

Strongly correlated superconductivity: cuprates and organics

A.-M. Tremblay



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Advances in strongly correlated electronic systems
Minneapolis - 13 June 2016



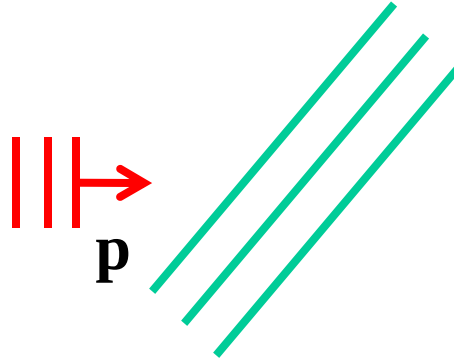
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Superconductivity

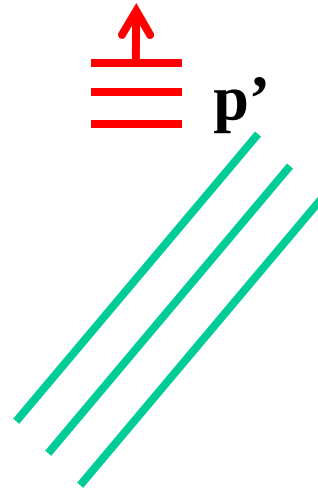


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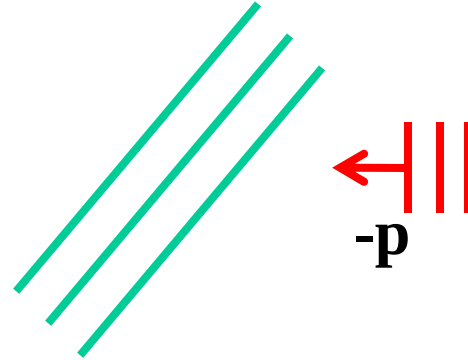
Attraction mechanism in the metallic state



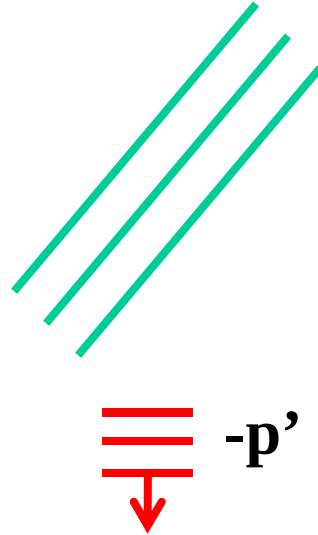
Attraction mechanism in the metallic state



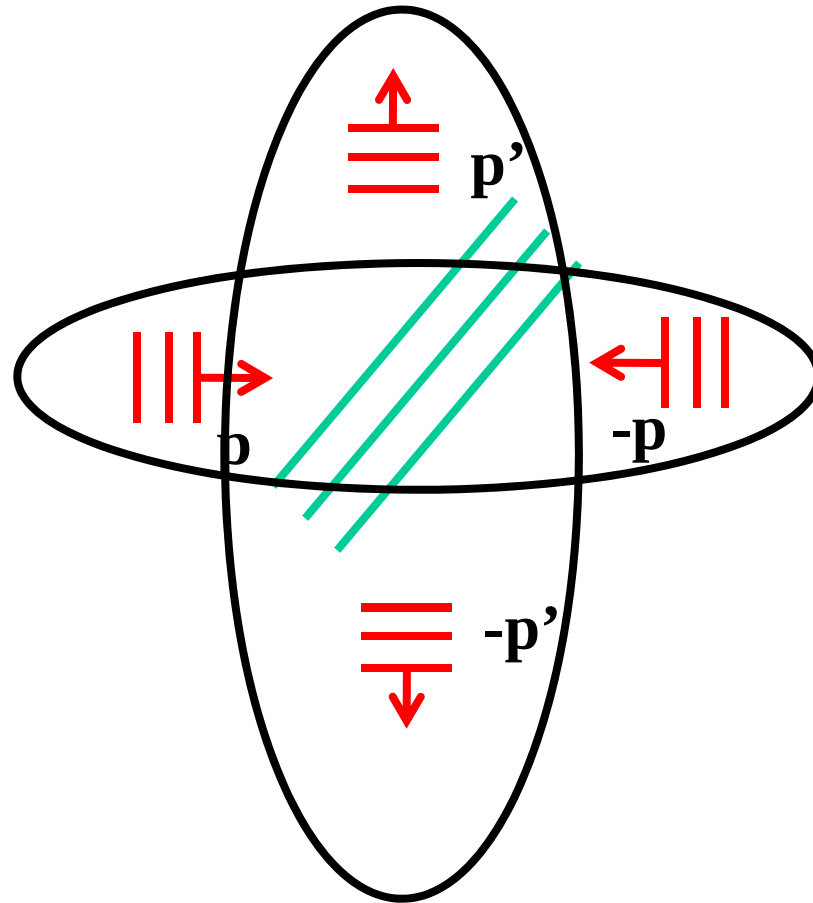
Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



#1 Cooper pair, #2 Phase coherence

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^*$$

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \left(\langle \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \rangle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* + \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \langle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \rangle \right)$$

$$|\text{BCS}(\theta)\rangle = \dots + e^{iN\theta} |N\rangle + e^{i(N+2)\theta} |N+2\rangle + \dots$$



Half-filled band is metallic?



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Half-filled band: Not always a metal

NiO, Boer and Verway



Peierls, 1937



Mott, 1949



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« Conventional » Mott transition

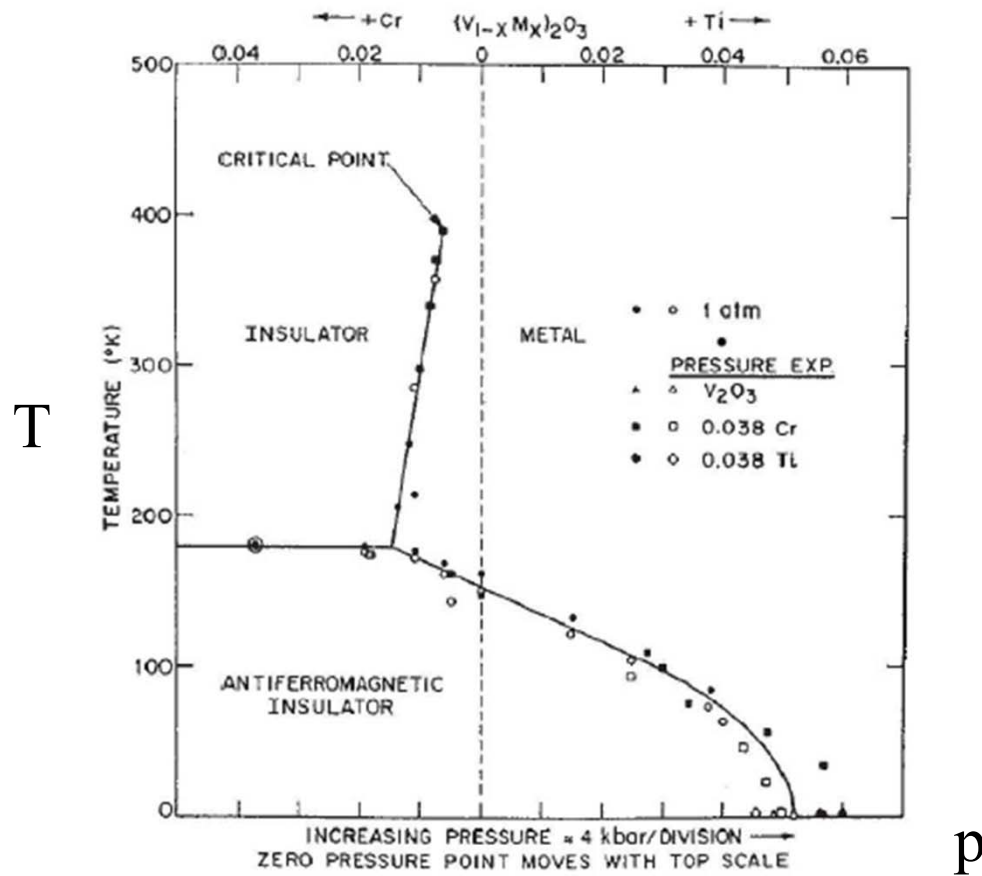


Figure: McWhan, PRB 1970; Limelette, Science 2003

Two pillars of Condensed Matter Physics

- Band theory
 - DFT
 - Fermi liquid Theory
 - Metals
 - Semiconductors: transistor
- BCS theory of superconductivity
 - Broken symmetry
 - Emergent phenomenon
 - Also in particle physics, astrophysics...



« Phase » and emergent properties

- Emergent properties
 - e.g. Fermi surface
 - Shiny
 - Quantum oscillations (in B field)
- Many microscopic models will do the same
 - Electrons in box or atoms in solid, Fermi surface
 - Concept of Fermi liquid
 - Often hard to « derive » from first principles (fractionalization - gauge theories)



Atomic structure

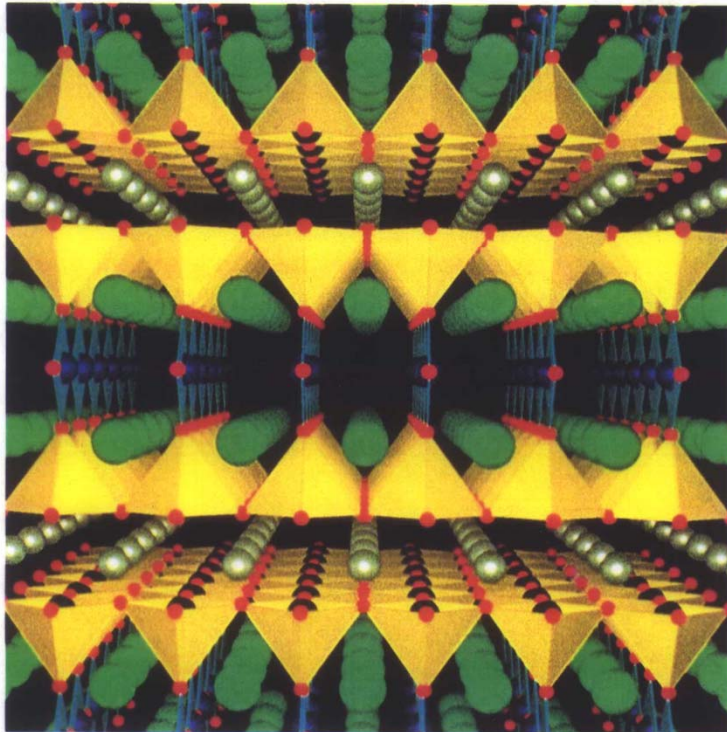
SCIENTIFIC AMERICAN

JUNE 1988
\$3.50

How nonsense is deleted from genetic messages.

R_x for economic growth: aggressive use of new technology.

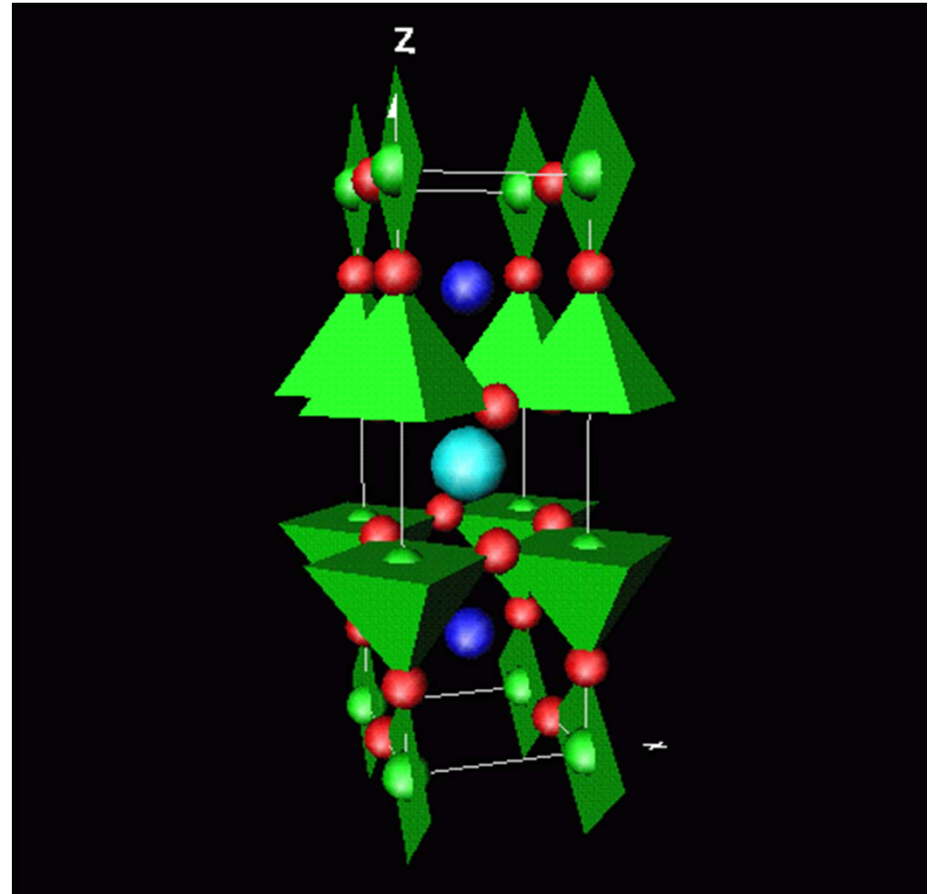
Can particle physics test cosmology?



High-Temperature Superconductor belongs to a family of materials that exhibit exotic electronic properties.



92-37

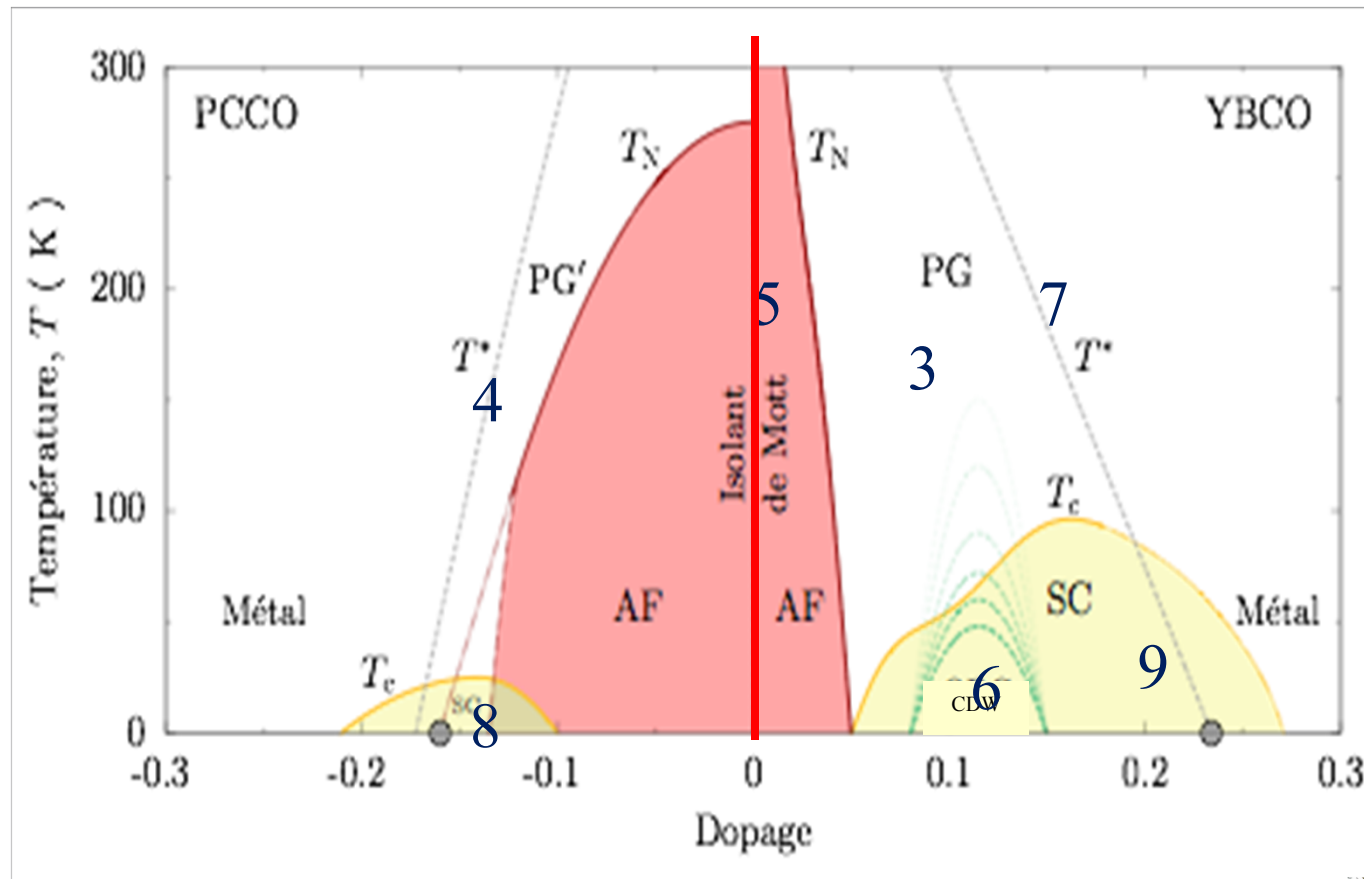


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Breakdown

For references, September 2013 Julich summer school
Strongly Correlated Superconductivity

<http://www.cond-mat.de/events/correl13/manuscripts/tremblay.pdf>

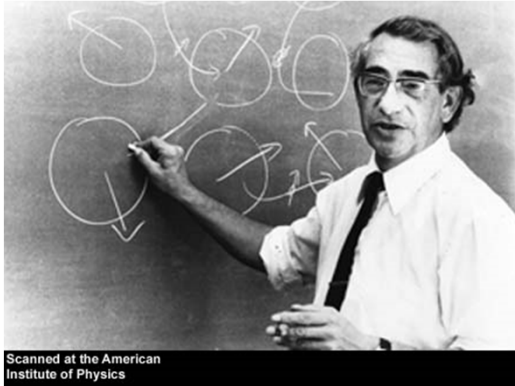


2. The model

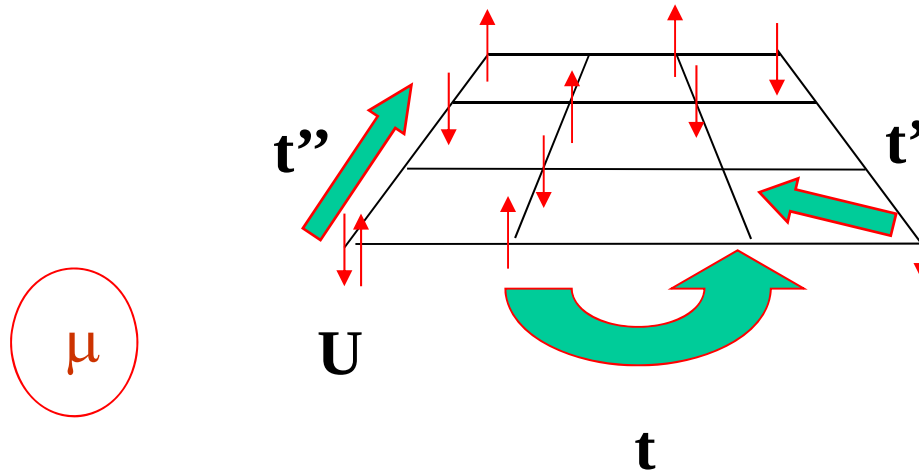
$$H = - \sum_{\langle ij \rangle \sigma} t_{ij} \left(c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



Hubbard model



1931-1980



$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} \left(c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Attn: Charge transfer insulator

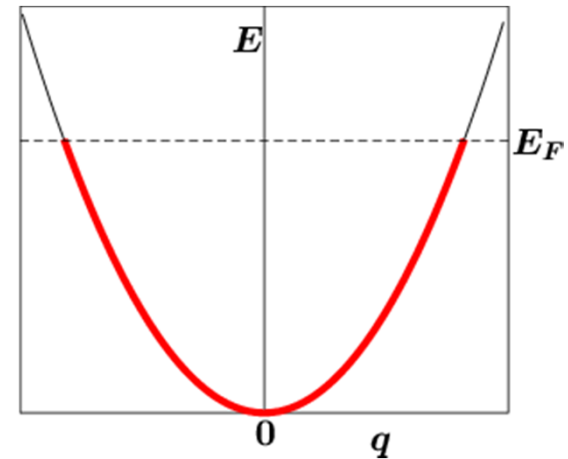
$$U = 0$$

$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma})$$

$$c_{i\sigma} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}_i} c_{\mathbf{k}\sigma}$$

$$H = \sum_{\mathbf{k}, \sigma} \varepsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

$$|\Psi\rangle = \prod_{\mathbf{k}, \sigma} c_{\mathbf{k}\sigma}^\dagger |0\rangle$$

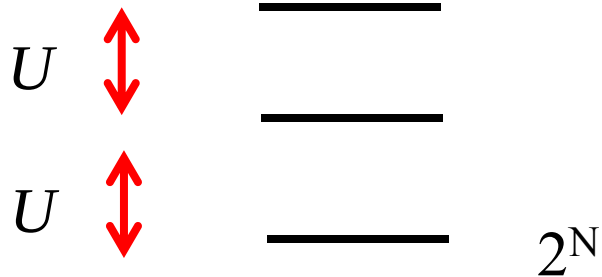


$$t_{ij} = 0$$

$$H =$$

$$U \sum_i n_{i\uparrow} n_{i\downarrow}$$

⋮



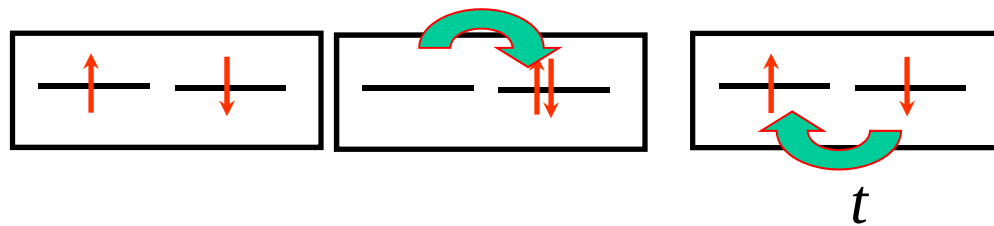
$$|\Psi\rangle = \prod_{\mathbf{i}} c_{\mathbf{i}\uparrow}^{\dagger} \prod_{\mathbf{j}} c_{\mathbf{j}\downarrow}^{\dagger} |0\rangle$$



Interesting in the general case

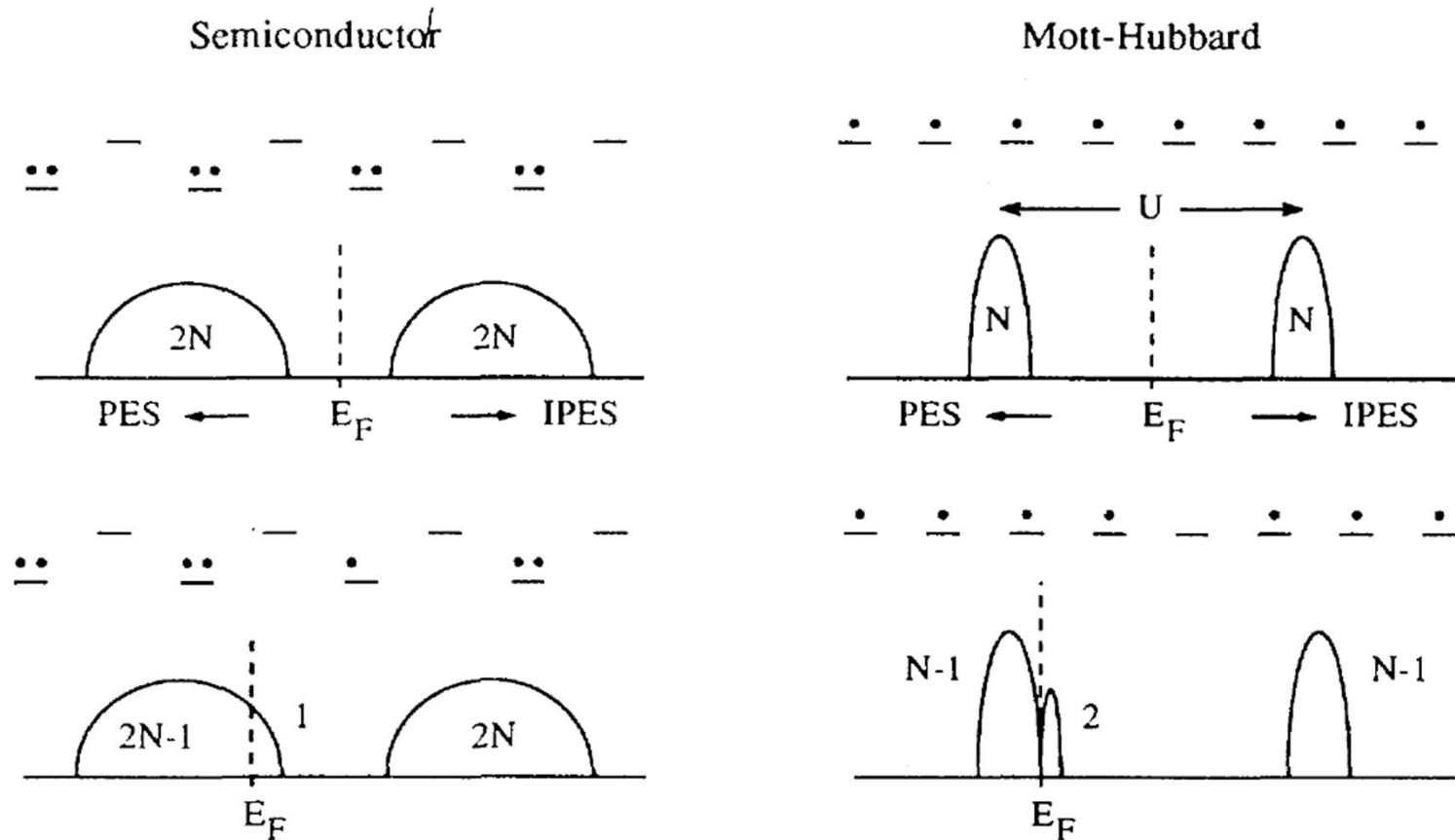
$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Mott transition



Effective model, Heisenberg: $J = 4t^2 / U$

Spectral weight transfer



Meinders *et al.* PRB **48**, 3916 (1993)

Outline

1. Introduction
2. The model
3. Weakly and strongly correlated antiferromagnets
 1. Qualitative
 2. Contrasting methods for weak and strong coupling



Outline

4. Weakly and strongly correlated superconductivity
 1. Qualitative
 2. Contrasting methods
5. High T_c the view from DMFT
 1. Quantum clusters
 2. Normal state and pseudogap
 3. SC state
6. Organics
7. Methods, 2 of them: C-DMFT and TPSC
8. Conclusion



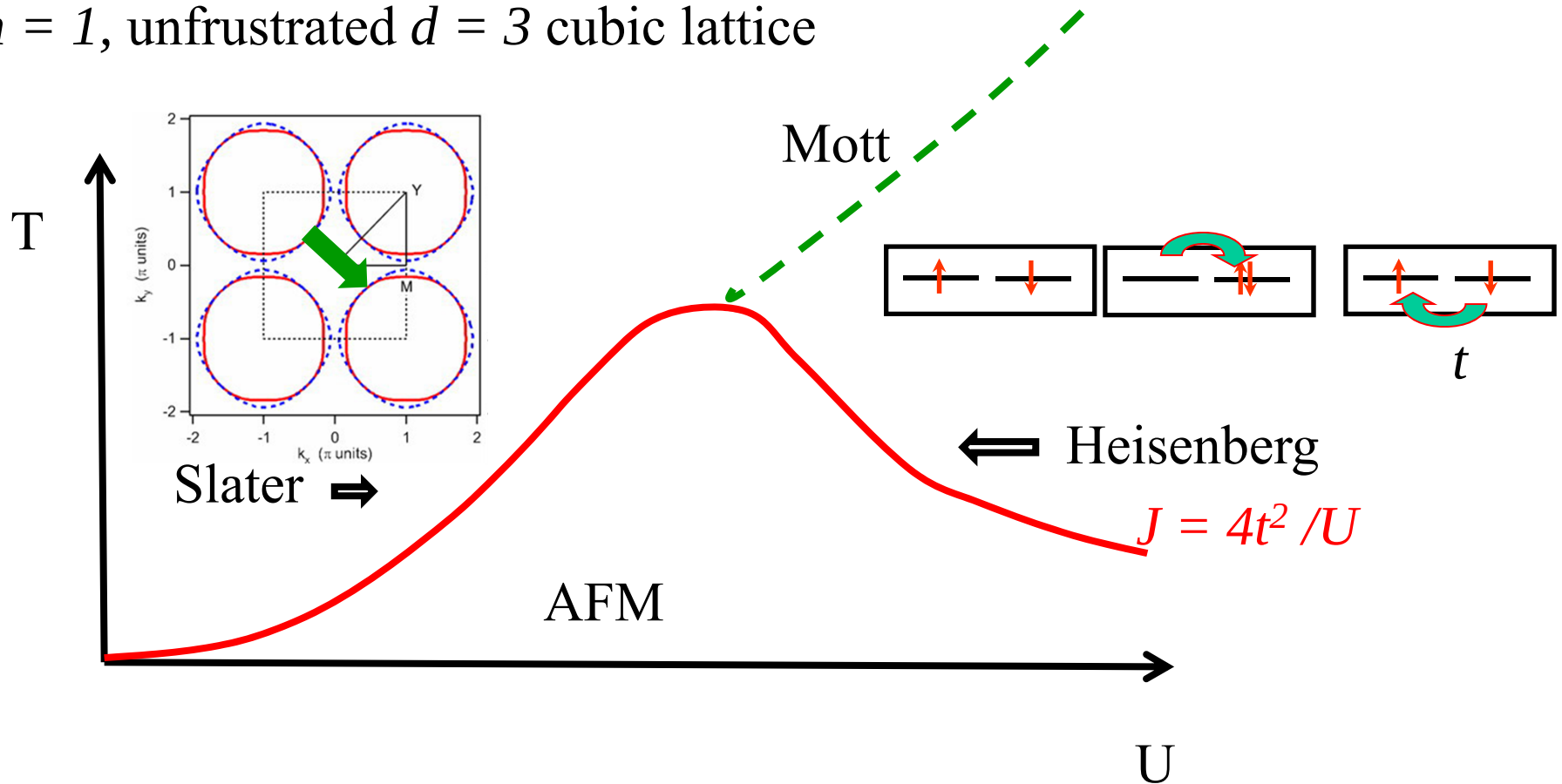
3. Strong vs weak correlations for an antiferromagnet

3.1 Qualitative



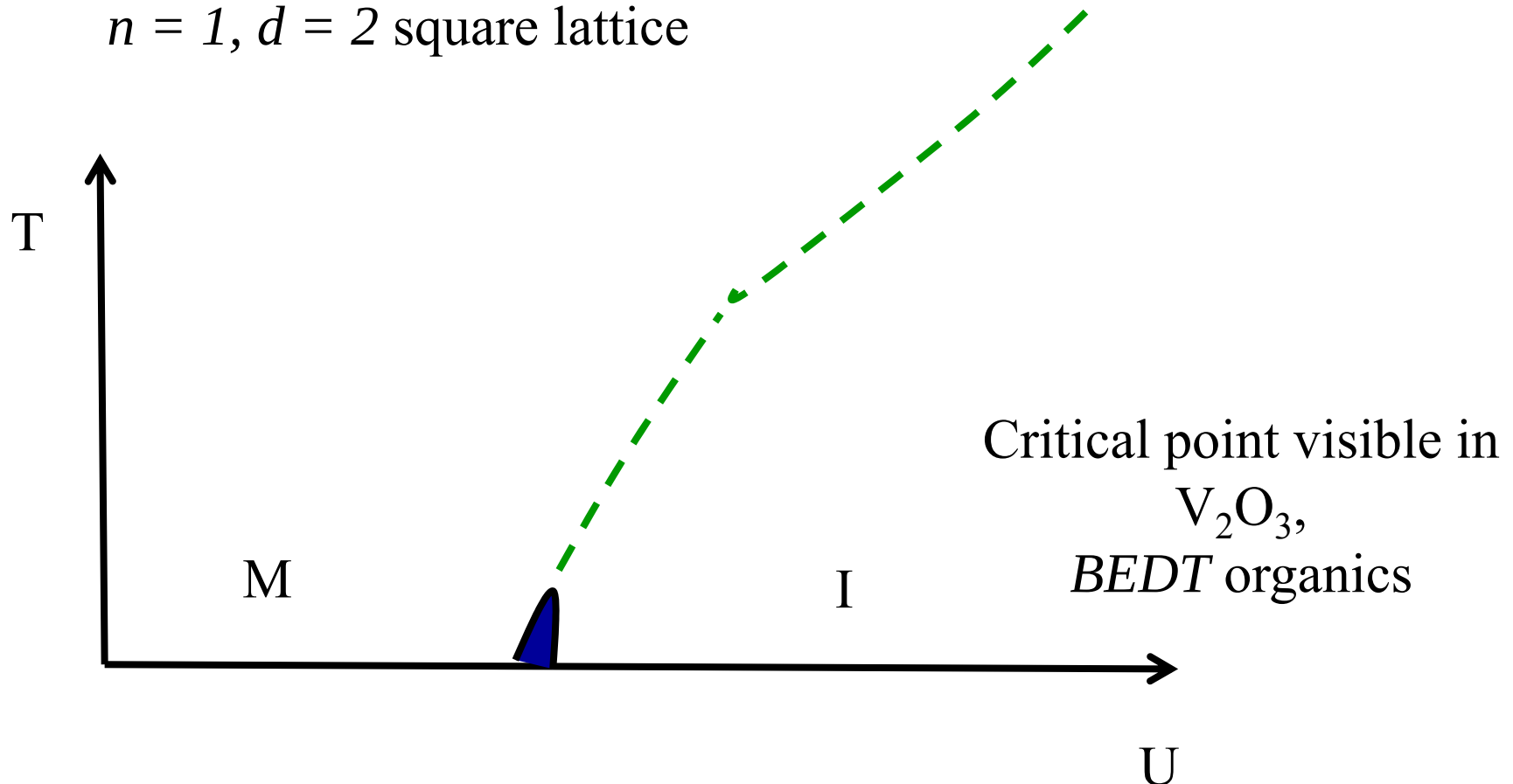
Weak vs Strong correlations

$n = 1$, unfrustrated $d = 3$ cubic lattice



Local moment and Mott transition

$n = 1, d = 2$ square lattice



Understanding finite temperature phase from a *mean-field theory* down to $T = 0$



Antiferromagnetic phase: emergent properties

- Some broken symmetries
 - Time reversal symmetry
 - Translation by one lattice spacing
 - Unbroken Time-reversal times translation by lattice vector $\mathbf{a}$
- Spin waves
- Single-particle gap



Differences between weakly and strongly correlated

- Different in ordered phase (finite frequency)
 - Ordered moment
 - Landau damping
 - Spin waves all the way or not to J
- Different, even more, in the normal state:
 - metallic in $d = 3$ if weakly correlated
 - Insulating if strongly correlated
 - Pressure dependence of T_N



Strong vs weak correlations

Contrasting methods



Ordered state

- Mean-field (Hartree-Fock) for AFM

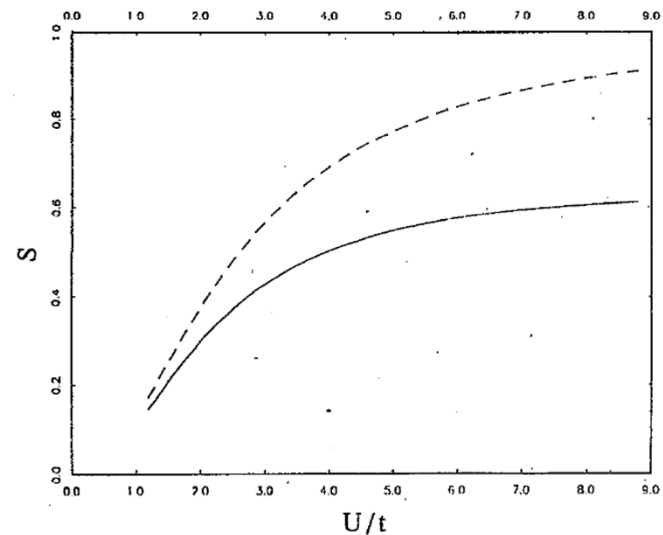
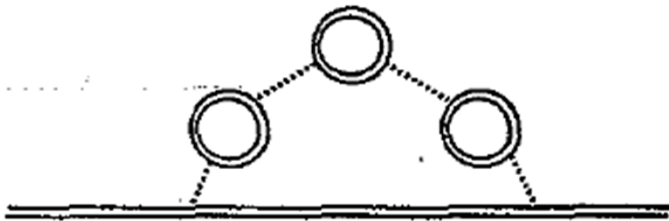


FIG. 7. The solid line represents the sublattice magnetization including the fluctuation effects. The dashed line is the mean-field result.

Schrieffer, Wen, Zhang, PRB 1989



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More methods for ordered states, $n=1$

- Numerically, stochastic series expansion,
- High-temperature series expansion,
- Quantum Monte Carlo
- World-line
- Worm algorithms
- Variational methods
- Ground state of $S=1/2$ in $d=2$ is AFM, not spin liquid



In paramagnetic state



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Theory difficult even at weak to intermediate correlation!

