

# Superconductivity, pseudogap and Mott transition

A.-M. Tremblay

G. Sordi, K. Haule, P. Sémond



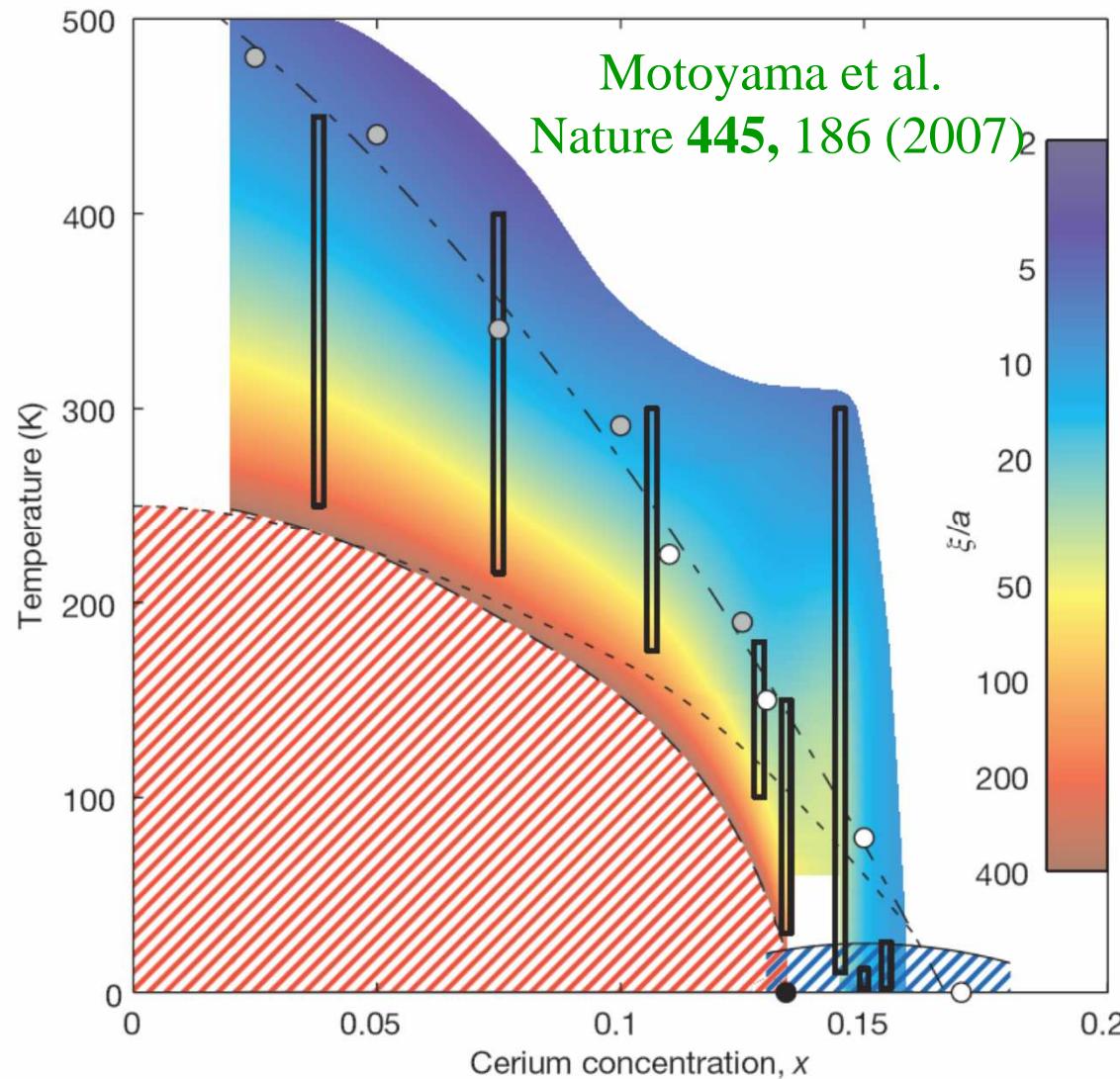
Montauk, 6 Sept. 2012



# Three broad classes of mechanisms for pseudogap

- Rounded first order transition
- $d=2$  precursor to a lower temperature broken symmetry phase
- Mott physics
  - Competing order
    - Current loops: Varma, PRB **81**, 064515 (2010)
    - Stripes or nematic: Kivelson et al. RMP **75** 1201(2003); J.C.Davis
    - d-density wave : Chakravarty, Nayak, Phys. Rev. B **63**, 094503 (2001); Affleck et al. flux phase
    - SDW: Sachdev PRB **80**, 155129 (2009) ...
  - Or Mott Physics?
    - RVB: P.A. Lee Rep. Prog. Phys. **71**, 012501 (2008)

# $d = 2$ precursors, e-doped



Motoyama et al.  
Nature 445, 186 (2007)

$$\xi^* = 2.6(2)\xi_{\text{th}}$$

Vilk, A.-M.S.T (1997)

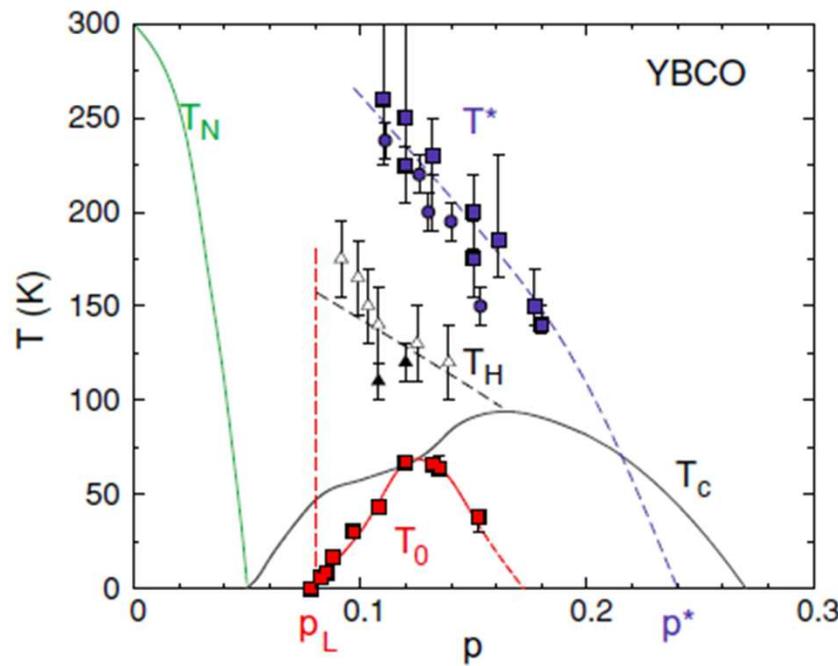
Kyung, Hankevych,  
A.-M.S.T., PRL, sept.  
2004

Semi-quantitative fits of  
both ARPES and  
neutron



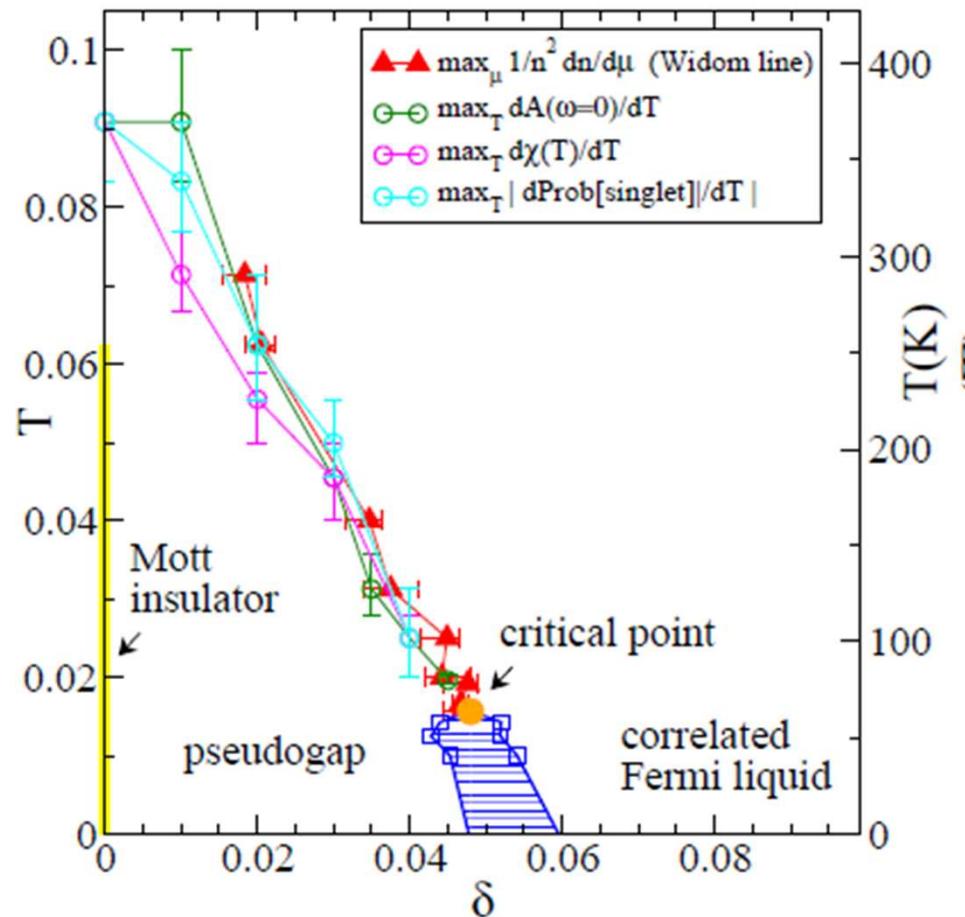
UNIVERSITÉ DE  
SHERBROOKE

# Hole-doped case: Competing phases?



Leboeuf, Doiron-Leyraud et al. PRB **83**, 054506 (2011)

# Pseudogap from Mott physics



G. Sordi, *et al.* Scientific Reports 2, 547 (2012)

Competing order is a consequence of the pseudogap, not its cause:

Parker et al. Nature 468, 677 (2010)

# Model

$$H = -\sum_{<ij>\sigma} t_{i,j} \left( \hat{c}_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



UNIVERSITÉ DE  
SHERBROOKE

# Outline

- Method
- $T=0$  phase diagram
- Finite  $T$  phase diagram
  - Normal state (no LRO, what is below the dome)
    - First order transition
    - Widom line and pseudogap
  - Superconductivity



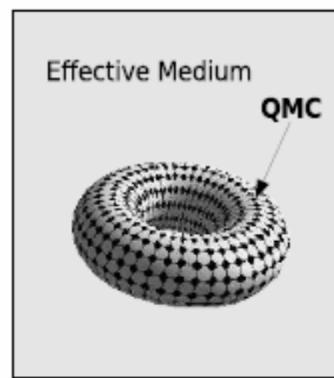
UNIVERSITÉ DE  
SHERBROOKE

# Method



UNIVERSITÉ DE  
SHERBROOKE

# *2d Hubbard: Quantum cluster method*

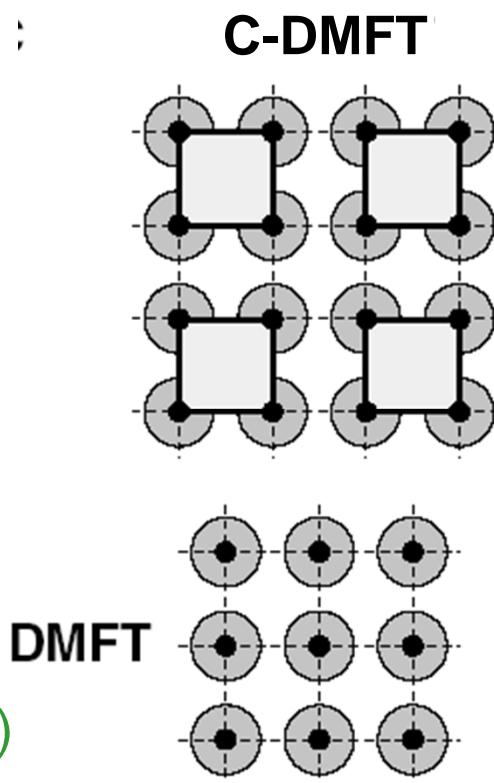


**DCA**

Hettler ... Jarrell ... Krishnamurty PRB **58** (1998)

Kotliar et al. PRL **87** (2001)

M. Potthoff et al. PRL **91**, 206402 (2003).



**REVIEWS**

Maier, Jarrell et al., RMP. (2005)

Kotliar et al. RMP (2006)

AMST et al. LTP (2006)



UNIVERSITÉ DE  
SHERBROOKE

## Another way to look at this (Potthoff)

$$\Omega_{\mathbf{t}}[G] = \Phi[G] - Tr[(G_{0\mathbf{t}}^{-1} - G^{-1})G] + Tr \ln(-G)$$

$$\frac{\delta \Phi[G]}{\delta G} = \Sigma$$

$$\Omega_{\mathbf{t}}[\Sigma] = \boxed{\Phi[G] - Tr[\Sigma G]} - Tr \ln(-G_{0\mathbf{t}}^{-1} + \Sigma)$$

Still stationary (chain rule)

$$\Omega_{\mathbf{t}}[\Sigma] = \boxed{F[\Sigma]} - Tr \ln(-G_{0\mathbf{t}}^{-1} + \Sigma)$$

# SFT : Self-energy Functional Theory

With  $F[\Sigma]$  Legendre transform of Luttinger-Ward funct.

$$\Omega_t[\Sigma] = F[\Sigma] + \text{Tr} \ln(-(G_0^{-1} - \Sigma)^{-1})$$

is stationary with respect to  $\Sigma$  and equal to grand potential there.

$$\Omega_t[\Sigma] = \Omega_{t'}[\Sigma] - \text{Tr} \ln(-(G_0'^{-1} - \Sigma)^{-1}) + \text{Tr} \ln(-(G_0^{-1} - \Sigma)^{-1}).$$

Vary with respect to parameters of the cluster (including Weiss fields)

Variation of the self-energy, through parameters in  $H_0(t')$

+ and -

- Long range order:
  - Allow symmetry breaking in the bath (mean-field)
- Included:
  - Short-range dynamical and spatial correlations
- Missing:
  - Long wavelength p-h and p-p fluctuations



UNIVERSITÉ DE  
SHERBROOKE

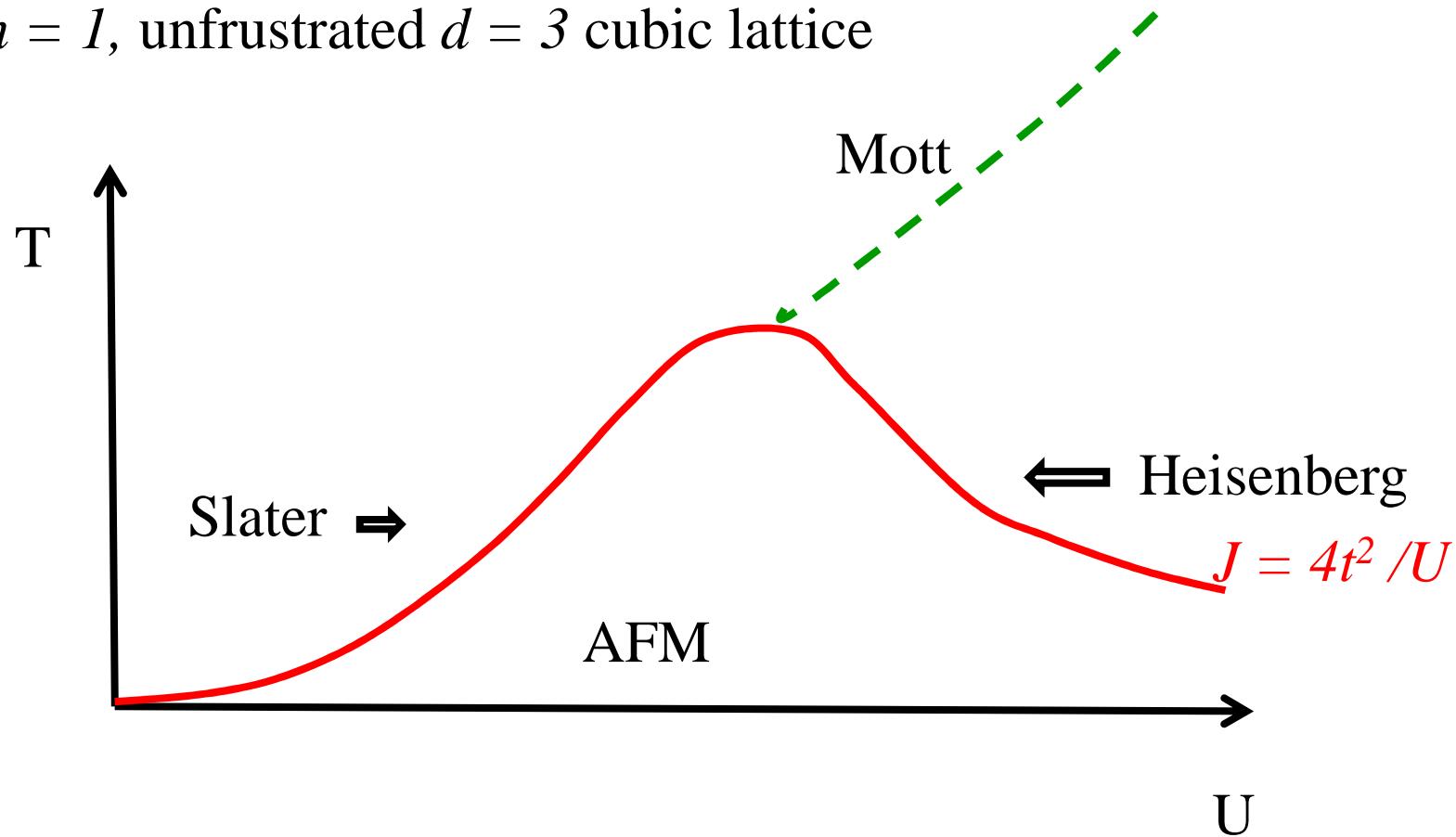
What is it useful for?  
Example: The Mott transition



UNIVERSITÉ DE  
SHERBROOKE

# Local moment and Mott transition

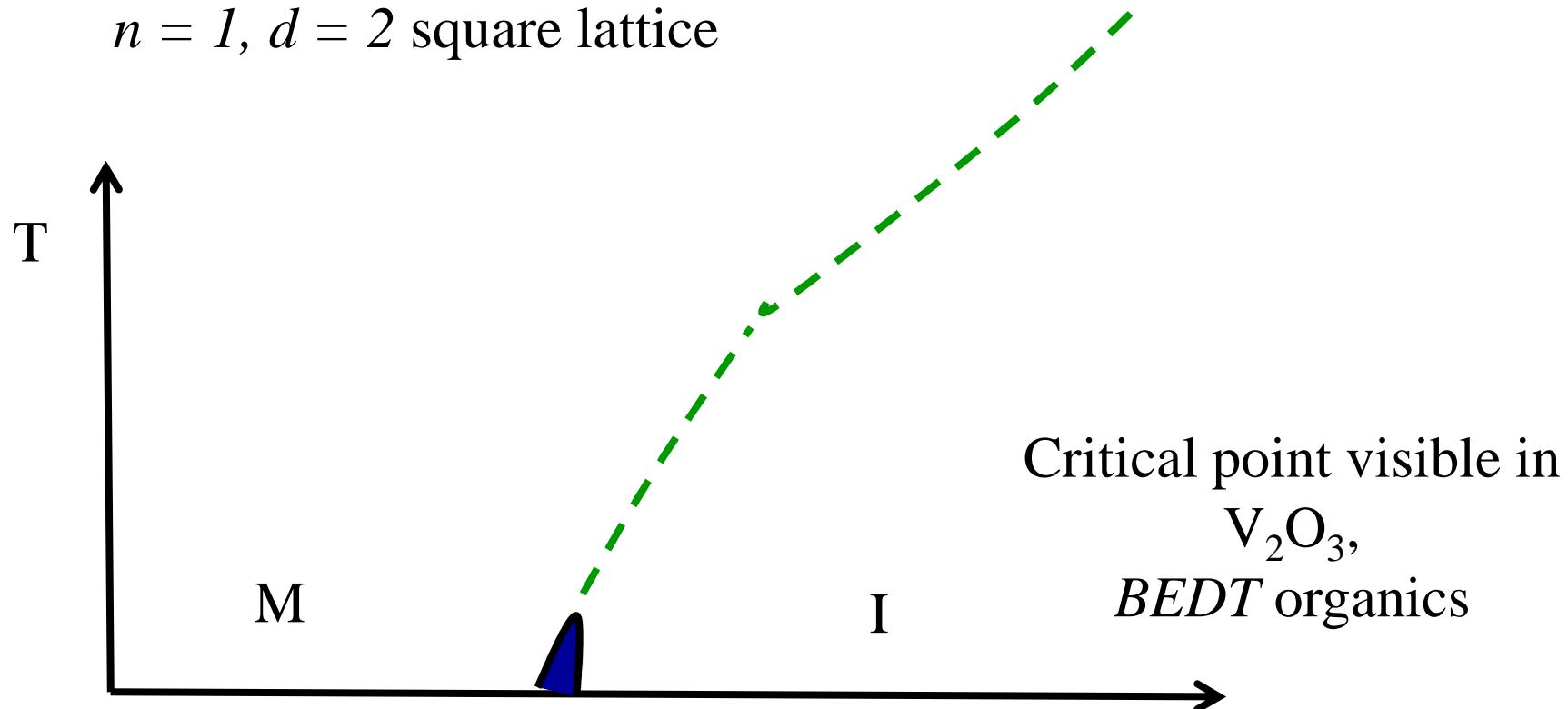
$n = 1$ , unfrustrated  $d = 3$  cubic lattice



