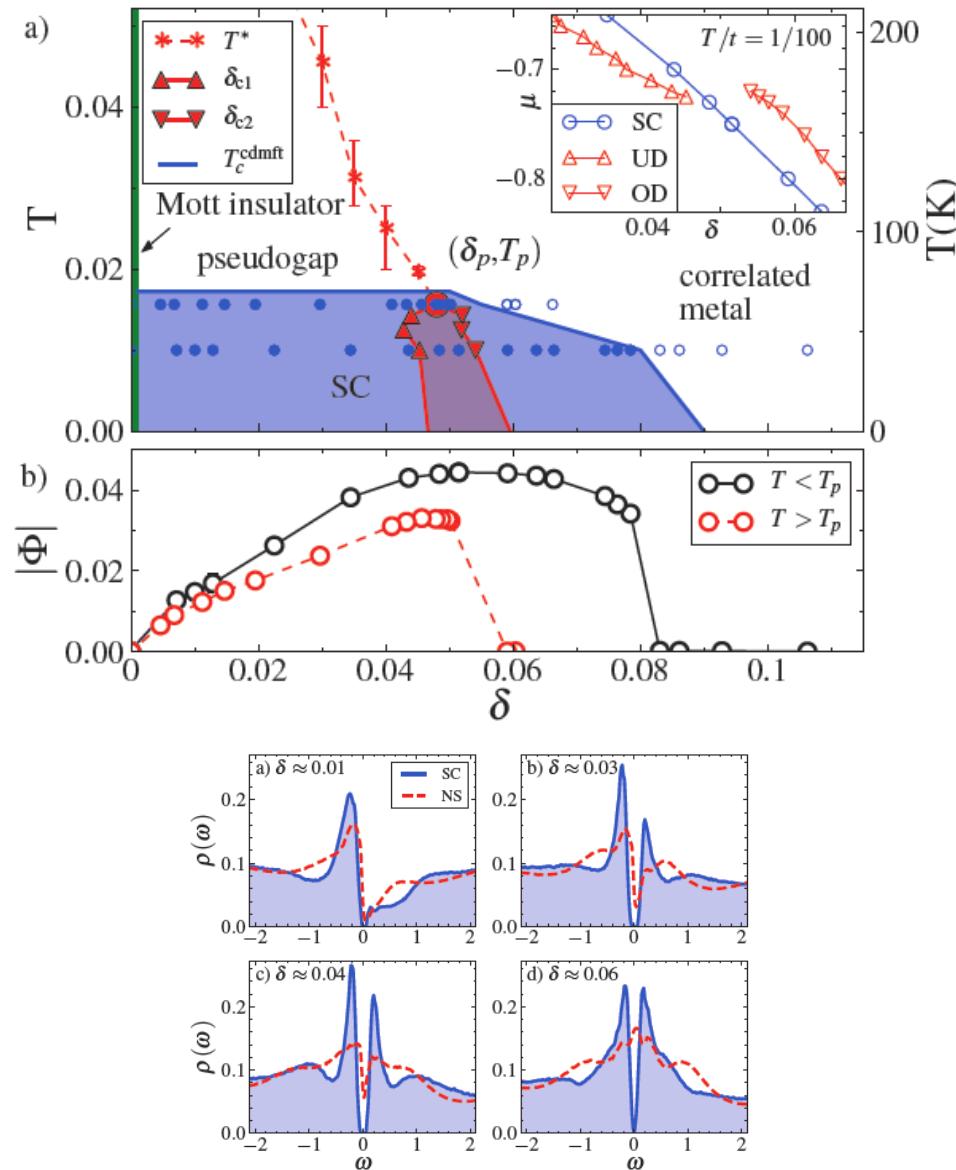


# Summary



- Mott physics extends way beyond half-filling
- Pseudogap is a phase
- Pseudogap  $T^*$  is a Widom line
- High compressibility (stripes?)

# Summary



- Below the dome finite  $T$  critical point (not QCP) controls normal state
- First-order transition destroyed but traces in the dynamics
- $T^*$  different from  $T_c^d$
- Actual  $T_c$  in underdoped
  - Competing order
  - Long wavelength fluctuations (see O.P.)
  - Disorder

Merci

Thank you