- RPA (OK with conservation laws)

- Mermin-Wagner

- Pauli

- Moryia (Conjugate variables HS $\phi^4 = \langle \phi^2 \rangle \phi^2$)

- Adjustable parameters: c and U_{eff}

- Pauli

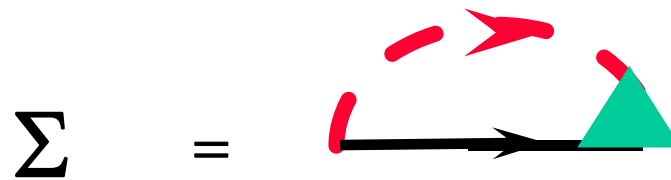
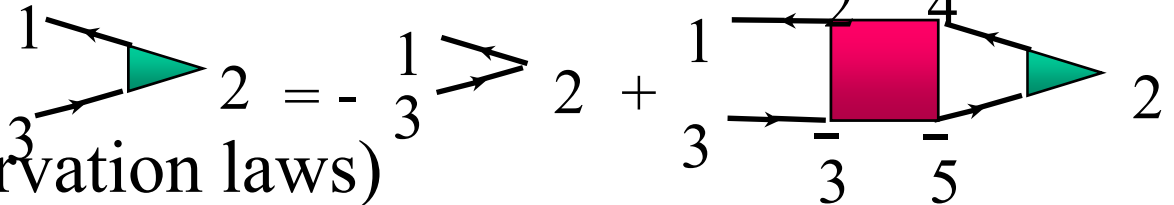
- FLEX

- No pseudogap

- Pauli

- Renormalization Group

- 2 loops



Zanchi Schultz, (2000)

Rohe and Metzner (2004)

Katanin and Kampf (2004)



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Two-Particle Self-Consistent (idea)

- General philosophy
 - Drop diagrams
 - Impose constraints and sum rules
 - Conservation laws
 - Pauli principle ($\langle n_{\sigma}^2 \rangle = \langle n_{\sigma} \rangle$)
 - Local moment and local density sum-rules
- Get for free:
 - Mermin-Wagner theorem
 - Kanamori-Brückner screening
 - Consistency between one- and two-particle $\Sigma G = U \langle n_{\sigma} n_{-\sigma} \rangle$

Vilk, AMT J. Phys. I France, 7, 1309 (1997); Allen et al. in *Theoretical methods for strongly correlated electrons* also cond-mat/0110130

(Mahan, third edition)



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Doped Mott insulator : strong correlations

Normal state



Emergence and slave particle approaches

$$H = \sum_{\langle ij \rangle} J \left(\mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} n_i n_j \right) - \sum_{ij, \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.}) \quad \sum_{\sigma} c_{i\sigma}^\dagger c_{i\sigma} \leq 1$$

$$c_{i\sigma}^\dagger = f_{i\sigma}^\dagger b_i \quad f_{i\uparrow}^\dagger f_{i\uparrow} + f_{i\downarrow}^\dagger f_{i\downarrow} + b_i^\dagger b_i = 1$$

$$\begin{aligned} \mathbf{S}_i \cdot \mathbf{S}_j = & -\frac{1}{4} f_{i\alpha}^\dagger f_{j\alpha} f_{j\beta}^\dagger f_{i\beta} - \frac{1}{4} (f_{i\uparrow}^\dagger f_{j\downarrow}^\dagger - f_{i\downarrow}^\dagger f_{j\uparrow}^\dagger) (f_{j\downarrow} f_{i\uparrow} - f_{j\uparrow} f_{i\downarrow}) \\ & + \frac{1}{4} (f_{i\alpha}^\dagger f_{i\alpha}). \end{aligned} \quad (35)$$

$$n_i n_j = (1 - b_i^\dagger b_i) (1 - b_j^\dagger b_j)$$

P.A. Lee, N. Nagaosa, and X.-G. Wen, Rev. Mod. Phys. 78, 17 (2006)



Lagrangian after HS transformation

$$Z = \int Df Df^\dagger Db Db^* D\lambda D\chi D\Delta \exp\left(-\int_0^\beta d\tau L_1\right)$$

$$\begin{aligned} L_1 = & \tilde{J} \sum_{\langle ij \rangle} (|\chi_{ij}|^2 + |\Delta_{ij}|^2) + \sum_{i\sigma} f_{i\sigma}^\dagger (\partial_\tau - i\lambda_i) f_{i\sigma} \\ & - \tilde{J} \left[\sum_{\langle ij \rangle} \chi_{ij}^* \left(\sum_{\sigma} f_{i\sigma}^\dagger f_{j\sigma} \right) + \text{c.c.} \right] \\ & + \tilde{J} \left[\sum_{\langle ij \rangle} \Delta_{ij} (f_{i\uparrow}^\dagger f_{j\downarrow}^\dagger - f_{i\downarrow}^\dagger f_{j\uparrow}^\dagger) + \text{c.c.} \right] \\ & + \sum_i b_i^* (\partial_\tau - i\lambda_i + \mu_B) b_i - \sum_{ij} t_{ij} b_i b_j^* f_{i\sigma}^\dagger f_{j\sigma} \end{aligned}$$



For strong correlations

- Gutzwiller
- Variational approaches
- Slave particles (Review: Lee Nagaosa RMP)
- Extremely Correlated Fermi liquids (Shastry)



YRZ

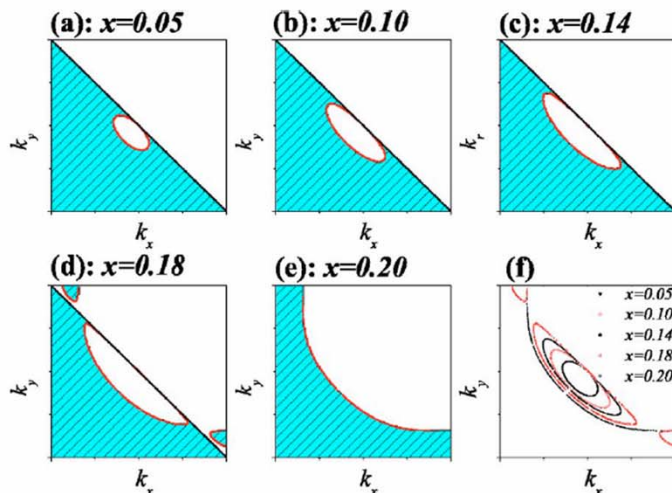
$$G^{RVB}(k, \omega) = \frac{g_t}{\omega - \xi(k) - \Delta_R^2 / [\omega + \xi_0(k)]} + G_{inc},$$

where $k = (k_x, k_y)$,

$$\xi_0(k) = -2t(x)(\cos k_x + \cos k_y),$$

$$\Delta_R(k) = \Delta_0(x)(\cos k_x - \cos k_y),$$

$$\xi(k) = \xi_0(k) - 4t'(x)\cos k_x \cos k_y - 2t''(x)(\cos 2k_x + \cos 2k_y) - \mu_p.$$



K.-Y. Yang, T.M. Rice, and F.-C. Zhang, Phys. Rev. B 73, 174501 (2006)

See numerous papers of Carbotte and Nicol and detailed discussions in

K. Le Hur and T.M. Rice, Annals of Physics 324, 1452 (2009)



4. Weakly and strongly correlated superconductivity (cuprates, normal state)

Analog to weakly and strongly
correlated antiferromagnets



Superconducting phase: identical properties

- Emergent:
 - Same broken symmetry $U(1)$ for s-wave,
 - $U(1)$ and C_{4v} for d-wave
 - Single-Particle gap, point or line node.
 - T dependence of C_p and κ at low T
 - Goldstone modes (+Higgs)

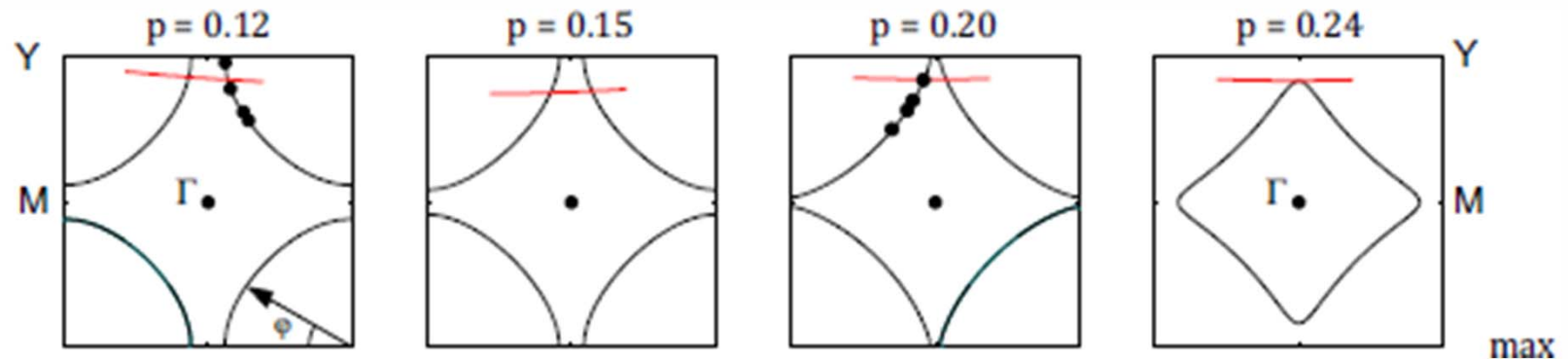
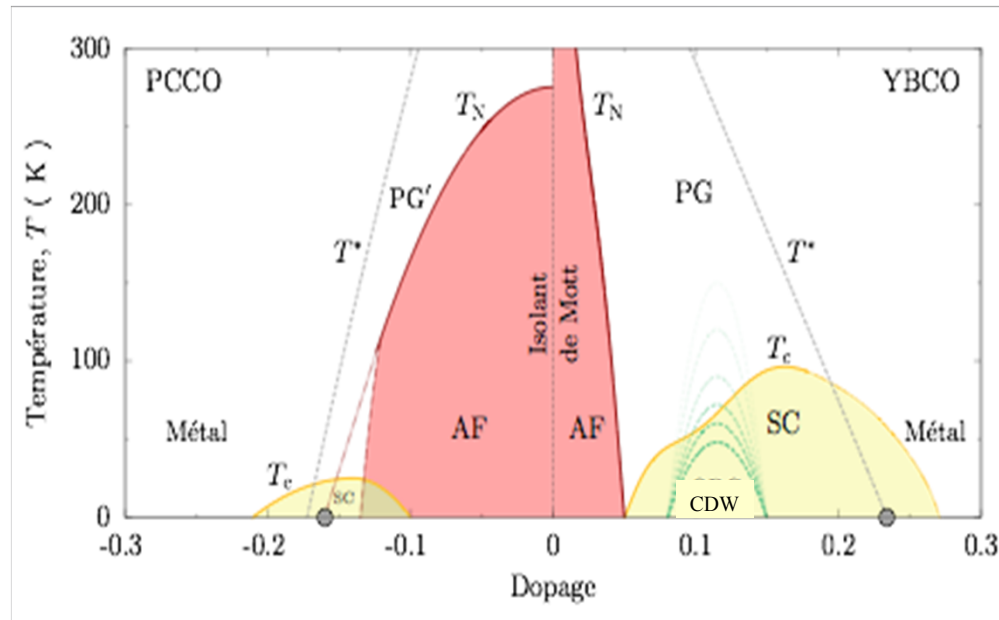


Superconductivity not universal even with phonons: weak or strong coupling

- In BCS universal ratios: e.g. $\Delta/k_B T_c$
 - Would never know the mechanism for sure if only BCS!
 - N.B. Strong coupling in a different sense



Phase diagram: hole and electron doping



Strongly correlated superconductors

- T_c does not scale like order parameter
- Superfluid stiffness scales like doping
- Superconductivity can be largest close to the metal-insulator transition
- Resilience to near-neighbor repulsion



h-doped are strongly correlated:
evidence from the normal state



Mott-Ioffe-Regel limit

$$\sigma = \frac{ne^2\tau}{m}$$

$$k_F\ell = \frac{2\pi}{\lambda_F}\ell \sim 2\pi$$

$$\sigma_{MIR} = \frac{e^2}{\hbar d}$$



Mott-Ioffe-Regel limit

$$\sigma = \frac{ne^2\tau}{m}$$

$$n = \frac{1}{2\pi d} k_F^2$$

$$\sigma = \left(\frac{1}{2\pi d} k_F^2 \right) \frac{e^2\tau}{m}$$

$$\ell = \left(\frac{\hbar k_F}{m} \right) \tau$$

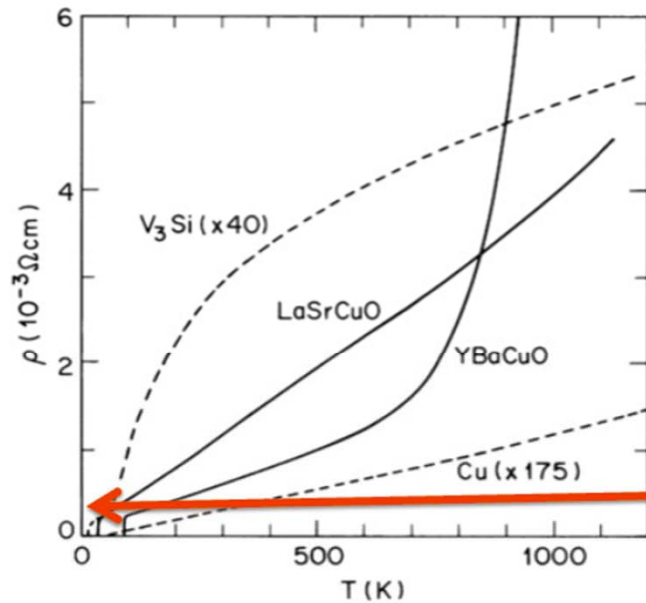
$$\sigma = \frac{1}{2\pi d} k_F e^2 \left(\frac{\ell}{\hbar} \right)$$

$$k_F \ell = \frac{2\pi}{\lambda_F} \ell \sim 2\pi$$

$$\sigma_{MIR} = \frac{e^2}{\hbar d}$$

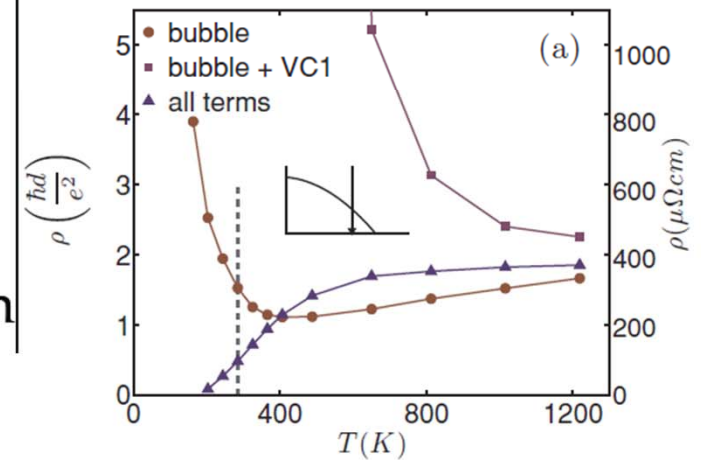


Hole-doped cuprates and MIR limit



Gurvitch & Fiory
PRL 59, 1337
(1987)

MIR limit
Mean-free path
 $\sim$ Fermi wavelength



LSCO 17%, YBCO optimal

Dominic Bergeron & AMST
PRB 2011
TPSC

PHYSICAL REVIEW B **84**, 085128 (2011)

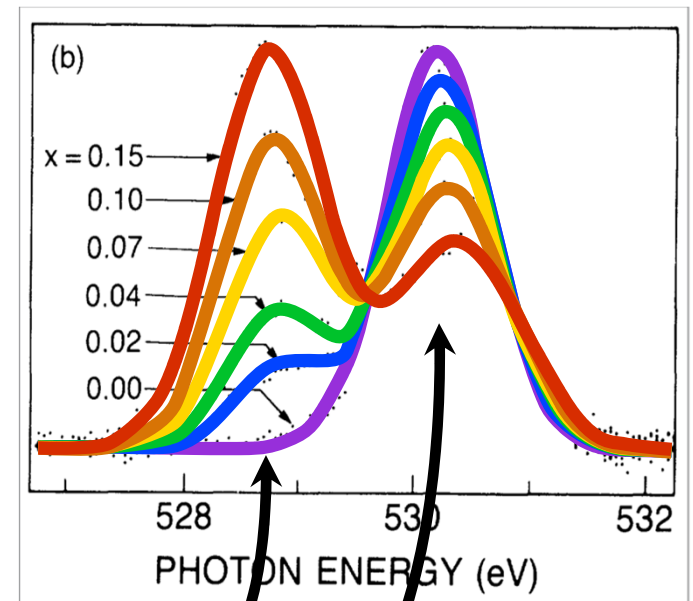
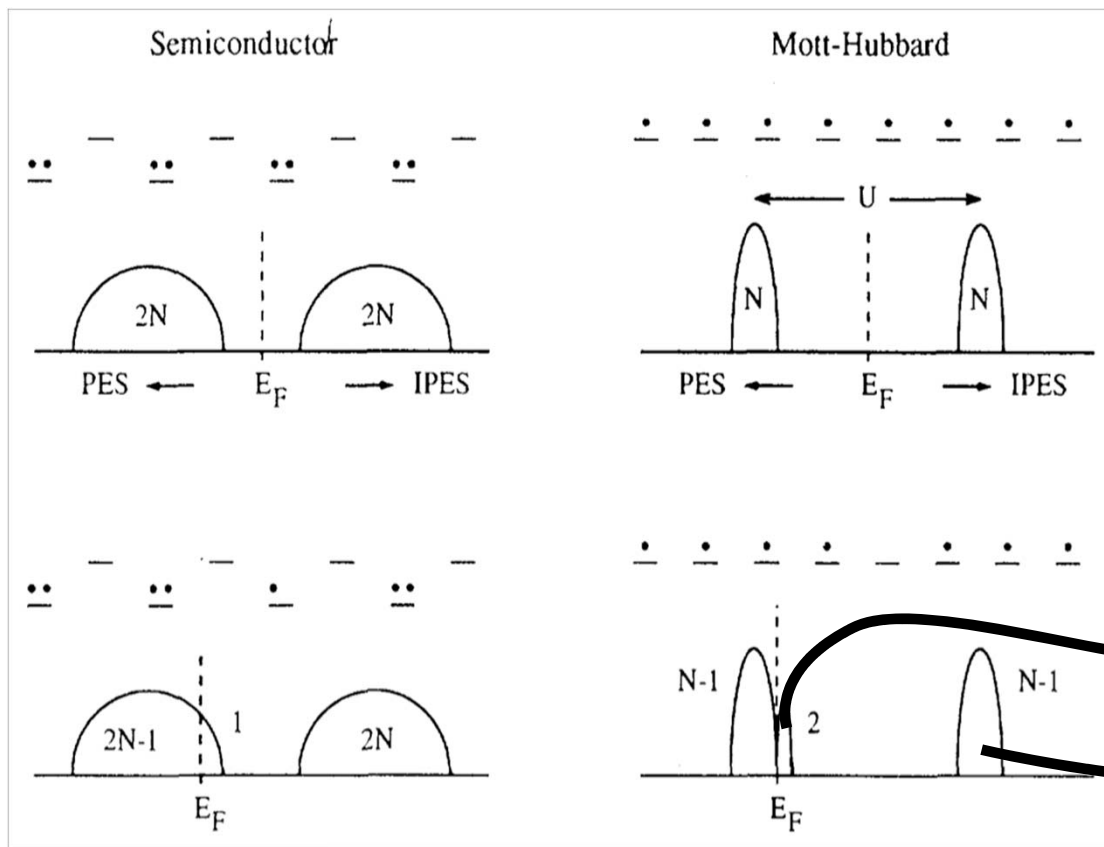
Optical and dc conductivity of the two-dimensional Hubbard model in the pseudogap regime and across the antiferromagnetic quantum critical point including vertex corrections



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Experiment, X-Ray absorption

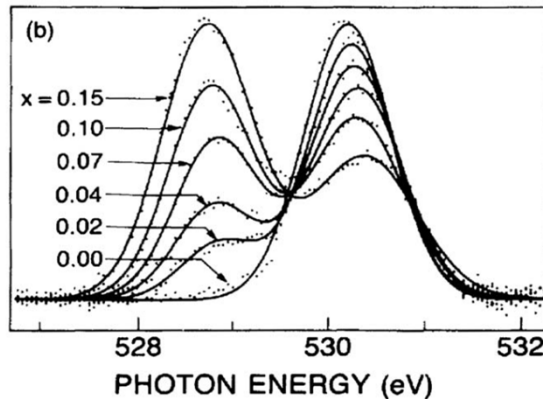
Meinders *et al.* PRB **48**, 3916 (1993)



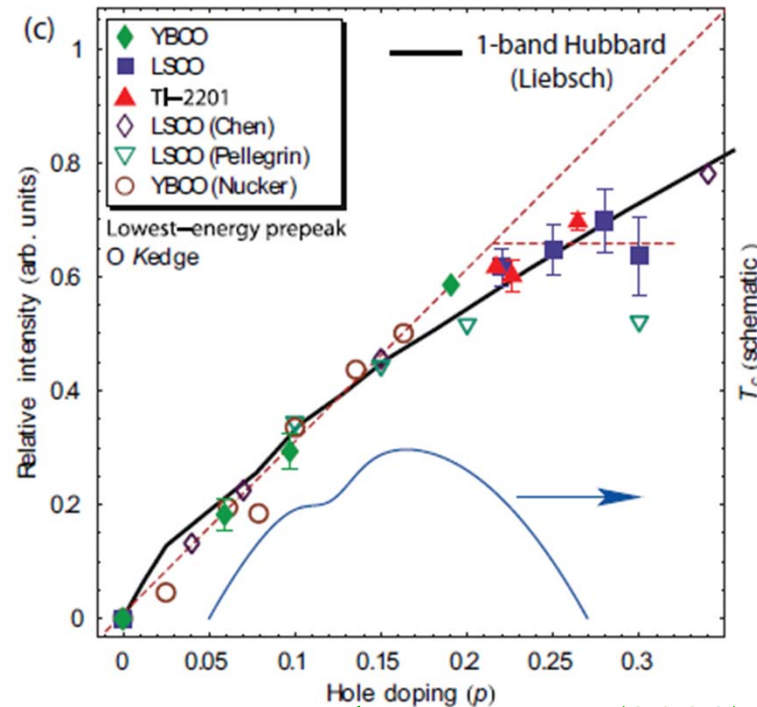
Chen *et al.* PRL **66**, 104 (1991)



Experiment: X-Ray absorption



Chen et al. PRL **66**, 104 (1991)



Peets et al. PRL **103**, (2009),

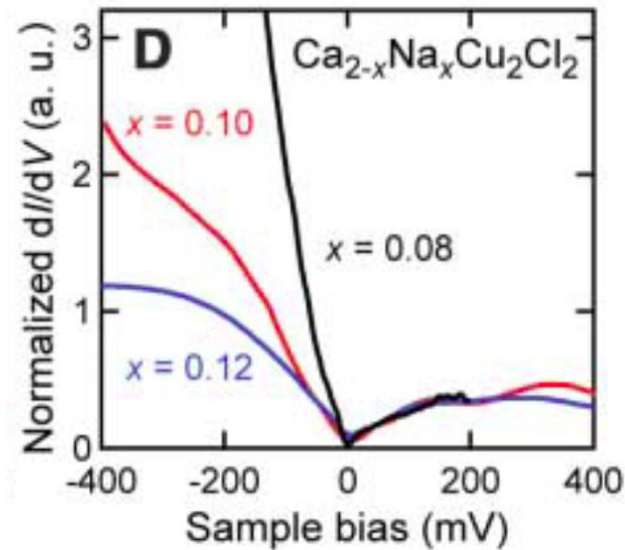
Phillips, Jarrell PRL, vol. **105**, 199701 (2010)

Number of low energy states above $\omega = 0$ scales as $2x +$
Not as $1+x$ as in Fermi liquid

Meinders et al. PRB **48**, 3916 (1993)

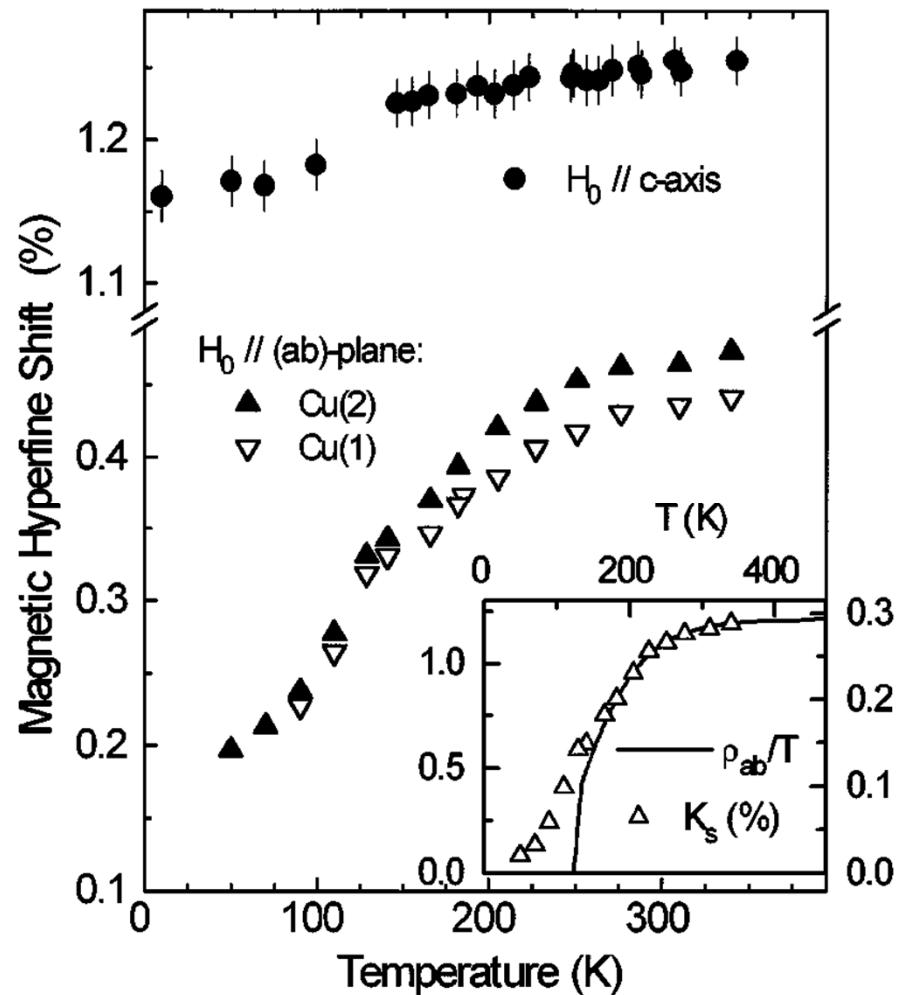


Density of states (STM)



Khosaka et al. *Science* **315**, 1380 (2007);

Spin susceptibility (Knight shift): Pseudogap



Underdoped Hg1223

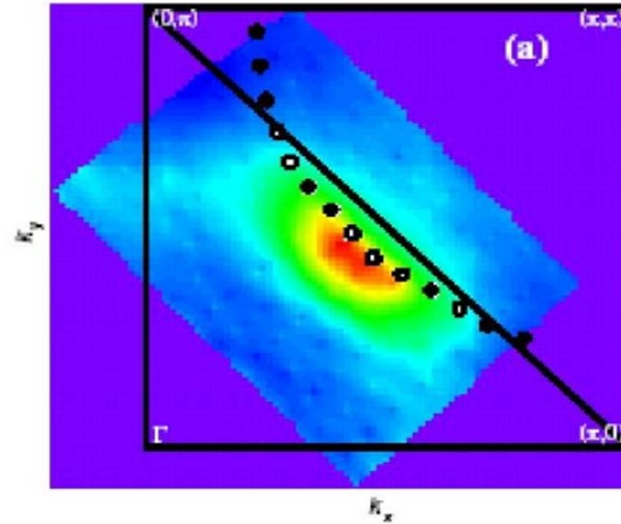
Julien et al. PRL **76**, 4238 (1996)



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ARPES: (Pseudogap)

Hole-doped, 10%



F. Ronning et al. Jan. 2002, $\text{Ca}_{2-x}\text{Na}_x\text{CuO}_2\text{Cl}_2$

Ronning *et al.* (PRB 2003)



e-doped cuprates

Less strongly coupled: evidence from the
normal state (MIR, pseudogap)

Sénéchal, A.-M.S. T. PRL (2004)

C. Weber, K. Haule, and G. Kotliar, Nature Physics 6, 574 (2010)



Less strongly correlated

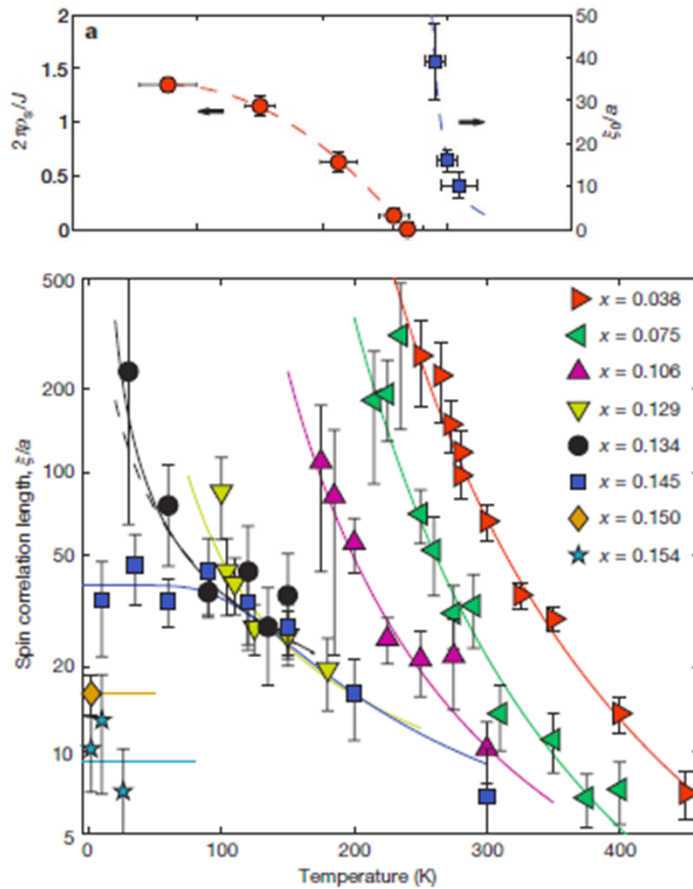
- MIR
- Shape of hot spots
- Pressure dependence of T_c
- Size of the optical gap



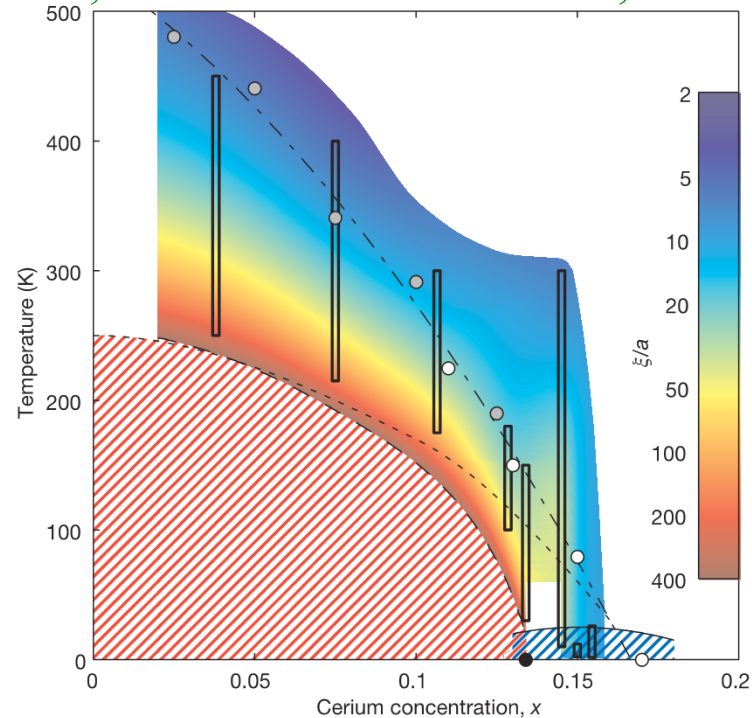
e-doped cuprates: precursors

NCCO

Motoyama, E. M. et al.. Nature 445, 186–189 (2007).

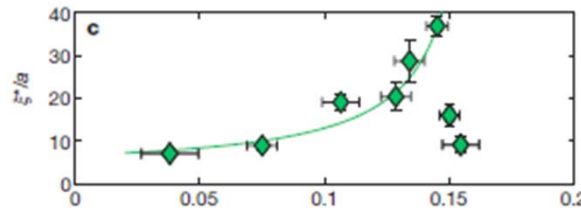


$z = 1$



Vilk, A.-M.S.T (1997)

Kyung, Hankevych, A.-M.S.T., PRL, 2004

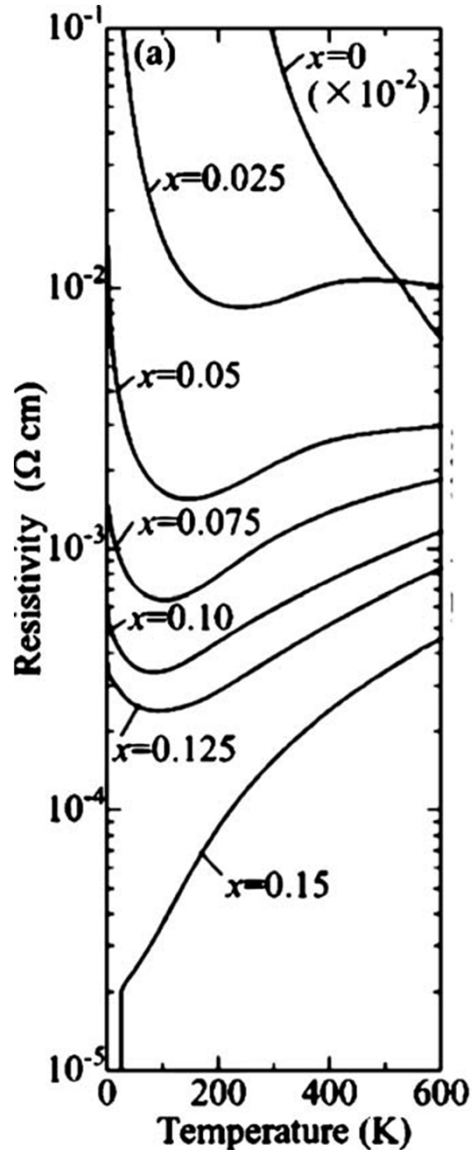


$$\xi^* = 2.6(2)\xi_{th}^*$$

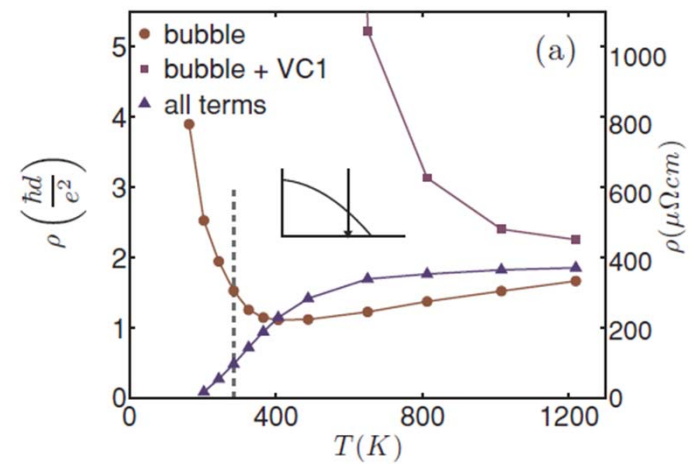


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Electron-doped and MIR limit



NCCO

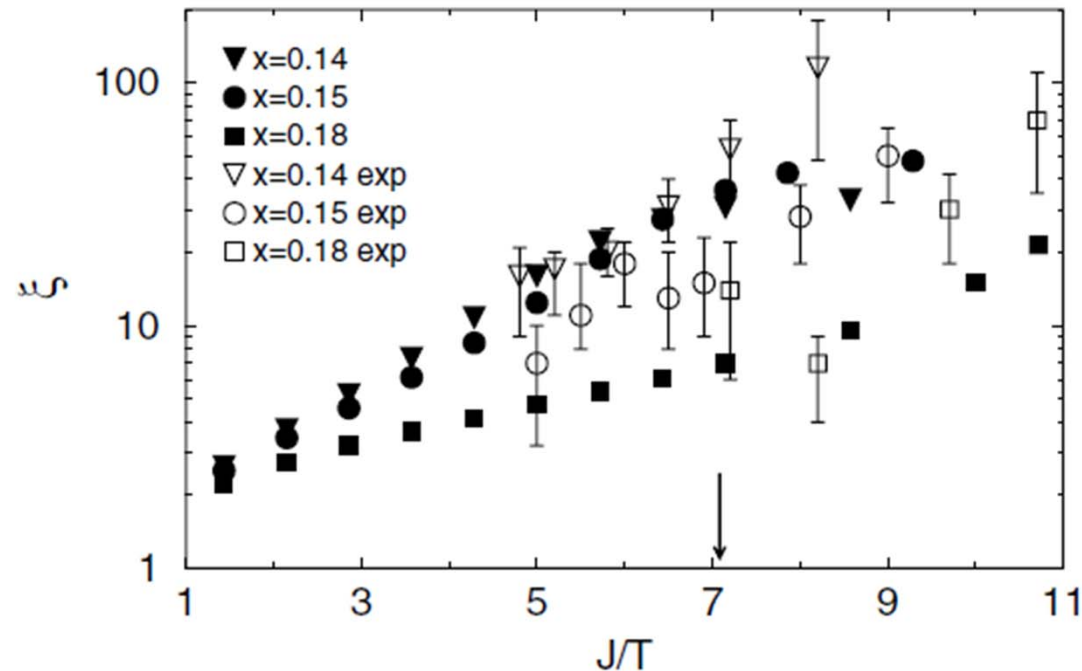


Dominic Bergeron et al. TPSC
PRB **84**, 085128 (2011)

Onose et al. 2004



TPSC vs experiment for ξ



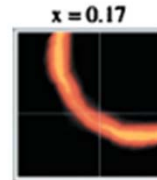
Kyung et al. PRL **93**, 147004 (2004)

P. K. Mang et al., Phys. Rev. Lett. **93**, 027002 (2004).

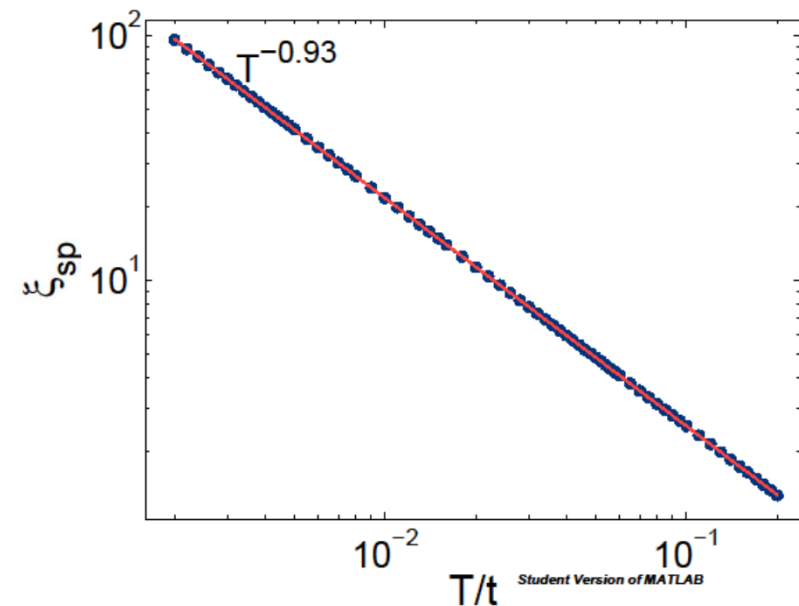
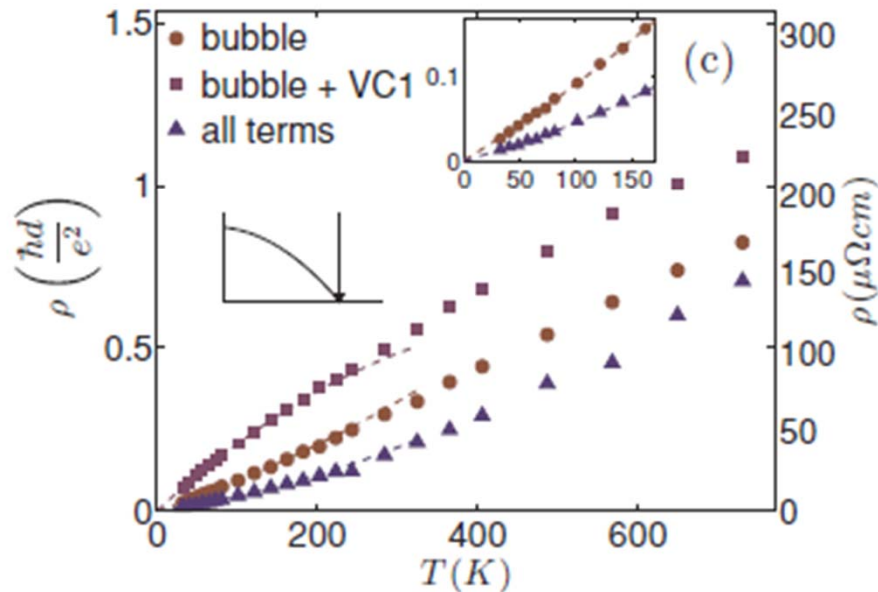
M. Matsuda et al., Phys. Rev. B **45**, 12 548 (1992).