UNIVERSITÉ DE  
SHERBROOKE

# Local moment and Mott transition

$n = 1, d = 2$  square lattice



Understanding finite temperature phase from a *mean-field theory* down to  $T = 0$



# Size dependence

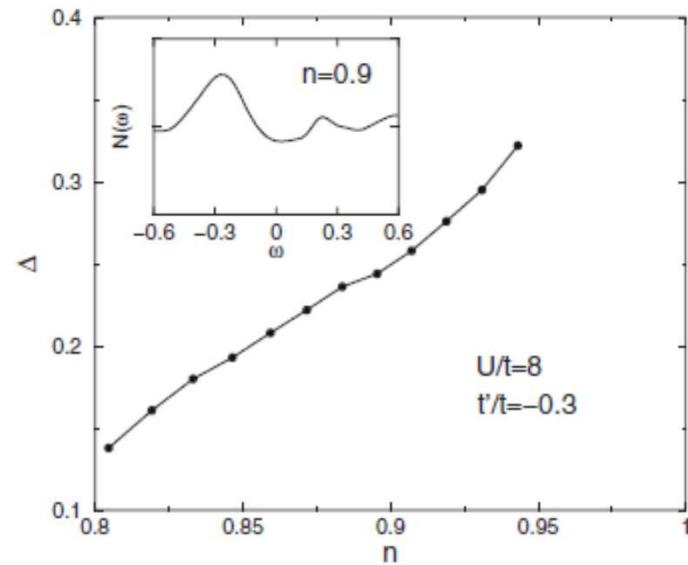
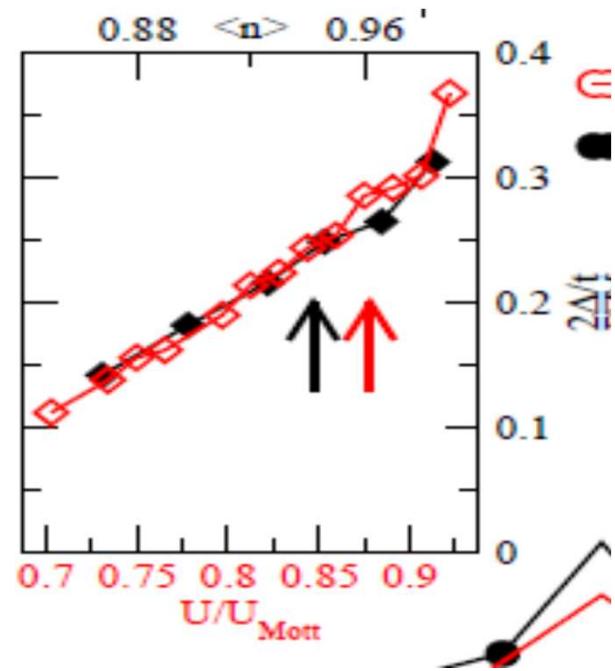


FIG. 5. The gap as a function of filling, for  $U=8t$ ,  $t'=-0.3t$ . The gap is defined as half the distance between the two peaks on either side of  $\omega=0$ , as they appear, for example, in the inset.

Gull, Parcollet, Millis  
arXiv:1207.2490v1

Kancharla et al. PRB 77, 184516 (2008)

$T = 0$  phase diagram: cuprates

Phase diagram

Exact diagonalization as impurity  
solver ( $T=0$ ).

# $T = 0$ phase diagram: Pseudogap in the normal state



UNIVERSITÉ DE  
SHERBROOKE

# $\omega = 0$ (CDMFT)

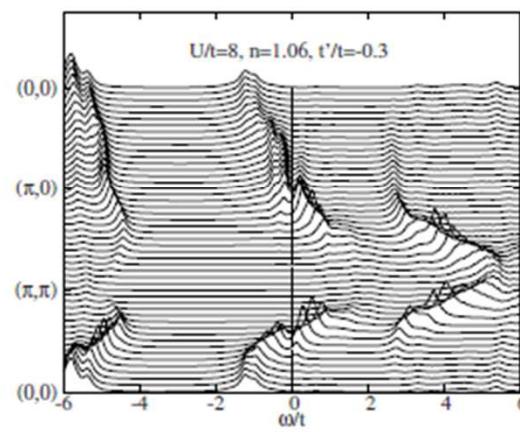
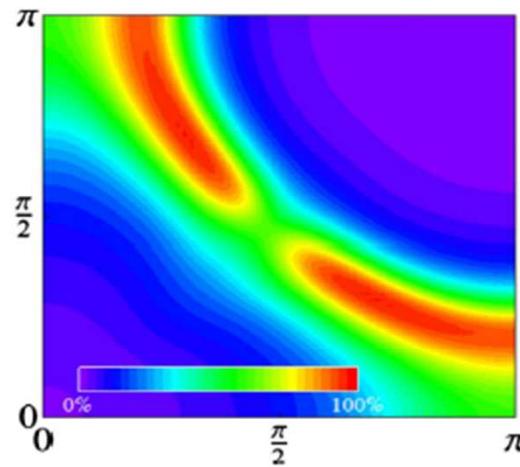
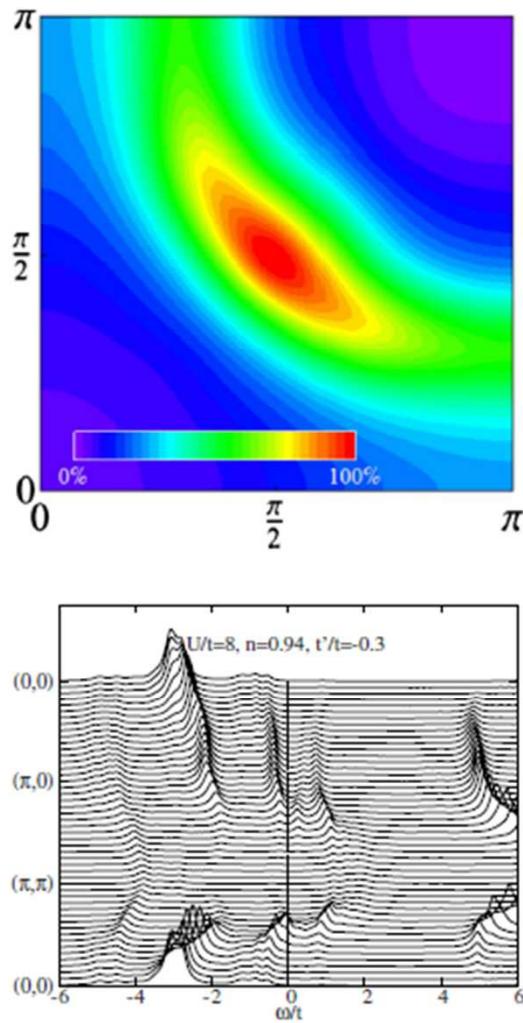


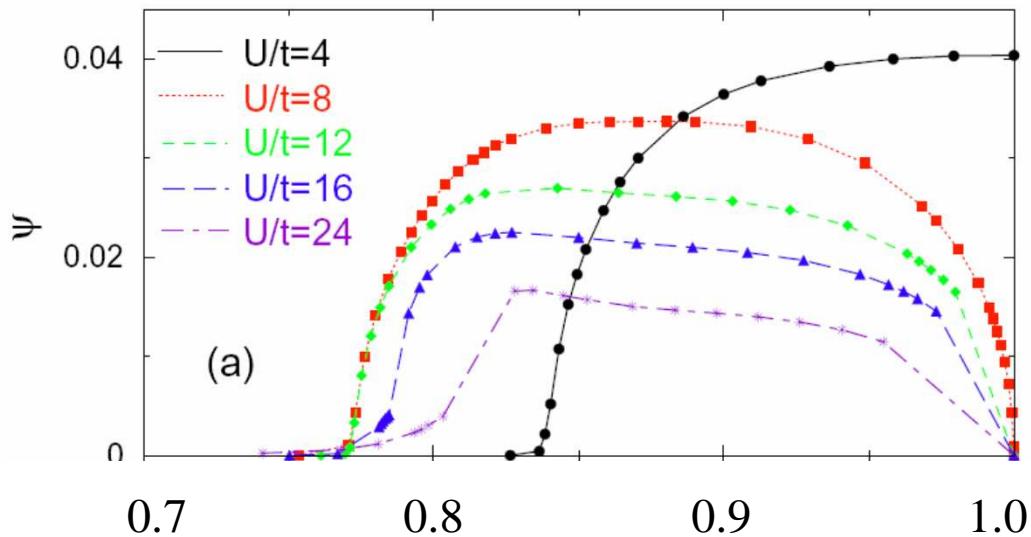
FIG. 7. (Color online) Same plots as in Fig. 6 but for the electron-doped case  $n=1.06$ . The maximum on the color scale corresponds to  $100\%$  occupation.



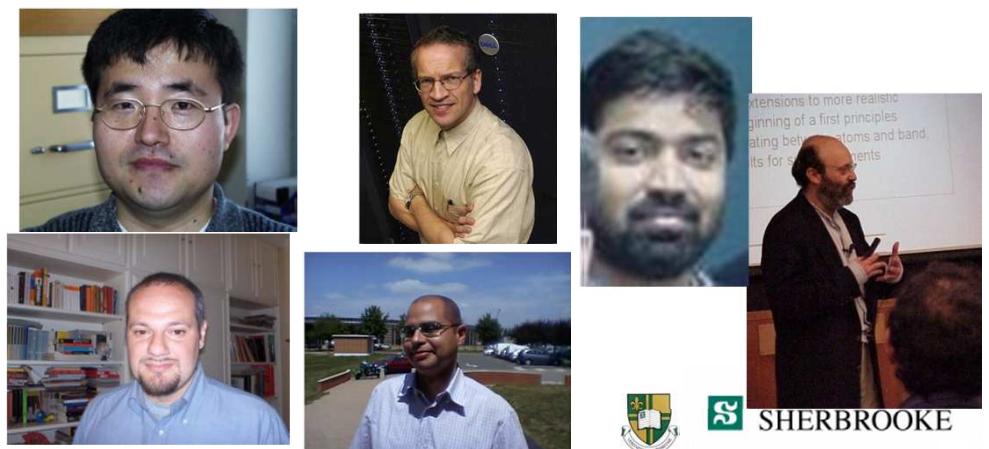
UNIVERSITÉ  
DE  
SHERBROOKE

$T = 0$  phase diagram: superconductivity

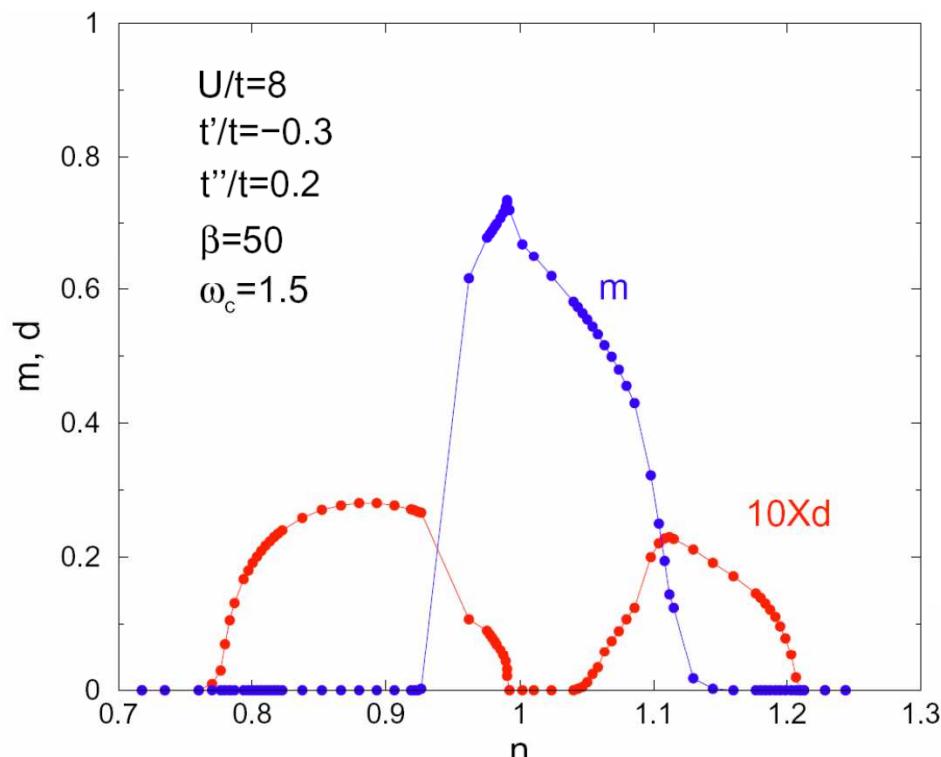
# Dome vs Mott (CDMFT)



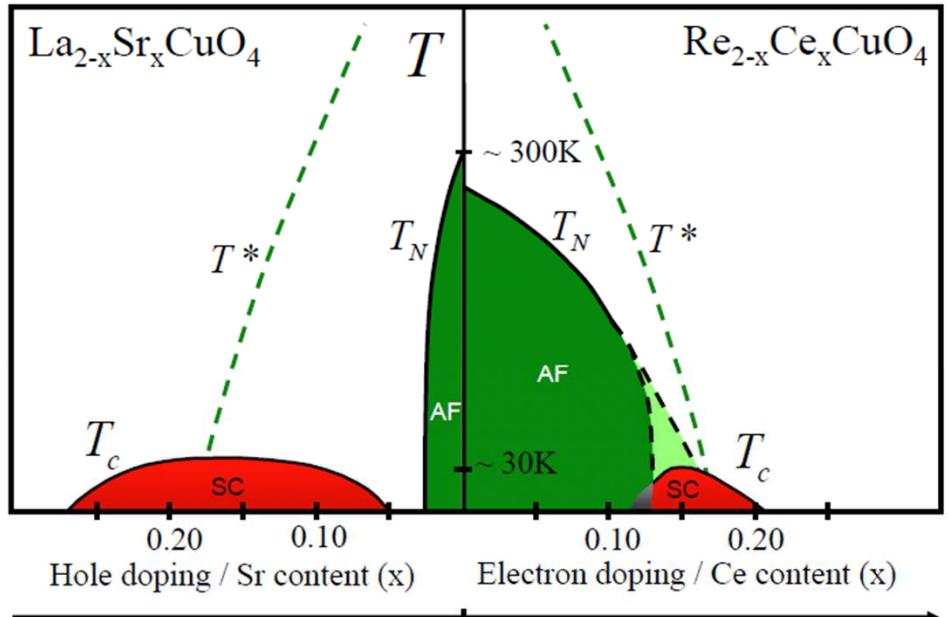
Kancharla, Kyung, Civelli,  
Sénéchal, Kotliar AMST  
Phys. Rev. B (2008)



# CDMFT global phase diagram



Kancharla, Kyung, Civelli,  
Sénéchal, Kotliar AMST  
Phys. Rev. B (2008)  
AND Capone, Kotliar PRL (2006)



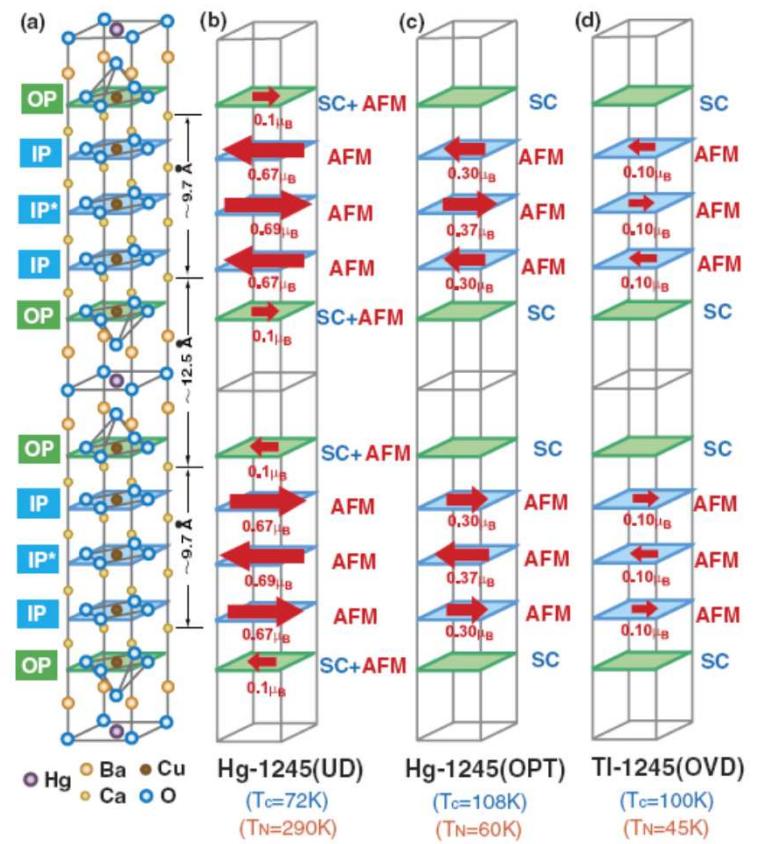
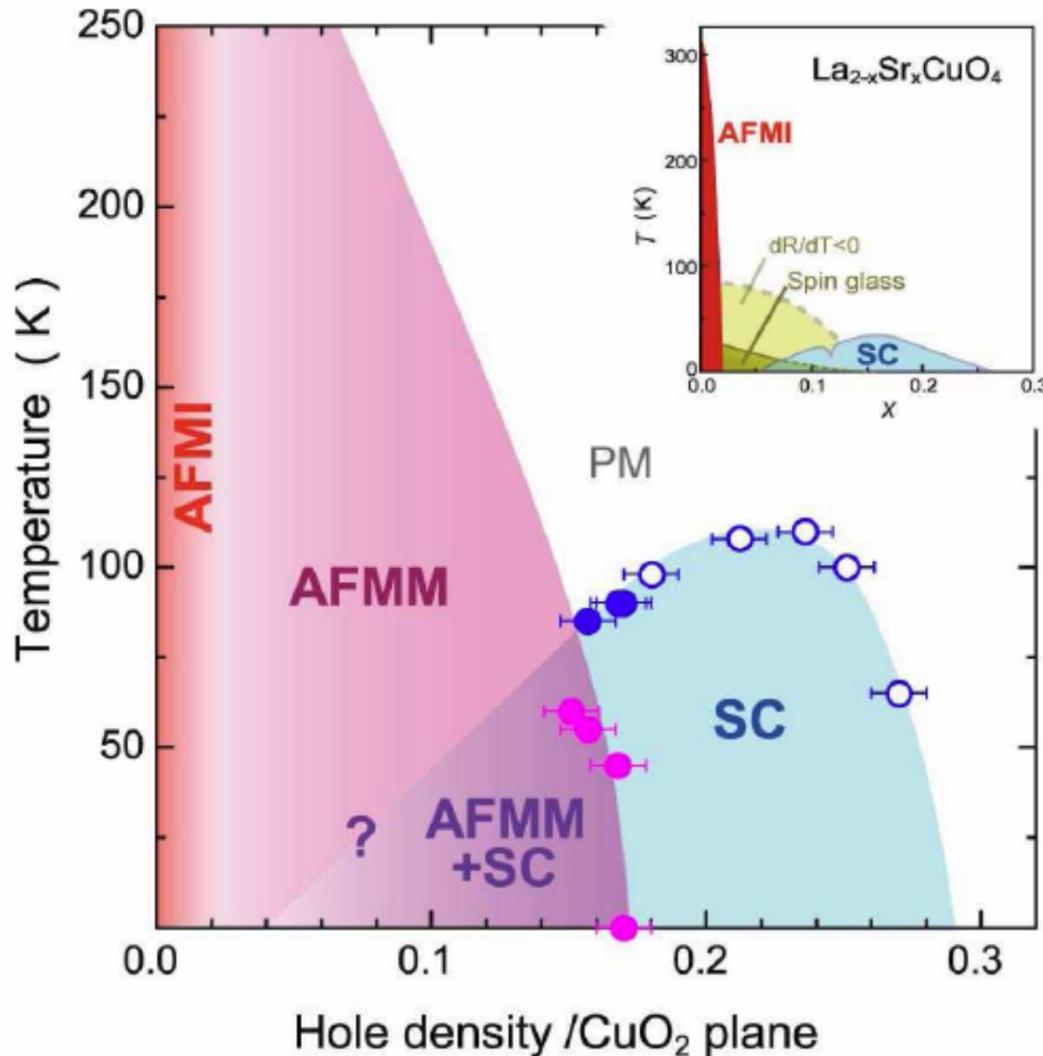
Armitage, Fournier, Greene, RMP (2009)



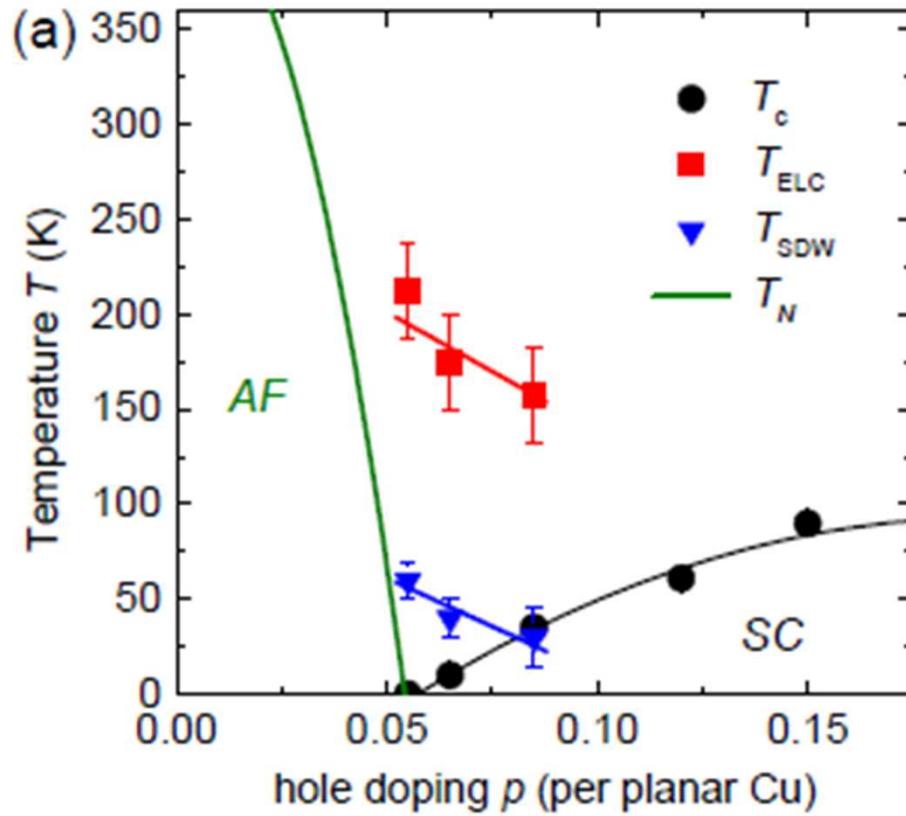
UNIVERSITÉ DE  
SHERBROOKE

Consistent with following experiments

H. Mukuda, Y. Yamaguchi, S. Shimizu, ... A. Iyo JPSJ **77**, 124706 (2008)

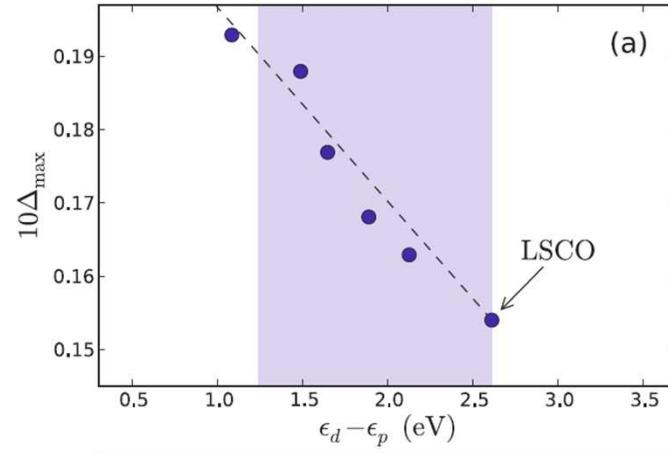
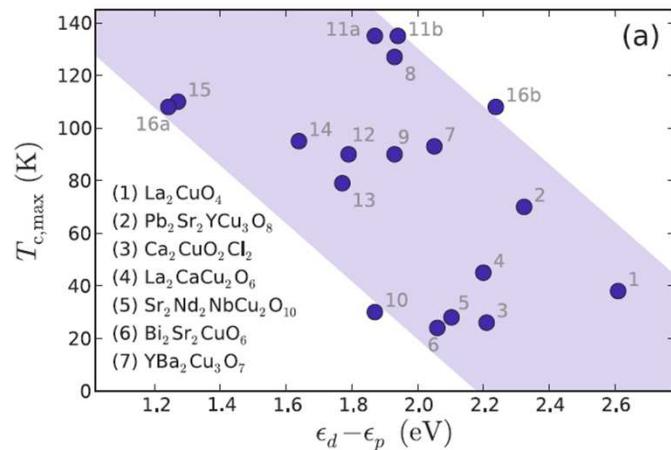


# Magnetic phase diagram of YBCO



Haug, ... Keimer, New J. Phys. 12, 105006 (2010)

# Materials dependent properties



C. Weber, C.-H. Yee, K. Haule, and G. Kotliar, ArXiv e-prints (2011), 1108.3028.

# $T = \theta$ phase diagram

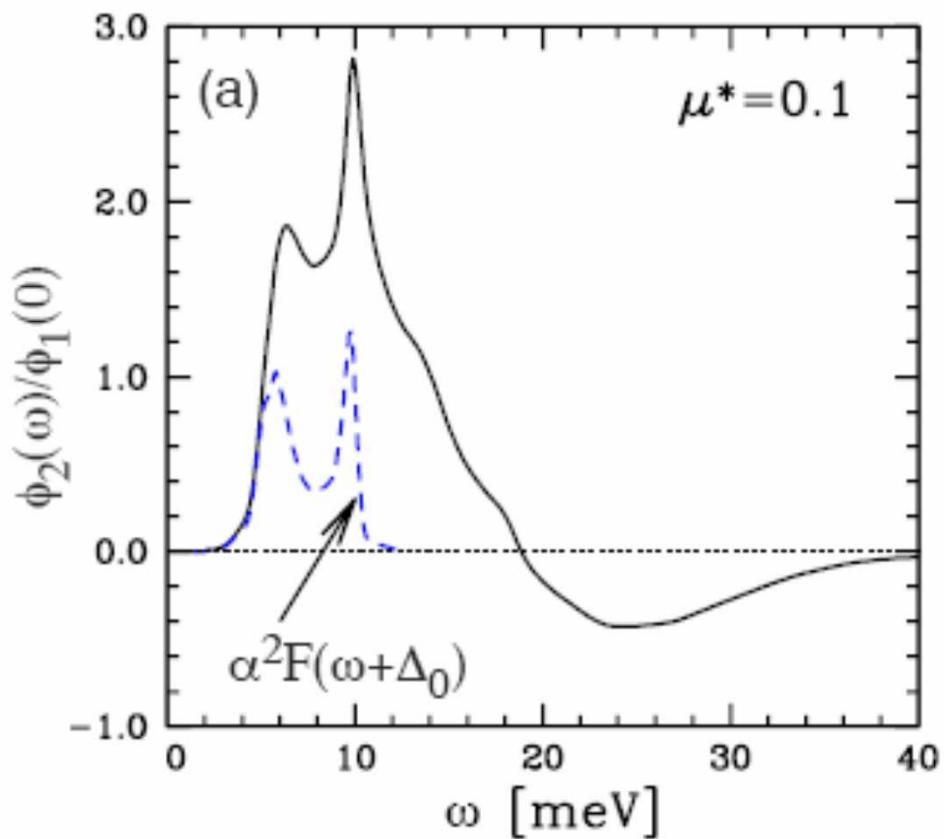
The glue



UNIVERSITÉ DE  
SHERBROOKE

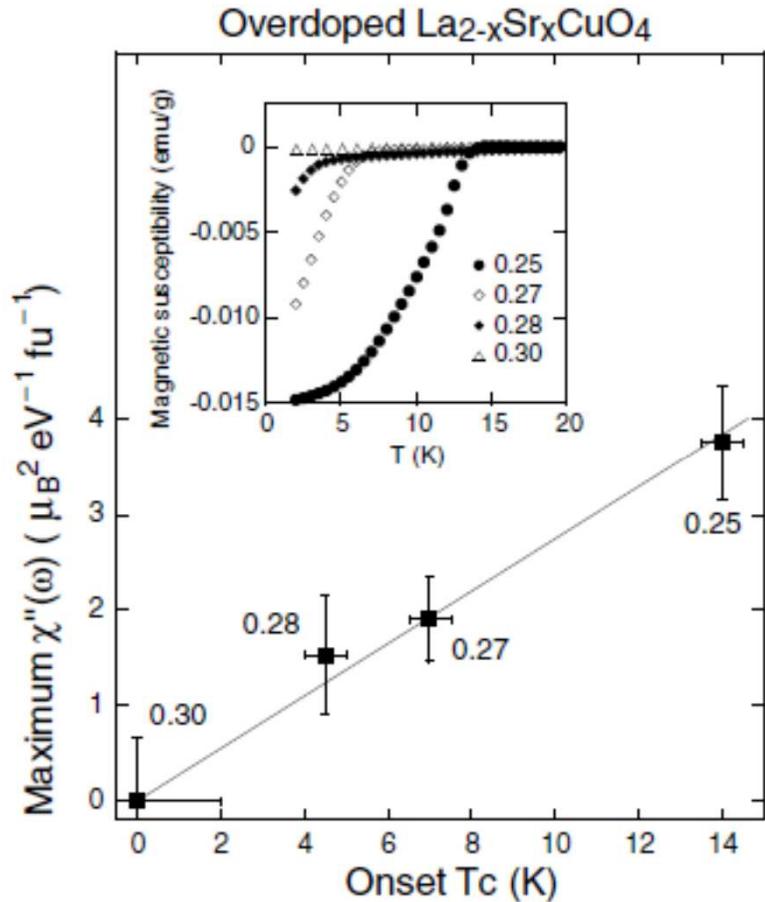
# $\text{Im } \Sigma_{\text{an}}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)

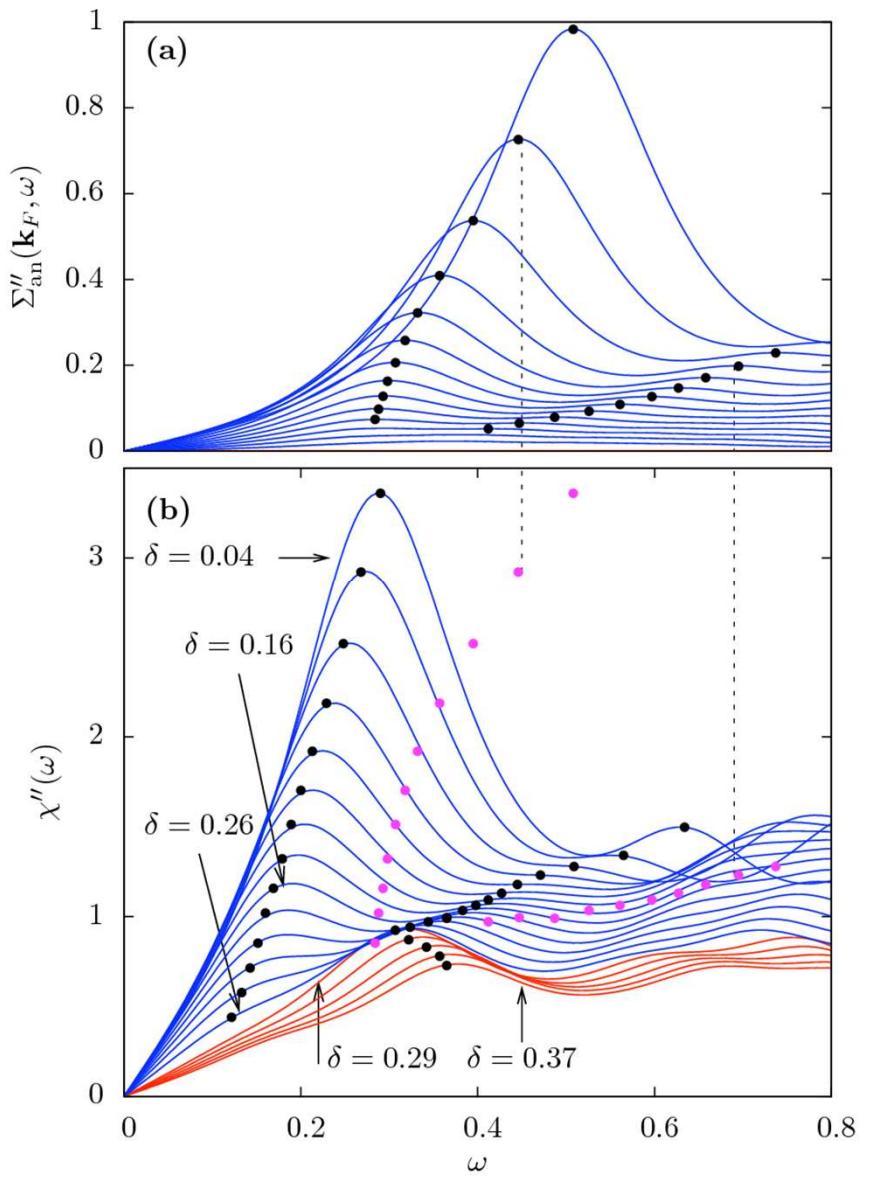


# The glue

Kyung, Sénéchal, Tremblay, Phys. Rev. B  
**80**, 205109 (2009)



Wakimoto ... Birgeneau  
PRL (2004)



# The glue and neutrons

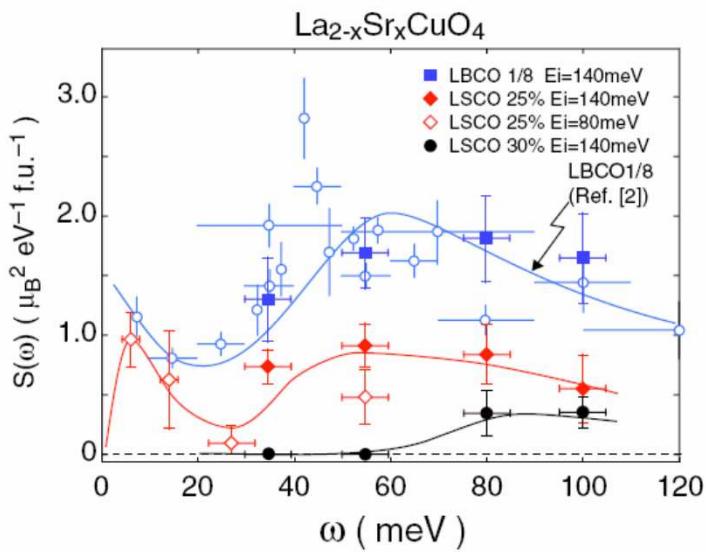
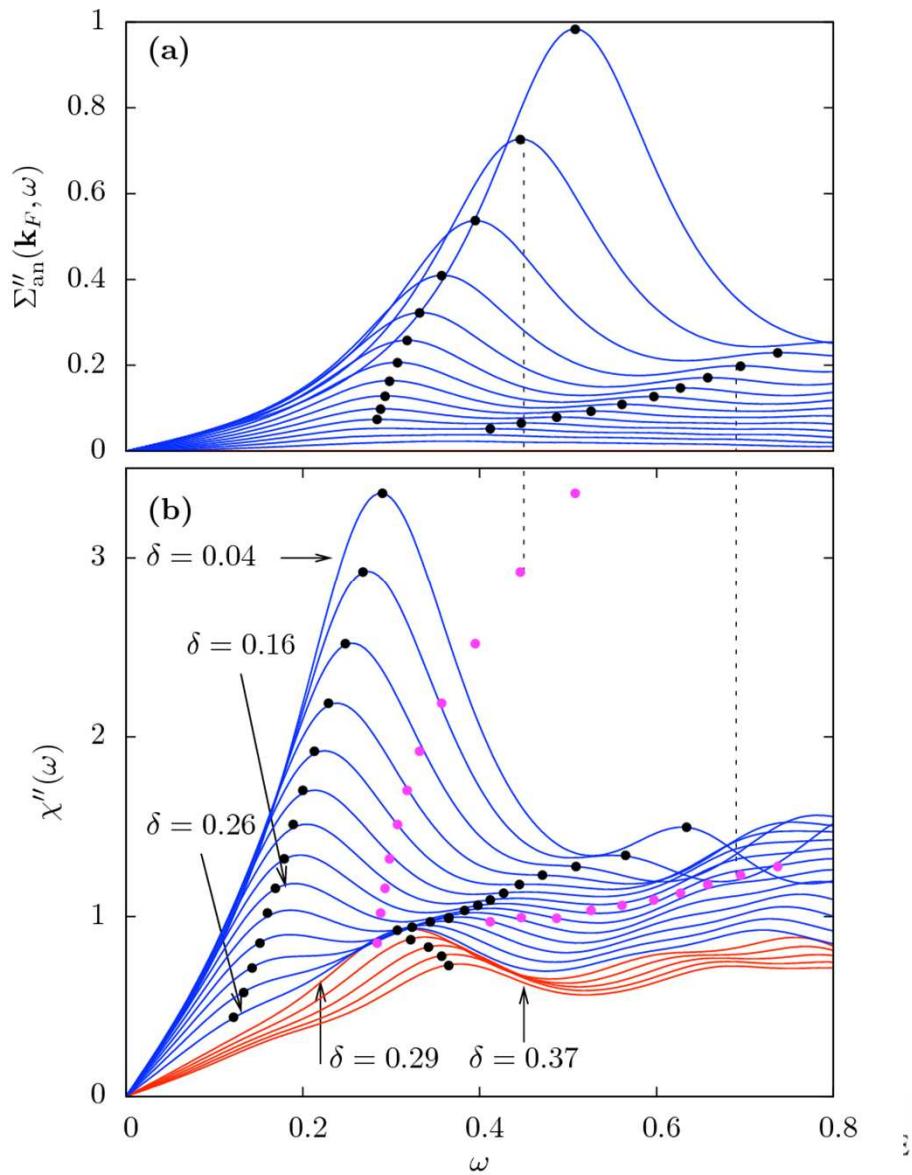


FIG. 3 (color online).  $\mathbf{Q}$ -integrated dynamic structure factor  $S(\omega)$  which is derived from the wide- $H$  integrated profiles for LBCO 1/8 (squares), LSCO  $x = 0.25$  (diamonds; filled for  $E_i = 140$  meV, open for  $E_i = 80$  meV), and  $x = 0.30$  (filled circles) plotted over  $S(\omega)$  for LBCO 1/8 (open circles) from [2]. The solid lines following data of LSCO  $x = 0.25$  and 0.30 are guides to the eyes.