$\xi(T)$ at the QCP



NCCO
Matsui et al. PRB 2007



$z = 1$ Motoyama, Nature 2007

$$U=6, t'=-0.175, t''=0.05, n=1.2007$$

Dominic Bergeron TPSC

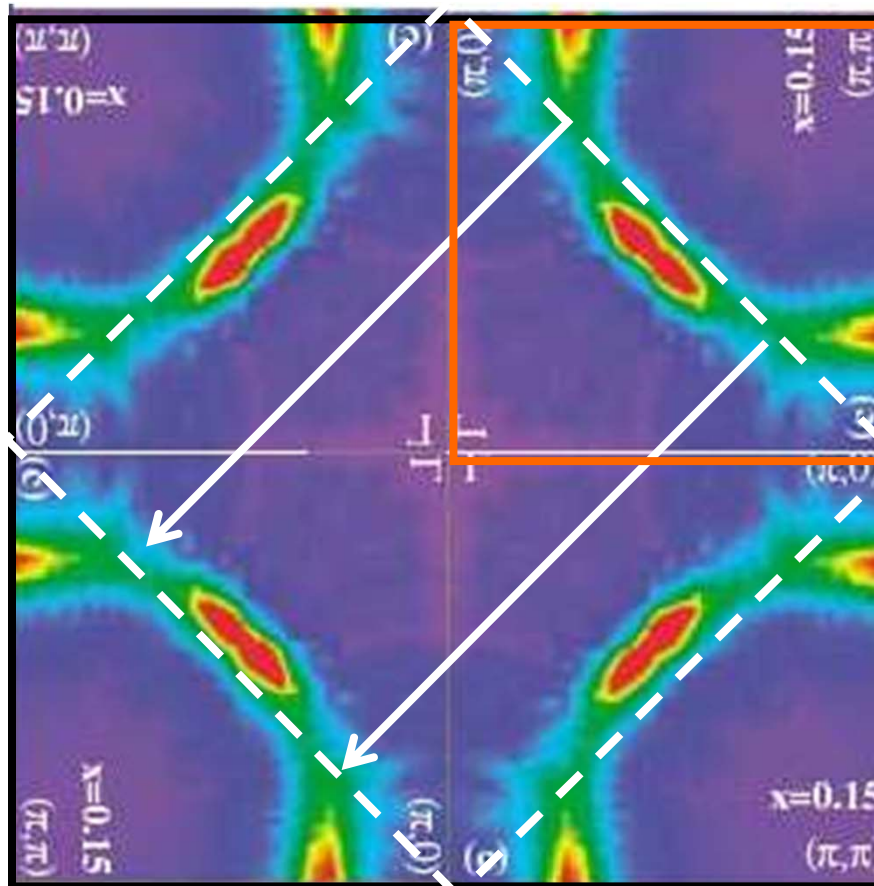


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Hot spots from AFM quasi-static scattering

Mermin-Wagner

$d = 2$

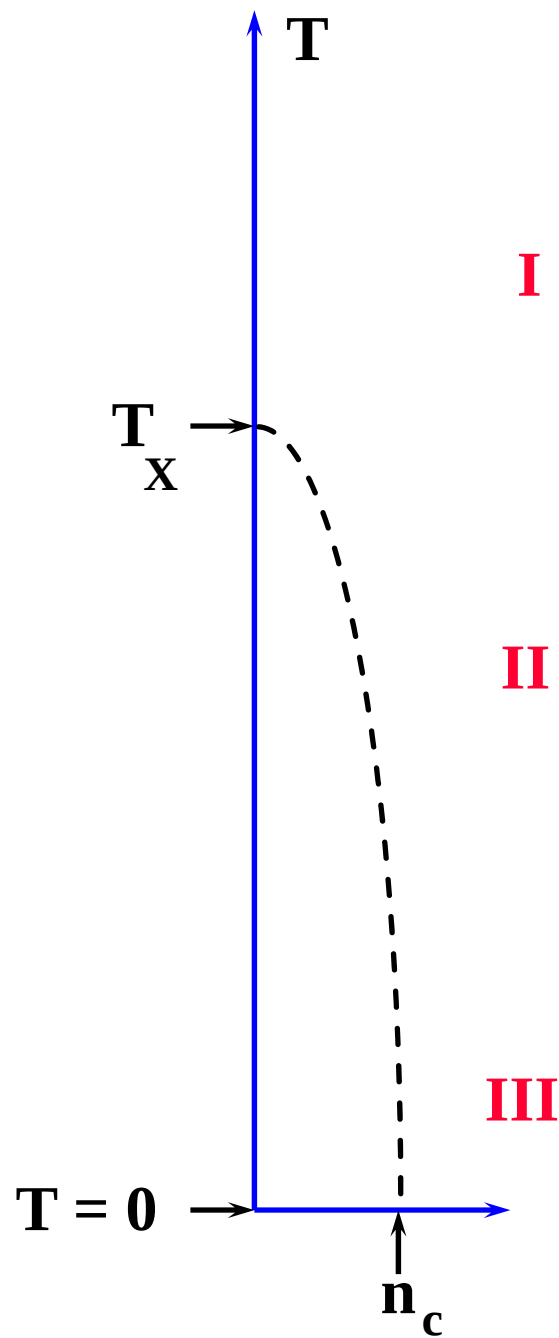


Armitage et al. PRL 2001

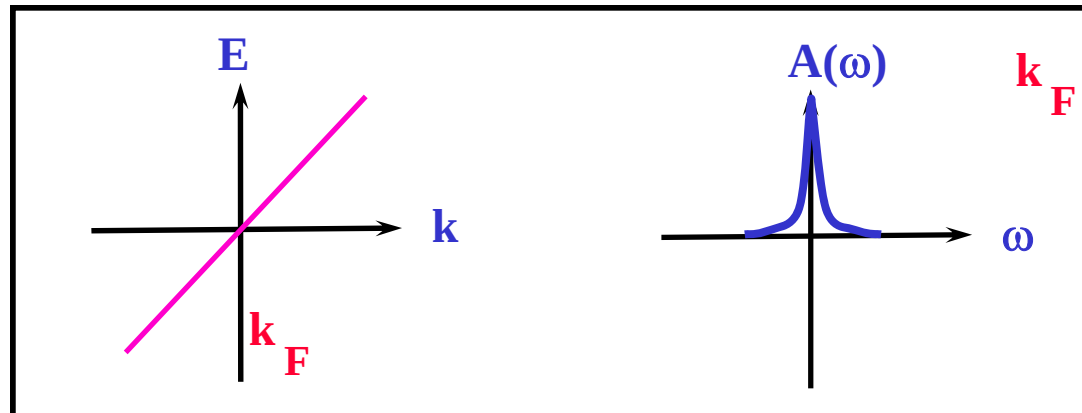
Vilk, A.-M.S.T (1997)
Kyung, Hankevych,
A.-M.S.T., PRL, 2004

$$\xi^* = 2.6(2)\xi_{th}$$

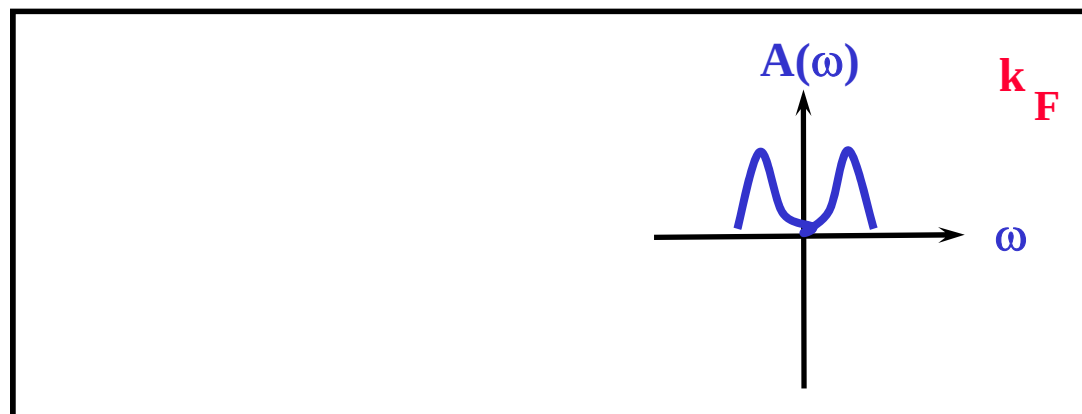
Motoyama, E. M. et al..
445, 186–189 (2007).



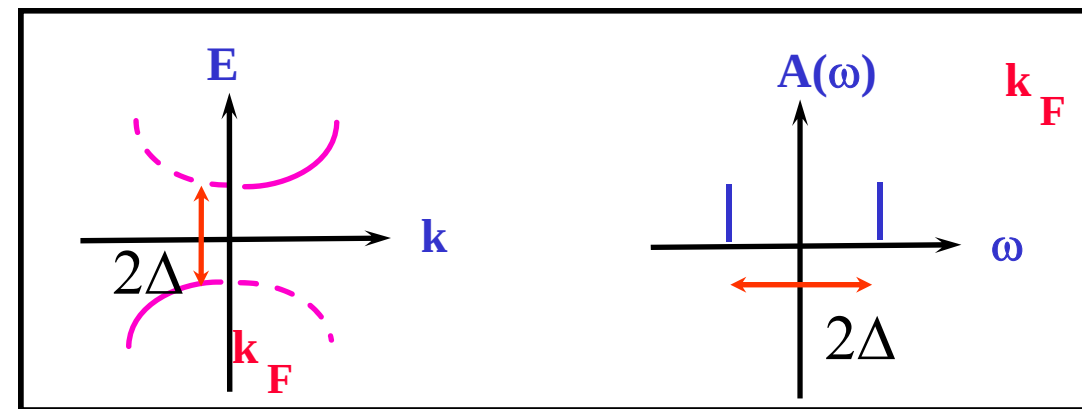
I



II

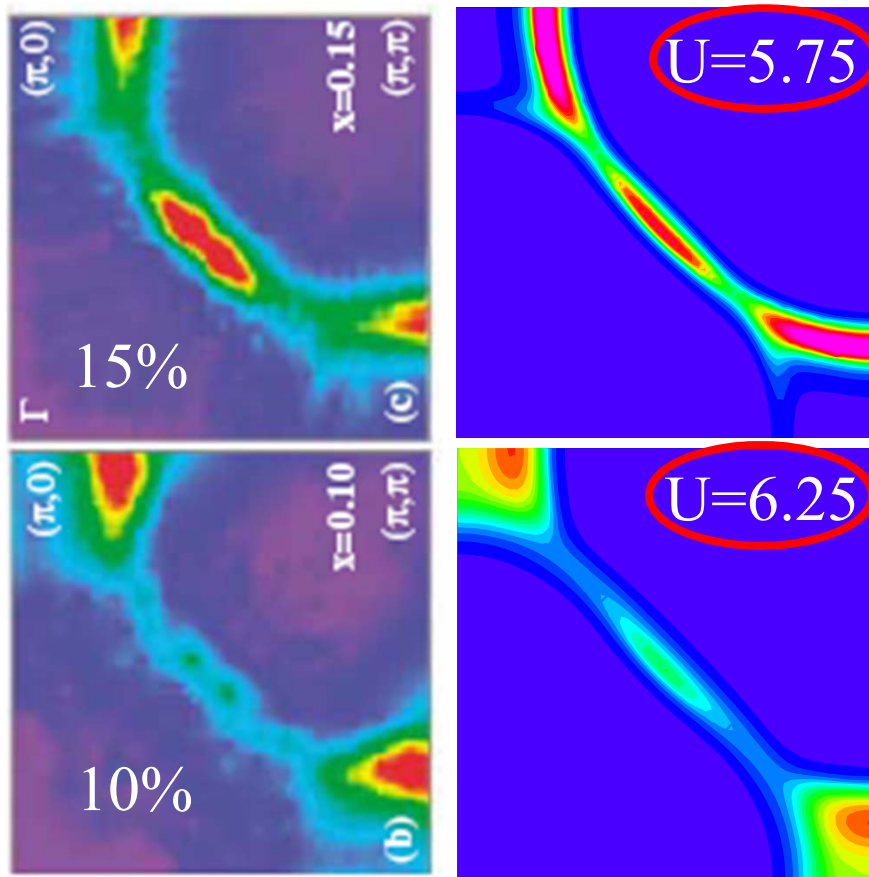


III

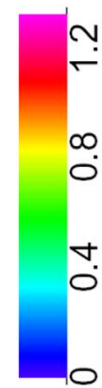


Fermi surface plots

Hubbard repulsion U has to...



be not too large



increase for
smaller doping

Hankevych, Kyung, A.-M.S.T., PRL, sept. 2004

B.Kyung *et al.*, PRB **68**, 174502 (2003)



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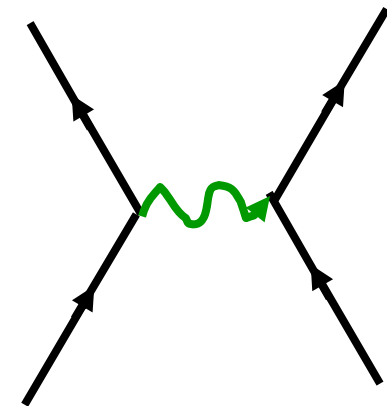
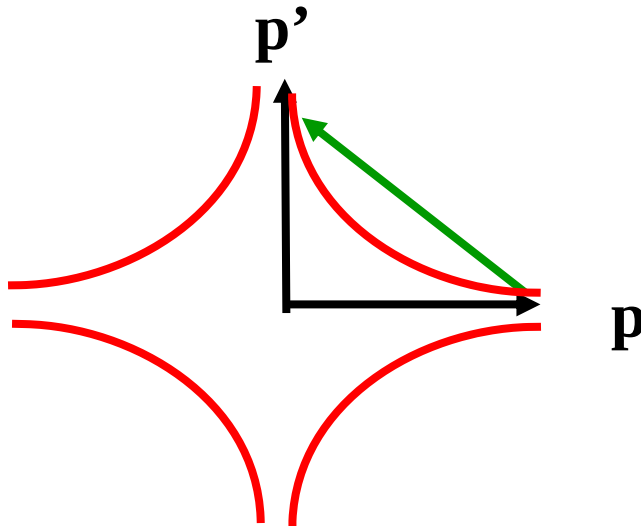
4. Weakly and strongly correlated superconductivity

Weakly correlated case



Cartoon « BCS » weak-coupling picture

$$\Delta_{\mathbf{p}} = -\frac{1}{2V} \sum_{\mathbf{p}'} U(\mathbf{p} - \mathbf{p}') \frac{\Delta_{\mathbf{p}'}}{E_{\mathbf{p}'}} (1 - 2n(E_{\mathbf{p}'}))$$



Béal–Monod, Bourbonnais, Emery
P.R. B. **34**, 7716 (1986).

Exchange of spin waves?
Kohn-Luttinger

D. J. Scalapino, E. Loh, Jr., and J. E. Hirsch
P.R. B **34**, 8190-8192 (1986).

T_c with pressure

Kohn, Luttinger, P.R.L. **15**, 524 (1965).

P.W. Anderson Science 317, 1705 (2007)



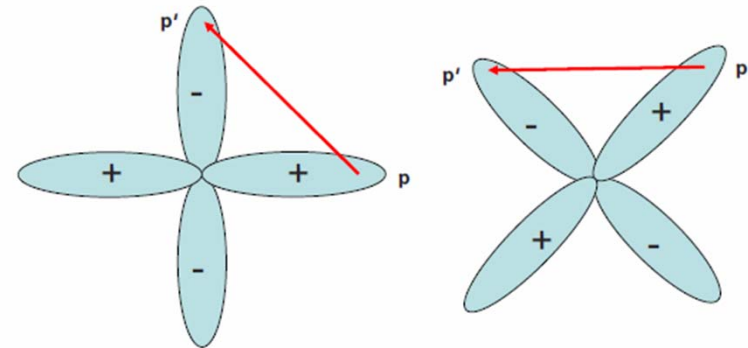
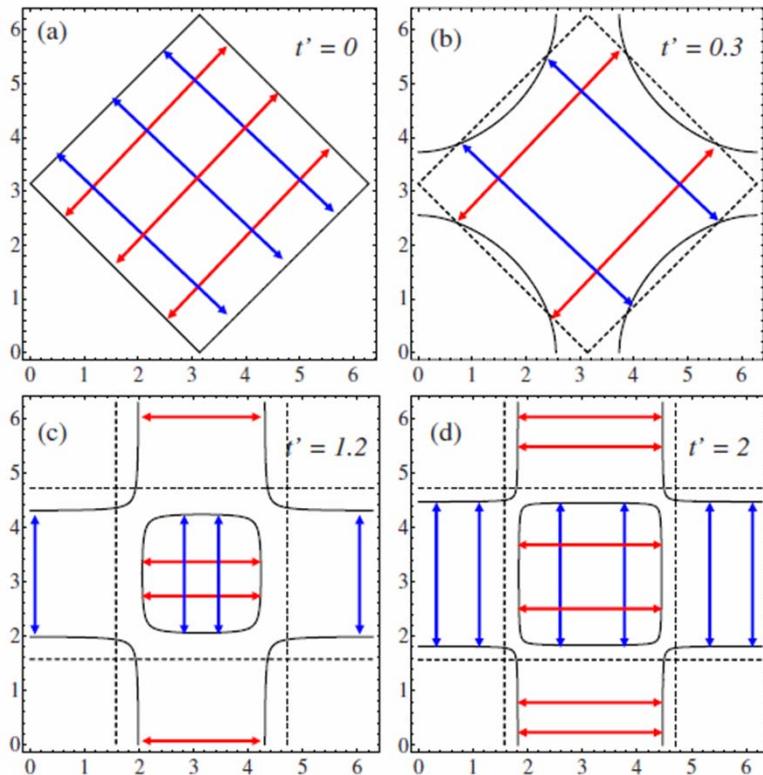
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Results from TPSC

Satisfies Mermin-Wagner



Relation between symmetry and wave vector of AFM fluctuations



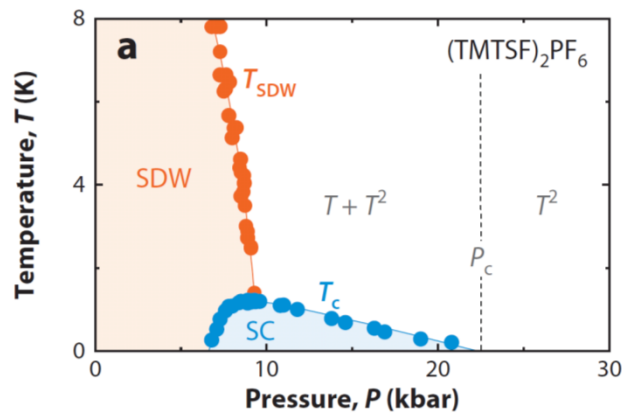
Hassan et al. PRB 2008



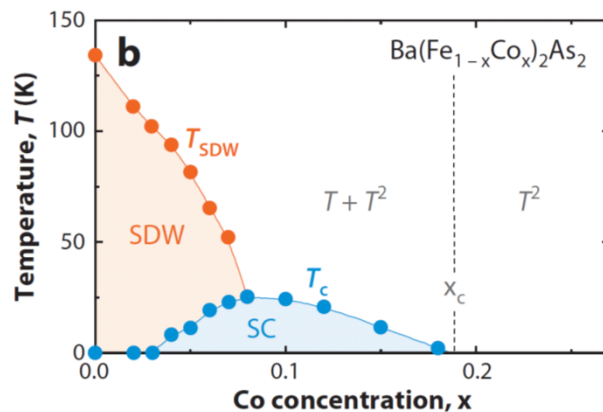
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Organics & Pnictides

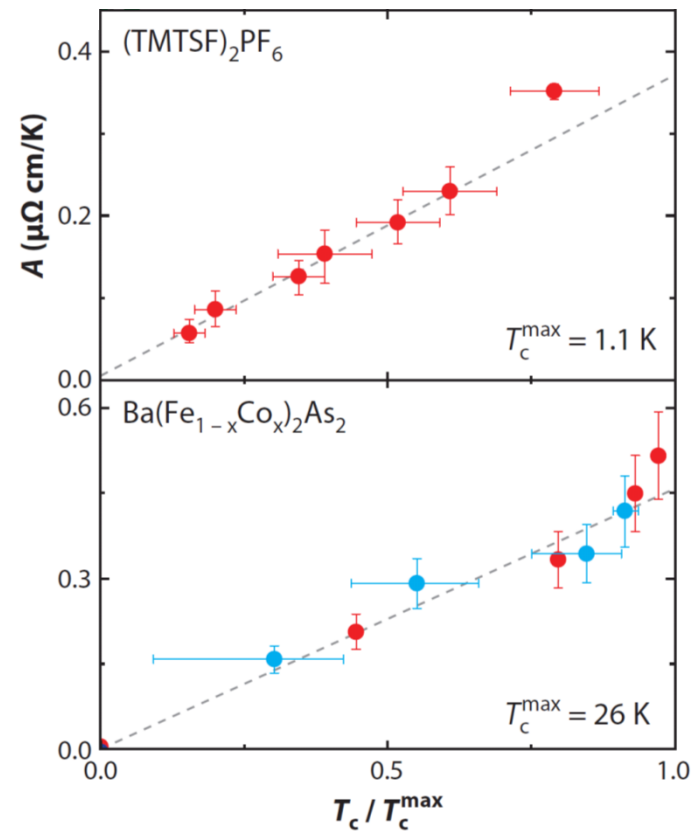
Organic



Pnictide



Bourbonnais, Sedeki, 2012



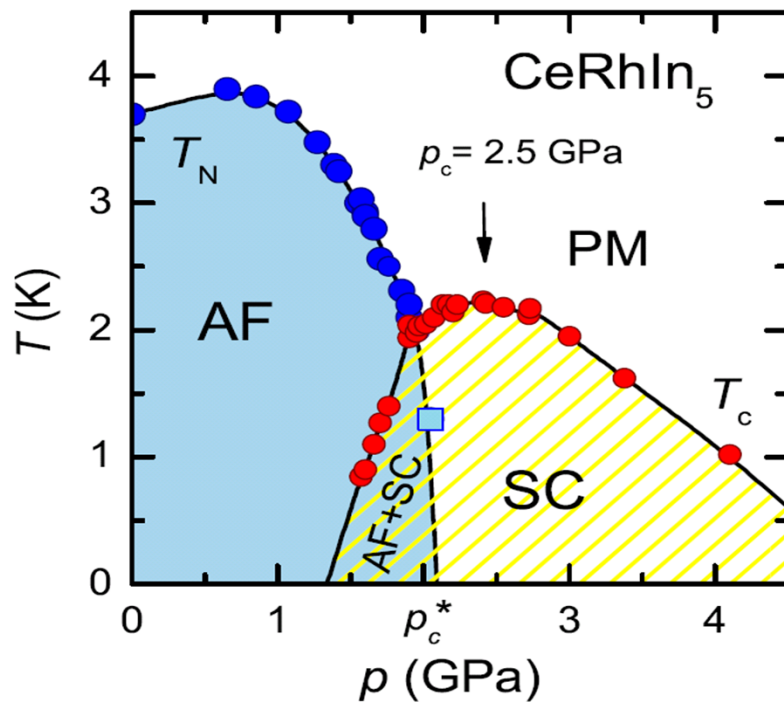
Doiron-Leyraud et al., PRB **80**, 214531 (2009)



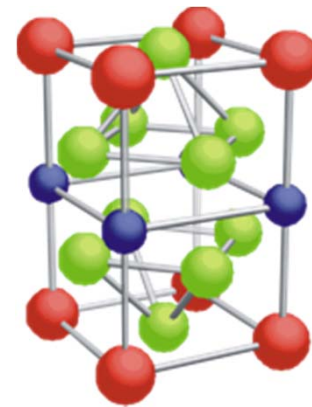
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Heavy fermions

Heavy fermions 3D metals tuned by pressure, field or concentration



Knebel et al. (2009)



**Magnetic
superconductivity**

Quantum criticality

Mathur et al., Nature 1998



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4. Weakly and strongly correlated superconductivity

Strong correlations point of view



A cartoon strong coupling picture

P.W. Anderson Science 317, 1705 (2007)

$$J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j = J \sum_{\langle i,j \rangle} \left(\frac{1}{2} c_i^\dagger \vec{\sigma} c_i \right) \cdot \left(\frac{1}{2} c_j^\dagger \vec{\sigma} c_j \right)$$

$$d = \langle \hat{d} \rangle = 1/N \sum_{\vec{k}} (\cos k_x - \cos k_y) \langle c_{\vec{k},\uparrow} c_{-\vec{k},\downarrow} \rangle$$

$$H_{MF} = \sum_{\vec{k},\sigma} \varepsilon(\vec{k}) c_{\vec{k},\sigma}^\dagger c_{\vec{k},\sigma} - 4Jm\hat{m} - Jd(\hat{d} + \hat{d}^\dagger) + F_0$$

Pitaevskii Brückner:

Pair state orthogonal to repulsive core of Coulomb interaction

Miyake, Schmitt–Rink, and Varma

P.R. B 34, 6554-6556 (1986)



5. High-temperature superconductors the view from dynamical mean-field theory

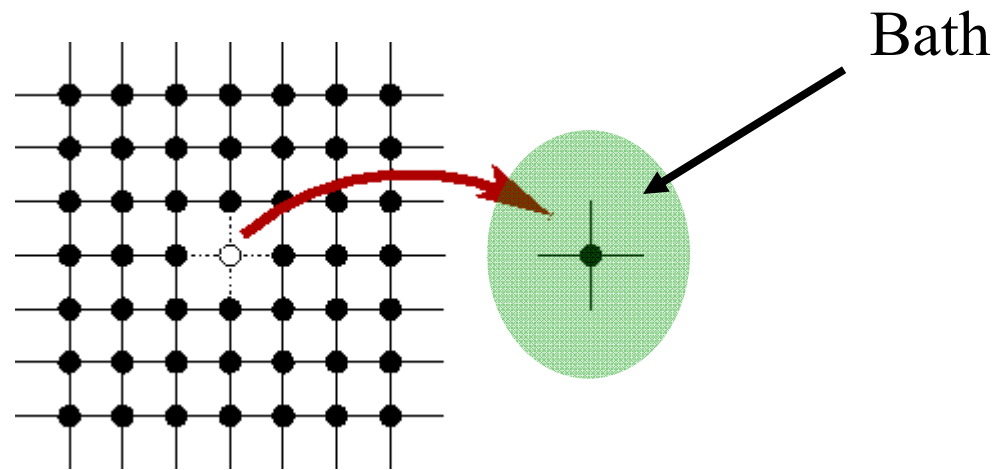
5.1: Quantum cluster approaches



Mott transition and Dynamical Mean-Field Theory.

The beginnings in $d = \text{infinity}$

- Compute scattering rate (self-energy) of impurity problem.
- Use that self-energy (ω dependent) for lattice.
- Project lattice on single-site and adjust bath so that single-site DOS obtained both ways be equal.



W. Metzner and D. Vollhardt, PRL (1989)

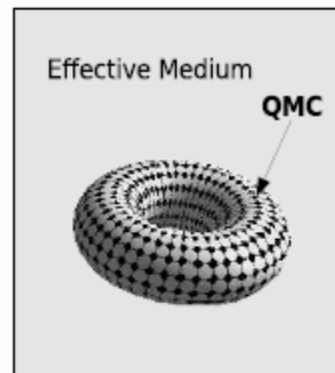
A. Georges and G. Kotliar, PRB (1992)

M. Jarrell PRB (1992)

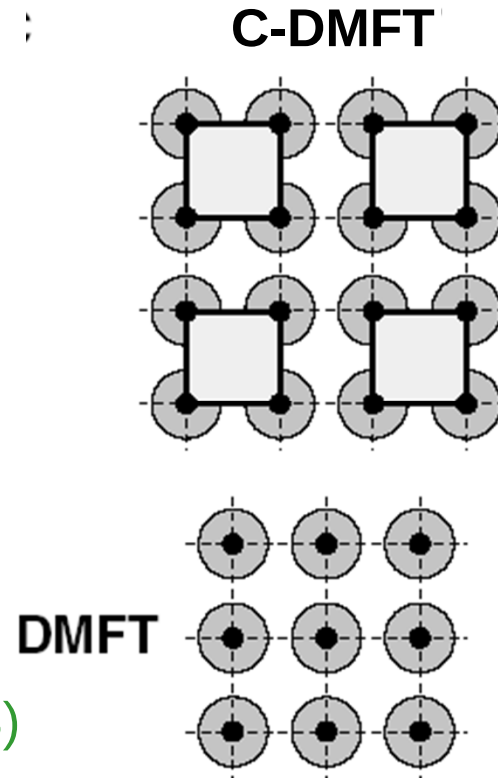
DMFT, ($d = 3$)



2d Hubbard: Quantum cluster method



DCA



Hettler ...Jarrell...Krishnamurty PRB **58** (1998)

Kotliar et al. PRL **87** (2001)

M. Potthoff *et al.* PRL **91**, 206402 (2003).

REVIEWS

Maier, Jarrell et al., RMP. (2005)

Kotliar *et al.* RMP (2006)

AMST *et al.* LTP (2006)



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+ and -

- Long range order:
 - Allow symmetry breaking in the bath (mean-field)
- Included:
 - Short-range dynamical and spatial correlations
- Missing:
 - Long wavelength p-h and p-p fluctuations



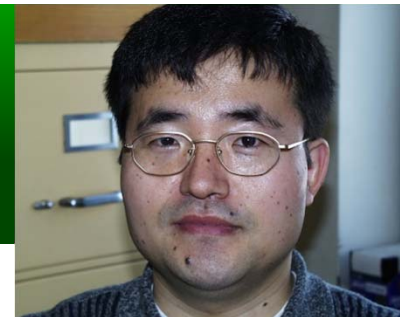
Two solvers for the cluster-in-a-bath problem



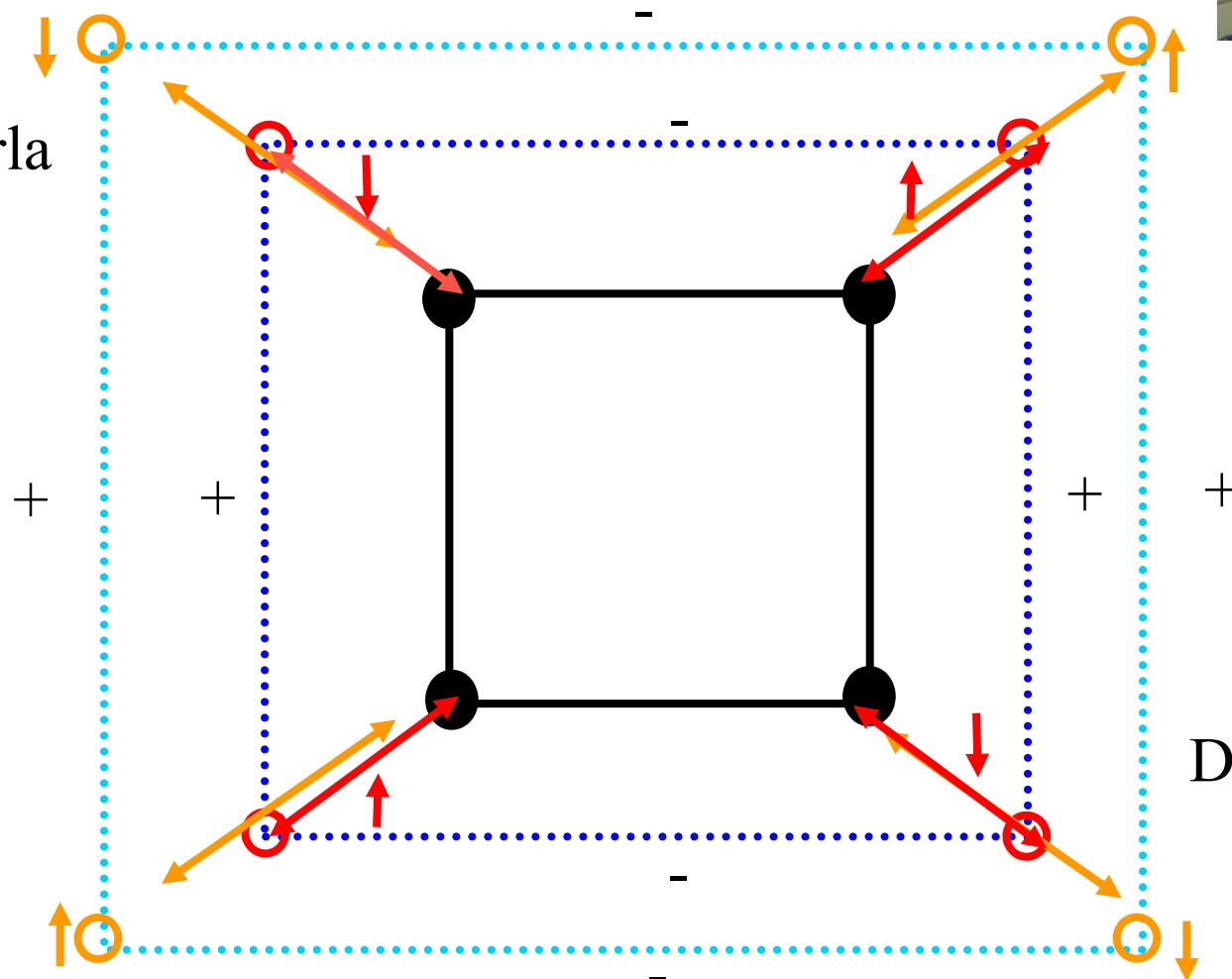


S. Kancharla

Competition AFM-dSC



B. Kyung

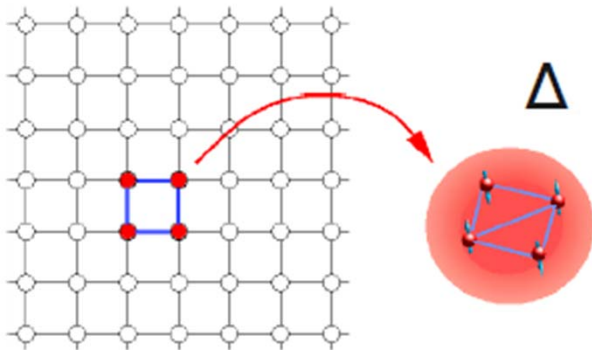


David Sénéchal

See also, Capone and Kotliar, Phys. Rev. B 74, 054513 (2006),
Macridin, Maier, Jarrell, Sawatzky, Phys. Rev. B 71, 134527 (2005)



C-DMFT

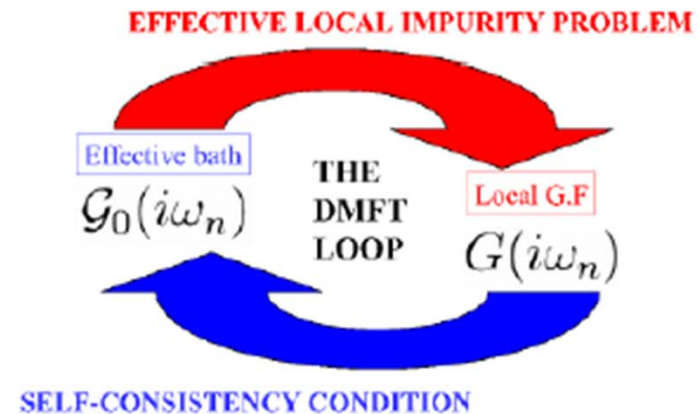


Mean-field is not a trivial problem! Many impurity solvers.