Wakimoto ... Birgeneau PRL (2007);  
PRL (2004)



# Finite $T$ phase diagram

Normal state of the cuprates



UNIVERSITÉ DE  
SHERBROOKE



Giovanni Sordi

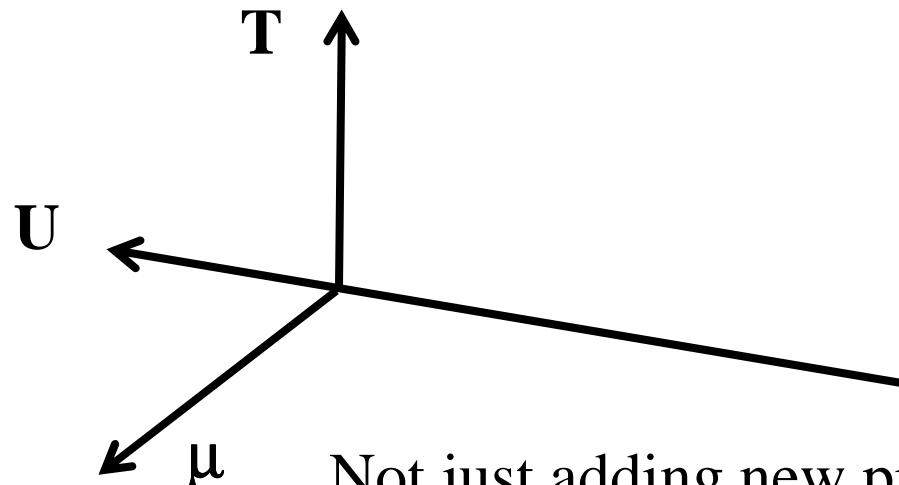
G. Sordi, K. Haule, A.-M.S.T

PRL, **104**, 226402 (2010)

and

Phys. Rev. B, **84**, 075161 (2011)

## Doping-induced Mott transition ( $t'=0$ )



Not just adding new piece:

Lesson from DMFT, first order transition + critical  
point governs phase diagram

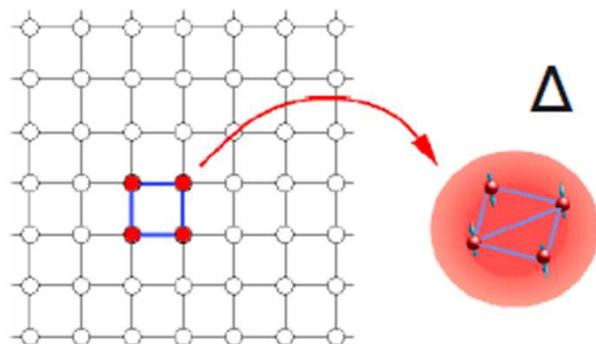


Kristjan Haule



UNIVERSITÉ DE  
SHERBROOKE

# C-DMFT



Mean-field is not a trivial problem! Many impurity solvers.

Here: continuous time QMC

P. Werner, PRL 2006

P. Werner, PRB 2007

K. Haule, PRB 2007

$$Z = \int \mathcal{D}[\psi^\dagger, \psi] e^{-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{\mathbf{k}} \psi_{\mathbf{k}}^\dagger(\tau) \Delta(\tau, \tau') \psi_{\mathbf{k}}(\tau')}$$

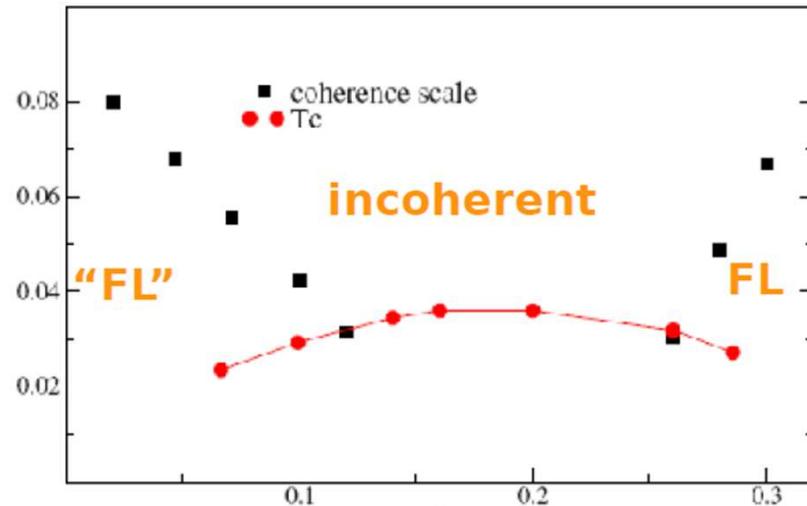
Continuous-time Quantum Monte Carlo calculations to sum all diagrams generated from expansion in powers of hybridization.

P. Werner, A. Comanac, L. de' Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. **97**, 076405 (2006).

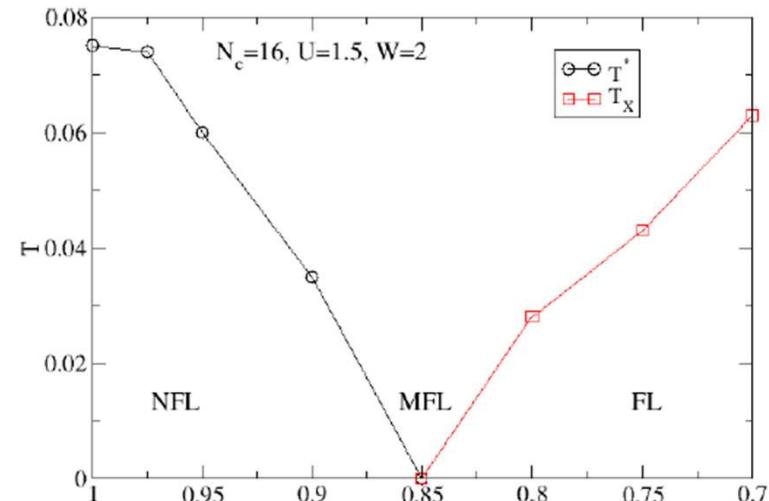
K. Haule, Phys. Rev. B **75**, 155113 (2007).

# Doping driven Mott transition, $t' = 0$

Method	$t'$	Orbital selective	$U$	Critical point	Ref.
D+C+H 8			7		Werner et al. cond-mat (2009)
D+C+H 4					Gull et al. EPL (2008)
	-0.3		10,6		Liebsch, Merino... (2008)
					Ferrero et al. PRB (2009)
D+C+H 8			7		Gull, et al. PRB (2009)

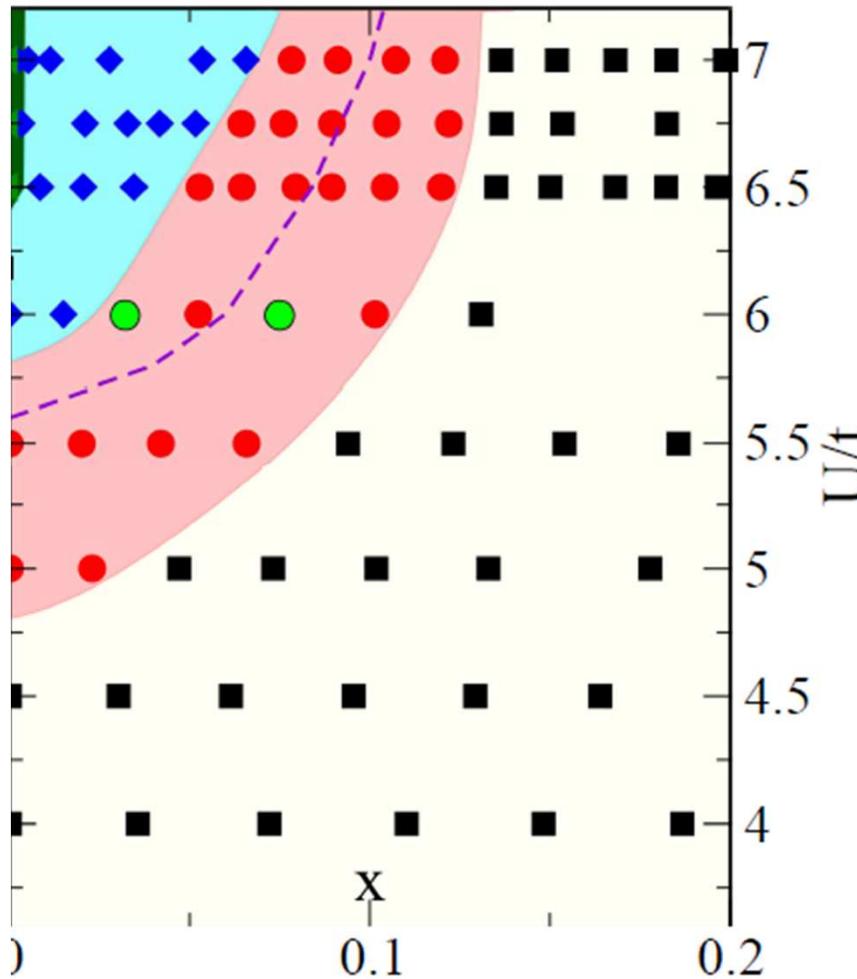


K. Haule, G. Kotliar, PRB (2007)



Vildhyadhiraja, PRL (2009)

# Doping driven Mott transition



$T = 0.25 t$

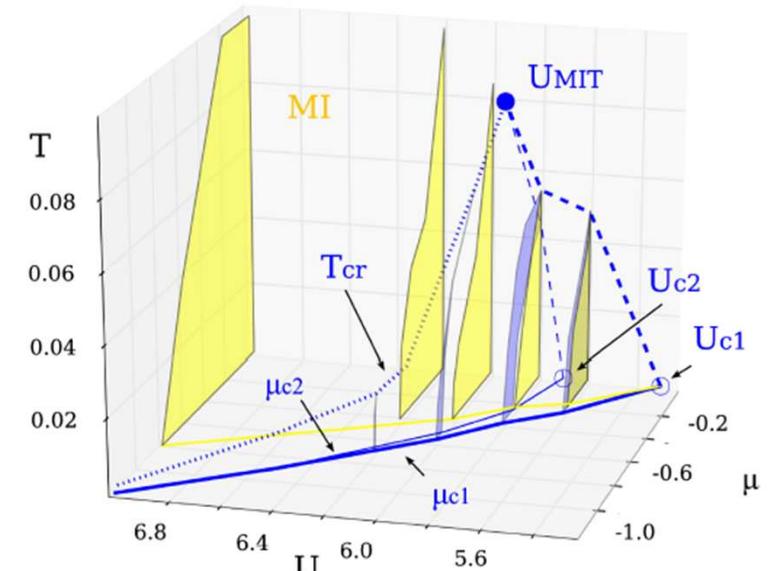
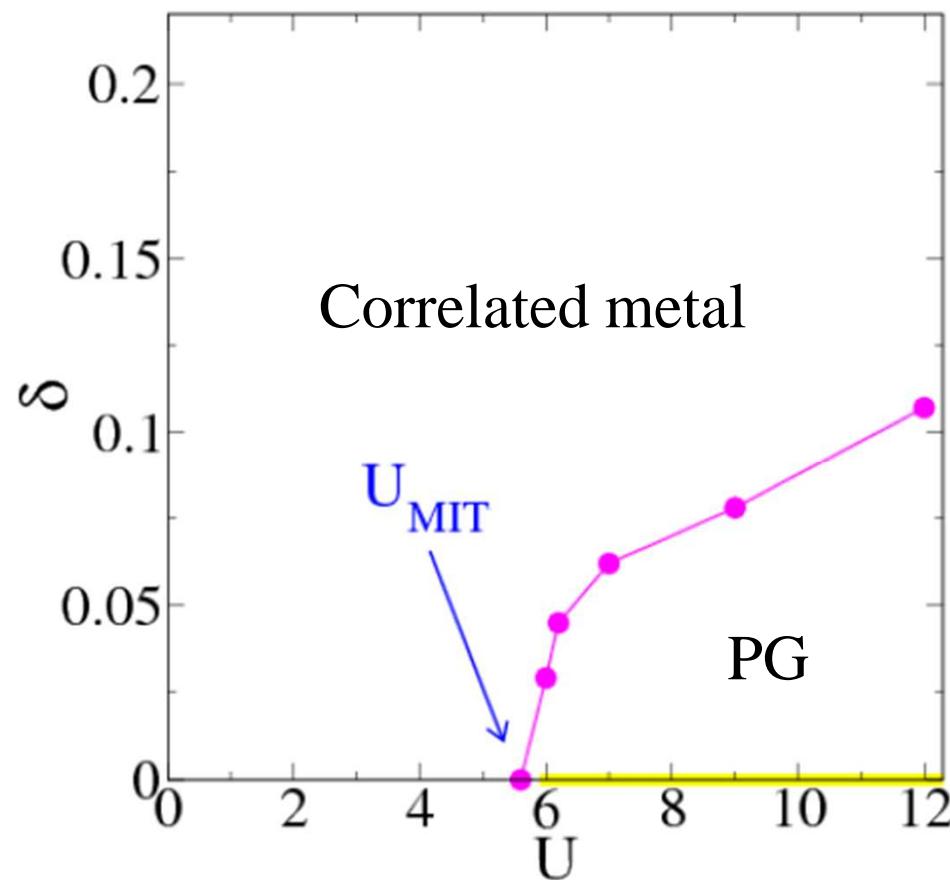
Gull, Parcollet, Millis  
arXiv:1207.2490v1

Gull, Werner, Millis, (2009)

E. Gull, M. Ferrero, O. Parcollet, A. Georges, and A. J. Millis (2009) UNIVERSITÉ DE SHERBROOKE

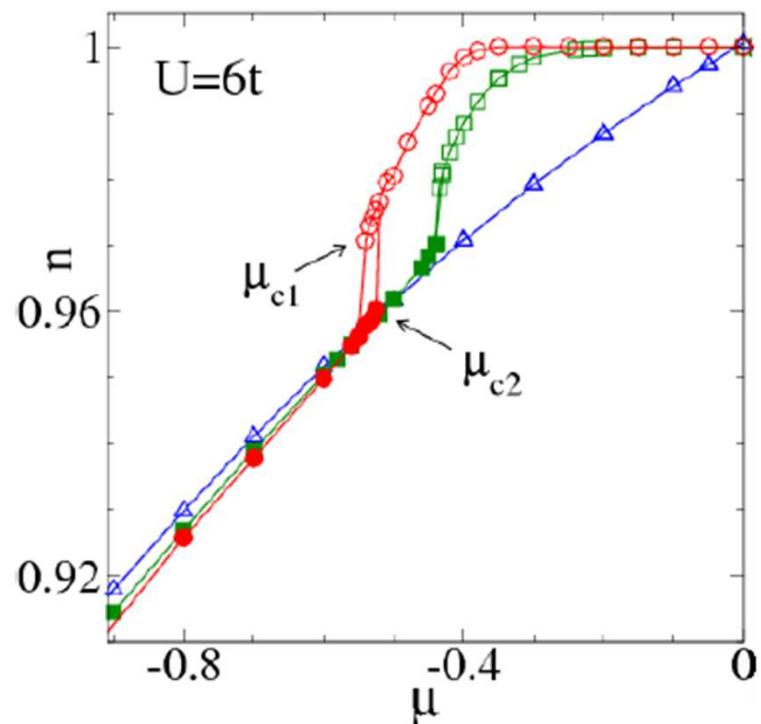
# Link to Mott transition up to optimal doping

Doping dependence of critical point as a function of  $U$



UNIVERSITÉ DE  
SHERBROOKE

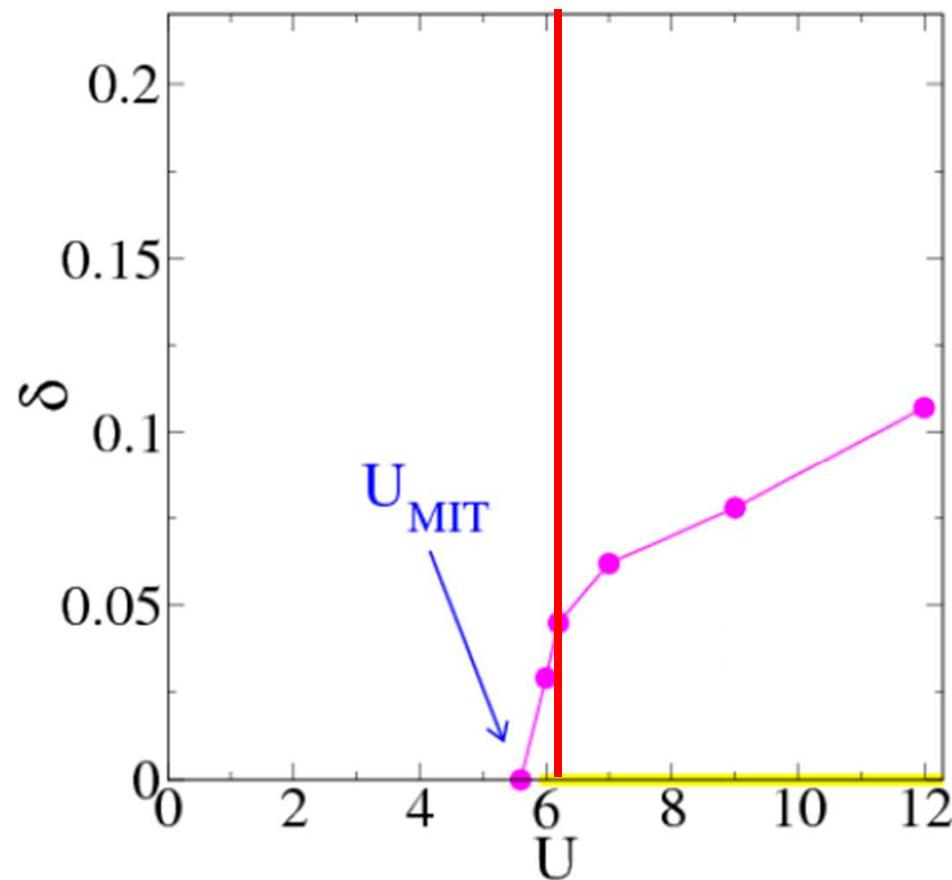
# First order transition at finite doping



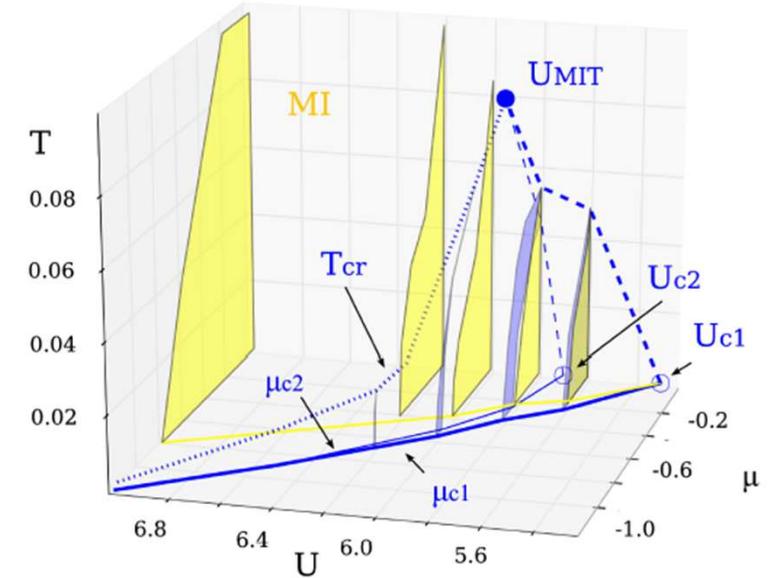
$n(\mu)$  for several temperatures:  
 $T/t = 1/10, 1/25, 1/50$

# Link to Mott transition up to optimal doping

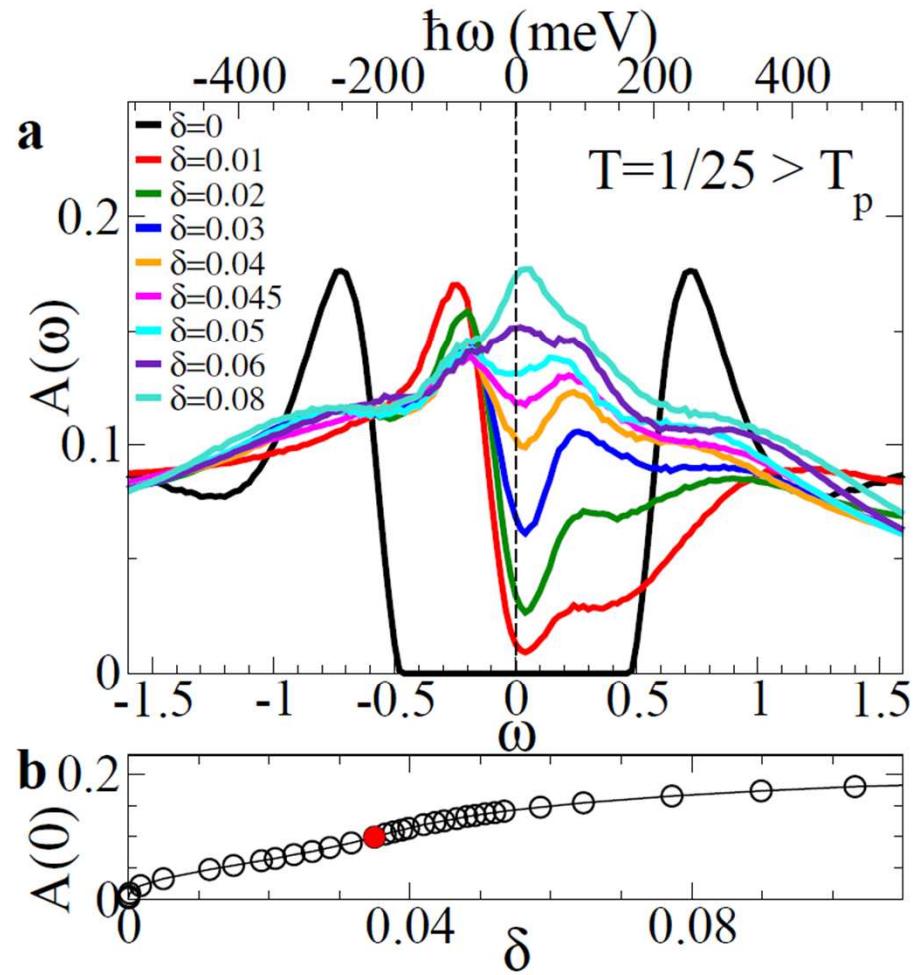
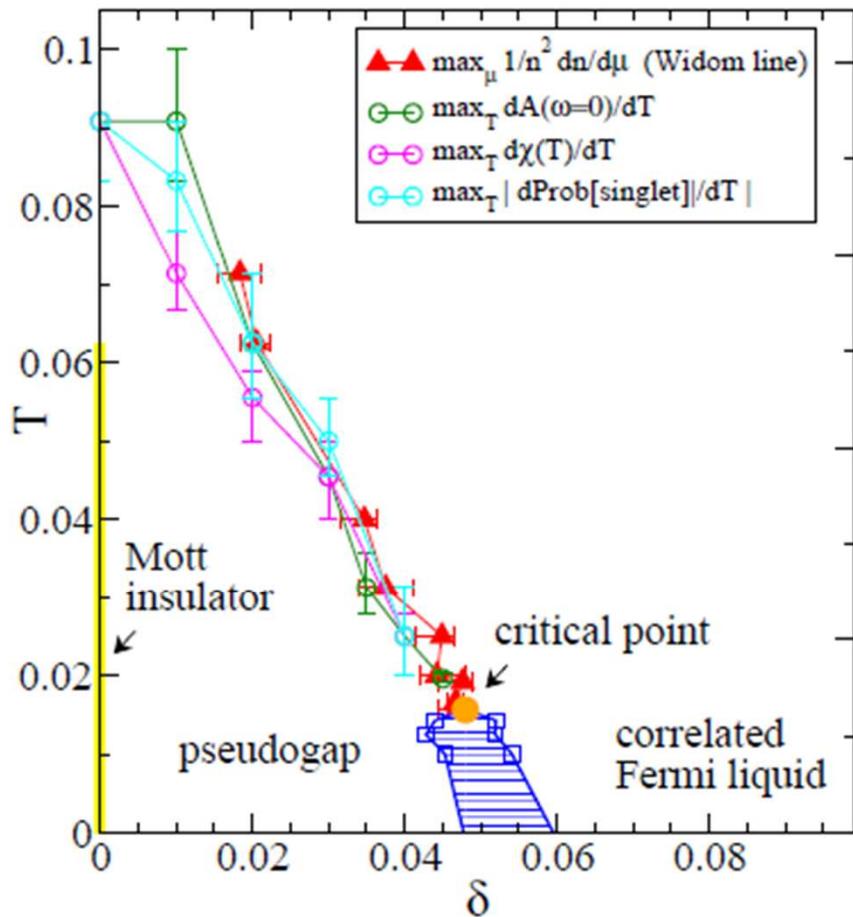
Doping dependence of critical point as a function of  $U$



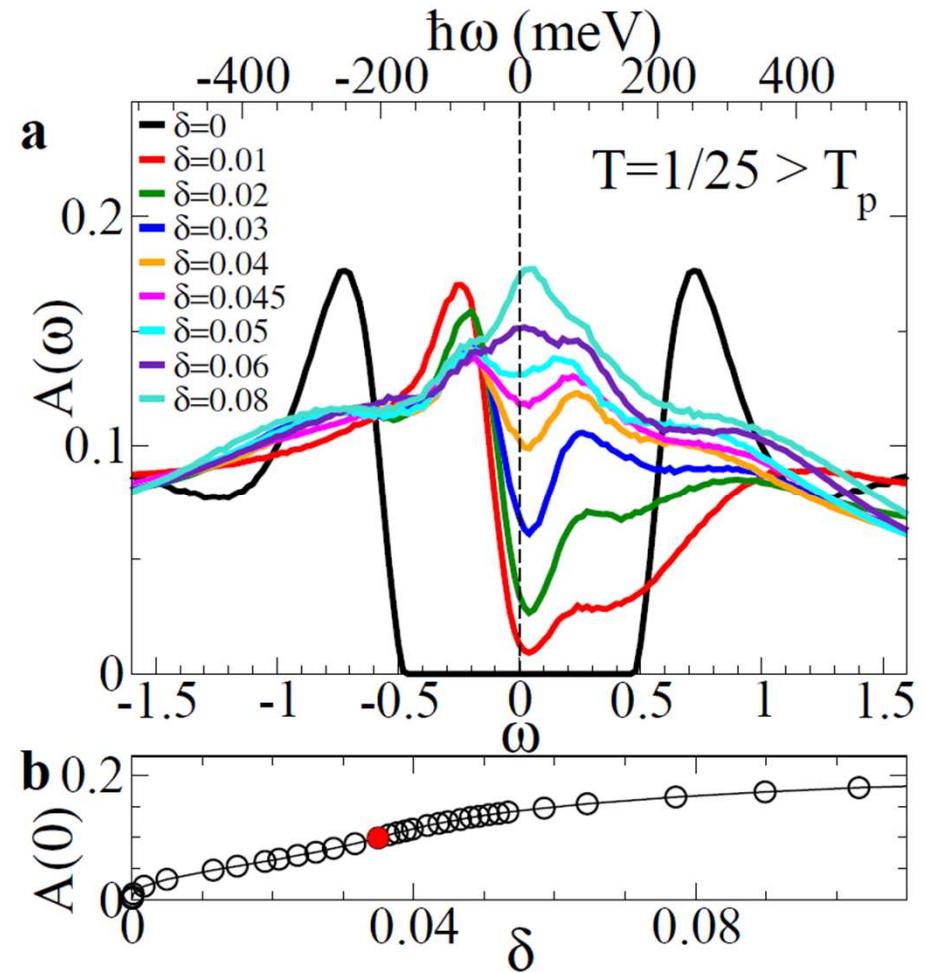
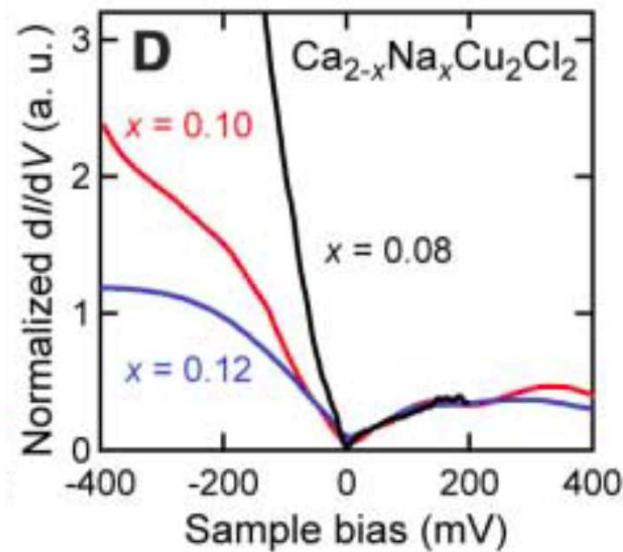
Smaller  $D$  and  $S$



# Density of states



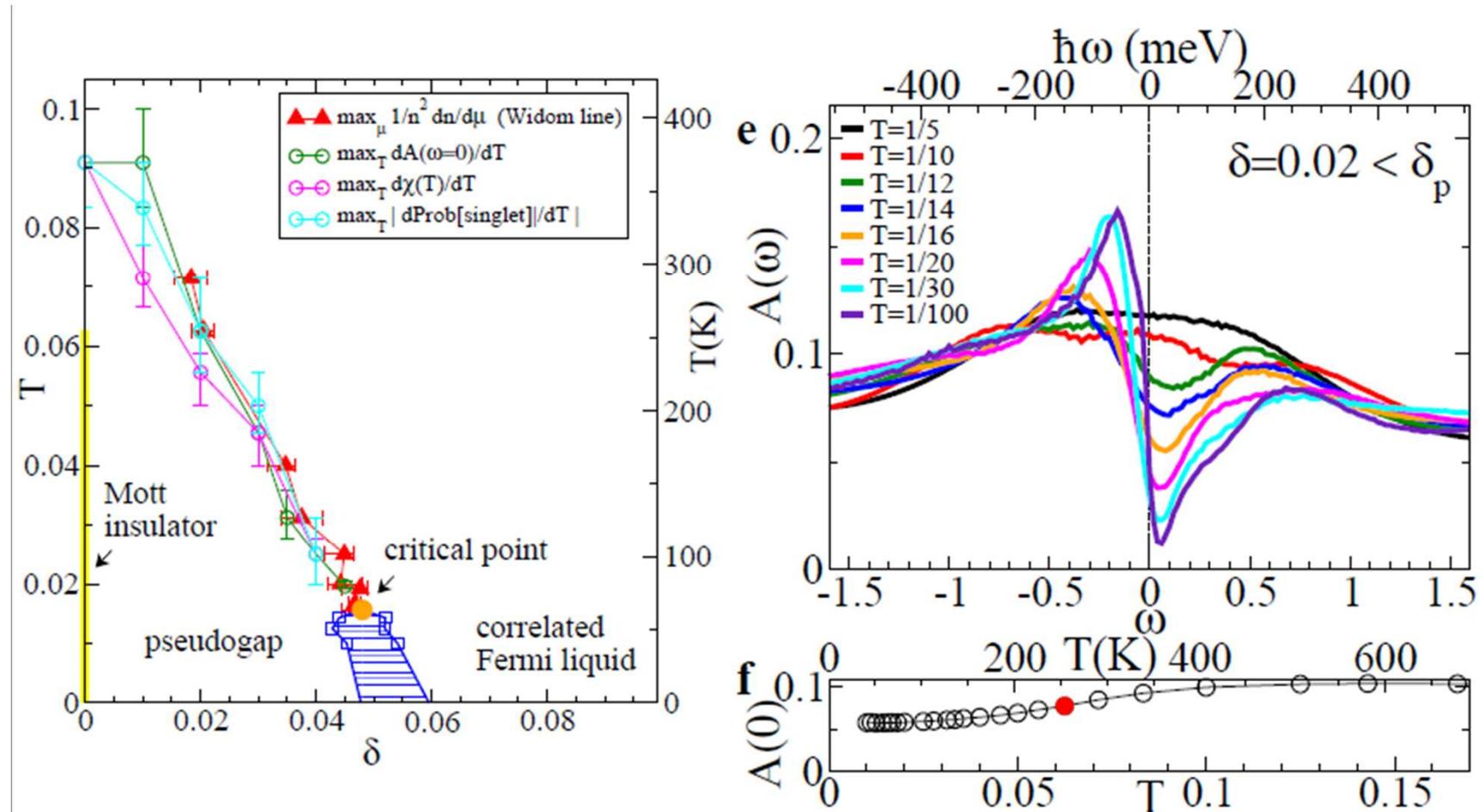
# Density of states



Khosaka et al. *Science* **315**, 1380 (2007);

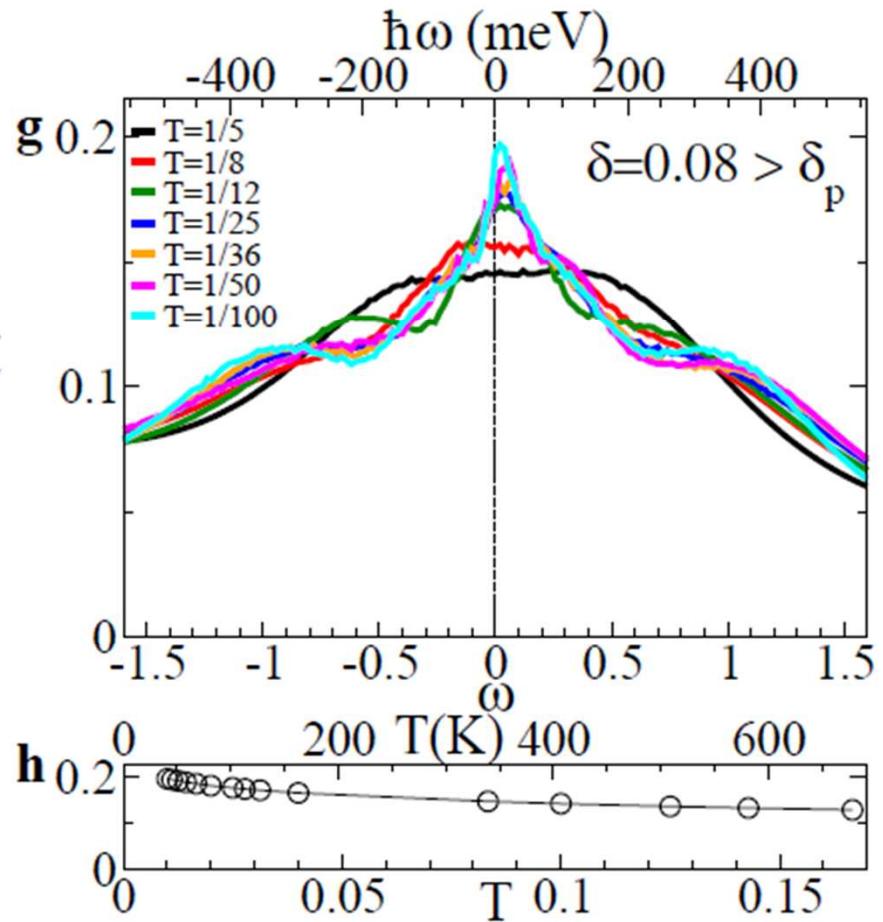
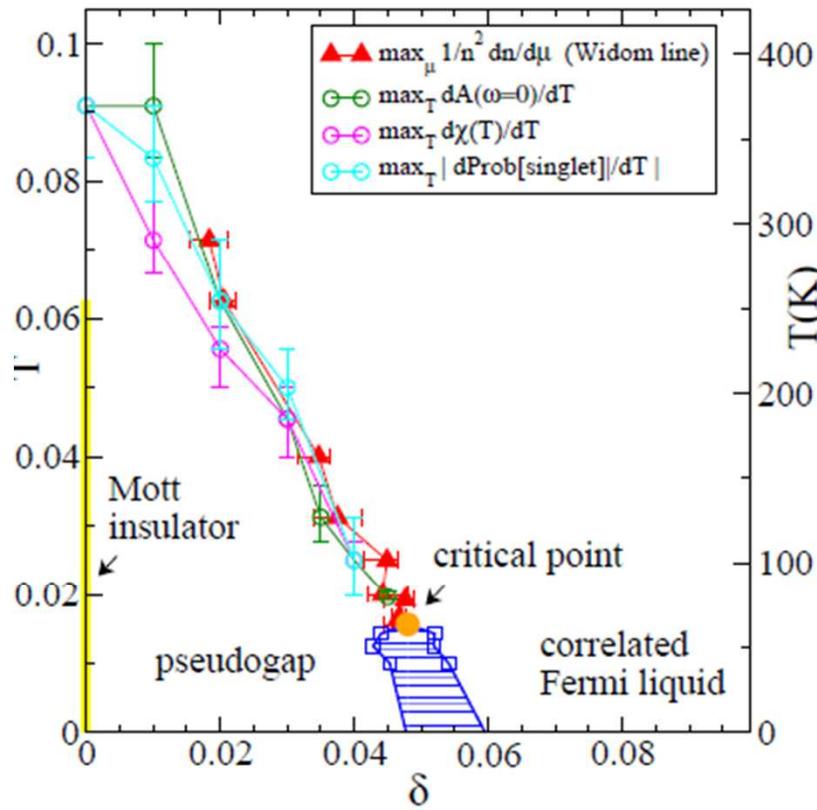


# Density of states



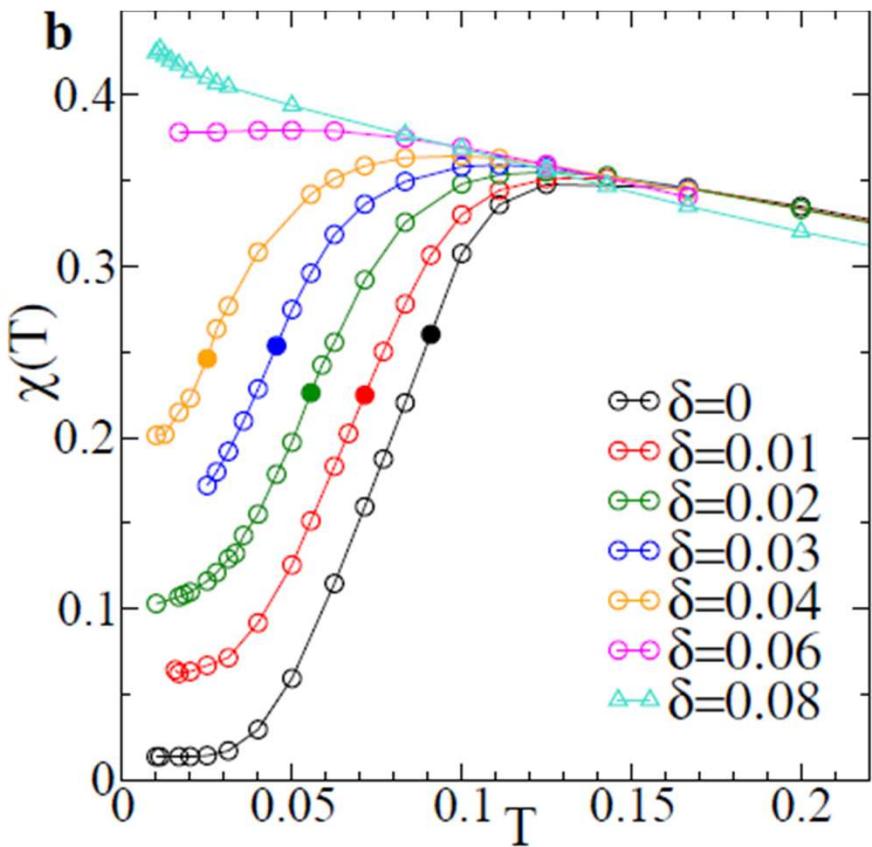
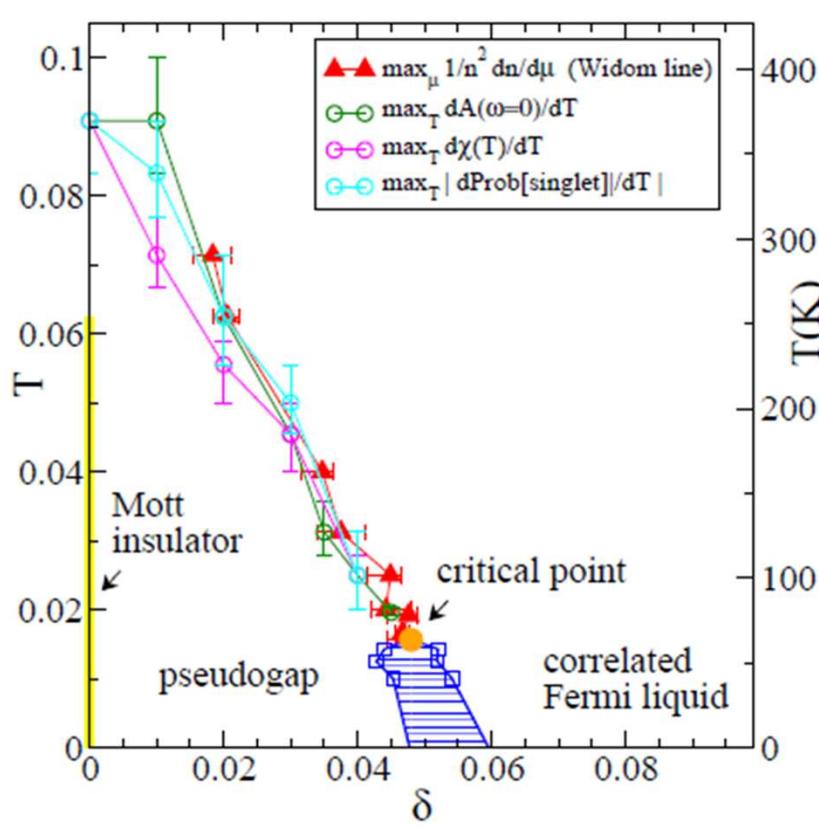
UNIVERSITÉ DE  
SHERBROOKE