Here: continuous time QMC

P. Werner, PRL 2006
P. Werner, PRB 2007
K. Haule, PRB 2007

$$Z = \int \mathcal{D}[\psi^\dagger, \psi] e^{-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{\mathbf{k}} \psi_{\mathbf{k}}^\dagger(\tau) \Delta_{\mathbf{k}}(\tau, \tau') \psi_{\mathbf{k}}(\tau')}$$



$$\Delta(i\omega_n) = i\omega_n + \mu - \Sigma_c(i\omega_n)$$

$$- \left[\sum_{\tilde{\mathbf{k}}} \frac{1}{i\omega_n + \mu - t_c(\tilde{\mathbf{k}}) - \Sigma_c(i\omega_n)} \right]^{-1}$$

At finite T , solving cluster in a bath problem

- Continuous-time Quantum Monte Carlo calculations to sum all diagrams generated from expansion in powers of hybridization.
 - P. Werner, A. Comanac, L. de' Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. **97**, 076405 (2006).
 - K. Haule, Phys. Rev. B **75**, 155113 (2007).

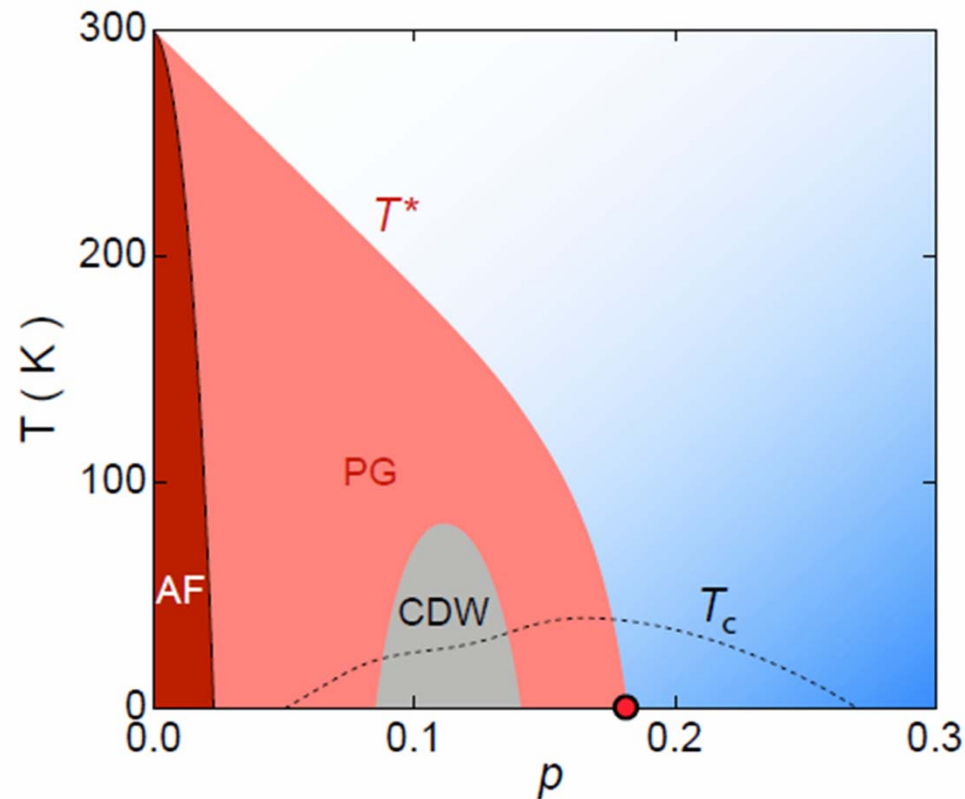


5.2 Normal state and pseudogap

The view from quantum clusters



Phase diagram, hole-doped (YBCO)



Laliberté, Taillefer (2016)

Three broad classes of mechanisms for pseudogap

- Rounded first order transition
- $d = 2$ precursor to a lower temperature broken symmetry phase
- Mott physics
- Competing order
 - Current loops: Varma, PRB **81**, 064515 (2010)
 - Stripes or nematic: Kivelson et al. RMP 75 1201(2003); J.C.Davis
 - d-density wave : Chakravarty, Nayak, Phys. Rev. B **63**, 094503 (2001); Affleck et al. flux phase
 - SDW: Sachdev PRB **80**, 155129 (2009) ...
- Or Mott Physics?
 - RVB: P.A. Lee Rep. Prog. Phys. **71**, 012501 (2008)



Underdoped metal very sensitive to anisotropy

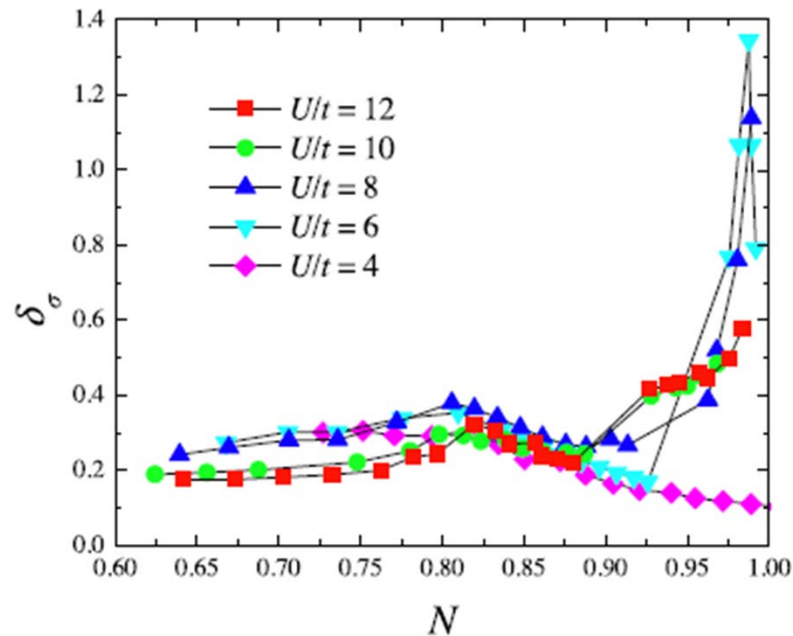
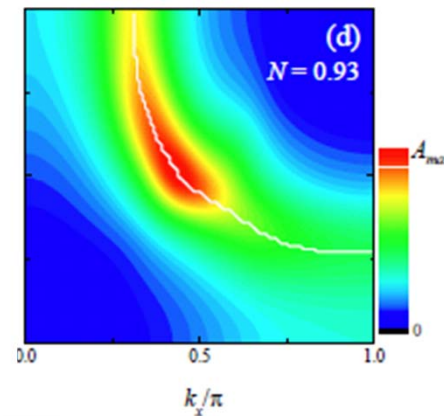
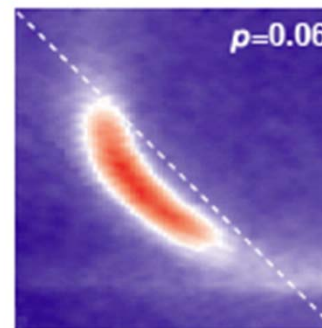


FIG. 3: (Color online) Anisotropy in the CDMFT conductivity $\delta_\sigma = 2[\sigma_x(0) - \sigma_y(0)] / [\sigma_x(0) + \sigma_y(0)]$ as a function of filling N for various values of U and $\eta = 0.1$, $\delta_0 = 0.04$.

Okamoto, Sénéchal, Civelli, AMST
Phys. Rev. B **82**, 180511R 2010



g



Satoshi Okamoto



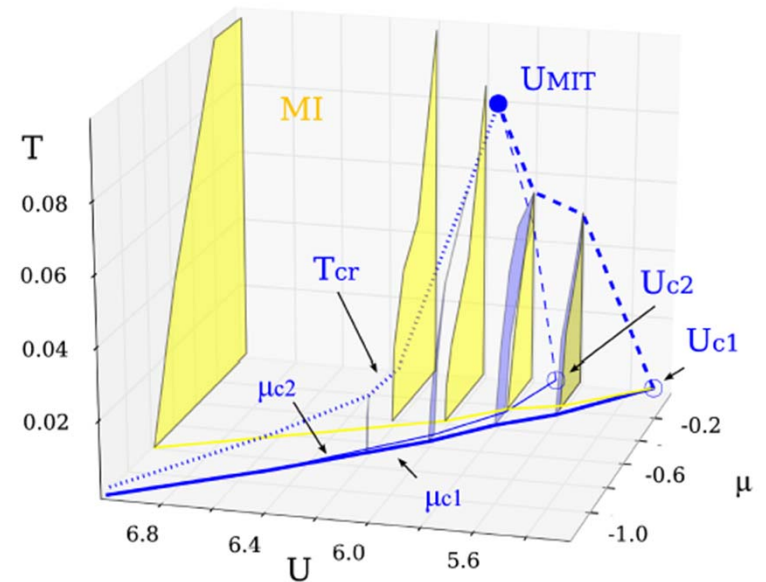
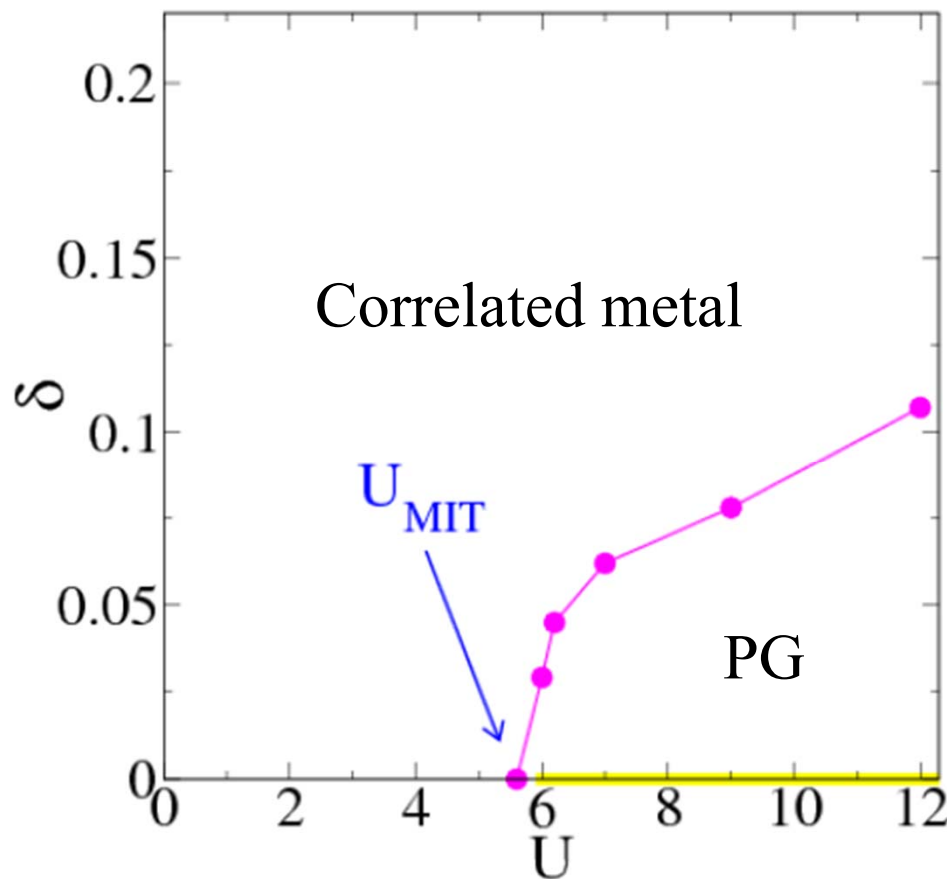
David Sénéchal



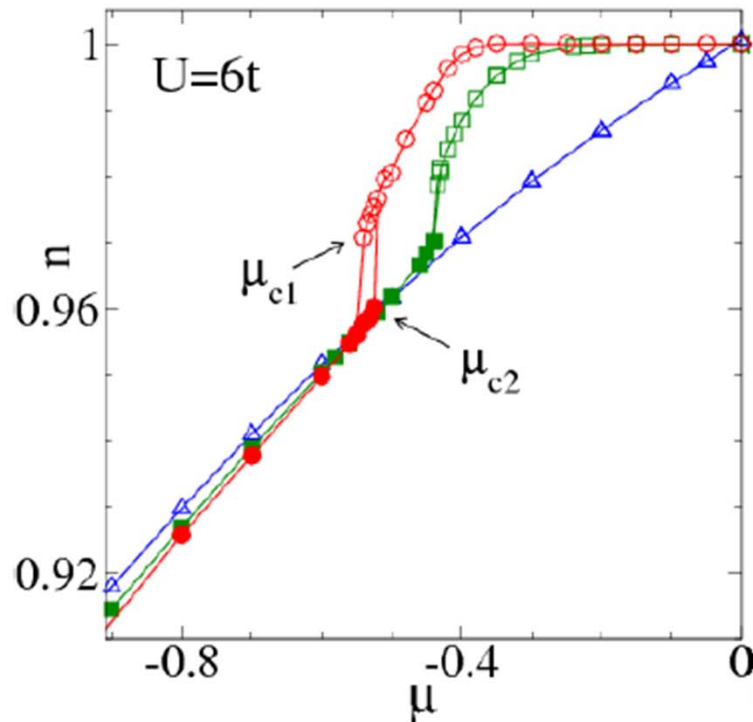
D. Fournier *et al.* Nature Physics (Marcello Civelli)

Link to Mott transition up to optimal doping

Doping dependence of critical point as a function of U



First order transition at finite doping



$n(\mu)$ for several temperatures:

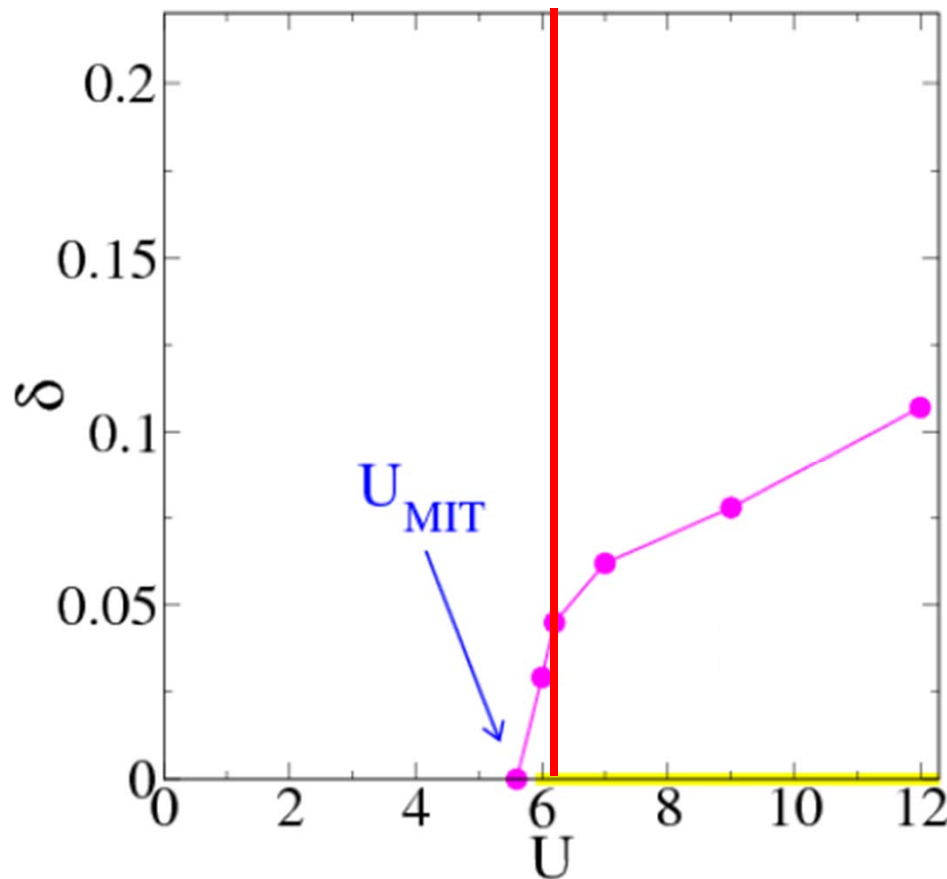
$T/t = 1/10$, $1/25$, $1/50$

Also, A. Liebsch and N.-H. Tong,
Phys. Rev. B **80**, 165126 (2009).

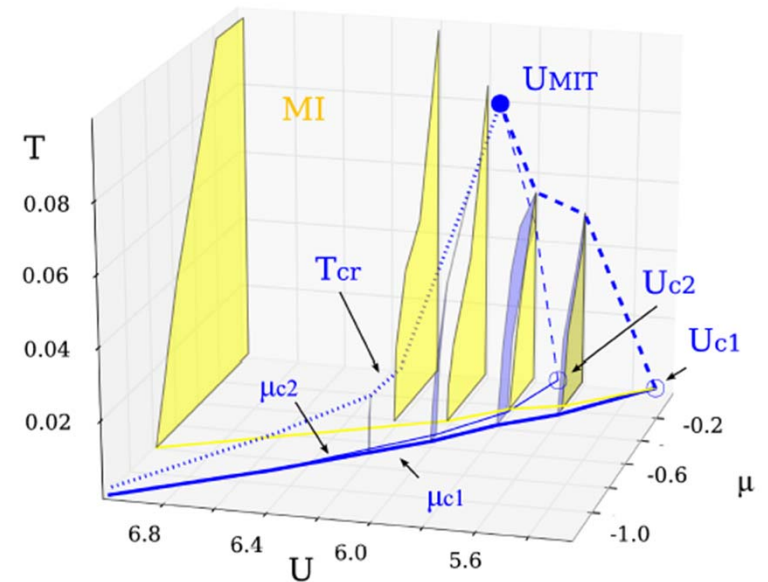


Link to Mott transition up to optimal doping

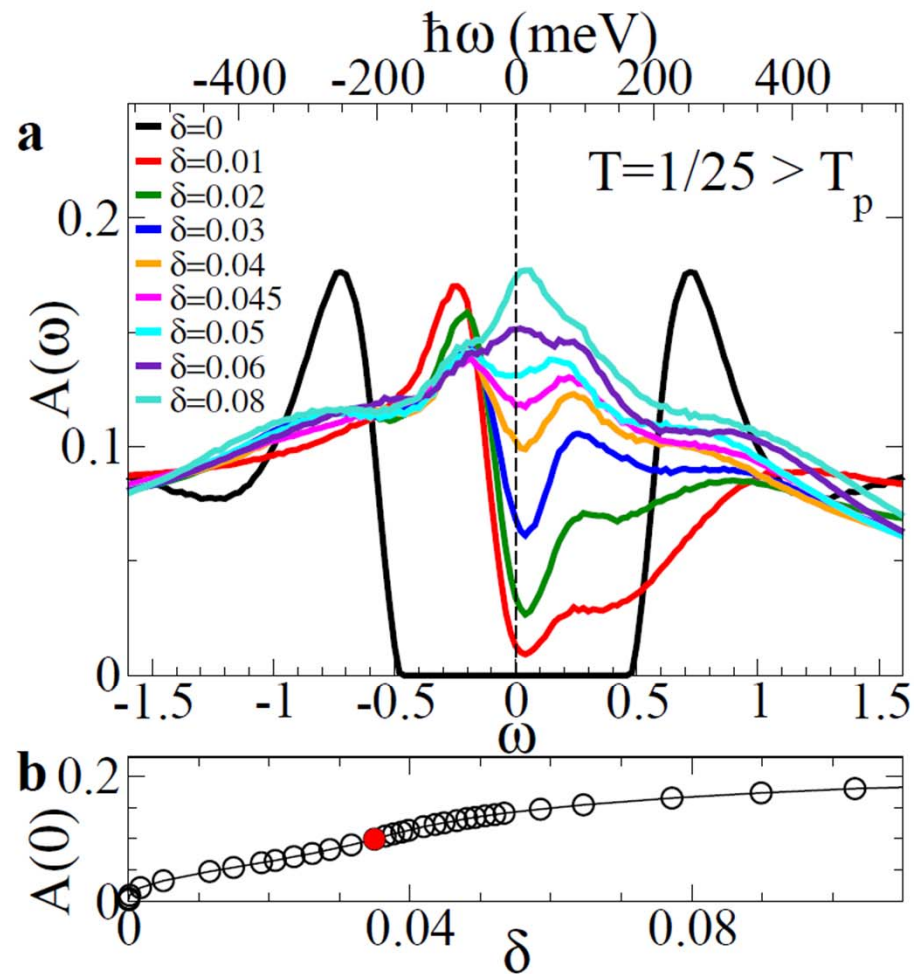
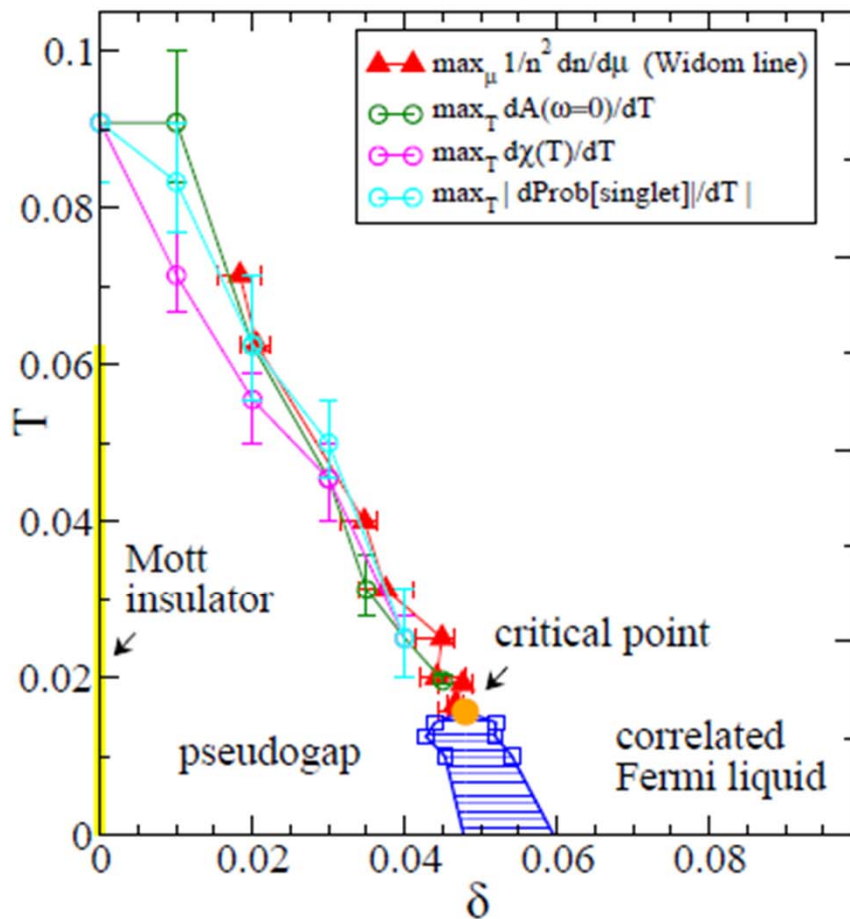
Doping dependence of critical point as a function of U



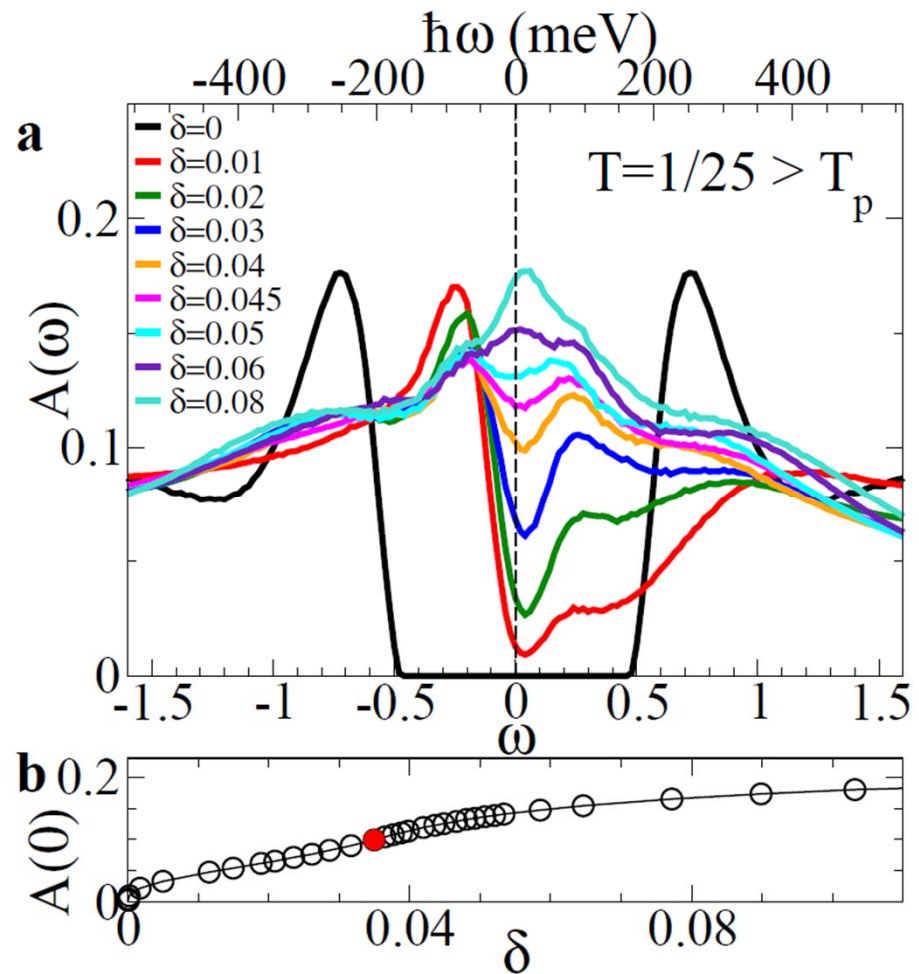
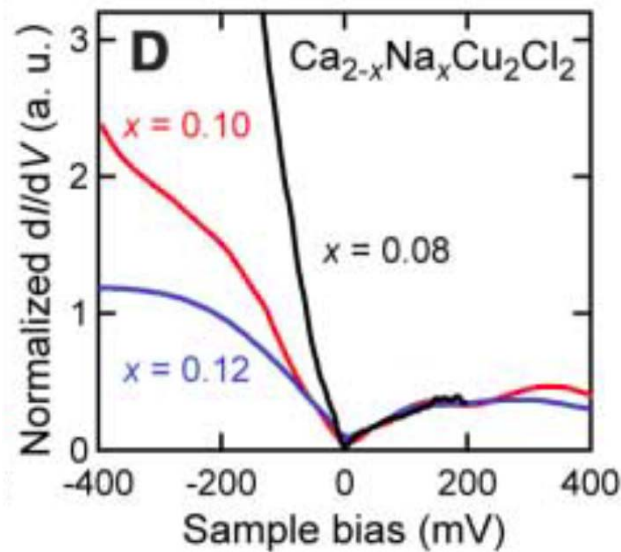
Smaller D and S



Density of states

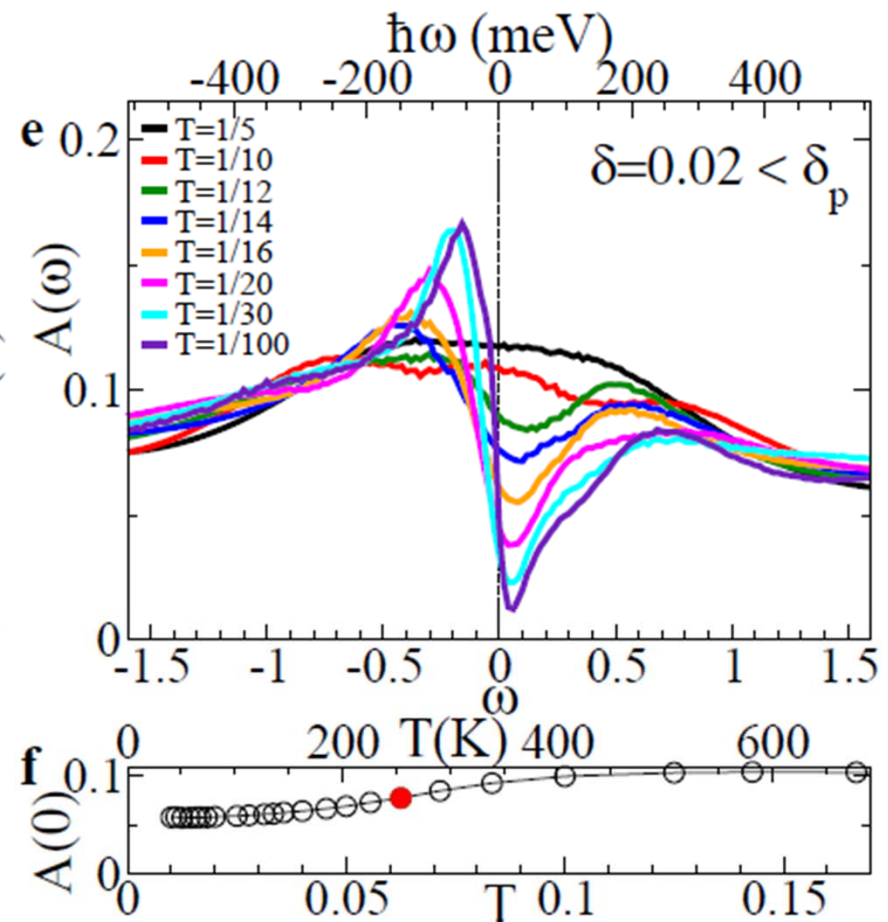
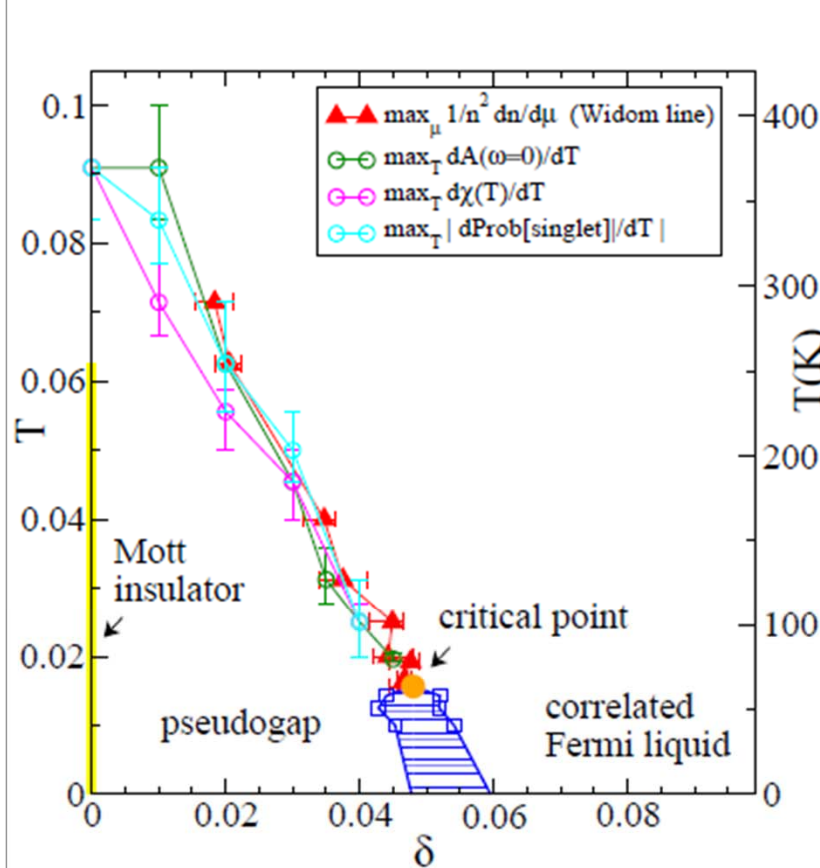


Density of states

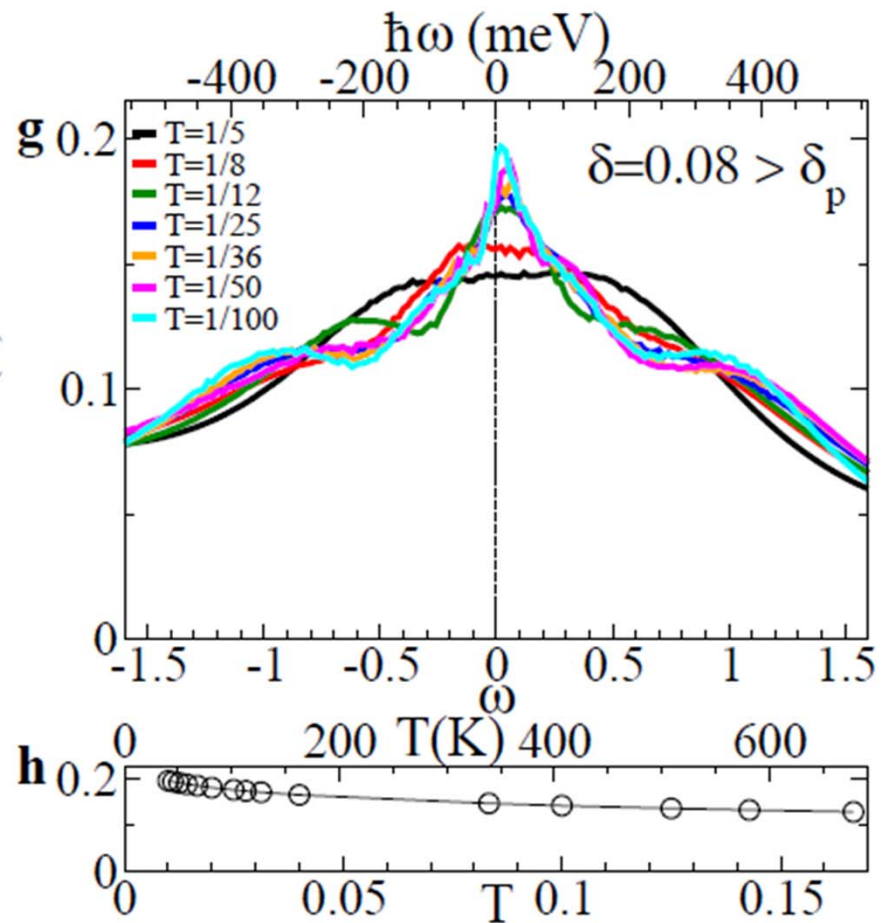
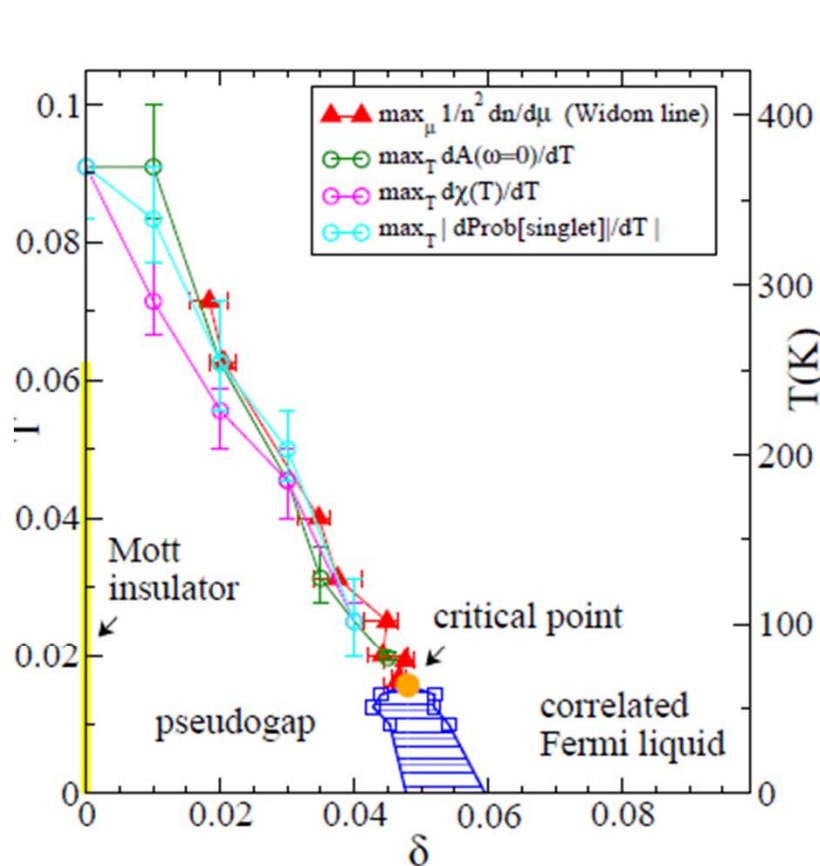


Khosaka et al. *Science* **315**, 1380 (2007);