# Density of states



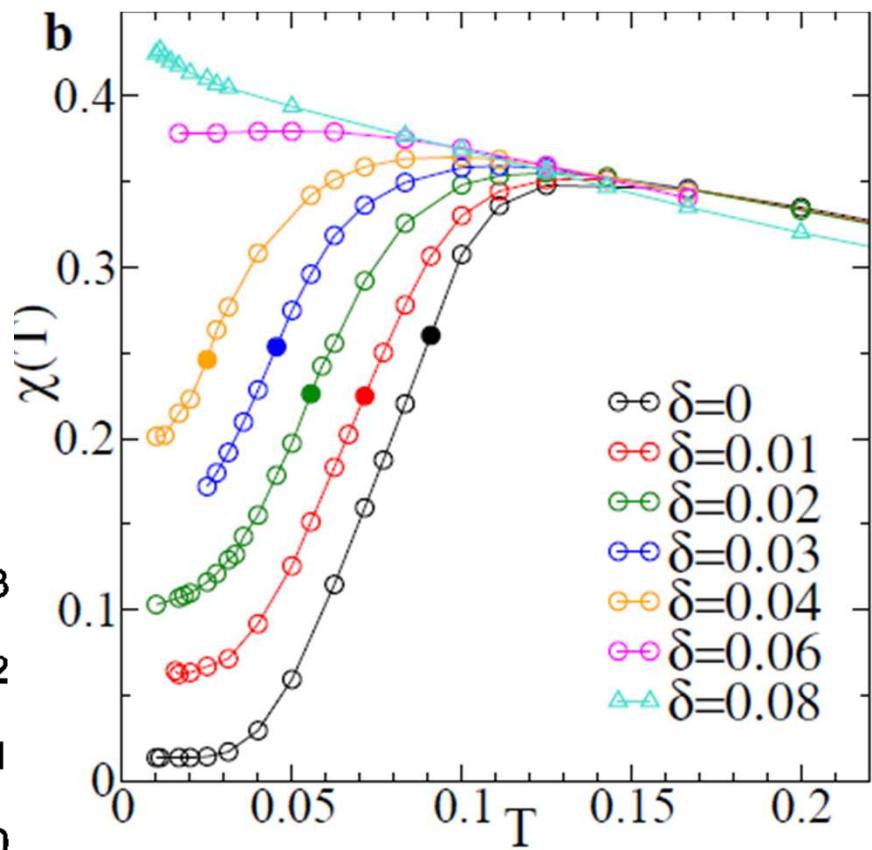
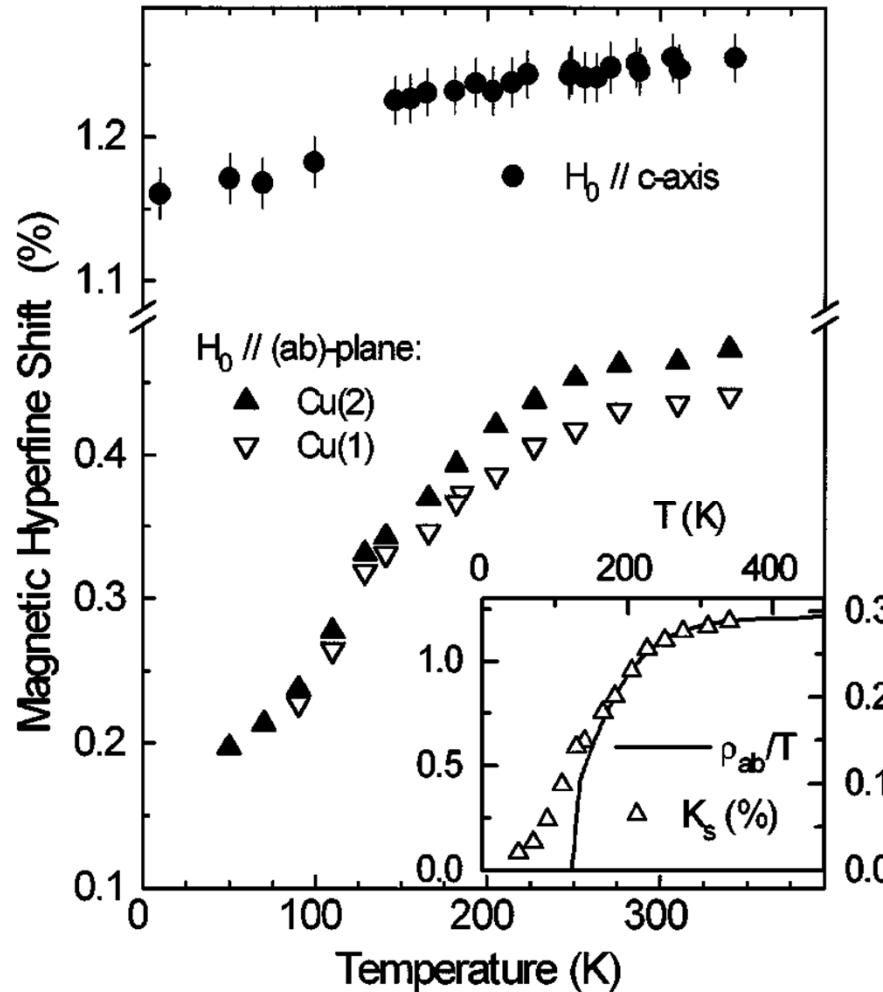
UNIVERSITÉ DE  
SHERBROOKE

# Spin susceptibility



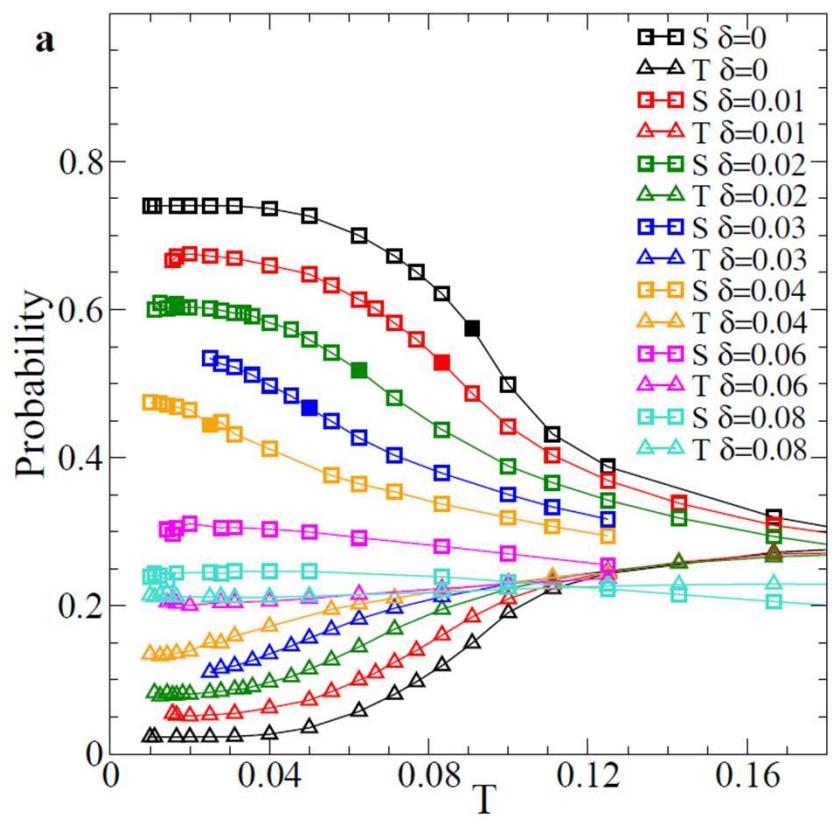
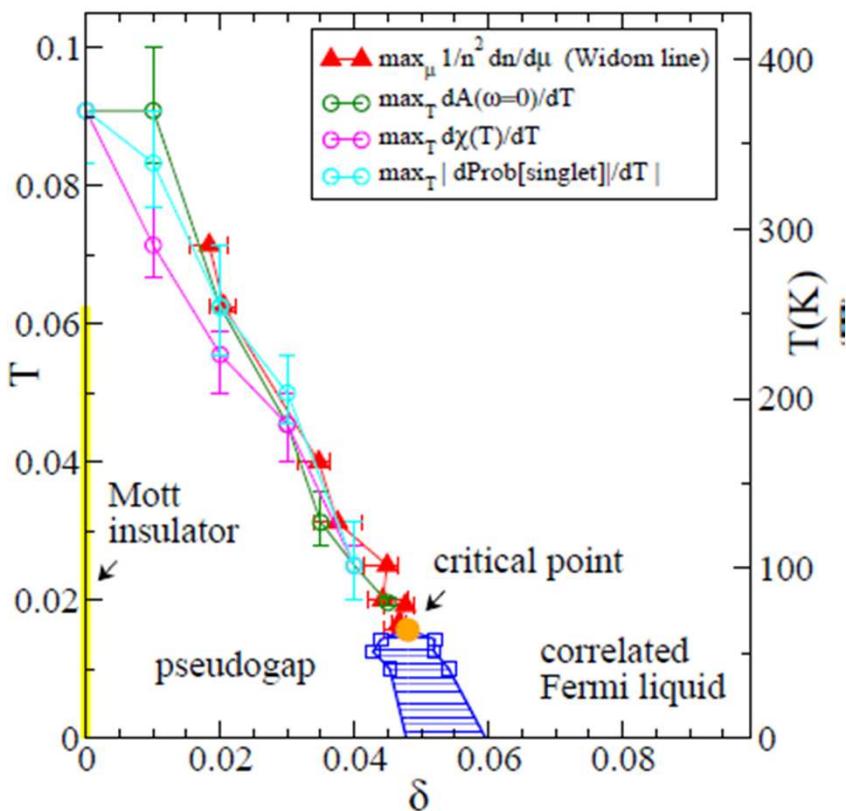
UNIVERSITÉ DE  
SHERBROOKE

# Spin susceptibility

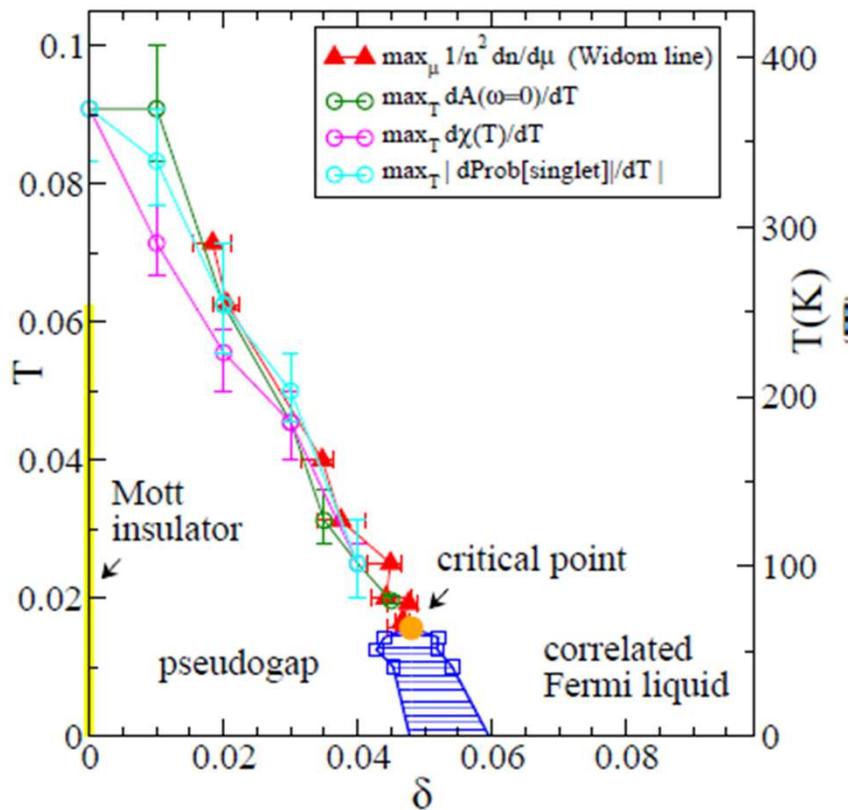


Underdoped Hg1223  
Julien et al. PRL 76, 4238 (1996)

# Plaquette eigenstates



# Pseudogap $T^*$ along the Widom line



UNIVERSITÉ DE  
SHERBROOKE



Giovanni Sordi



Patrick Sémon



Kristjan Haule

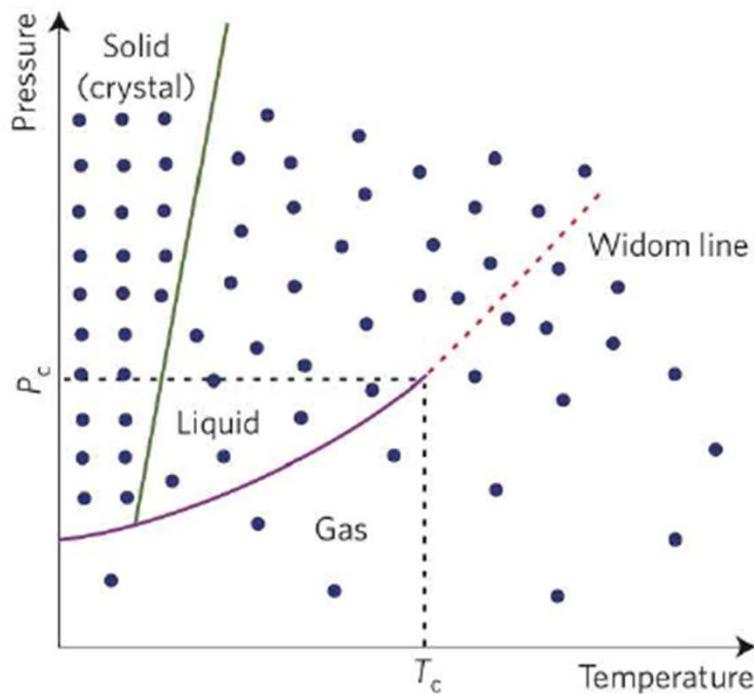
# The Widom line

G. Sordi, *et al.* Scientific Reports 2, 547 (2012)



UNIVERSITÉ DE  
SHERBROOKE

# What is the Widom line?

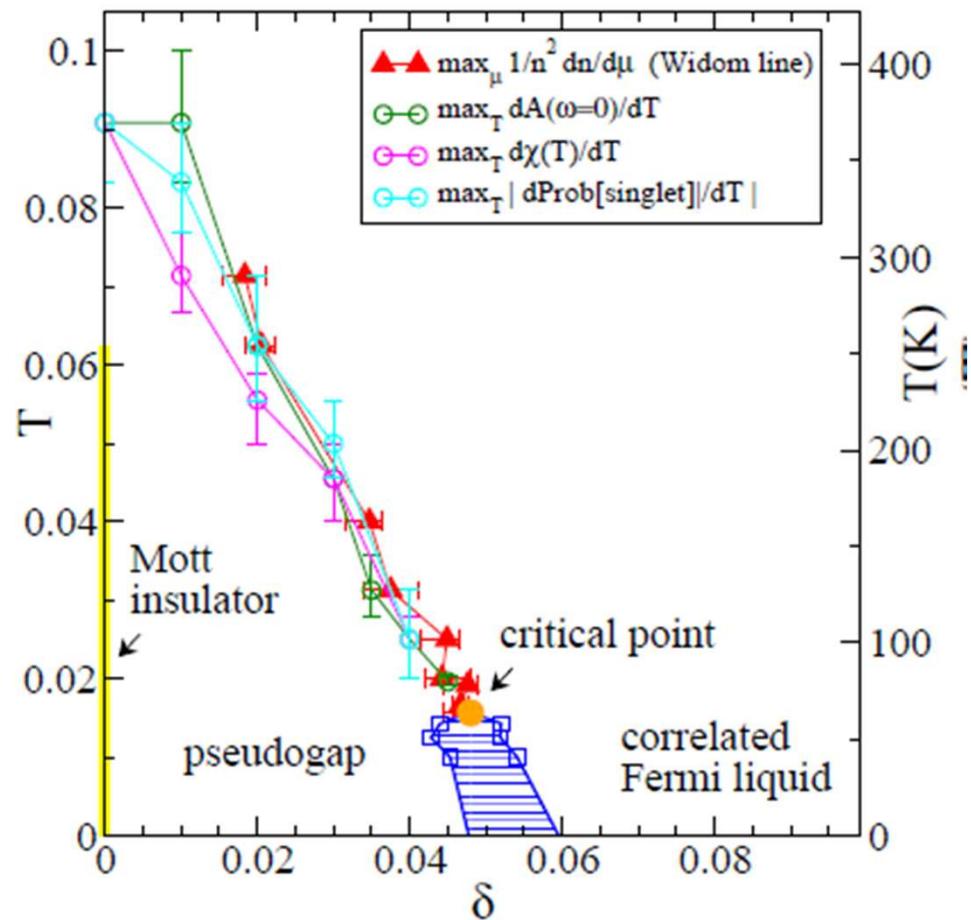


McMillan and Stanley, Nat Phys 2010

- ▶ it is the continuation of the coexistence line in the supercritical region
- ▶ line where the **maxima of different response functions** touch each other asymptotically as  $T \rightarrow T_p$
- ▶ liquid-gas transition in water: max in isobaric heat capacity  $C_p$ , isothermal compressibility, isobaric heat expansion, etc

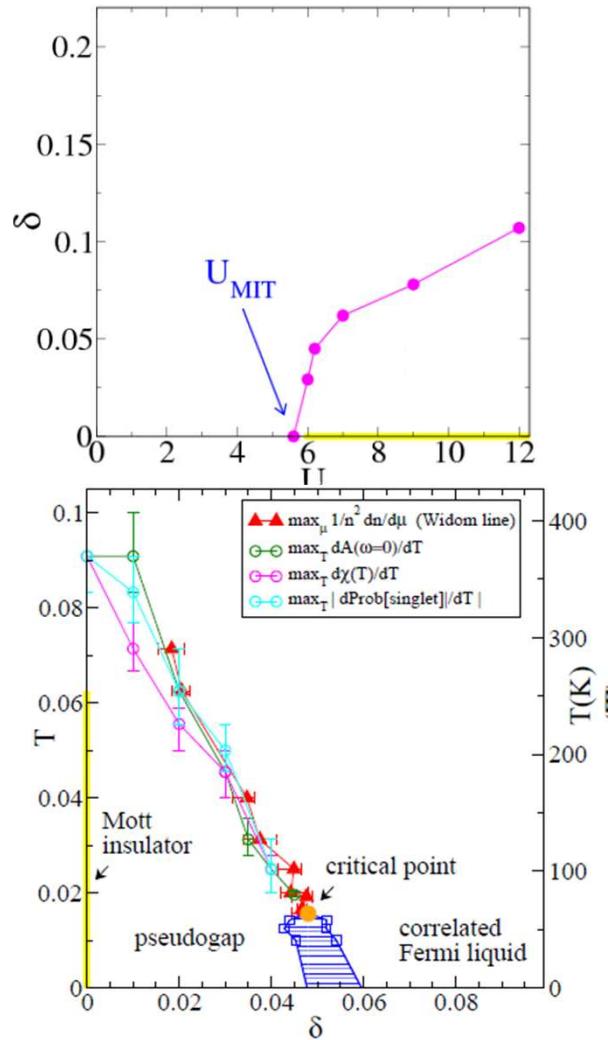
- ▶ **DYNAMIC crossover arises from crossing the Widom line!**  
water: Xu et al, PNAS 2005,  
Simeoni et al Nat Phys 2010

# Phase diagram



UNIVERSITÉ DE  
SHERBROOKE

# Summary: normal state



- Mott physics extends way beyond half-filling
- Pseudogap is a phase
- Pseudogap  $T^*$  is a Widom line
- High compressibility (stripes?)





Giovanni Sordi



Patrick Sémon



Kristjan Haule

## Finite $T$ phase diagram

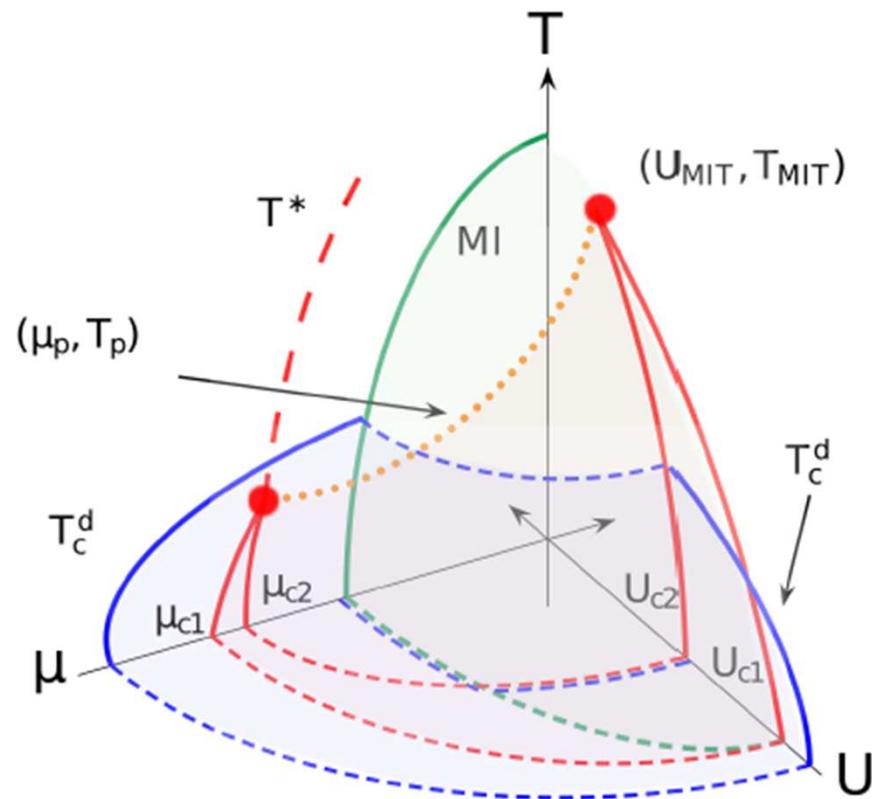
### Superconductivity

Sordi et al. PRL **108**, 216401 (2012)



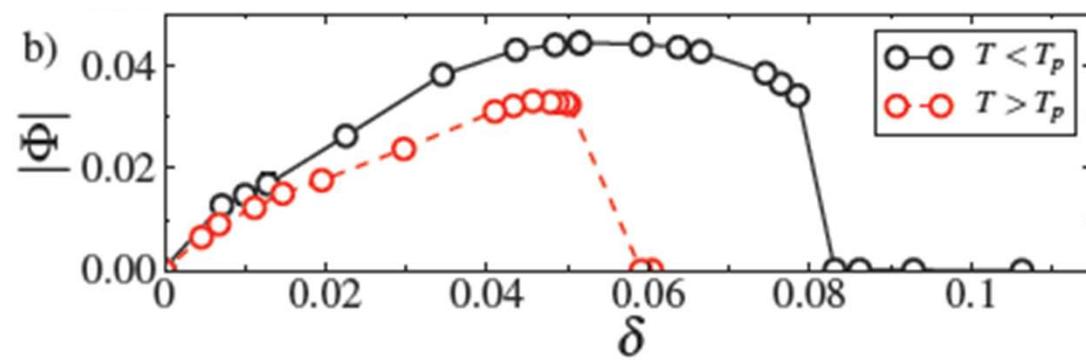
UNIVERSITÉ DE  
SHERBROOKE

# Unified phase diagram



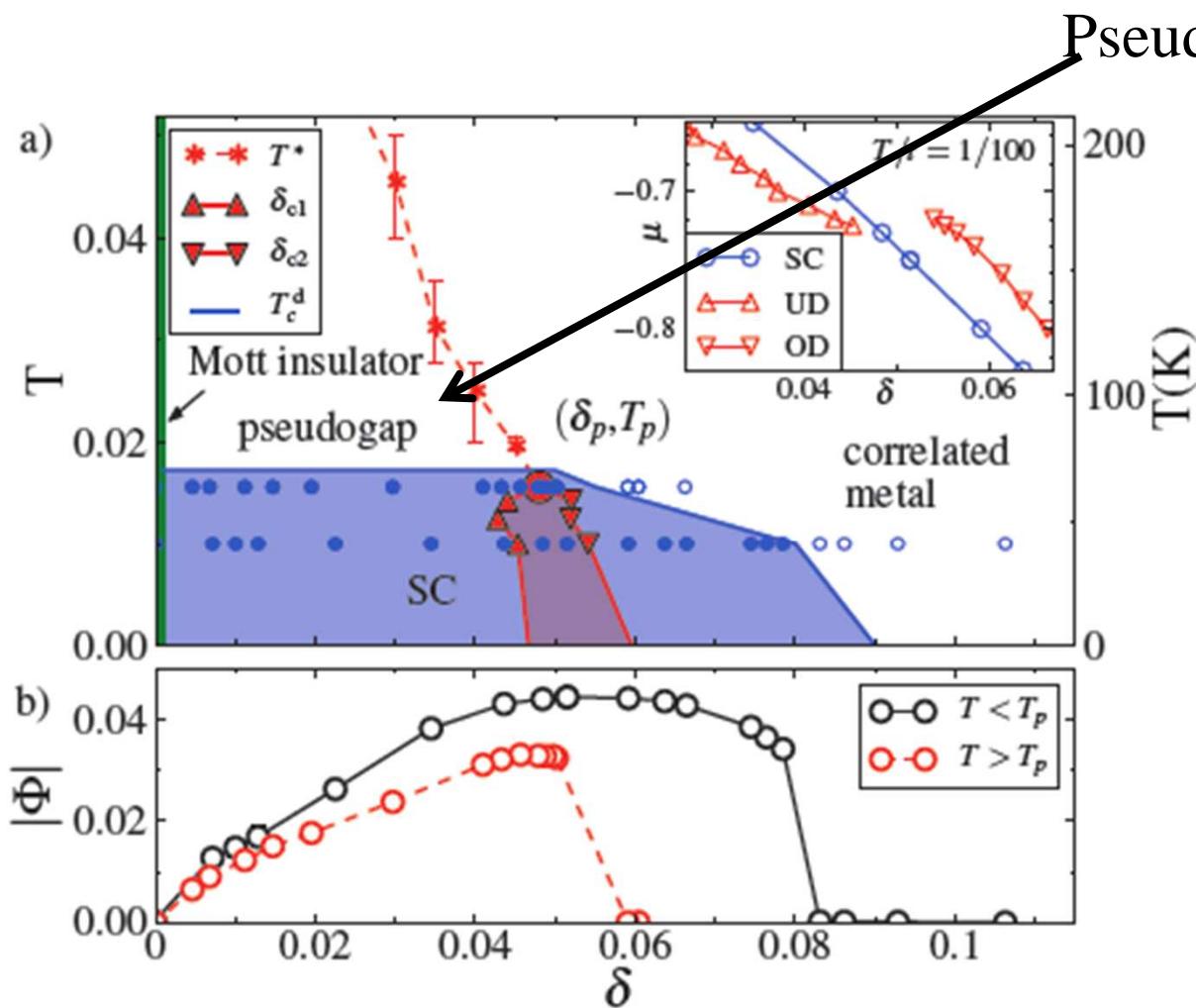
UNIVERSITÉ DE  
SHERBROOKE

# Cuprates (doping driven transition)



UNIVERSITÉ DE  
SHERBROOKE

# Cuprates (doping driven transition)



F. Rullier-Albenque, H. Alloul, and G. Rikken,  
Phys. Rev. B **84**, 014522  
(2011).



UNIVERSITÉ DE  
SHERBROOKE

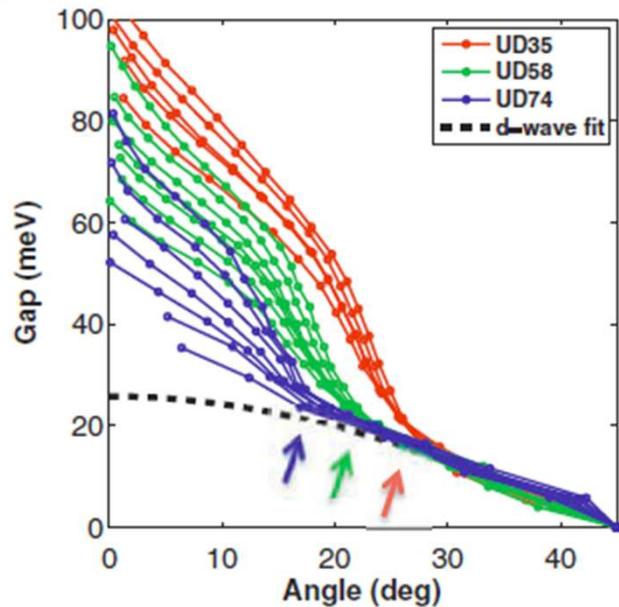
# Larger clusters

- Is there a minimal size cluster where  $T_c$  vanishes before half-filling?
- Learn something from small clusters as well
- Local pairs in underdoped



UNIVERSITÉ DE  
SHERBROOKE

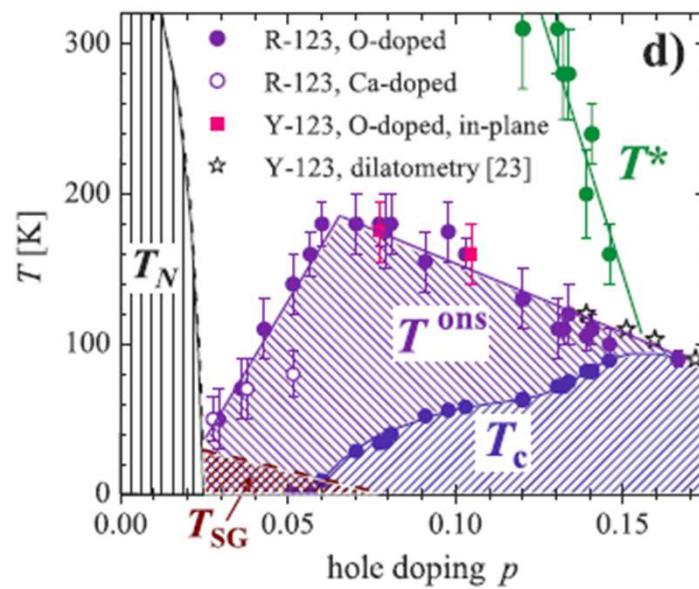
# Meaning of $T_c^d$ : Local pair formation



A. Pushp, Parker, ... A. Yazdani,  
Science **364**, 1689 (2007)

However, our measurements demonstrate that the nodal gap does not change with reduced doping. The pairing strength does not get weaker or stronger as the Mott insulator is approached; rather, it saturates.

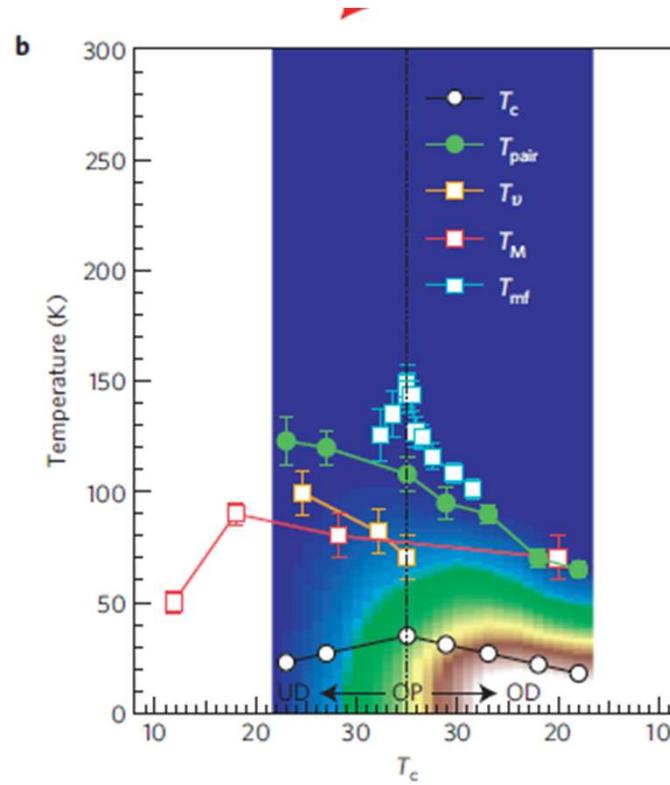
# Fluctuating region



Infrared response

Dubroka et al. 106, 047006 (2011)

# T<sub>pair</sub>



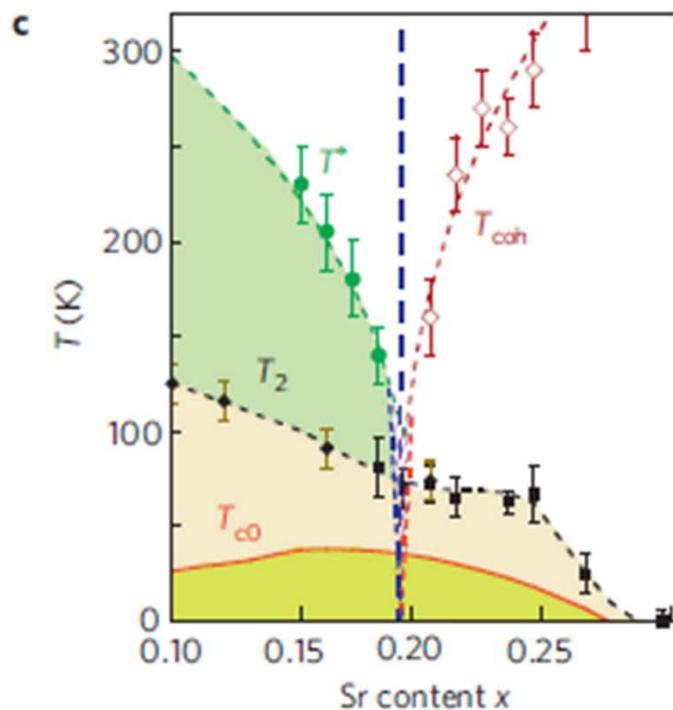
ARPES  
Bi2212

Kondo, Takeshi, et al. Kaminski Nature  
Physics 2011, 7, 21-25



UNIVERSITÉ DE  
SHERBROOKE

$T_2$



Magnetoresistance, LSCO  
Fluctuating vortices

Patrick M. Rourke, et al. Hussey Nature Physics 7, 455–458 (2011)

# Giant proximity effect

$T_c = 32\text{ K}$   
 $T_c < 5\text{ K}$

Morenzoni et al.,  
Nature Comms. **2** (2011)

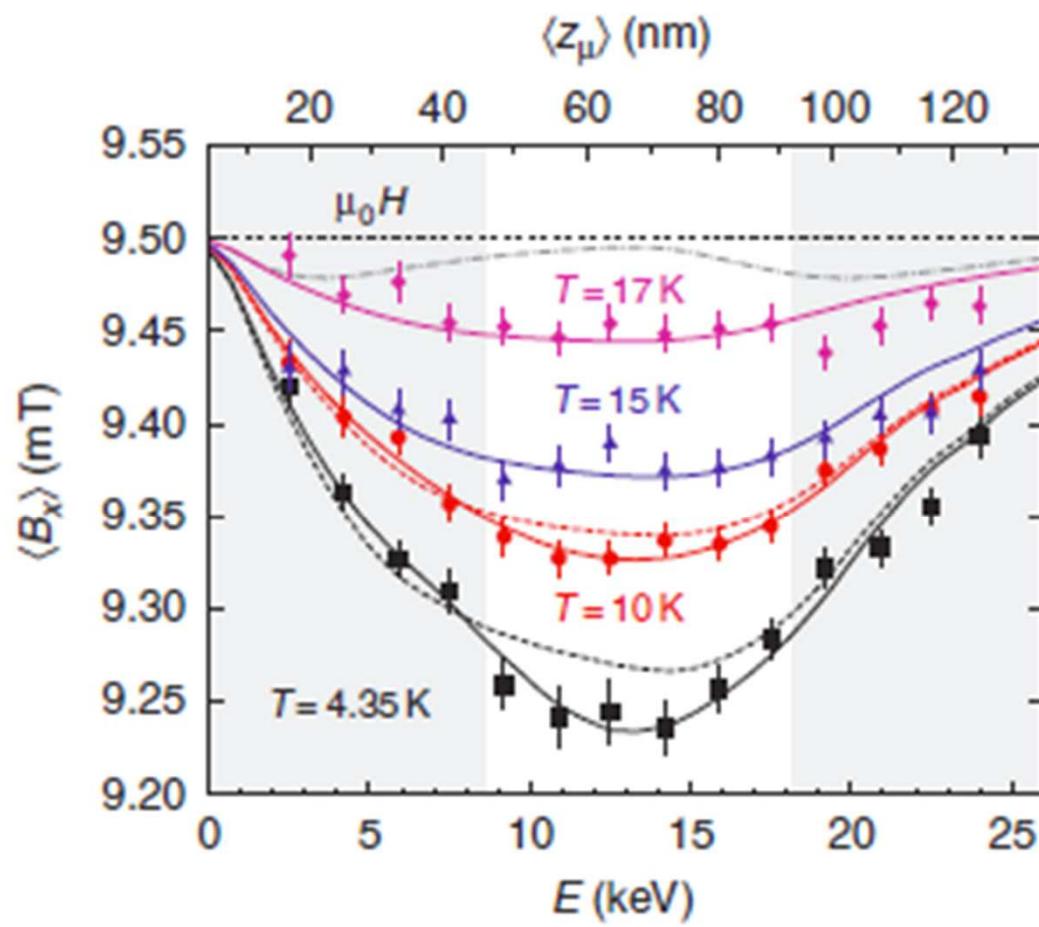
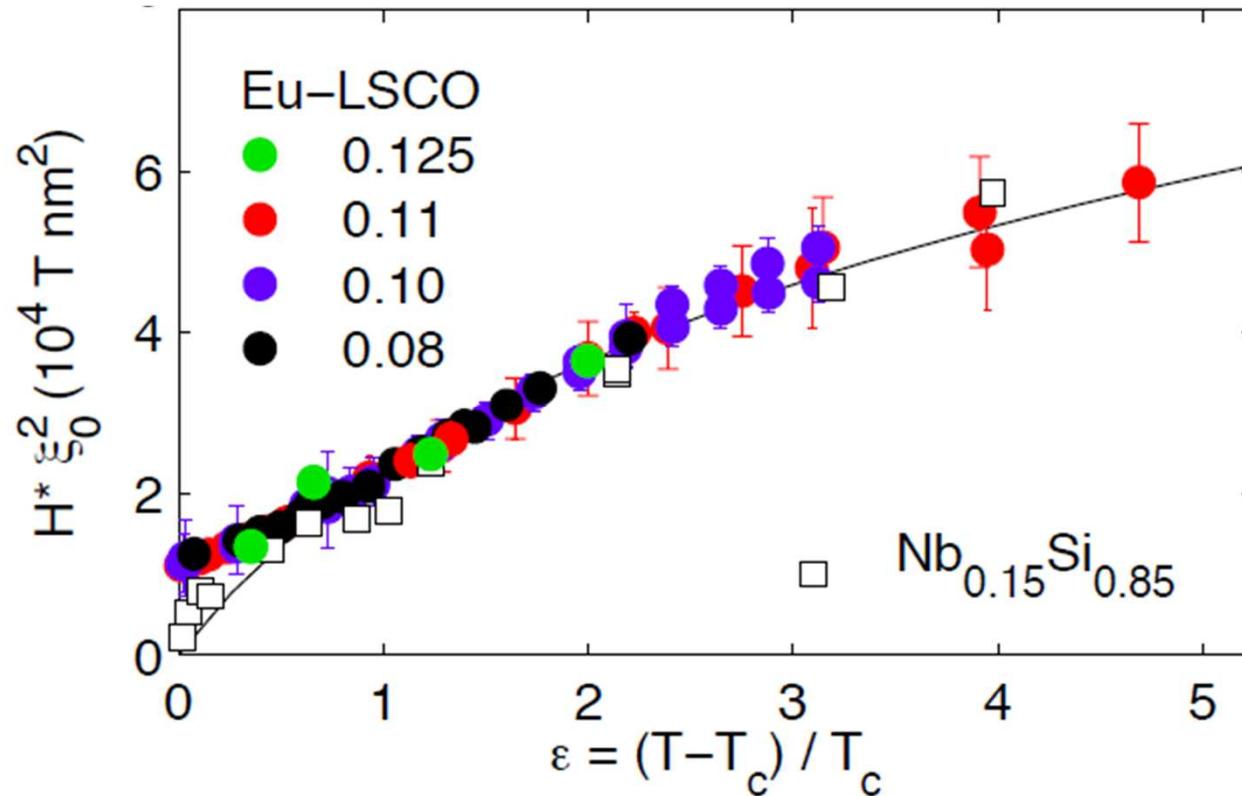


Figure 6 | Depth profile of the local field at different temperatures. The

# Actual $T_c$ in underdoped

- Quantum and classical phase fluctuations
  - V. J. Emery and S. A. Kivelson, Phys. Rev. Lett. **74**, 3253 (1995).
  - V. J. Emery and S. A. Kivelson, Nature **374**, 474 (1995).
  - D. Podolsky, S. Raghu, and A. Vishwanath, Phys. Rev. Lett. **99**, 117004 (2007).
  - Z. Tesanovic, Nat Phys **4**, 408 (2008).
- Magnitude fluctuations
  - I. Ussishkin, S. L. Sondhi, and D. A. Huse, Phys. Rev. Lett. **89**, 287001 (2002).
- Competing order
  - E. Fradkin, S. A. Kivelson, M. J. Lawler, J. P. Eisenstein, and A. P. Mackenzie, Annual Review of Condensed Matter Physics **1**, 153 (2010).
- Disorder
  - F. Rullier-Albenque, H. Alloul, F. Balakirev, and C. Proust, EPL (Europhysics Letters) **81**, 37008 (2008).
  - H. Alloul, J. Bobro, M. Gabay, and P. J. Hirschfeld, Rev. Mod. Phys. **81**, 45 (2009).