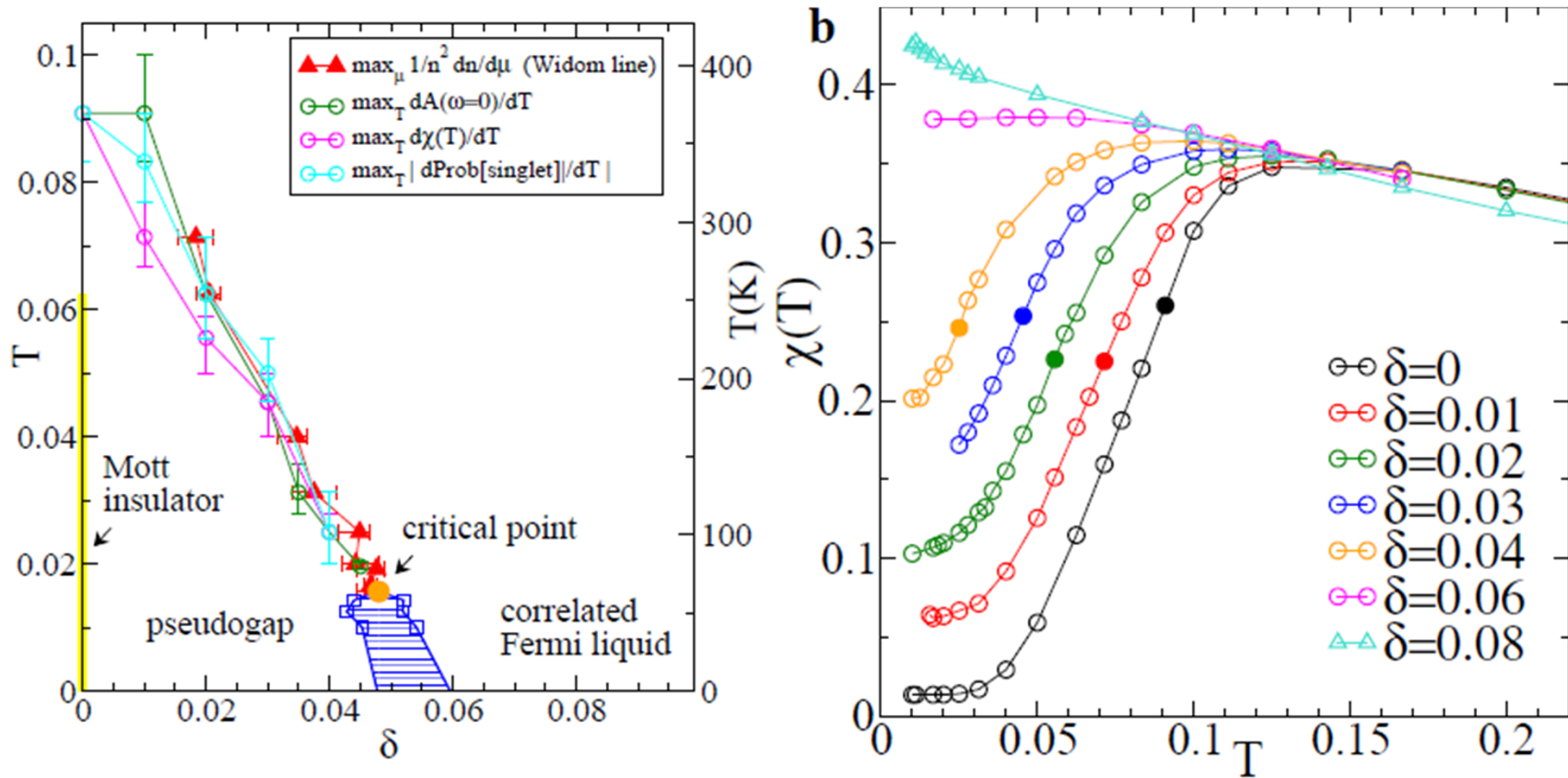
Density of states



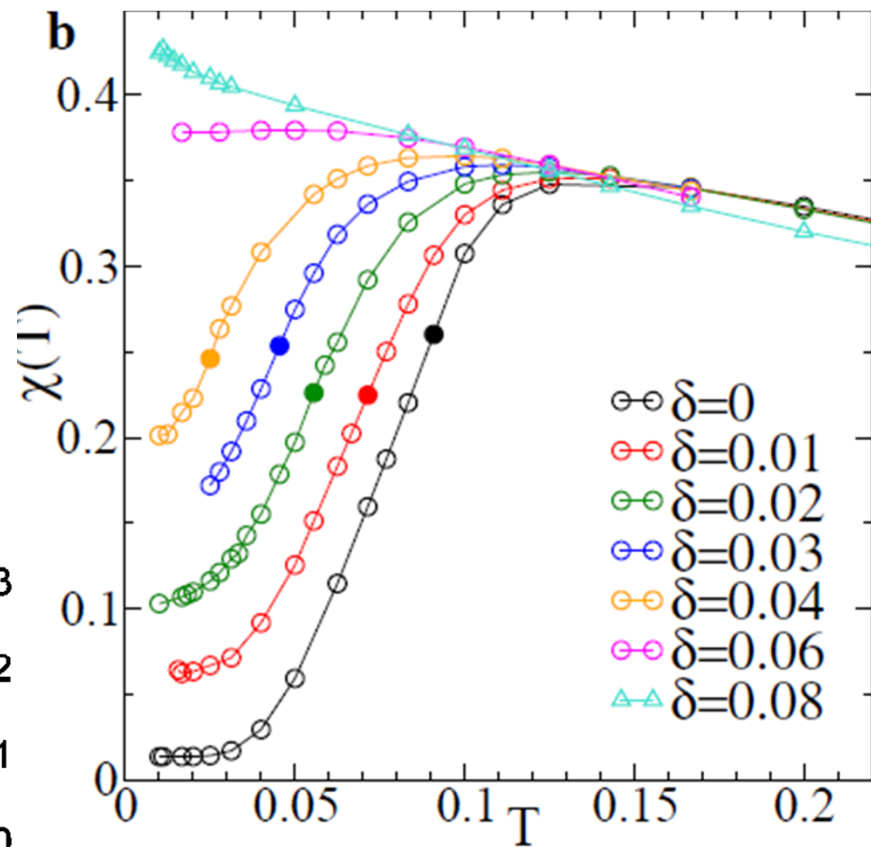
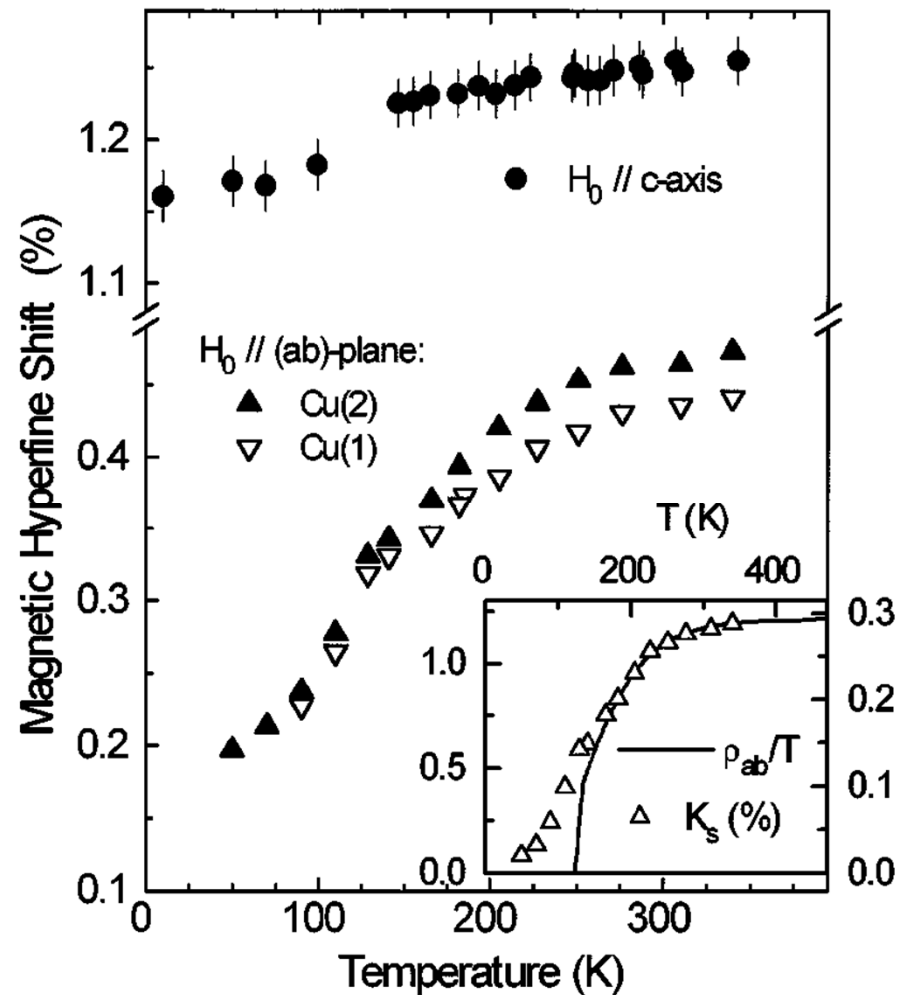
Density of states



Spin susceptibility



Spin susceptibility



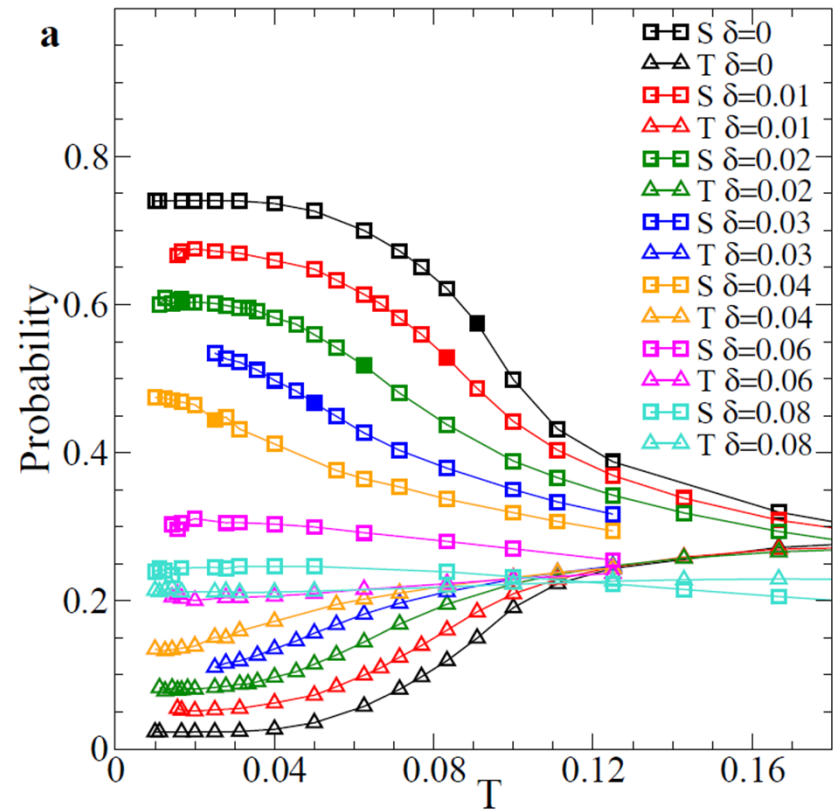
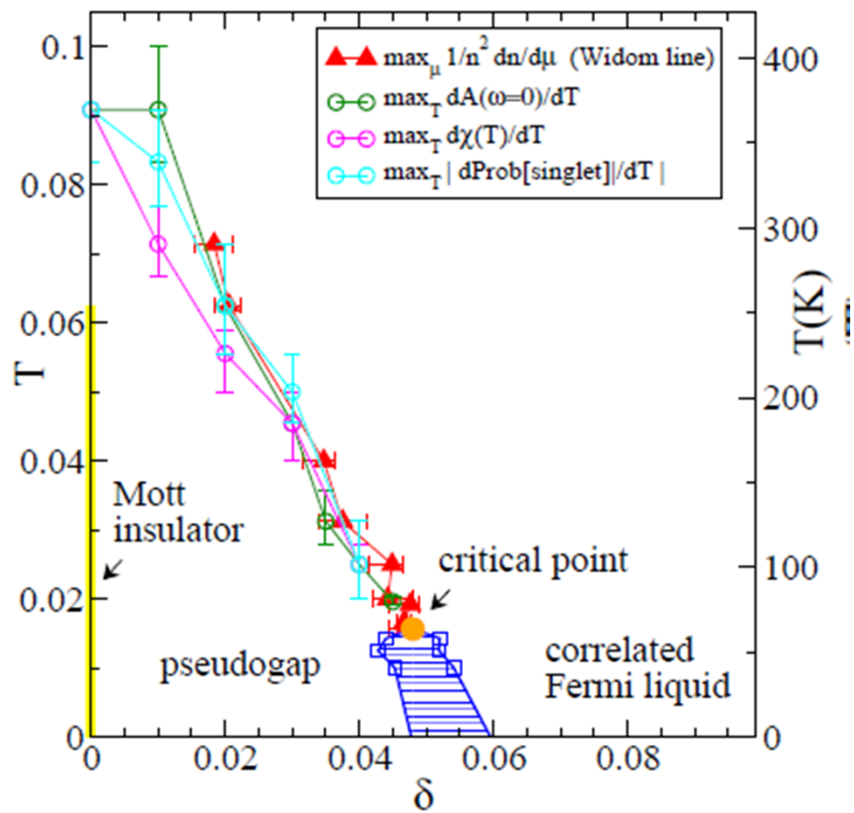
Underdoped Hg1223

Julien et al. PRL **76**, 4238 (1996)

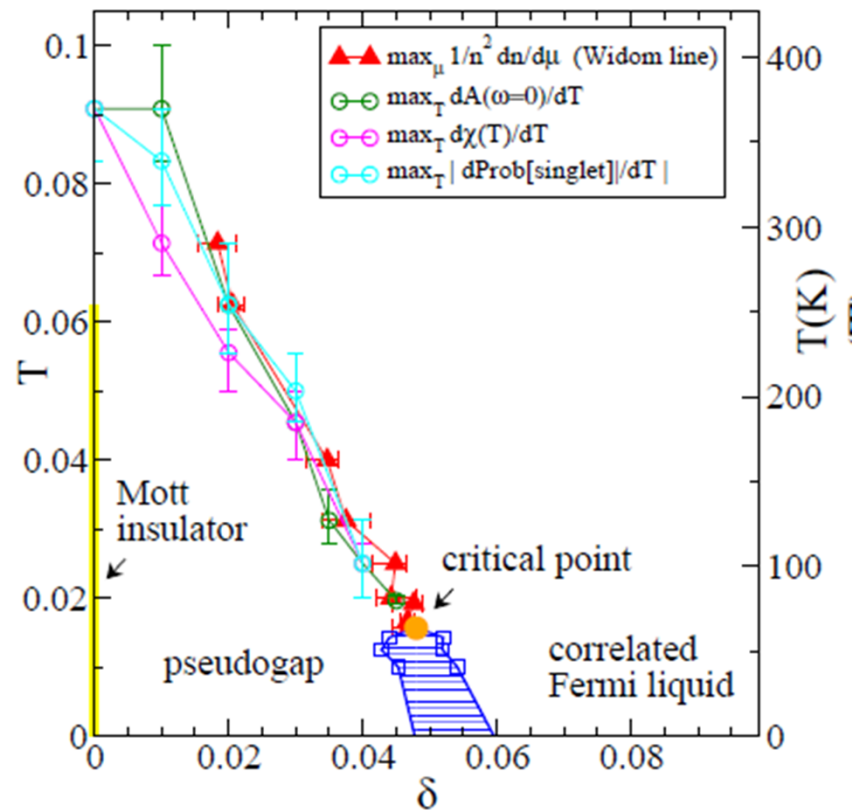


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Plaquette eigenstates



Pseudogap T^* along the Widom line





Giovanni Sordi



Patrick Sémon



Kristjan Haule

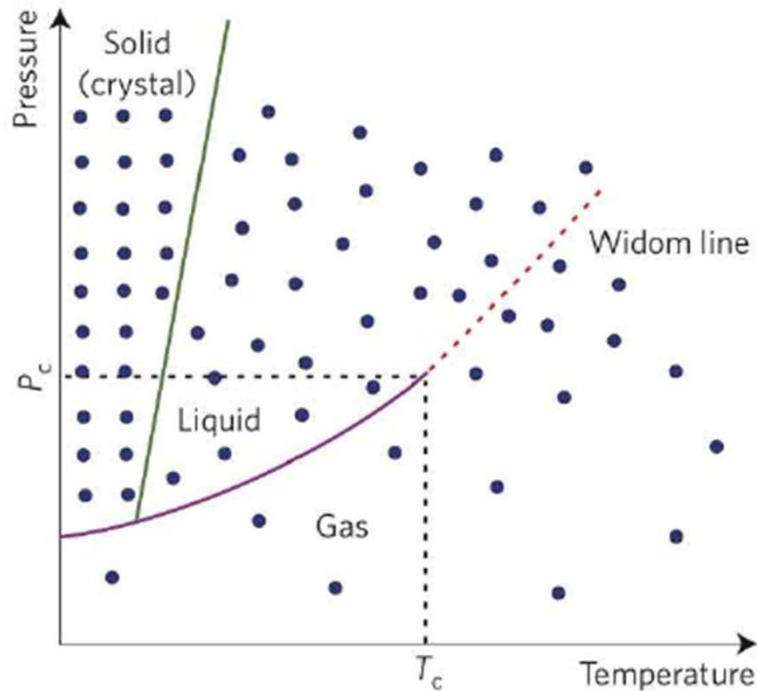
The Wisdom line

G. Sordi, *et al.* Scientific Reports 2, 547 (2012)



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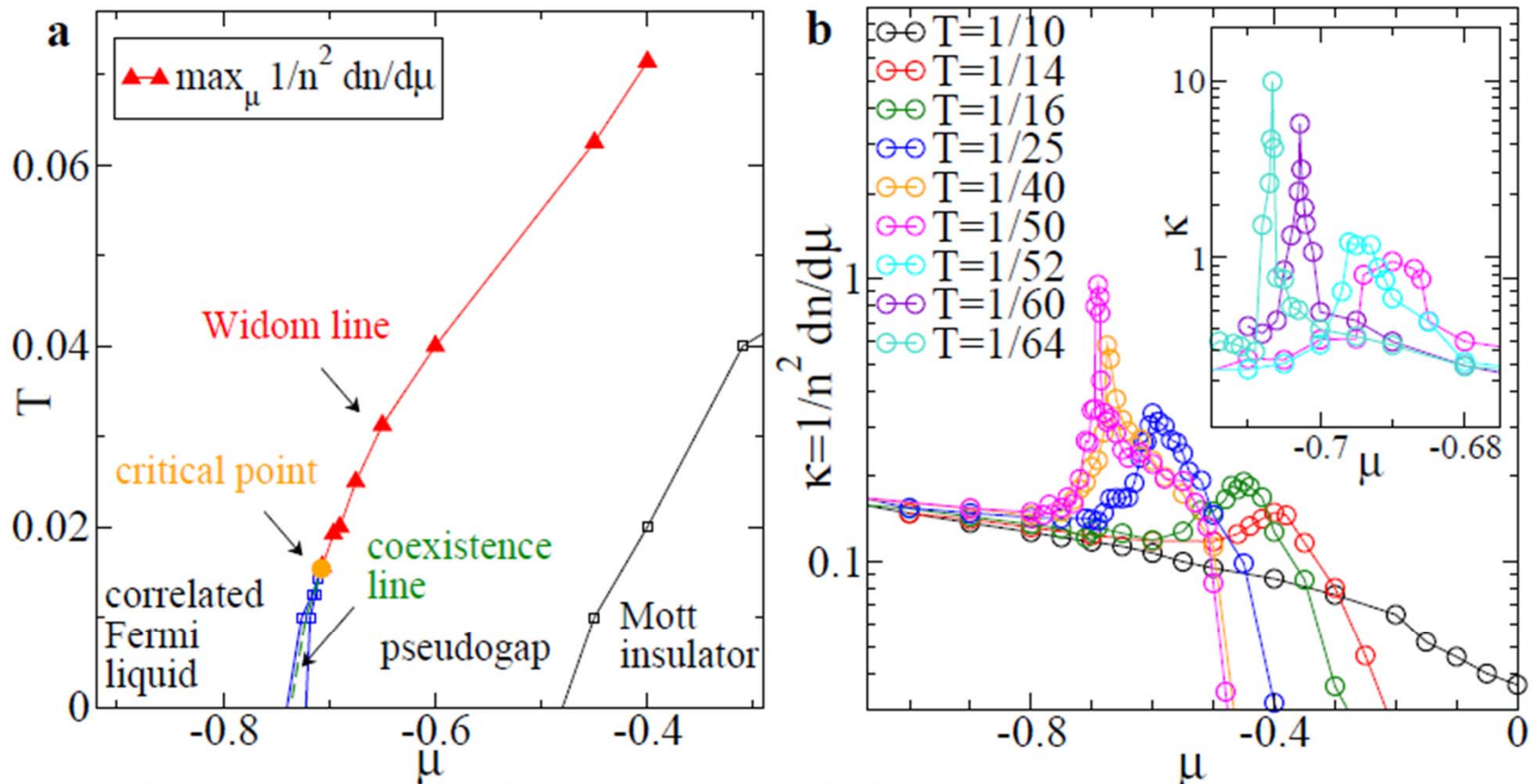
What is the Widom line?



McMillan and Stanley, Nat Phys 2010

- ▶ it is the continuation of the coexistence line in the supercritical region
- ▶ line where the **maxima of different response functions** touch each other asymptotically as $T \rightarrow T_p$
- ▶ liquid-gas transition in water: max in isobaric heat capacity C_p , isothermal compressibility, isobaric heat expansion, etc
- ▶ **DYNAMIC crossover arises from crossing the Widom line!**
water: Xu et al, PNAS 2005, Simeoni et al Nat Phys 2010

Pseudogap T^* along the Widom line

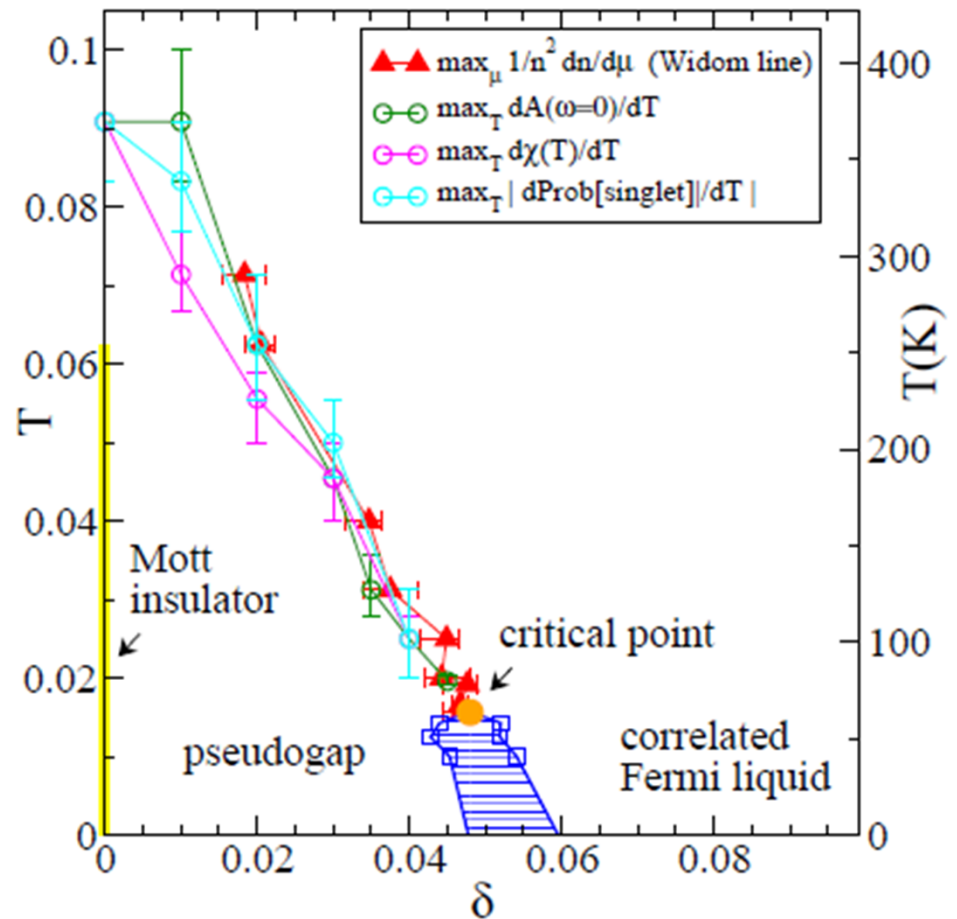


Widom line: defined from maxima of charge compressibility

$$\kappa = 1/n^2 (dn/d\mu)_T$$

divergence of κ at the (classical) critical point!

Phase diagram



What is the minimal model?

H. Alloul arXiv:1302.3473

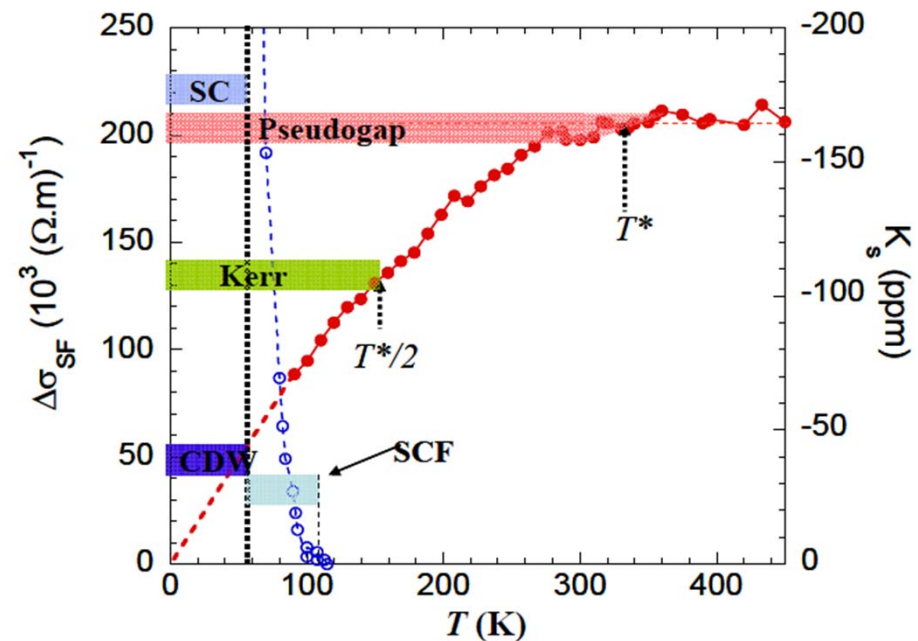
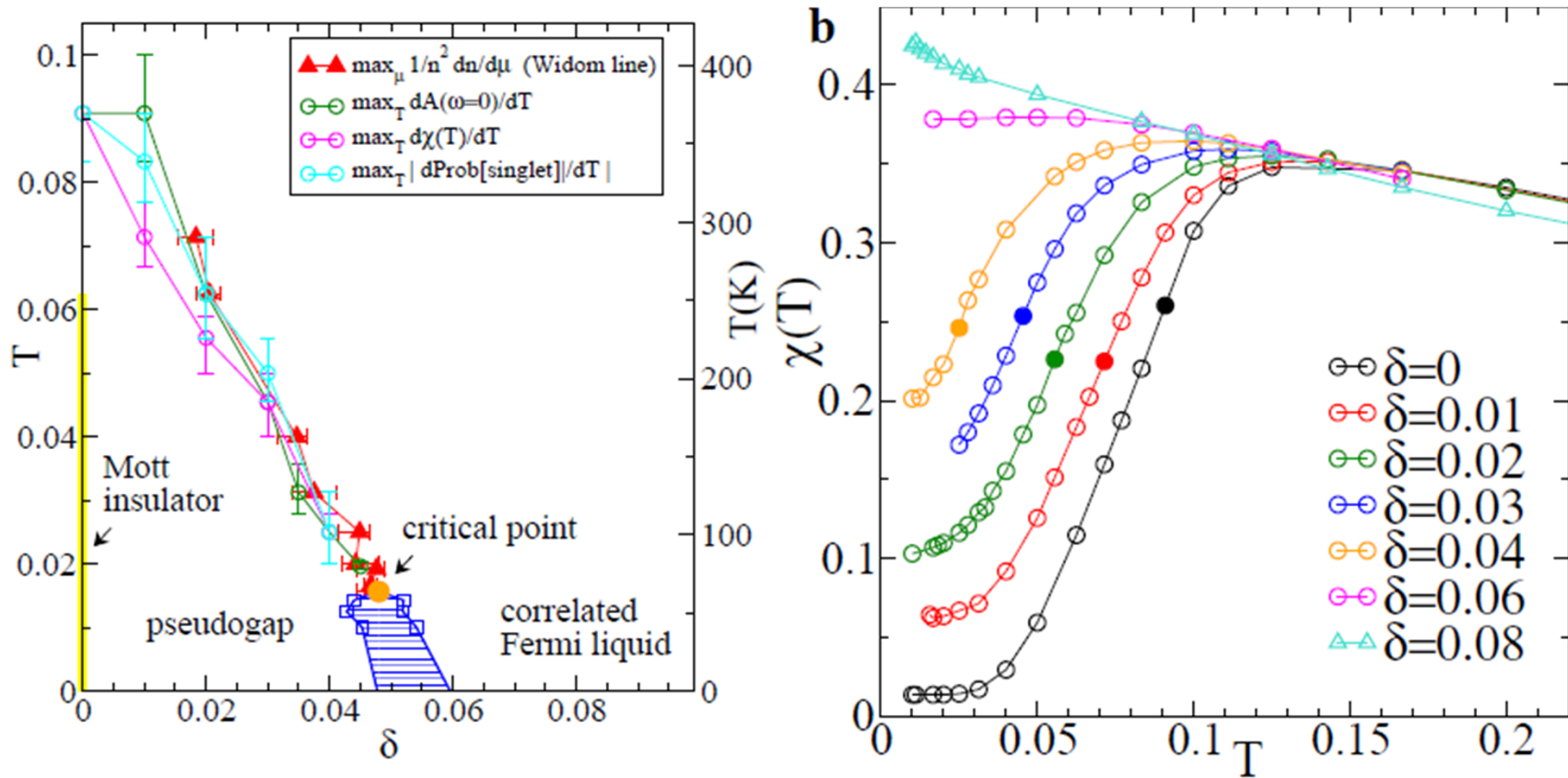
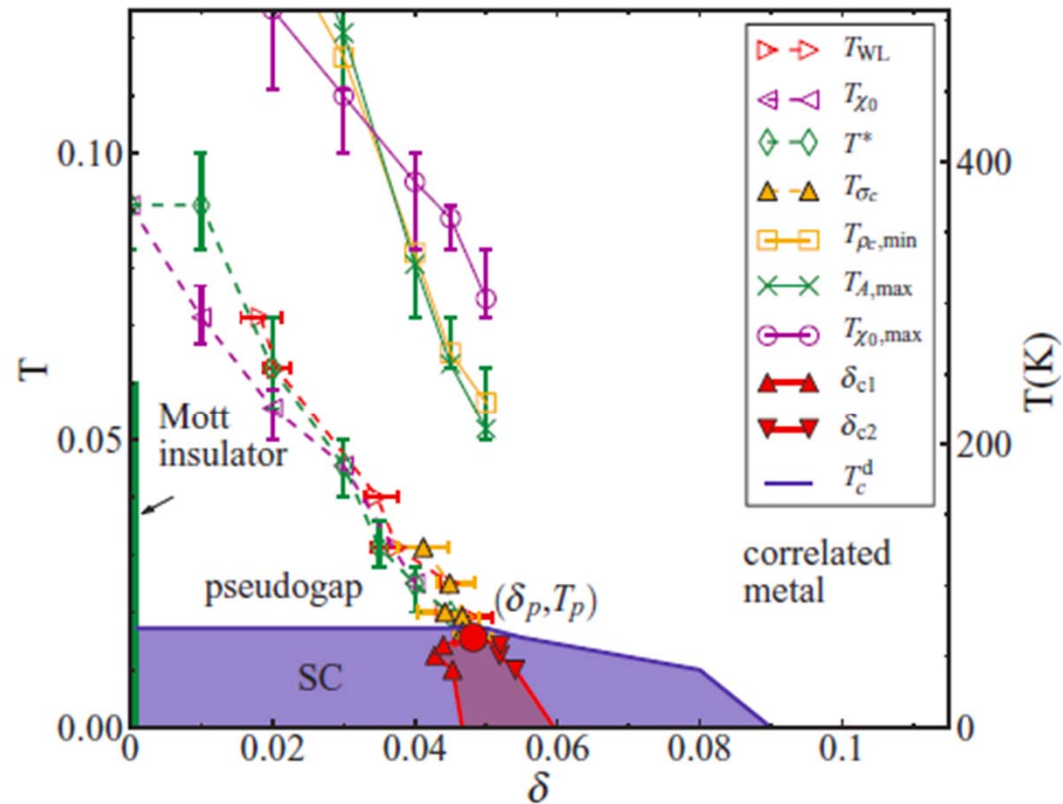


Fig 1 Spin contribution K_s to the ^{89}Y NMR Knight shift [11] for $\text{YBCO}_{6.6}$ permit to define the PG onset T^* . Here K_s is reduced by a factor two at $T \sim T^*/2$. The sharp drop of the SC fluctuation conductivity (SCF) is illustrated (left scale) [23]. We report as well the range over which a Kerr signal is detected [28], and that for which a CDW is evidenced in high fields from NMR quadrupole effects [33] and ultrasound velocity data [30]. (See text).

Spin susceptibility

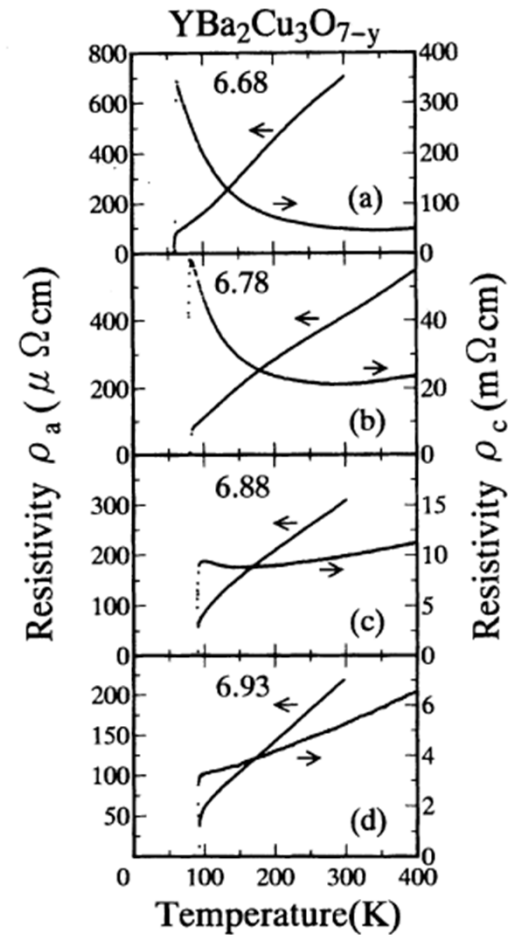
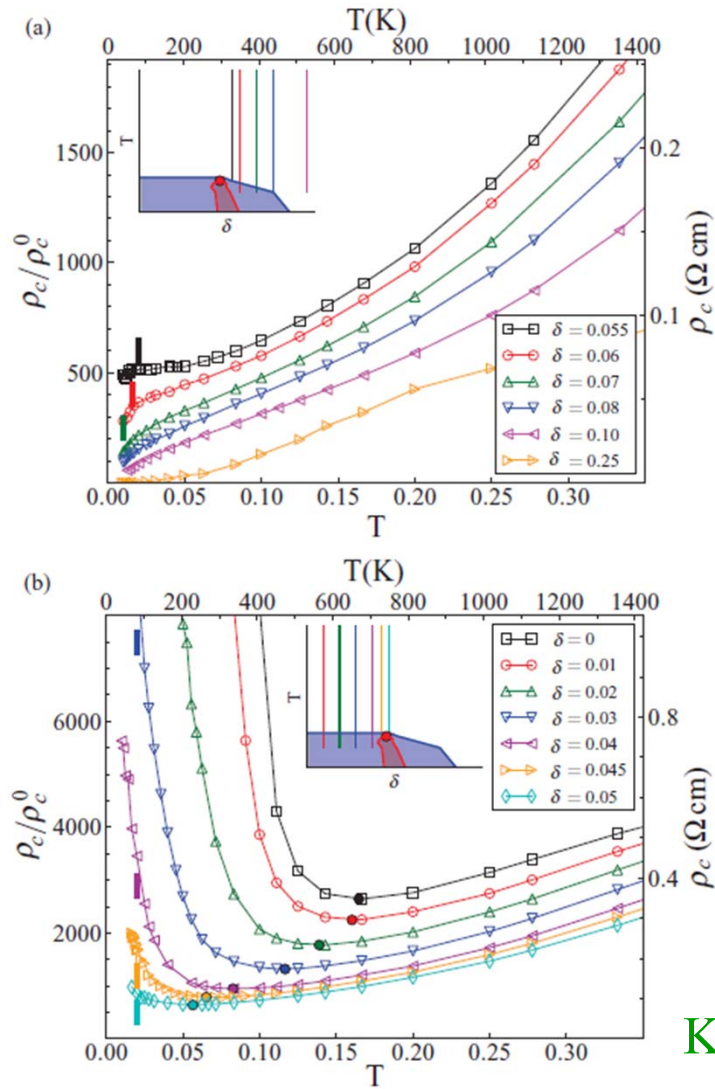


Two crossover lines



Sordi et al. PRL 108, 216401 (2012)
PRB **87**, 041101(R) (2013)

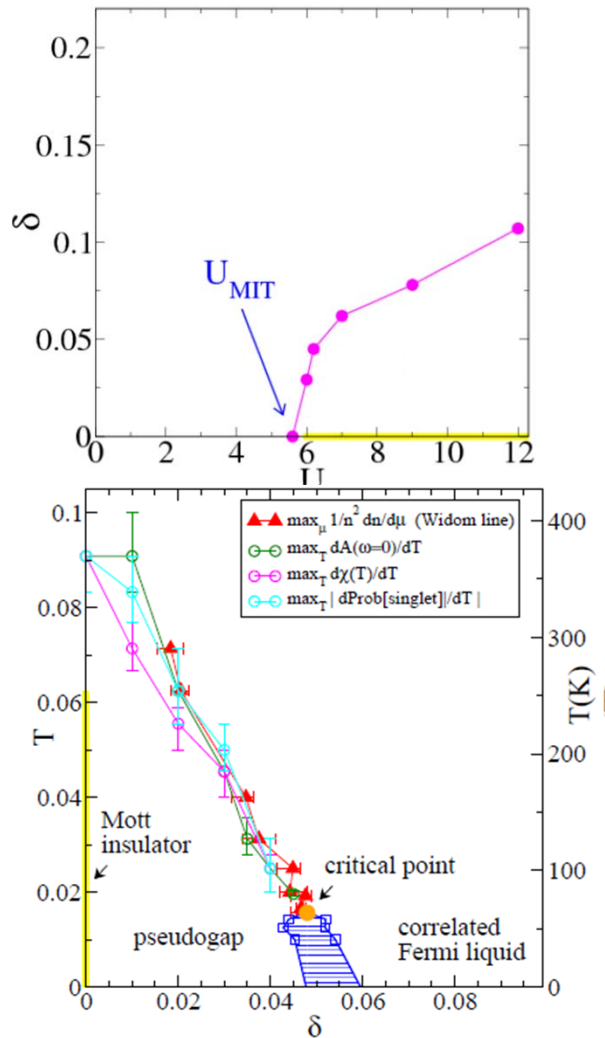
c-axis resistivity



K. Takenaka, K. Mizuhashi, H. Takagi, and S. Uchida,
Phys. Rev.B 50, 6534 (1994).



Summary: normal state



- Mott physics extends way beyond half-filling
- Pseudogap is a phase
- Pseudogap T^* is a Widom line
- High compressibility (stripes?)