# Gaussian amplitude fluctuations in Eu-LSCO



Chang, Doiron-Leyraud et al.

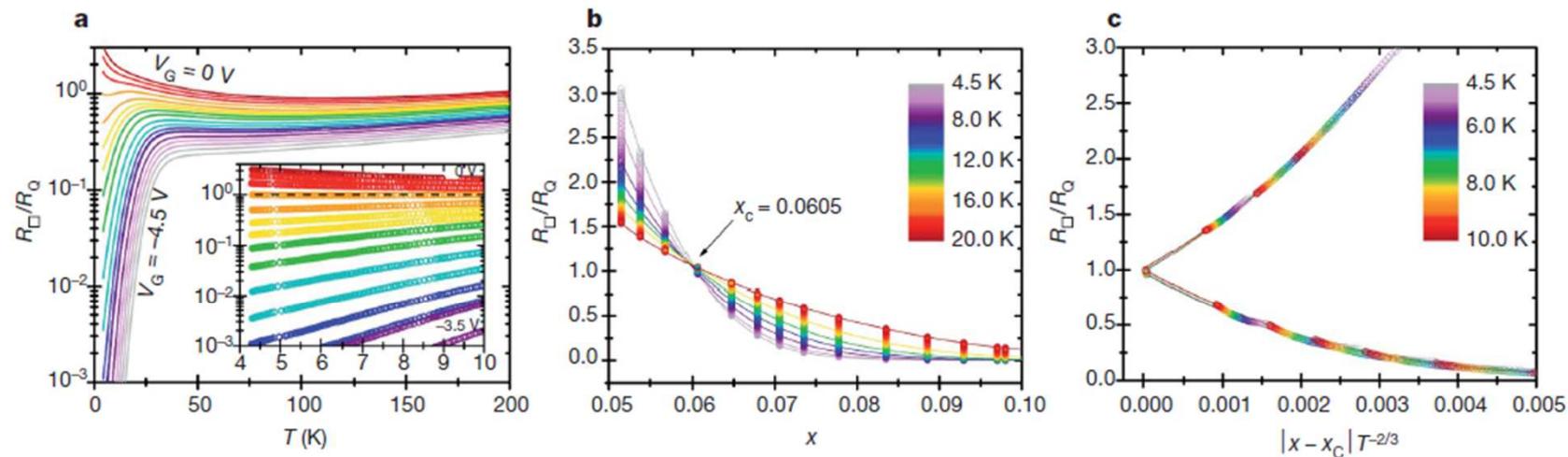


UNIVERSITÉ DE  
SHERBROOKE

# Phase fluctuations and disorder?

Monolayer LSCO, field doped

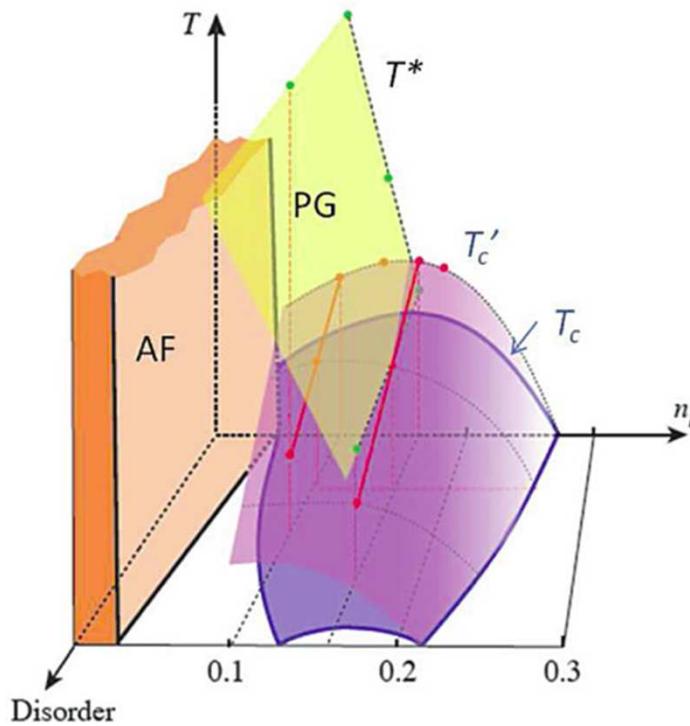
A. T. Bollinger et al. & I. Božović, Nature 472, 458–460



**Figure 2 | Superconductor–insulator transition driven by electric field.**  
a, Temperature dependence of normalized resistance  $r = R_{\square}(x, T)/R_Q$  of an initially heavily underdoped and insulating film (see Supplementary Fig. 12 for linear scale). The device (Supplementary section B) employs a coplanar Au gate and DEME-TFSI ionic liquid. The carrier density, fixed for each curve, is tuned by varying the gate voltage from 0 V to  $-4.5$  V in 0.25 V steps; an insulating film becomes superconducting via a QPT. The inset highlights a separatrix independent of temperature below 10 K. The open circles are the actual raw data points; the black dashed line is  $R_{\square}(x_c, T) = R_Q = 6.45$  kΩ. b, The inverse representation of the same data, that is, the  $r_T(x)$  dependence at fixed temperatures below 20 K. Each vertical array of (about 100) data points corresponds to one fixed carrier density, that is, to one  $r_x(T)$  curve in Fig. 2a.

The colours refer to the temperature, and the continuous lines are interpolated for selected temperatures (4.5, 6.0, 8.0, 10.0, 12.0, 15.0 and 20.0 K). The crossing point defines the critical carrier concentration  $x_c = 0.06 \pm 0.01$ , and the critical resistance  $R_c = 6.45 \pm 0.10$  kΩ. c, Scaling of the same data with respect to a single variable  $u = |x - x_c|T^{-1/zv}$ , with  $zv = 1.5$ . This figure is derived by folding panel b at  $x_c$  and scaling the abscissa of each  $r_T(|x - x_c|)$  curve by  $T^{-2/3}$ . For  $4.3 \text{ K} < T < 10 \text{ K}$ , the discrete groups of points of Fig. 2b collapse accurately onto a two-valued function, with one branch corresponding to  $x$  larger and the other to  $x$  smaller than  $x_c$ . The critical exponents are identical on both sides of the superconductor–insulator transition. The raw data points cover the interpolation lines almost completely, except close to the origin.

# Effect of disorder



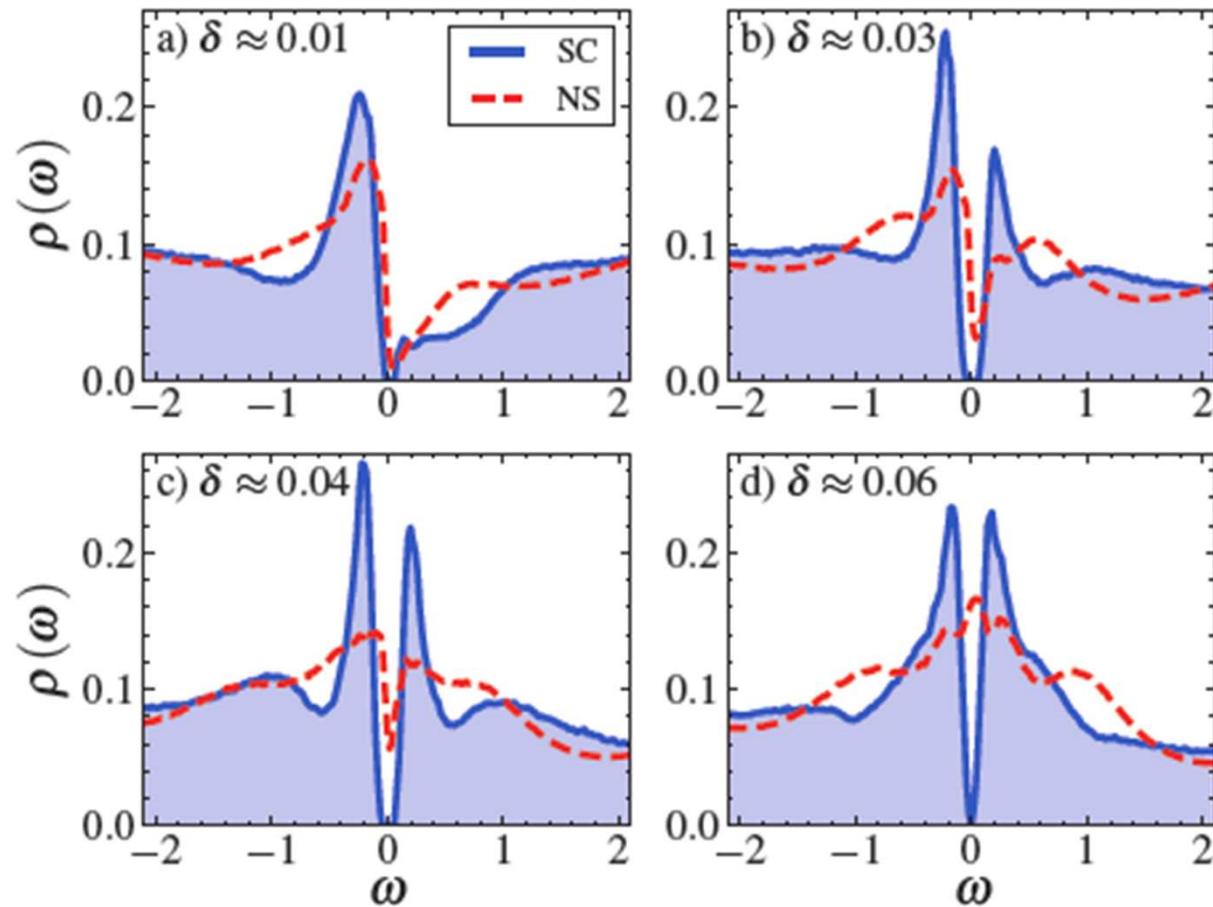
F. Rullier-Albenque, H. Alloul, and G.Rikken,  
Phys. Rev. B **84**, 014522 (2011).

# Superconductivity in underdoped vs BCS



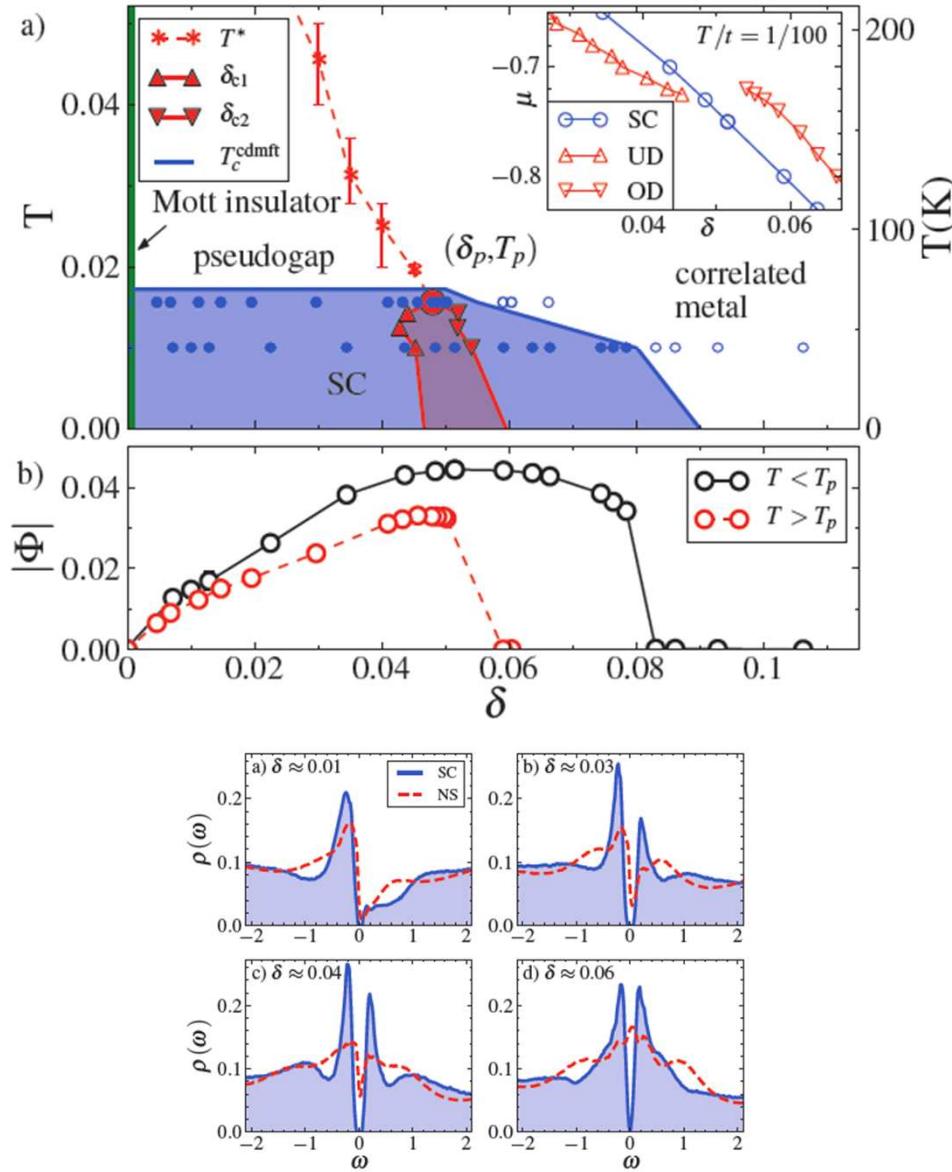
UNIVERSITÉ DE  
SHERBROOKE

# First-order transition leaves its mark



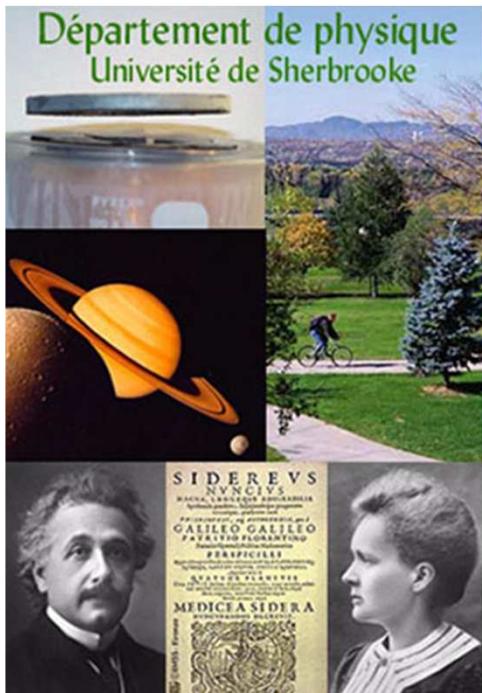
UNIVERSITÉ DE  
SHERBROOKE

# Summary



- Below the dome finite  $T$  critical point (not QCP) controls normal state
- First-order transition destroyed but traces in the dynamics
- Pseudogap different from pairing.
- Actual  $T_c$  in underdoped
  - Competing order
  - Long wavelength fluctuations (see O.P.)
  - Disorder

# André-Marie Tremblay



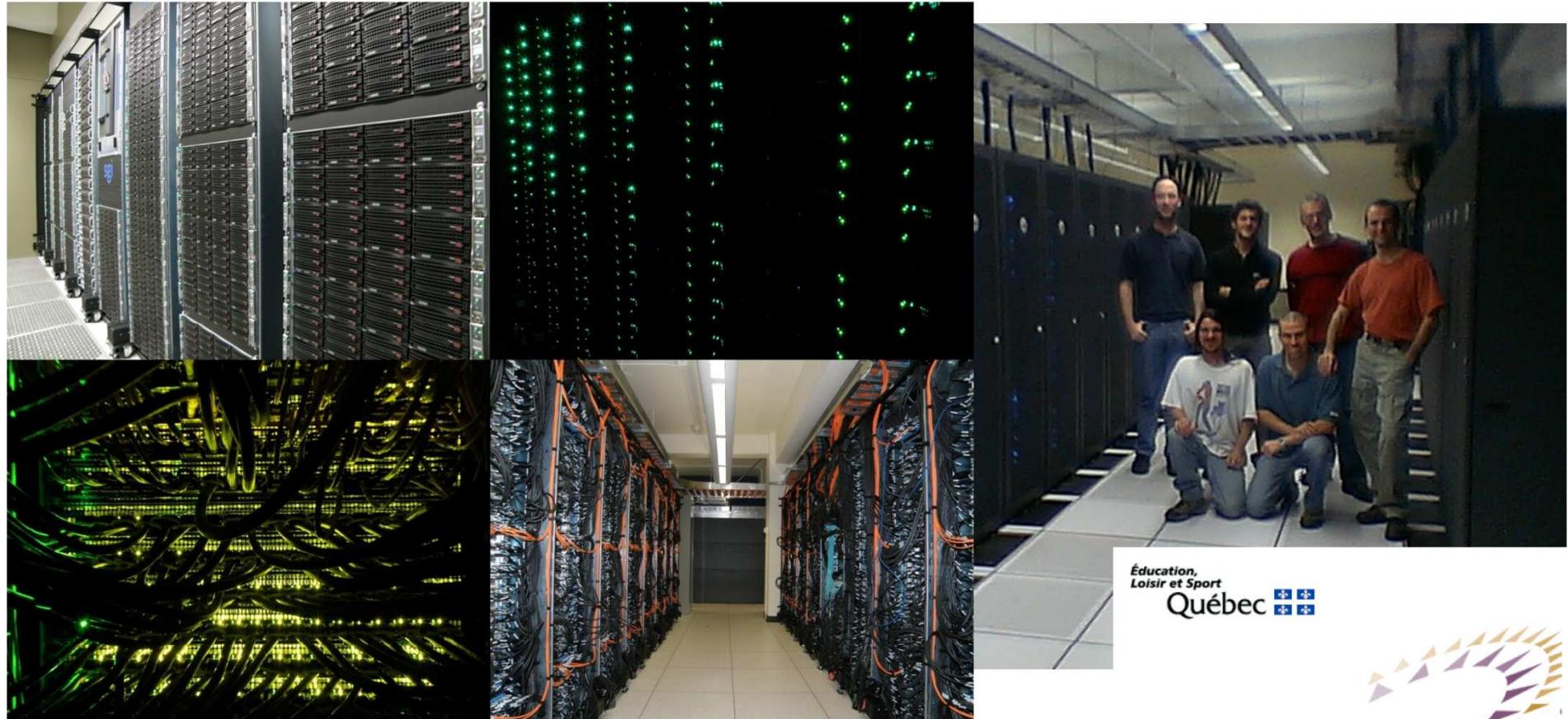
Le regroupement québécois sur les matériaux de pointe



## Sponsors:



# Mammouth



Éducation,  
Loisir et Sport  
Québec 



Canada Foundation for Innovation  
Fondation canadienne pour l'innovation

 **compute • calcul  
CANADA**

**High Performance Computing**

CREATING KNOWLEDGE  
DRIVING INNOVATION  
BUILDING THE DIGITAL ECONOMY

**Le calcul de haute performance**

CRÉER LE SAVOIR  
ALIMENTER L'INNOVATION  
BATIR L'ÉCONOMIE NUMÉRIQUE

  
**Calcul Québec**

 **UNIVERSITÉ DE  
SHERBROOKE**

merci

thank you