Giovanni Sordi



Patrick Sémon



Lorenzo Fratino



Kristjan Haule

Finite T phase diagram Superconductivity

Sordi et al. PRL **108**, 216401 (2012)

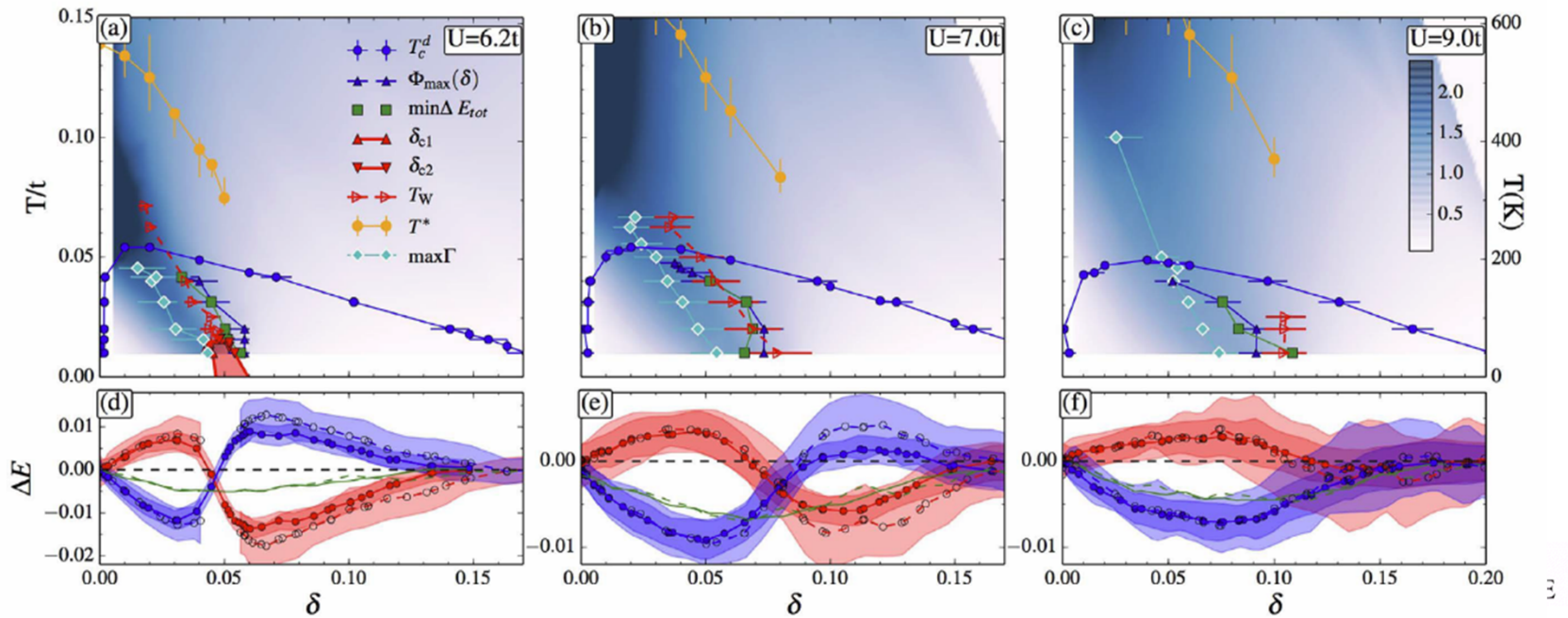
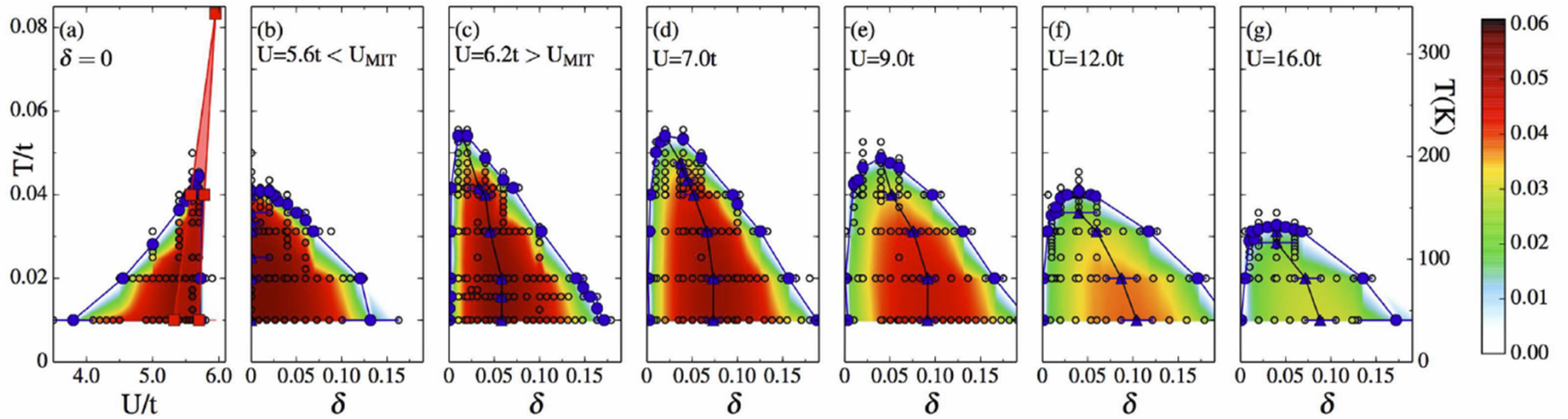
Fratino et al.

Sci. Rep. **6**, 22715



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An organizing principle



3 bands, charge transfer insulator

Fratino et al. Phys. Rev. B in press

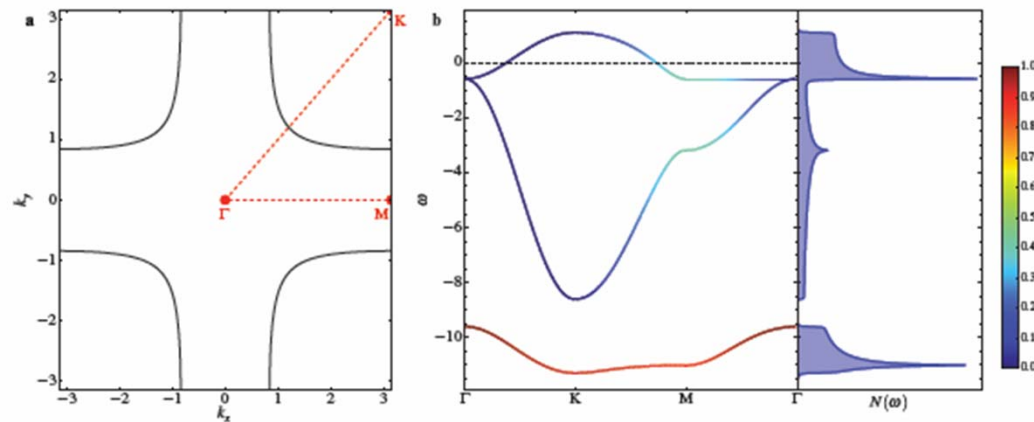
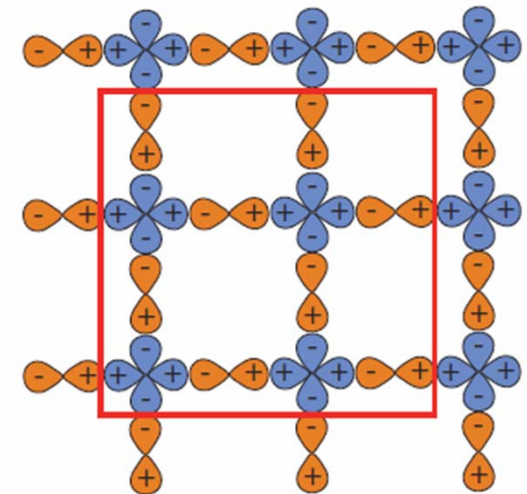


FIG. 2. (a) Noninteracting Fermi surface for the model parameter investigated in Fig. 1a of main text, namely $\epsilon_p = 9$, $t_{pp} = 1$, $t_{pd} = 1.5$, which gives a total occupation n_{tot} equal to five. (b) Non-interacting band structure for the same model parameter along with the resulting total density of states. Color corresponds to the d-character of the hybridised bands. The band crossing the Fermi level has mostly oxygen character.



Giovanni Sordi

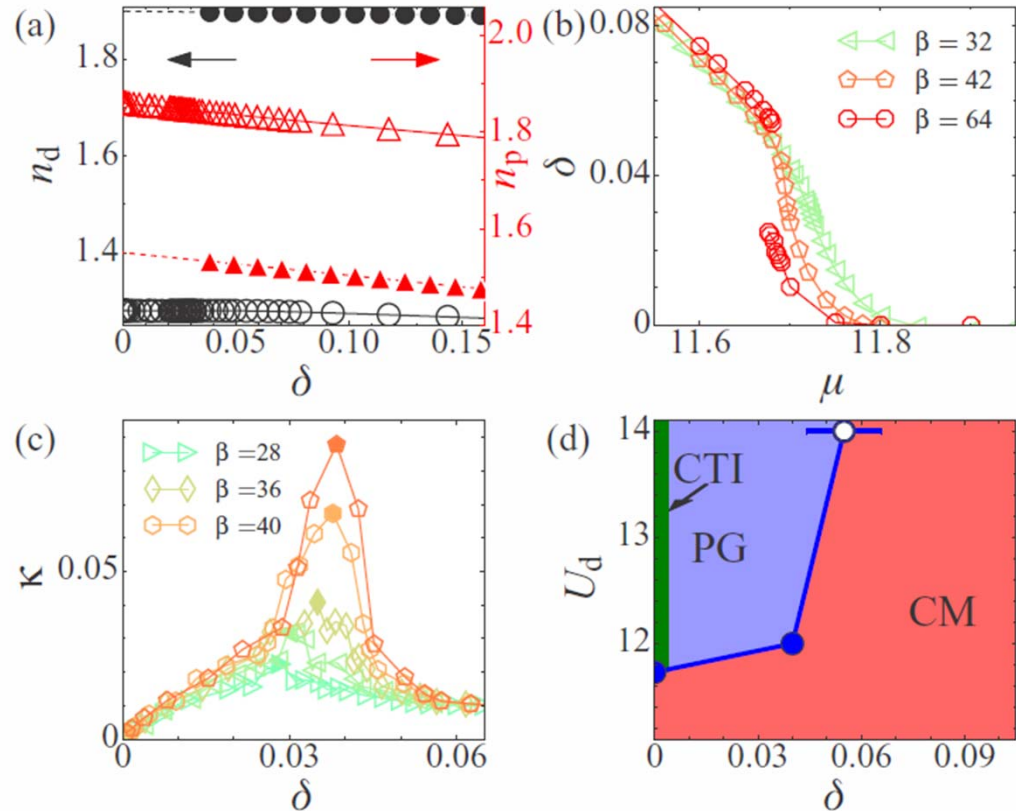
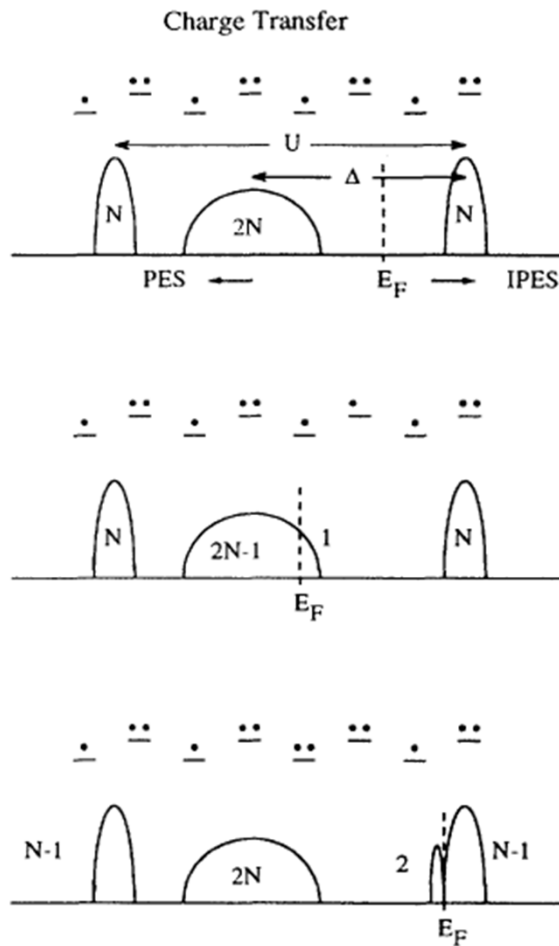


Lorenzo Fratino



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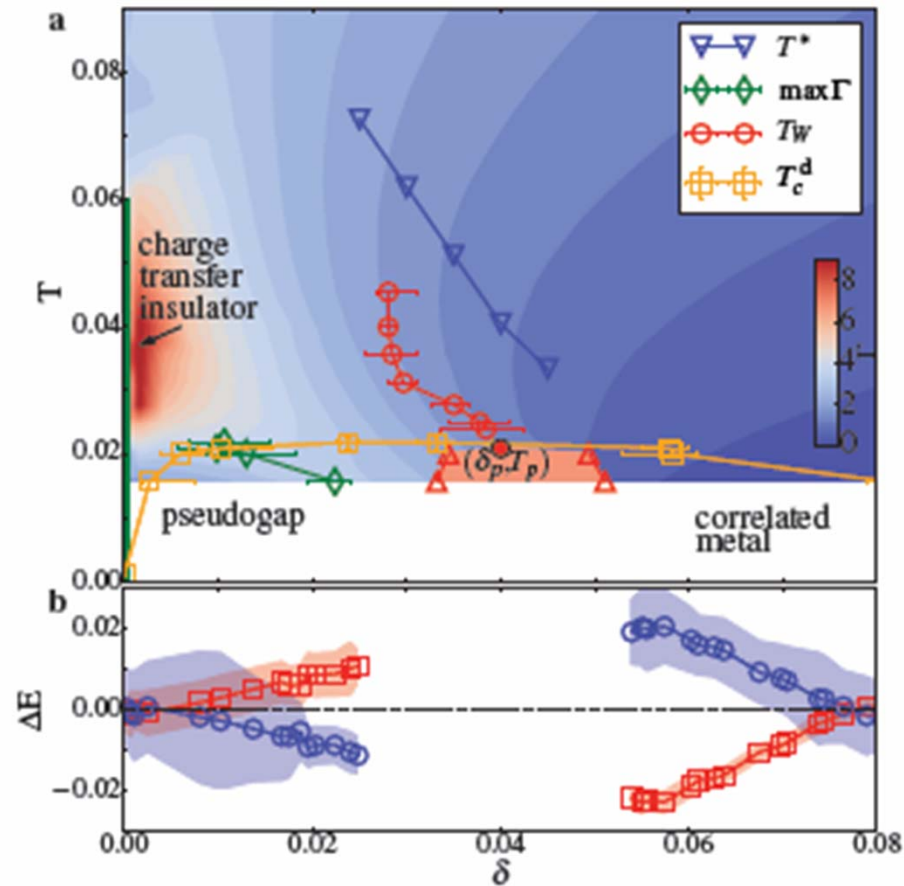
3 bands, charge transfer insulator



Fratino et al. Phys. Rev. B in press



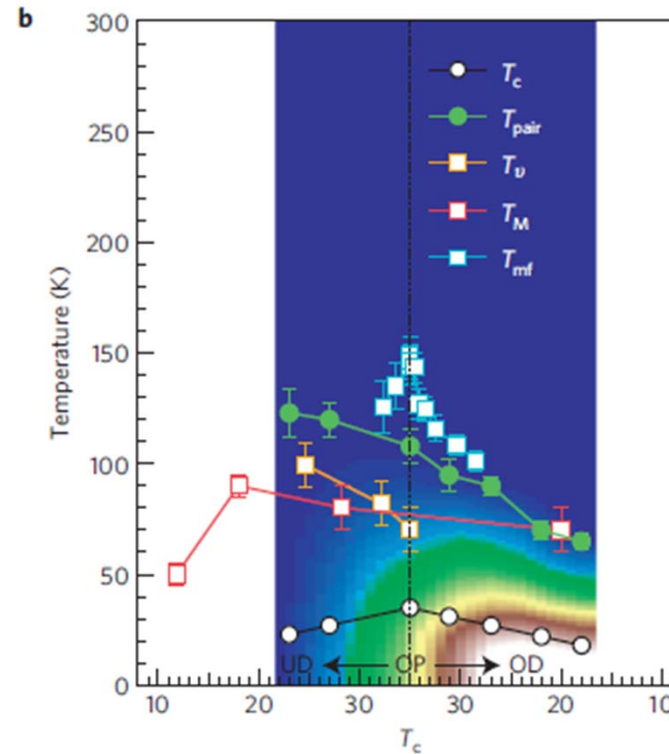
3 bands, charge transfer insulator



Fratino et al. Phys. Rev. B in press



T_{pair}



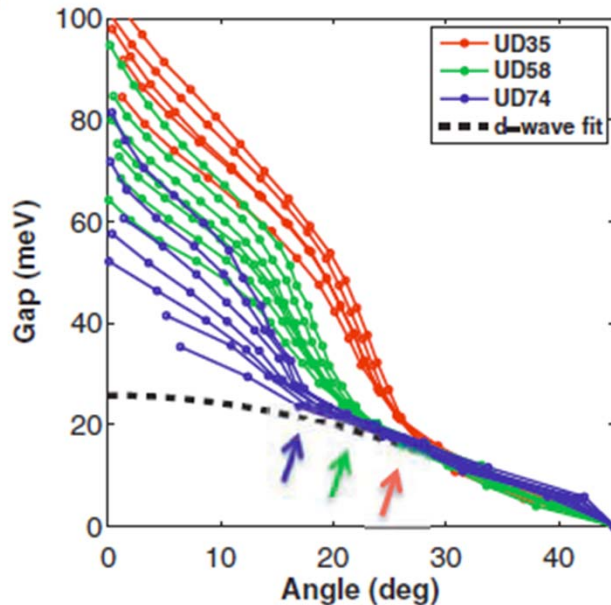
ARPES
Bi2212

Kondo, Takeshi, et al. Kaminski Nature
Physics **2011**, 7, 21-25



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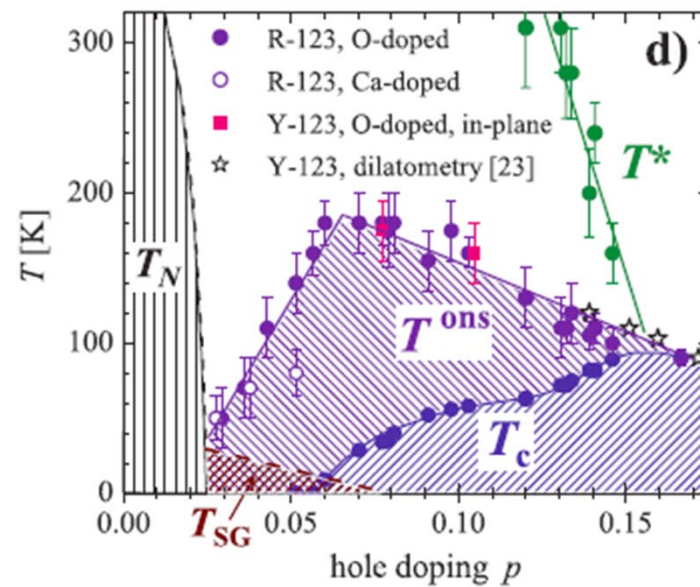
Meaning of T_c^d : Local pair formation



A. Pushp, Parker, ... A. Yazdani,
Science **364**, 1689 (2009)

However, our measurements demonstrate that the nodal gap does not change with reduced doping. The pairing strength does not get weaker or stronger as the Mott insulator is approached; rather, it saturates.

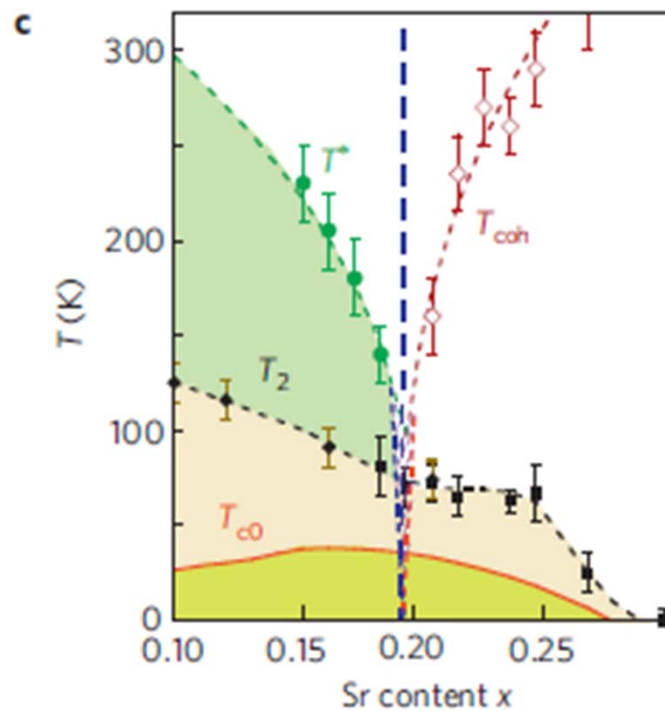
Fluctuating region



Infrared response

Dubroka et al. PRL 106, 047006 (2011)

T_2



Magnetoresistance, LSCO
Fluctuating vortices

Patrick M. Rourke, et al. *Nature Physics* 7, 455–458 (2011)



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Actual T_c in underdoped

- Quantum and classical phase fluctuations
 - V. J. Emery and S. A. Kivelson, Phys. Rev. Lett. **74**, 3253 (1995).
 - V. J. Emery and S. A. Kivelson, Nature **374**, 474 (1995).
 - D. Podolsky, S. Raghu, and A. Vishwanath, Phys. Rev. Lett. **99**, 117004 (2007).
 - Z. Tesanovic, Nat Phys **4**, 408 (2008).
- Magnitude fluctuations
 - I. Ussishkin, S. L. Sondhi, and D. A. Huse, Phys. Rev. Lett. **89**, 287001 (2002).
- Competing order
 - E. Fradkin, S. A. Kivelson, M. J. Lawler, J. P. Eisenstein, and A. P. Mackenzie, Annual Review of Condensed Matter Physics **1**, 153 (2010).
- Disorder
 - F. Rullier-Albenque, H. Alloul, F. Balakirev, and C. Proust, EPL (Europhysics Letters) **81**, 37008 (2008).
 - H. Alloul, J. Bobro, M. Gabay, and P. J. Hirschfeld, Rev. Mod. Phys. **81**, 45 (2009).

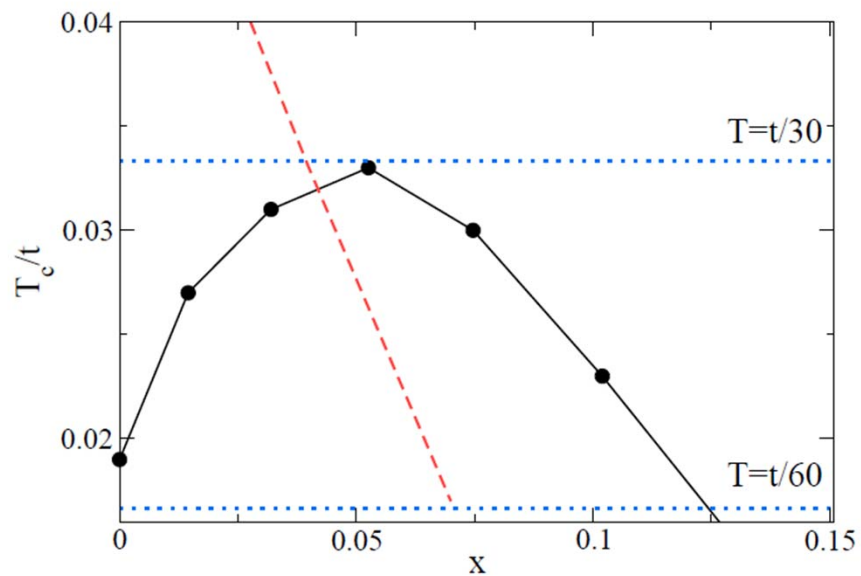


Larger clusters

- Is there a minimal size cluster where T_c vanishes before half-filling?
- Learn something from small clusters as well
- Local pairs in underdoped



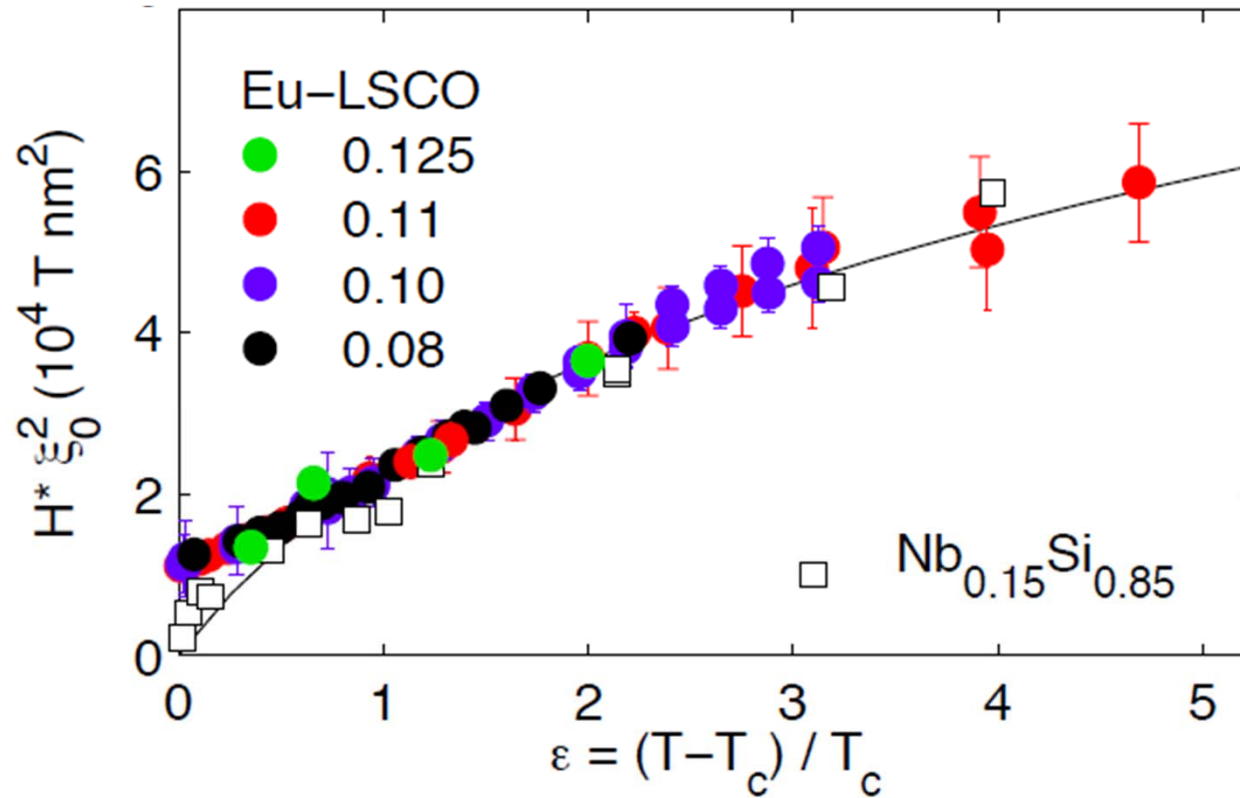
Larger cluster 8 site DCA



Gull, Millis, [arxiv.org:1304.6406](https://arxiv.org/abs/1304.6406)

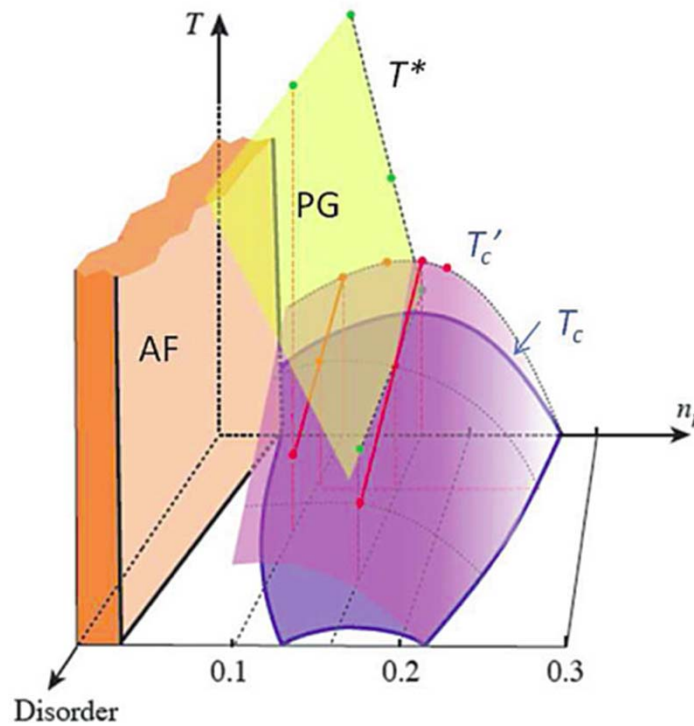


Gaussian amplitude fluctuations in Eu-LSCO



Chang, Doiron-Leyraud et al.

Effect of disorder

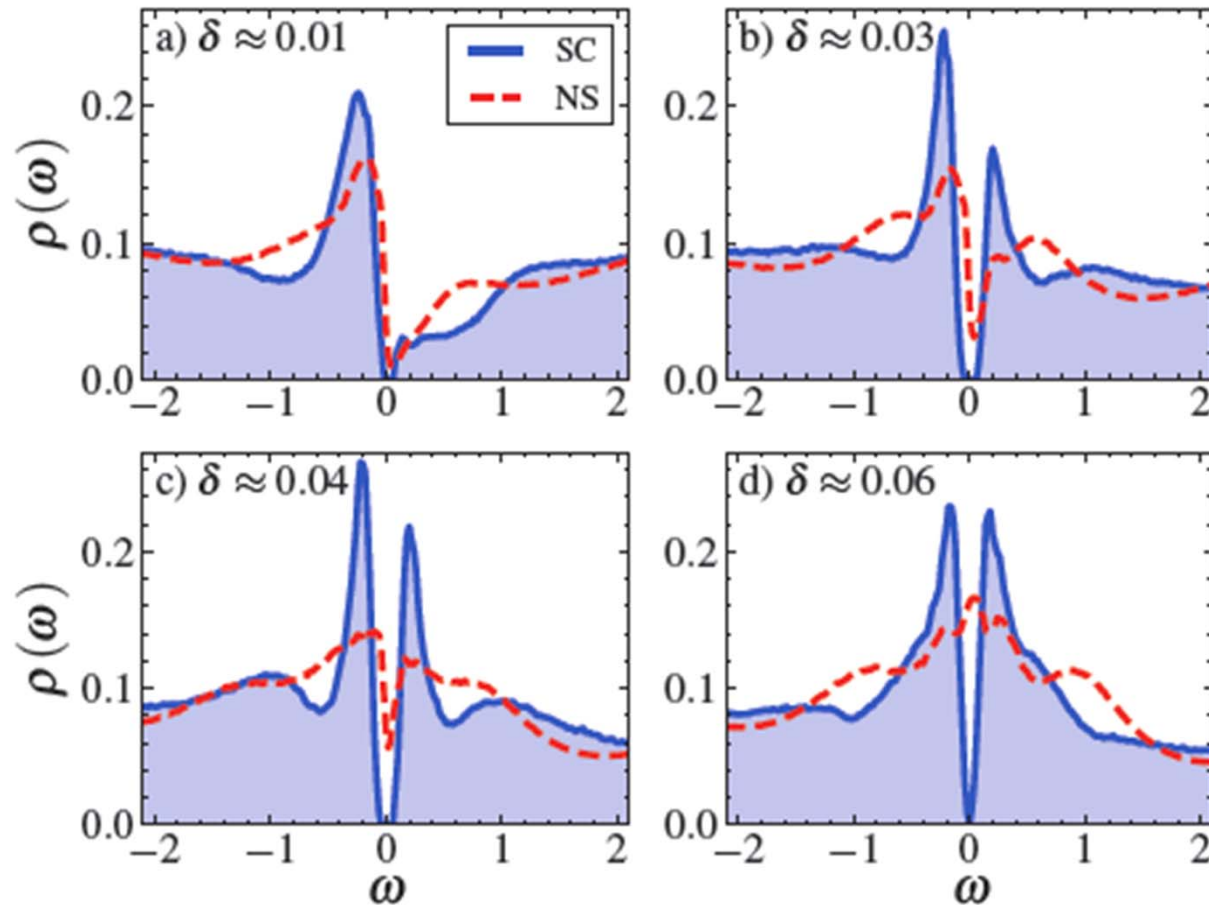


F. Rullier-Albenque, H. Alloul, and G. Rikken,
Phys. Rev. B **84**, 014522 (2011).

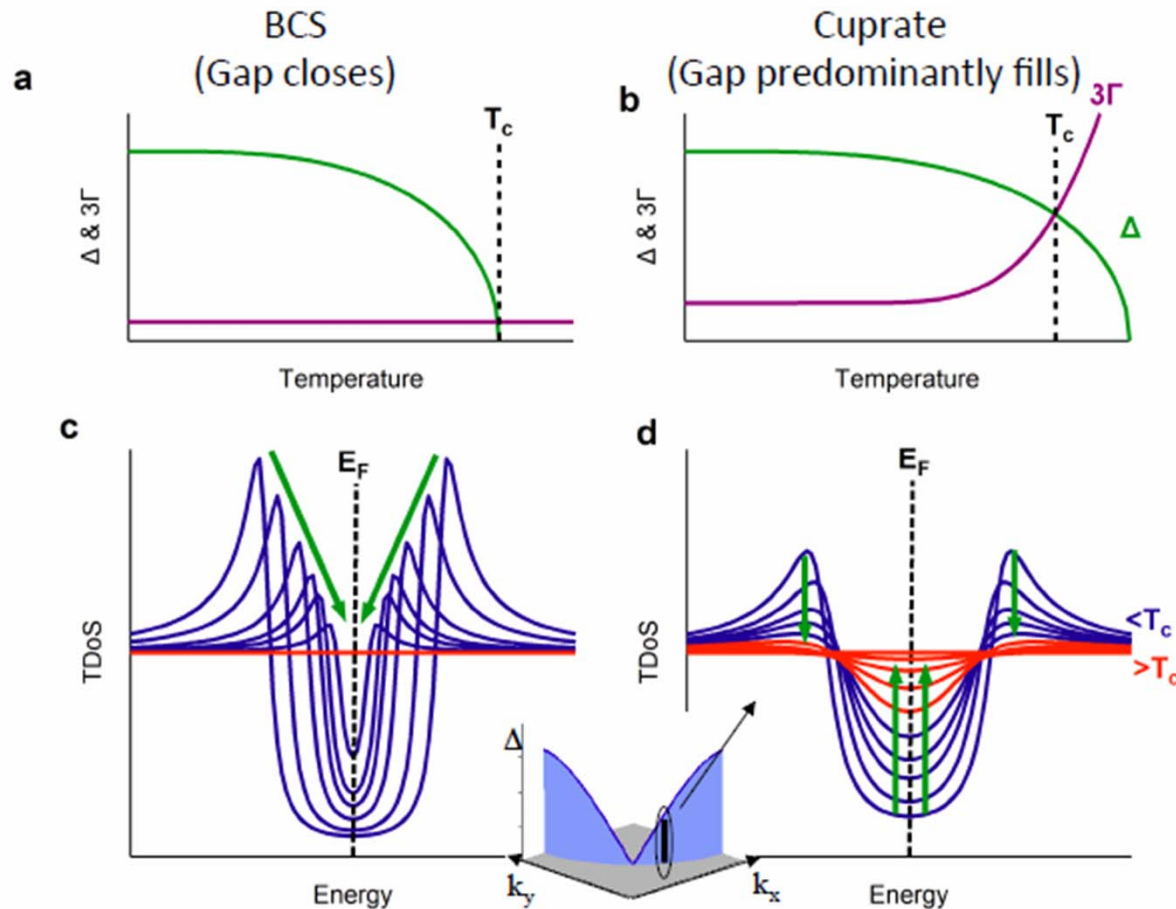
Superconductivity in underdoped vs BCS



First-order transition leaves its mark



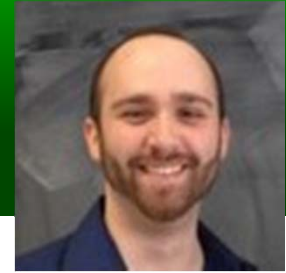
Schematic T dependence of the gap



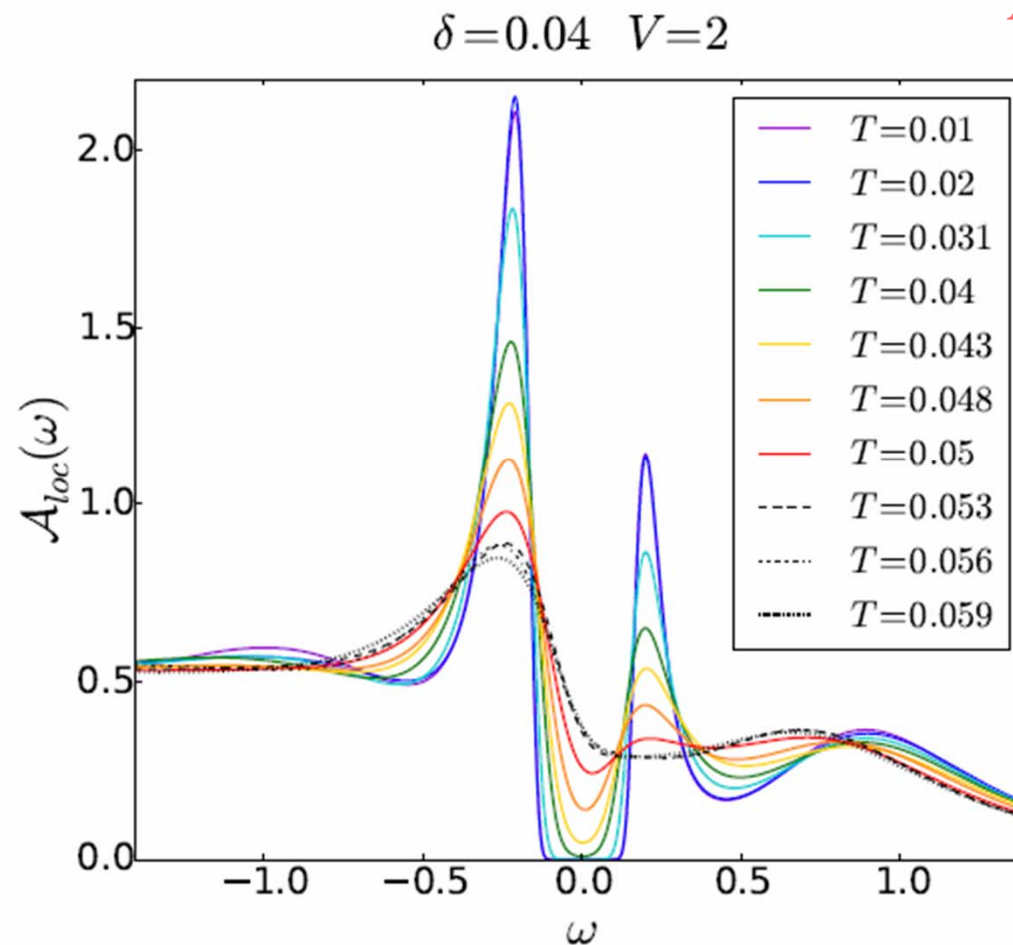
T.J. Reber, ... D.S. Dessau, arXiv:1508.06252



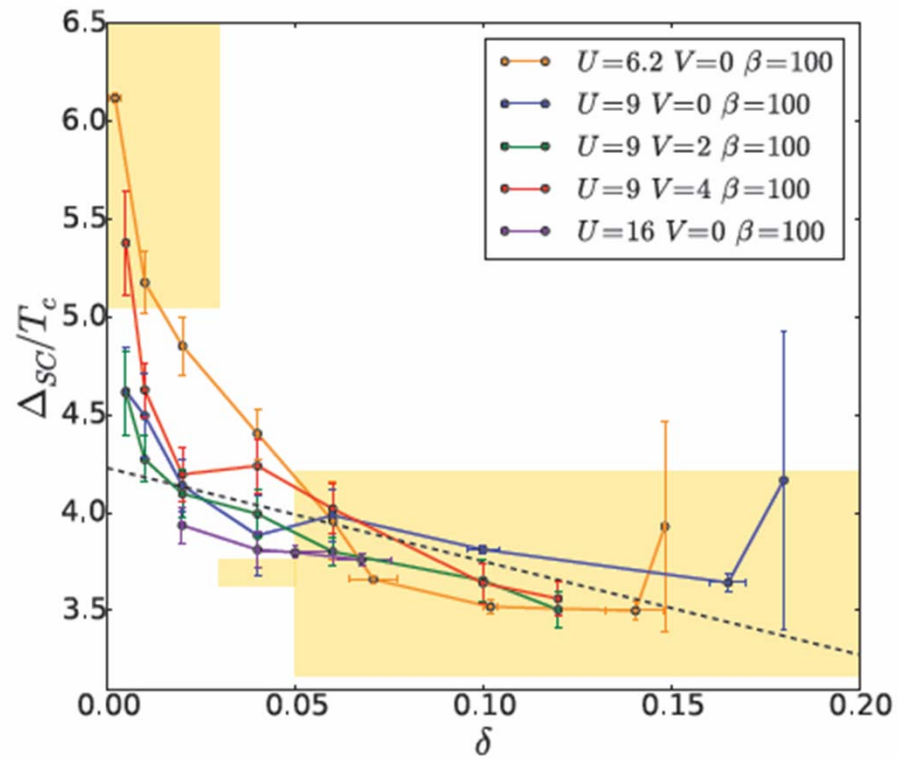
T dependence of the gap underdoped



Alexis Reymbaut



$$\Delta/T_c$$

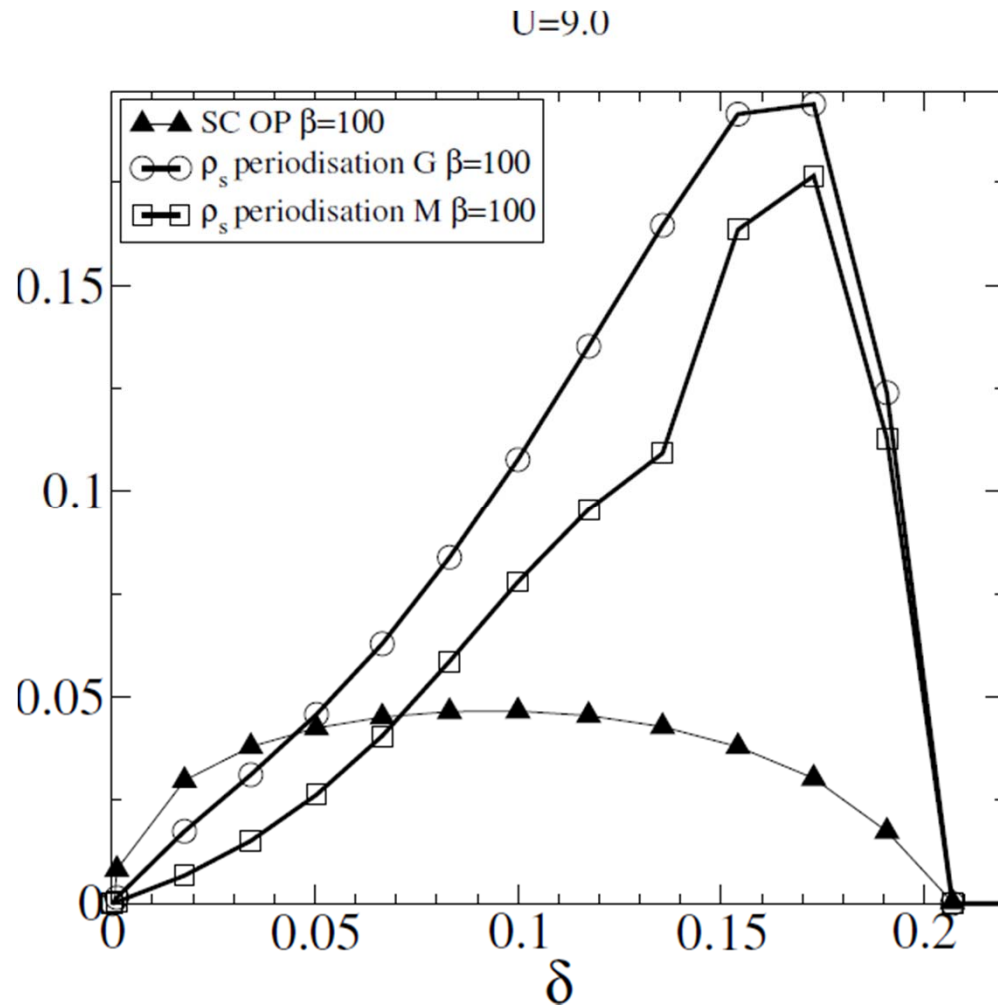


A. Reymbaut, PhD thesis, Sherbrooke 2016

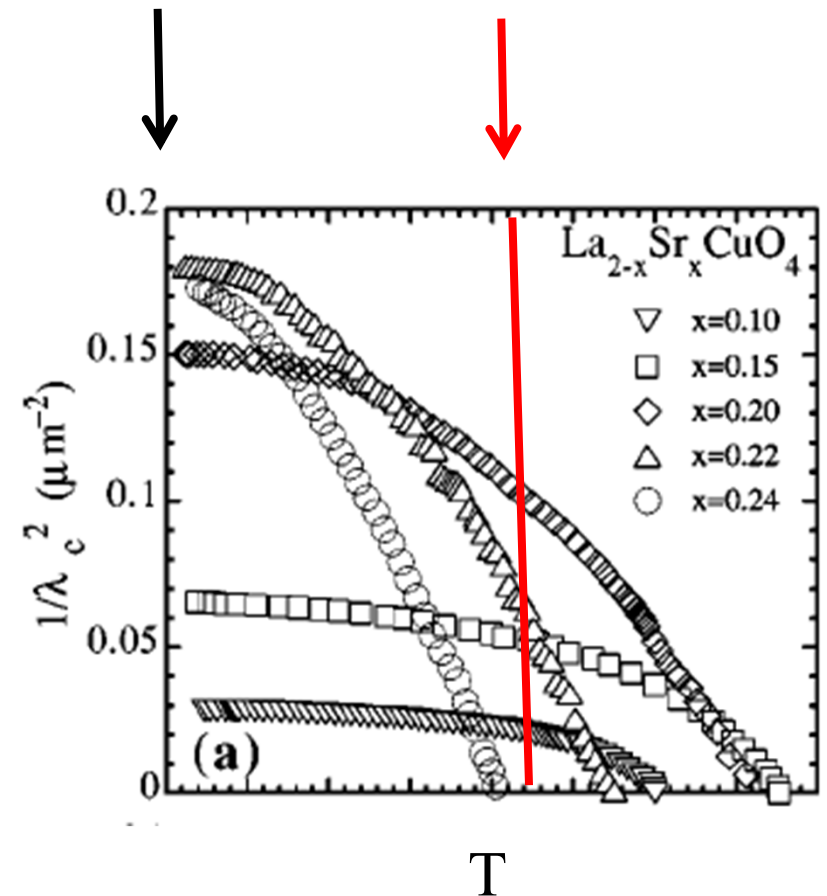


c-axis Superfluid stiffness $U = 9t, T=1/100$

Fratino, Sordi, unpublished



See also, Gull Millis, PRB, 2013



Panagopoulos et al. PRB 2000



Also from 8 site DCA

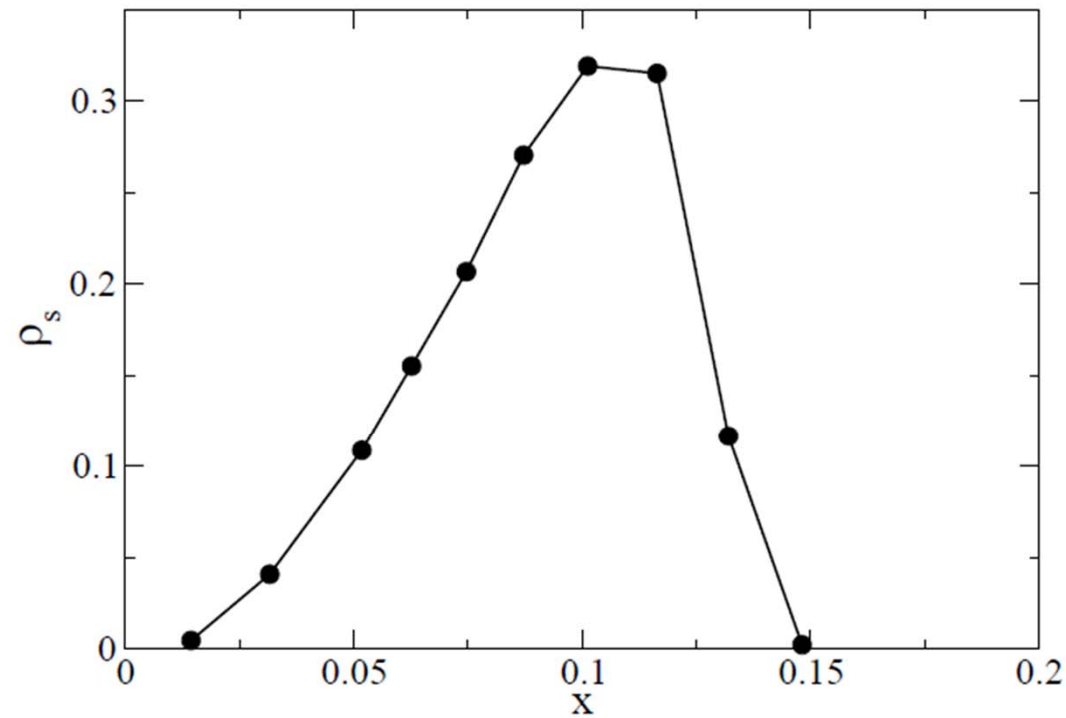
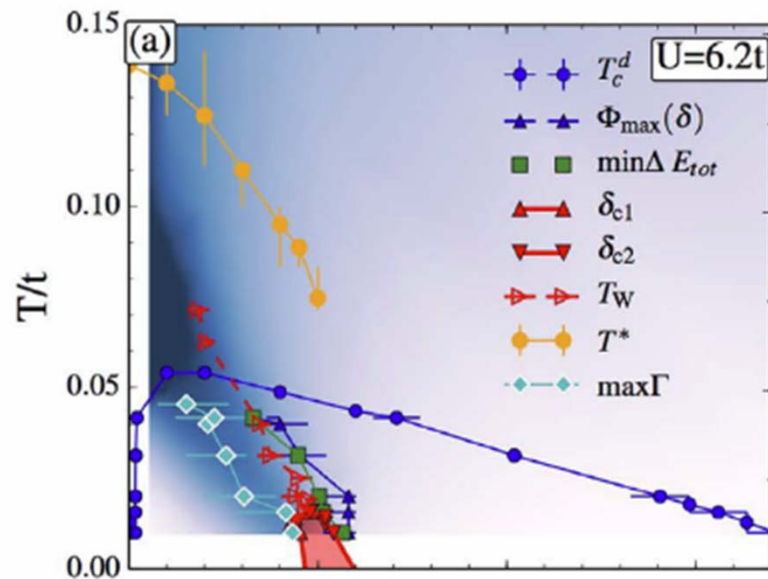


FIG. 8. Superfluid stiffness ρ_s determined in the superconducting state at $T = t/60$ from Eq. 15, as a function of doping.

Summary



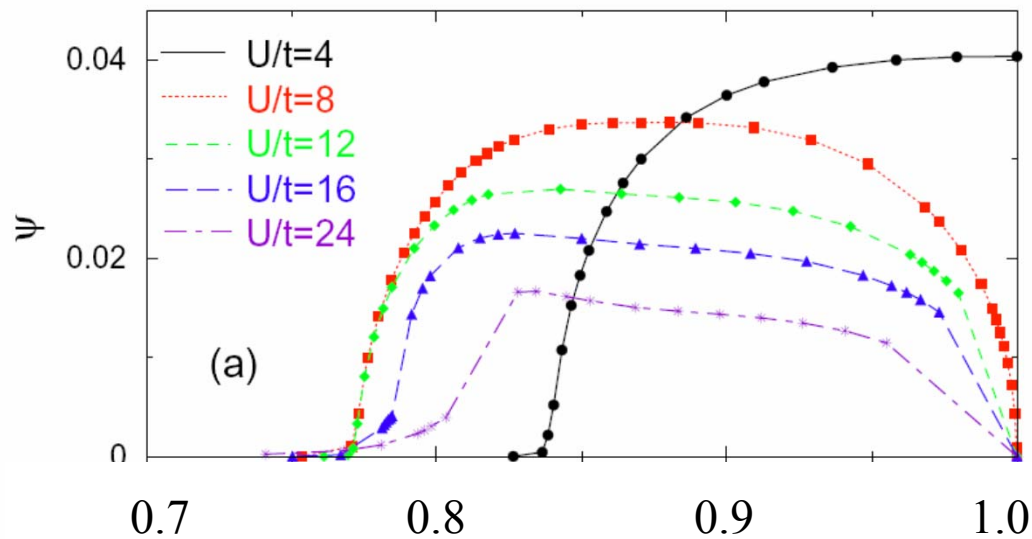
- Below the dome finite T critical point (not QCP) controls normal state
- First-order transition destroyed but traces in the dynamics
- T^* different from T_c^d
- Actual T_c in underdoped
 - Competing order
 - Long wavelength fluctuations (see O.P.)
 - Disorder

$T = 0$ phase diagram: superconductivity

Mechanism in the presence of strong correlation



Dome vs Mott (CDMFT)

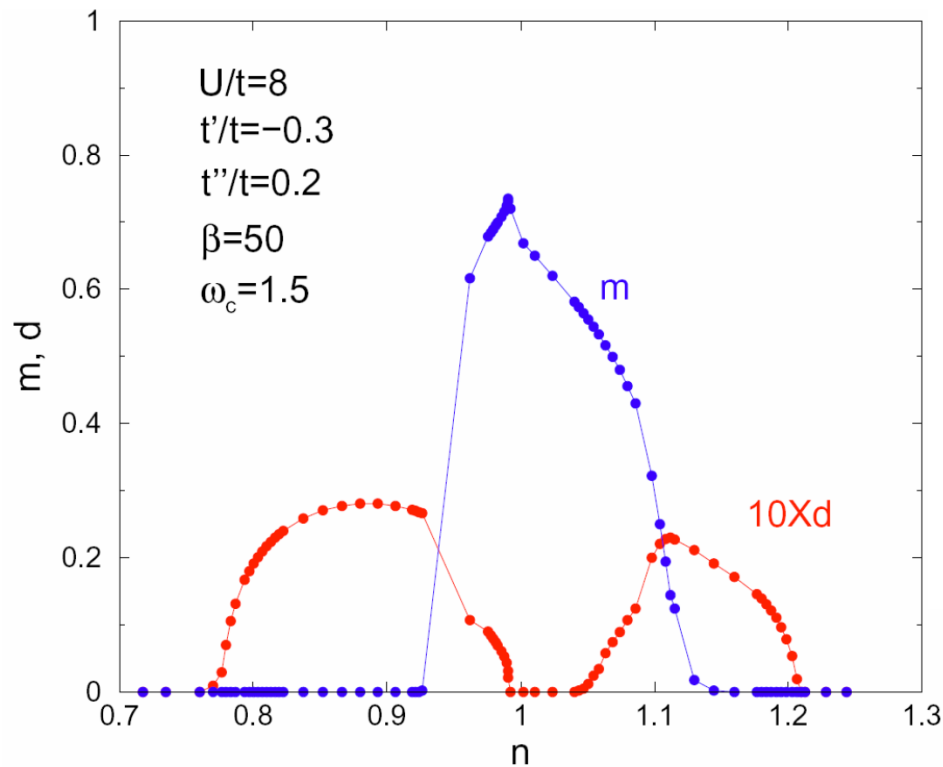


Kancharla, Kyung, Civelli,
S  n  chal, Kotliar AMST
Phys. Rev. B (2008)

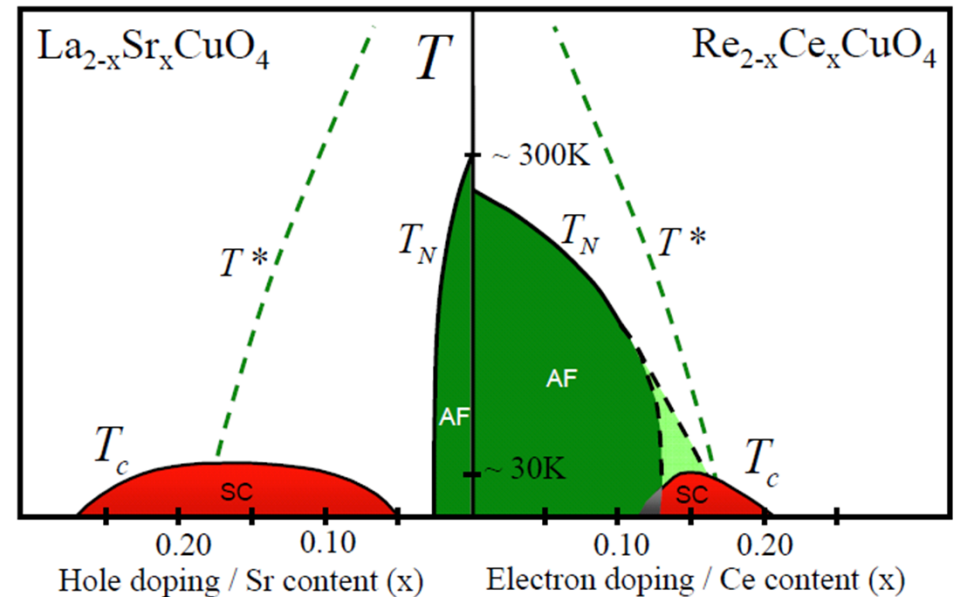


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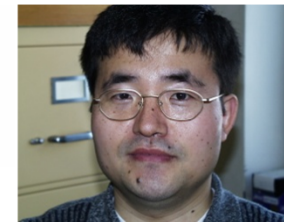
CDMFT global phase diagram



Kancharla, Kyung, Civelli,
 Sénéchal, Kotliar AMST
 Phys. Rev. B (2008)
 AND Capone, Kotliar PRL (2006)



Armitage, Fournier, Greene, RMP (2009)



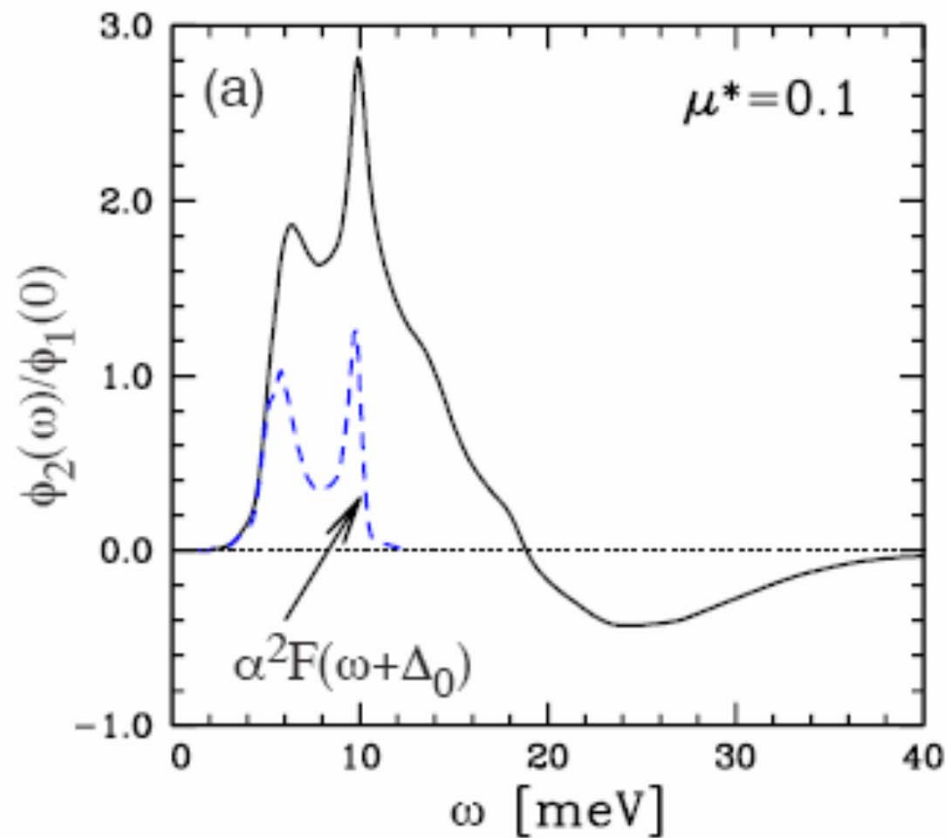
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The glue



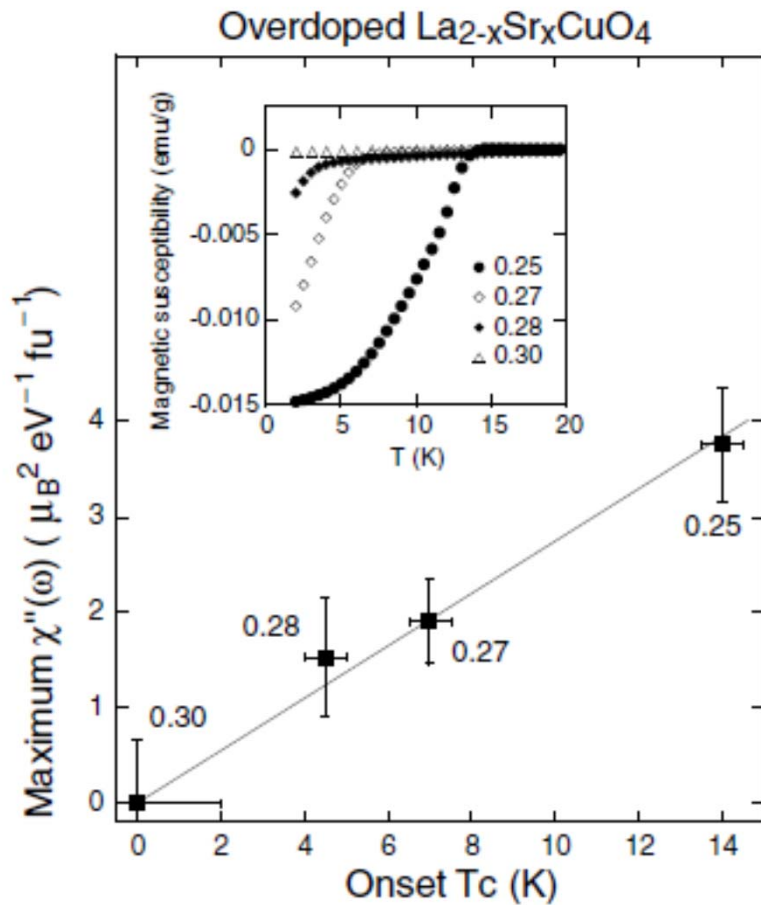
$\text{Im } \Sigma_{\text{an}}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)

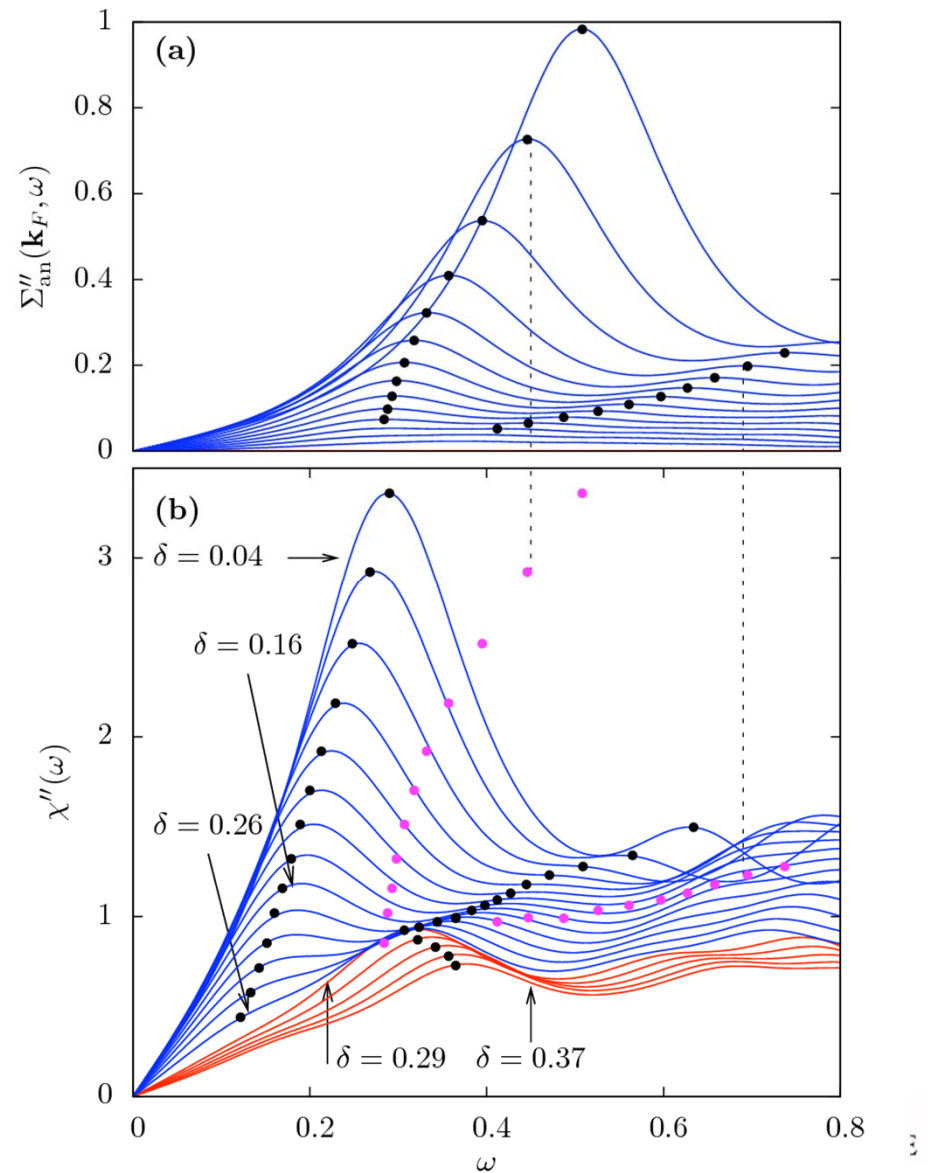


The glue

Kyung, S  n  chal, Tremblay, Phys. Rev. B
80, 205109 (2009)



Wakimoto ... Birgeneau
 PRL (2004)



The glue and neutrons

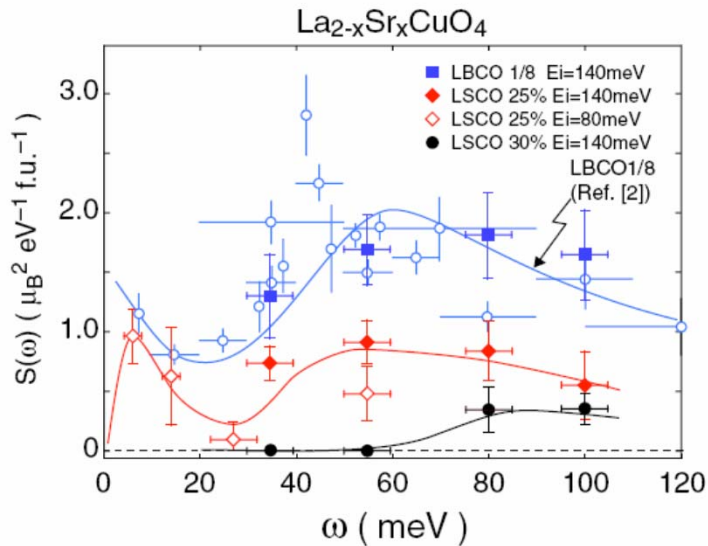
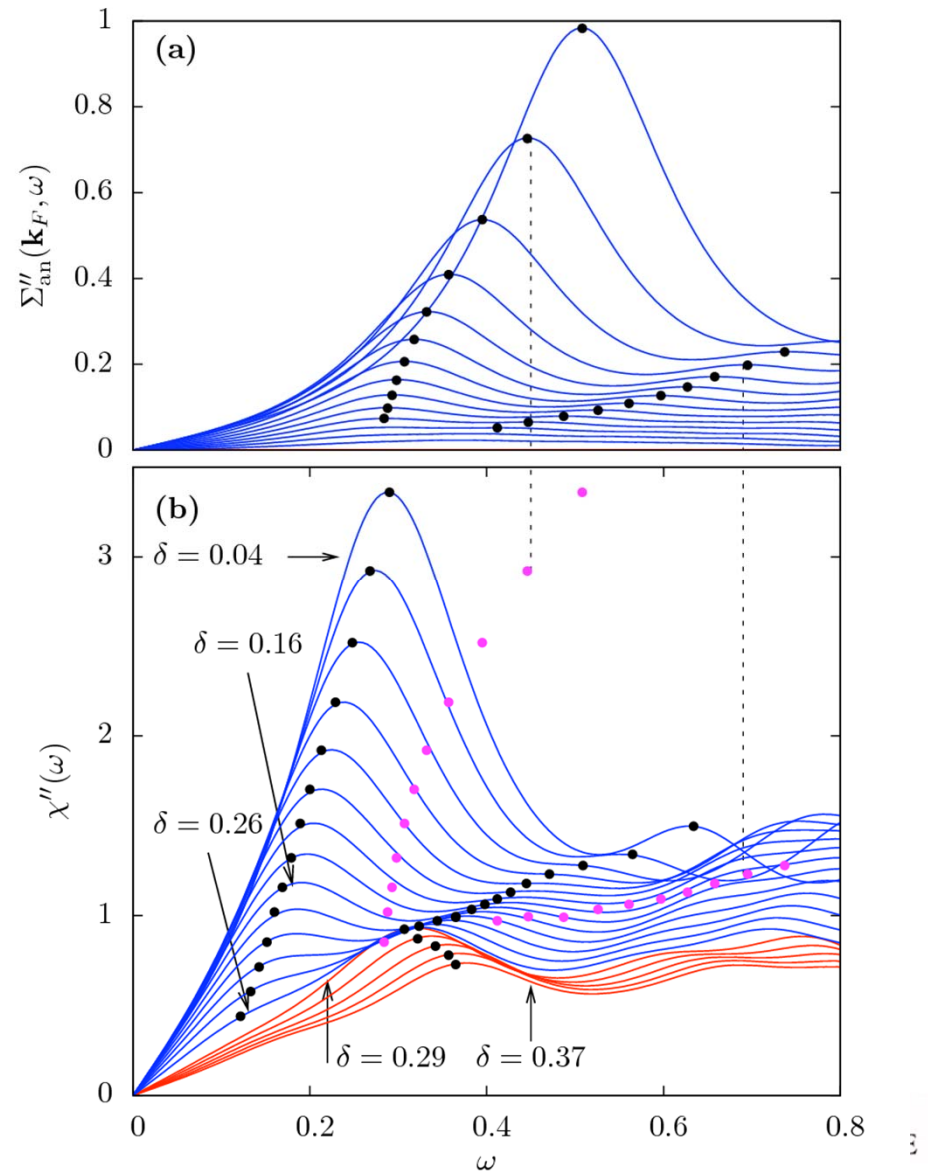


FIG. 3 (color online). $\mathbf{Q}$ -integrated dynamic structure factor $S(\omega)$ which is derived from the wide- H integrated profiles for LBCO 1/8 (squares), LSCO $x = 0.25$ (diamonds; filled for $E_i = 140$ meV, open for $E_i = 80$ meV), and $x = 0.30$ (filled circles) plotted over $S(\omega)$ for LBCO 1/8 (open circles) from [2]. The solid lines following data of LSCO $x = 0.25$ and 0.30 are guides to the eyes.

Wakimoto ... Birgeneau PRL (2007);
PRL (2004)





Frequencies important for pairing

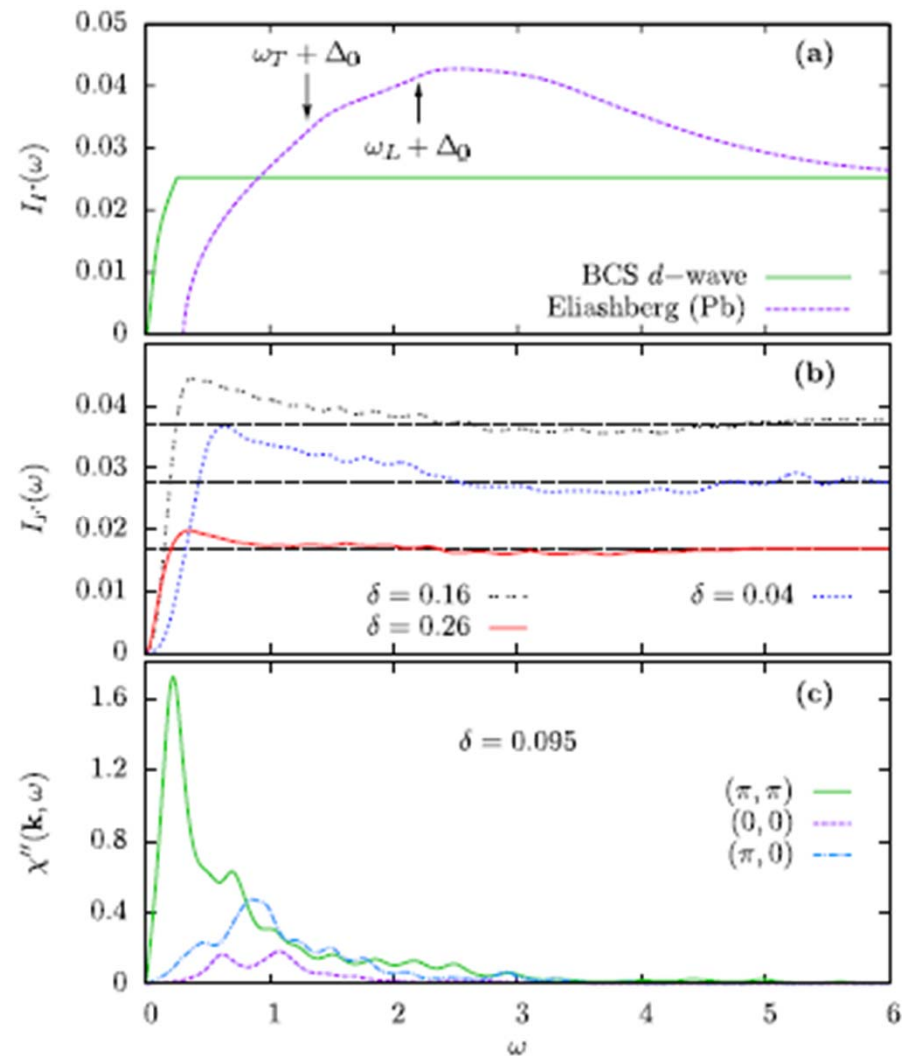


Bumsoo Kyung

David Sénéchal

$$I_F(\omega) \equiv - \int_0^\omega \frac{d\omega'}{\pi} \text{Im} F_{ij}^R(\omega').$$

$$\langle c_{i\uparrow} c_{j\downarrow} \rangle \quad \text{for } \omega \rightarrow \infty$$



B. Kyung, D. Sénéchal, and A.-M. S.T, Phys. Rev. B **80**, 205109 (2009).



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Resilience to near-neighbor repulsion V

In mean-field, $J - V$ $J = 130 \text{ meV}$
 $V = 400 \text{ meV}$

The $\ln(E_F/\omega_D)$ necessary to screen V , for μ^* not enough

Weak-coupling: $V < U$ (U/W) for survival of d-wave

S. Raghu, E. Berg, A. V. Chubukov, and S. A. Kivelson, PRB **85**, 024516 (2012).
S. Onari, R. Arita, K. Kuroki, and H. Aoki, PRB **70**, 094523 (2004).

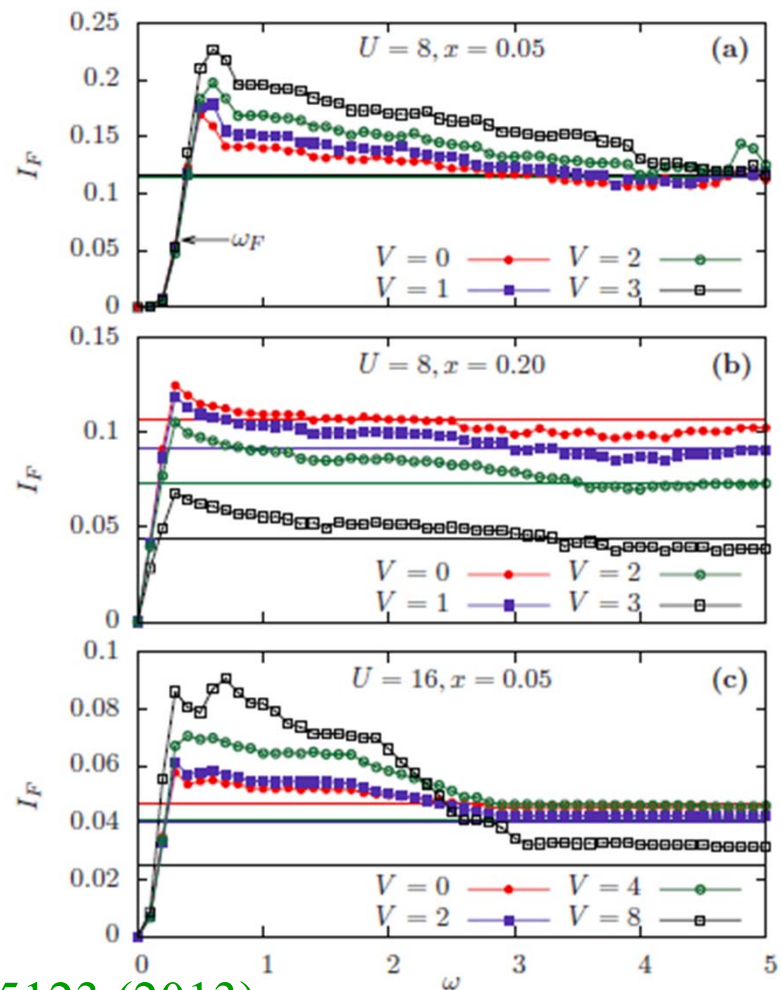
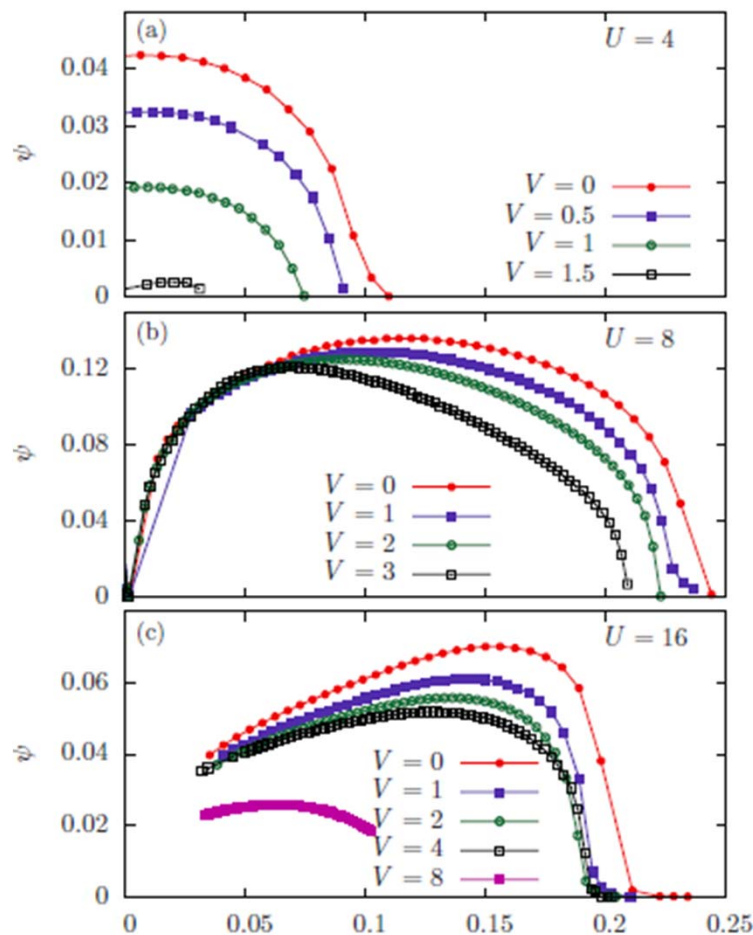




Resilience to near-neighbor repulsion

David Sénéchal

$$J = \frac{4t^2}{U-V}$$

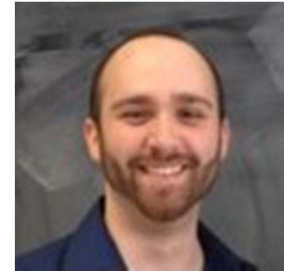
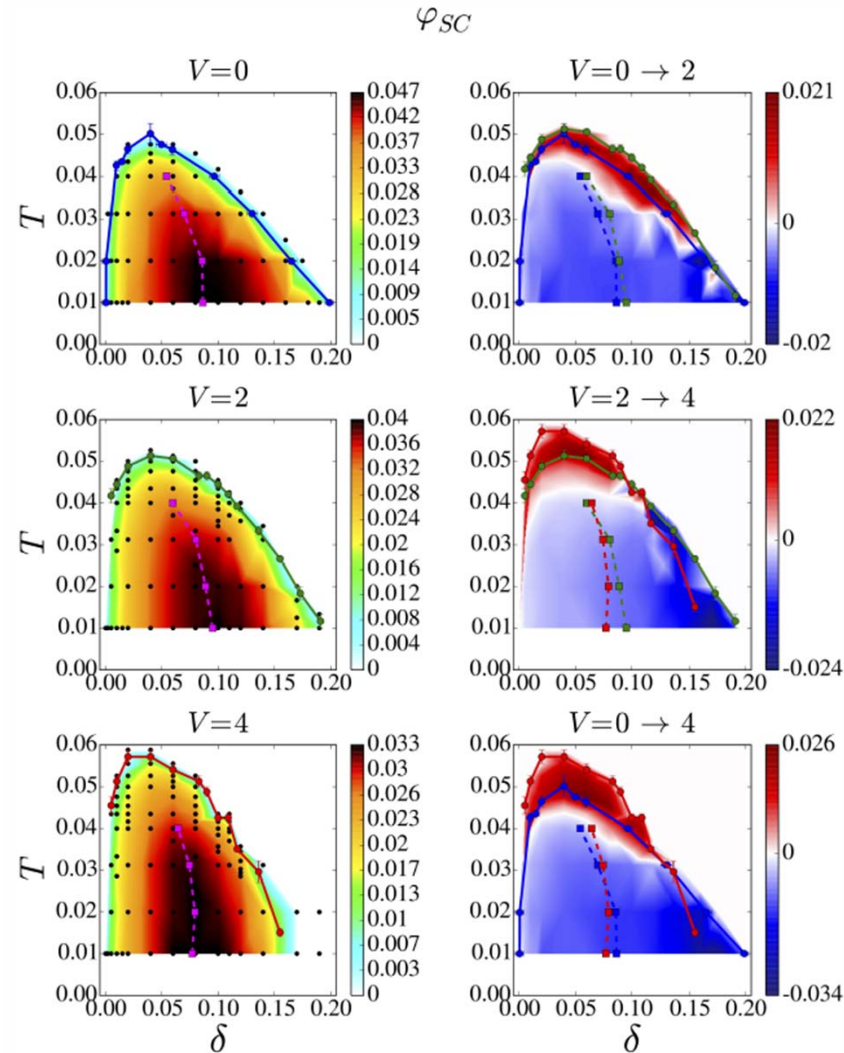


Sénéchal, Day, Bouliane, AMST PRB **87**, 075123 (2013)



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Effect of V , finite temperature, $U = 9t$



Alexis Reymbaut

Thesis, Alexis Reymbaut



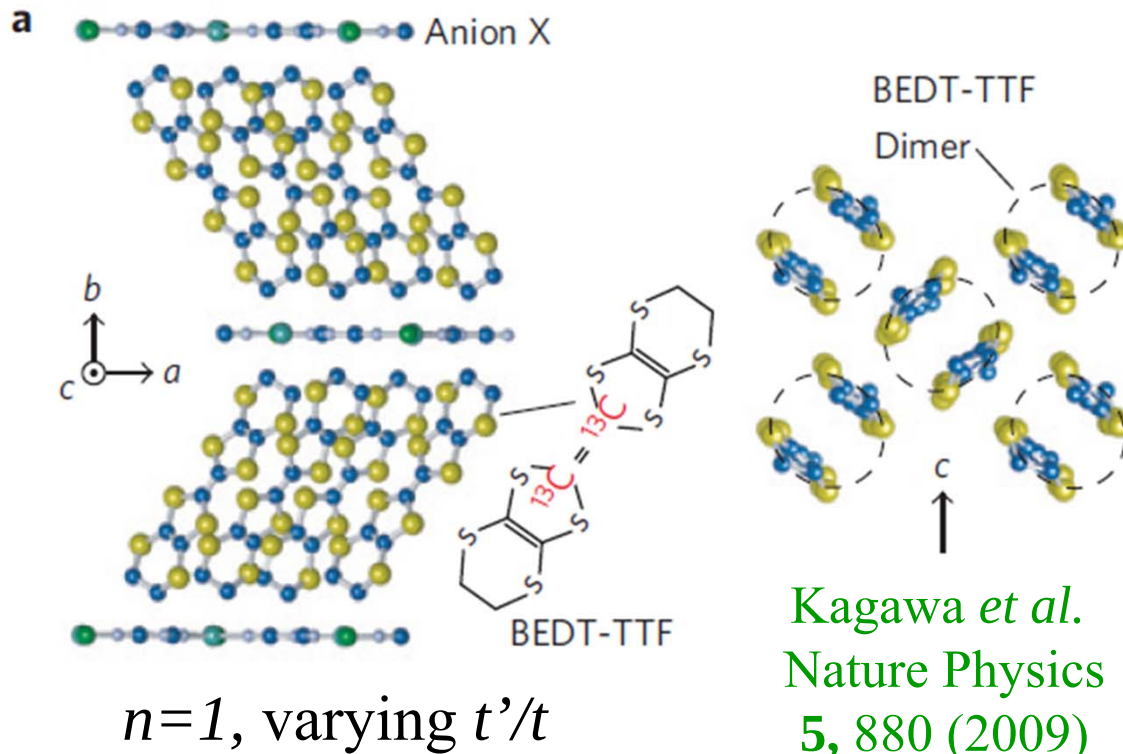
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6. Superconductivity in the organics

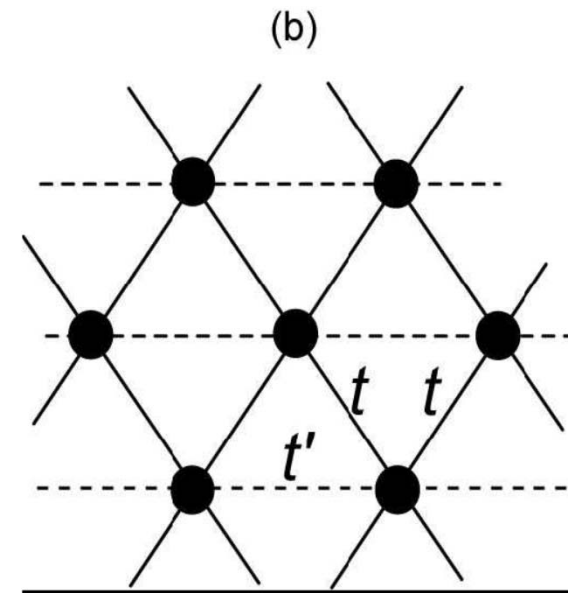


Hubbard on anisotropic triangular lattice

H. Kino + H. Fukuyama, J. Phys. Soc. Jpn **65** 2158 (1996),
R.H. McKenzie, Comments Condens Mat Phys. **18**, 309 (1998)



Kagawa *et al.*
Nature Physics
5, 880 (2009)



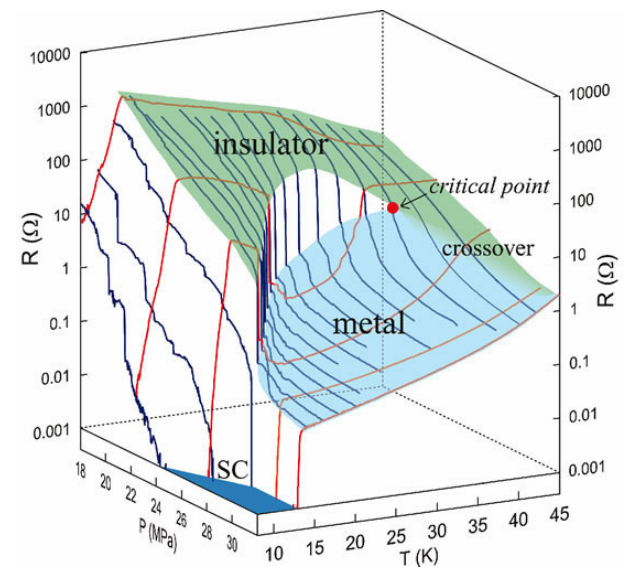
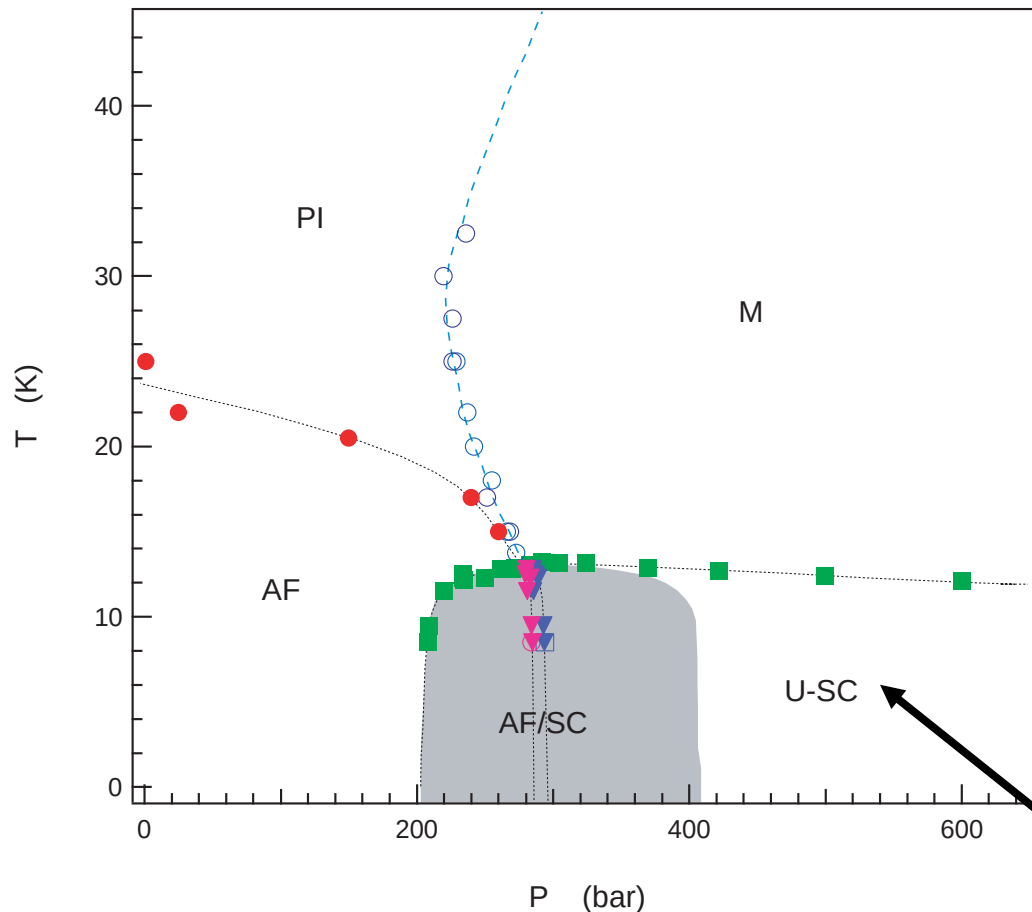
$$t \approx 50 \text{ meV}$$

$$\Rightarrow U \approx 400 \text{ meV}$$

$$t'/t \sim 0.6 - 1.1$$

$$H = \sum_{ij\sigma} (t_{ij} - \delta_{ij}\mu) c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Phase diagram for organics



F. Kagawa, K. Miyagawa, + K. Kanoda
PRB **69** (2004) + Nature **436** (2005)

B_g for C_{2h} and B_{2g} for D_{2h}

Powell, McKenzie cond-mat/0607078

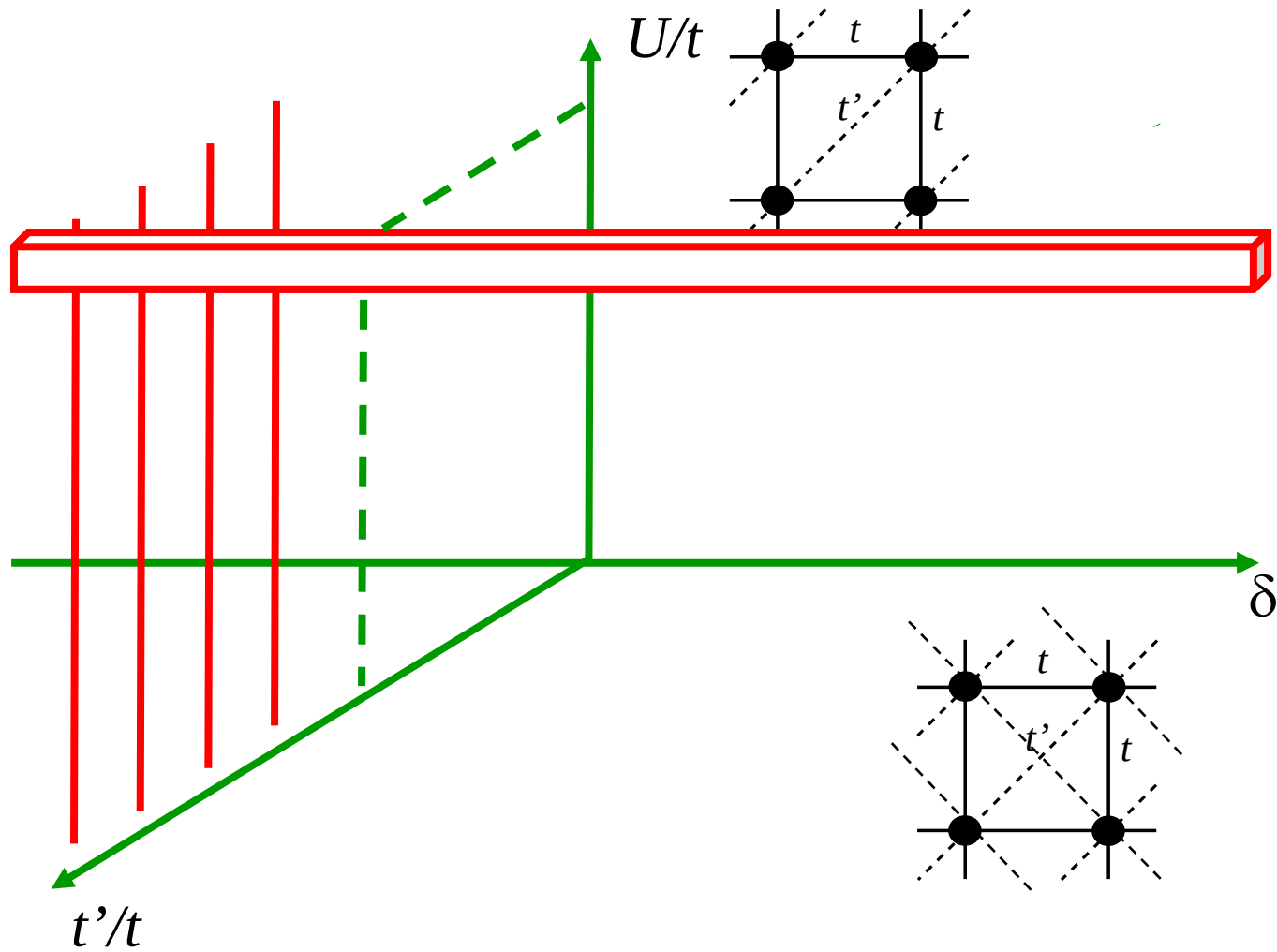
Phase diagram ($X=\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$)

S. Lefebvre et al. PRL **85**, 5420 (2000), P. Limelette, et al. PRL **91** (2003)



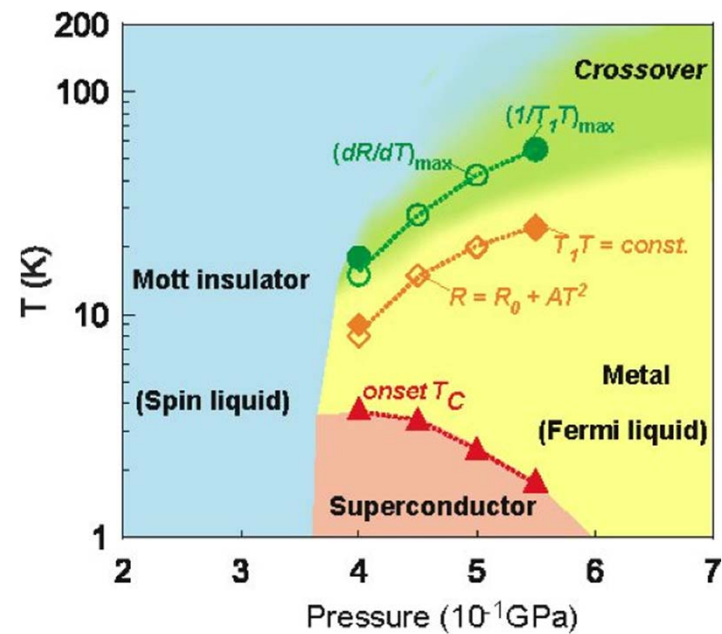
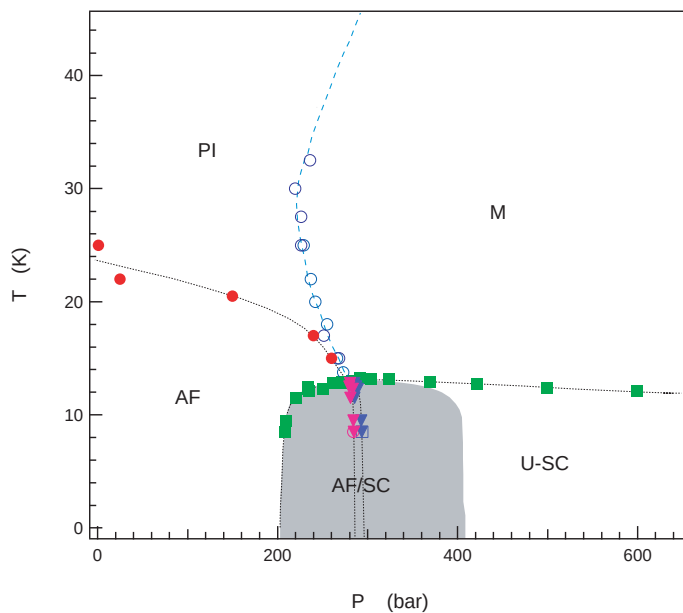
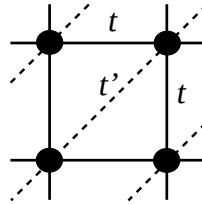
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Perspective



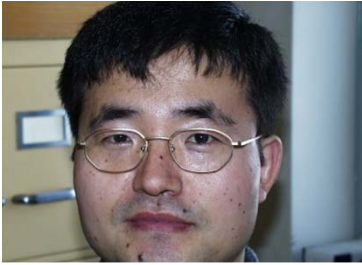
Phase diagram BEDT

$$t' = 0.6t$$

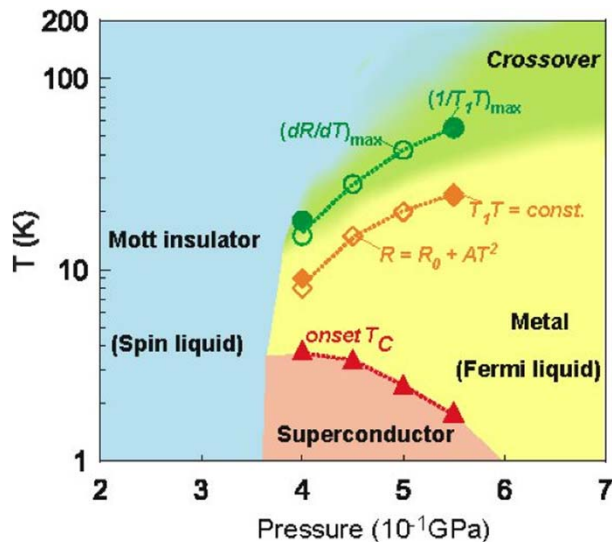


Y. Kurisaki, et al.
 Phys. Rev. Lett. **95**, 177001(2005)
 Y. Shimizu, et al. Phys. Rev. Lett. **91**, (2003)



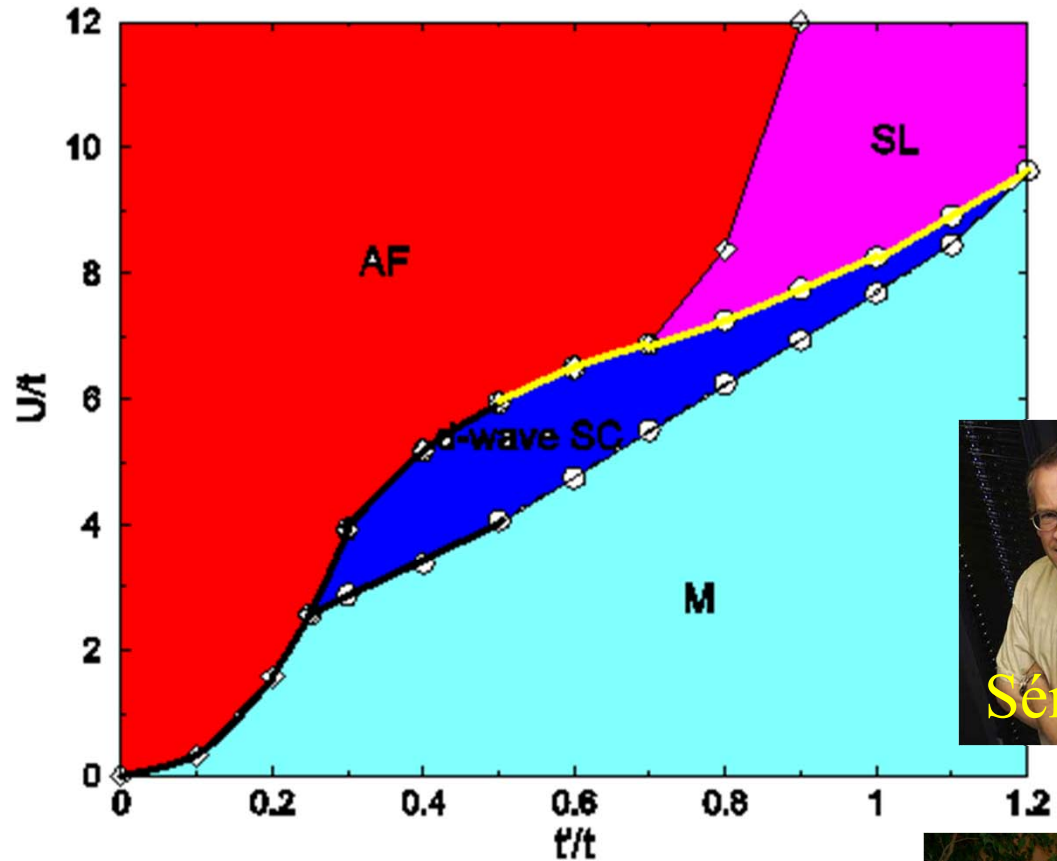


Theoretical phase diagram BEDT



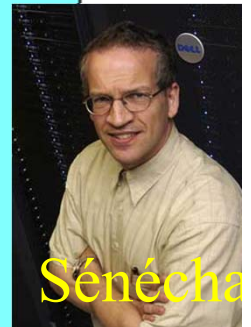
Y. Kurisaki, et al.

Phys. Rev. Lett. **95**, 177001(2005) Y. Shimizu, et al. Phys. Rev. Lett. **91**, (2003)



Kyung, A.-M.S.T. PRL 97, 046402 (2006)

Sénéchal, Sahebsara, Phys. Rev. Lett. **97**, 257004

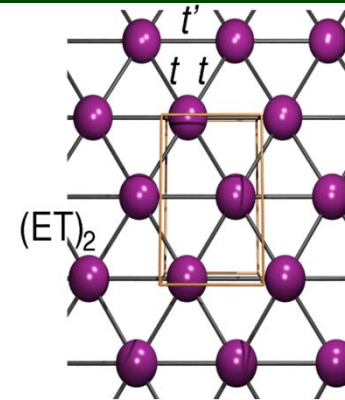


Sénéchal



Other compounds (R. Valenti et al.)

X	Hueckel		DFT	
	t'/t	U/t	t'/t	U/t
CN	1.06	8.2	0.83 (0.85)	7.3 (12)
SCN	0.84	6.8	0.58 (0.83)	6.0
Cl	0.75	7.5	0.44	7.5
Br	0.68	7.2	0.42	5.1



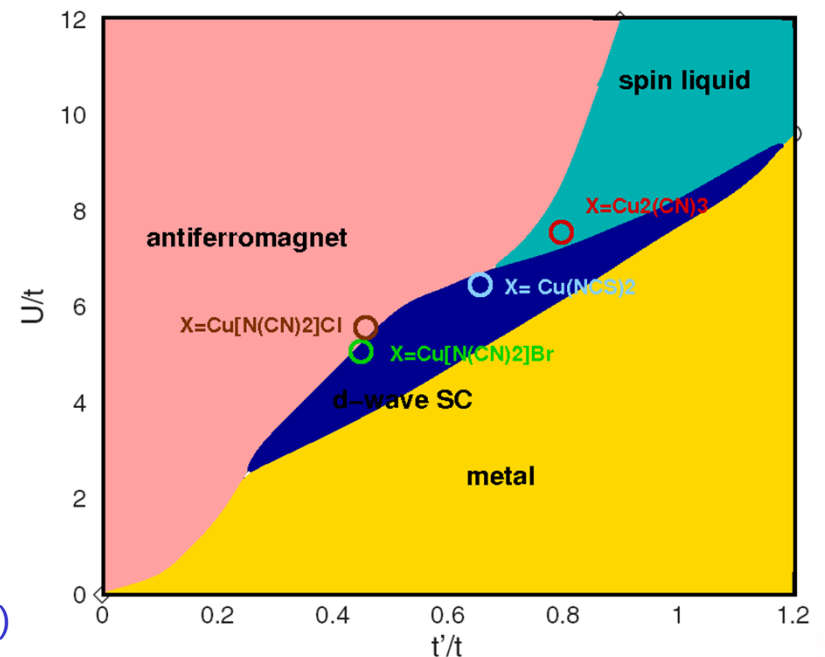
Kandpal et al. PRL (2009)

Nakamura et al. JPSJ (2009)

Komatsu et al. JPSJ (1996)

Kyung, Tremblay PRL (2006)

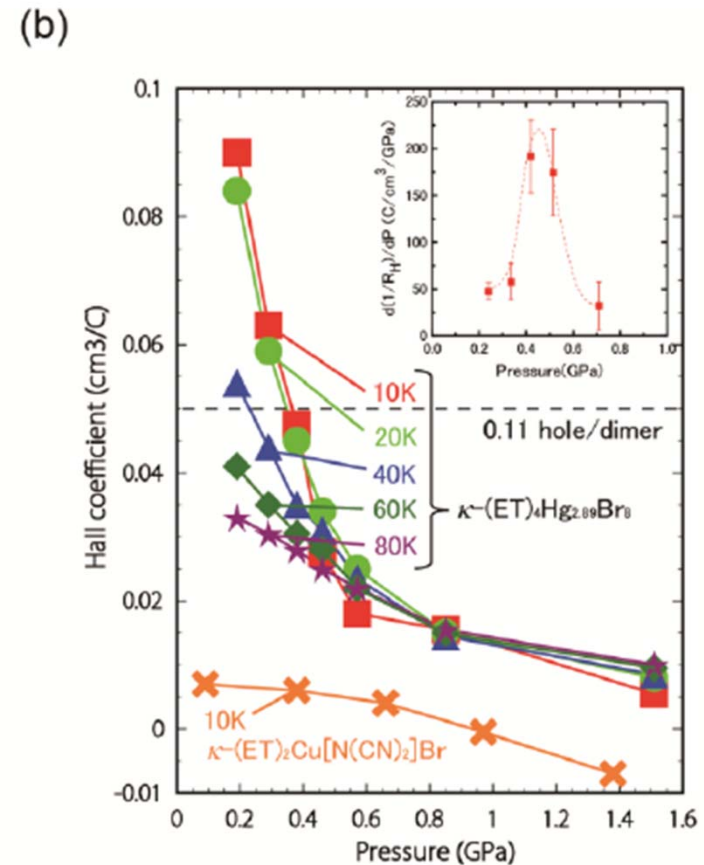
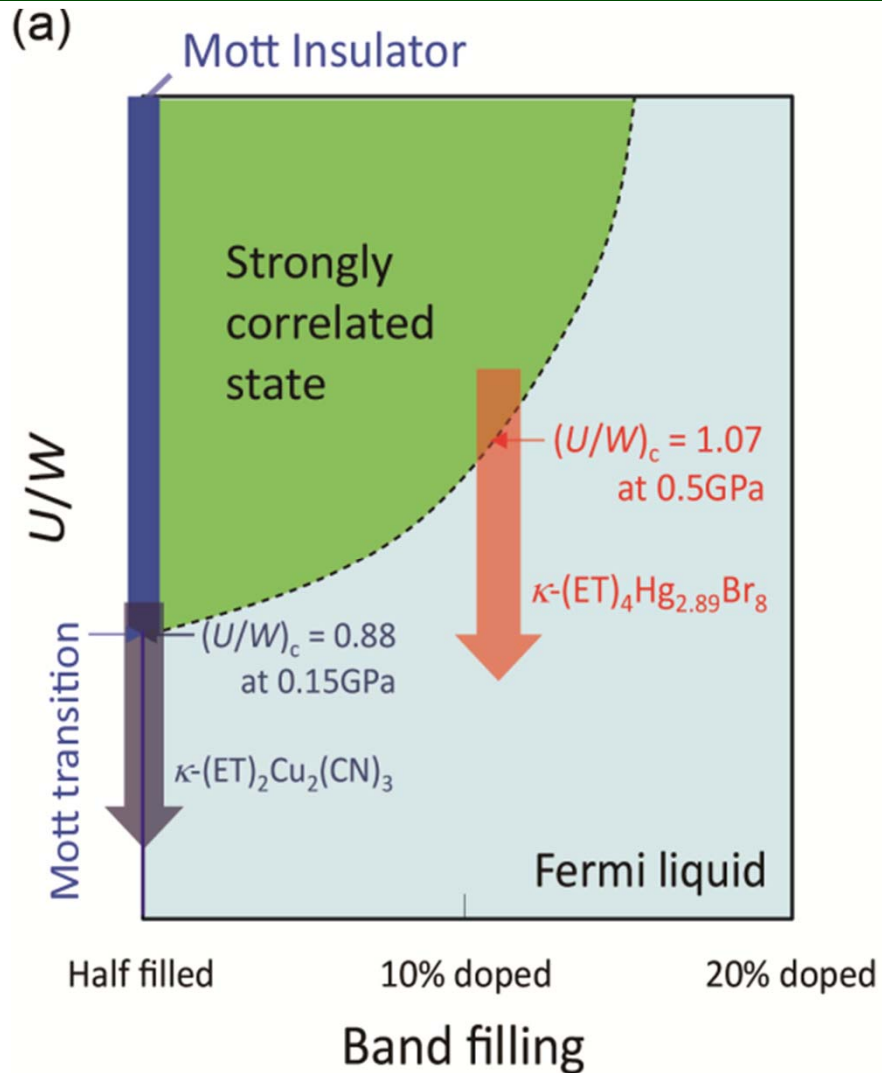
Tocchio, Parola, Gros, Becca PRB (2009)



Doped organic: experiment



Doped BEDT

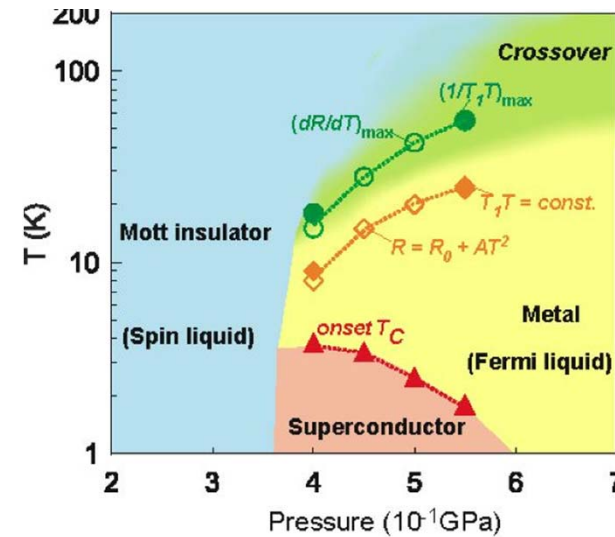
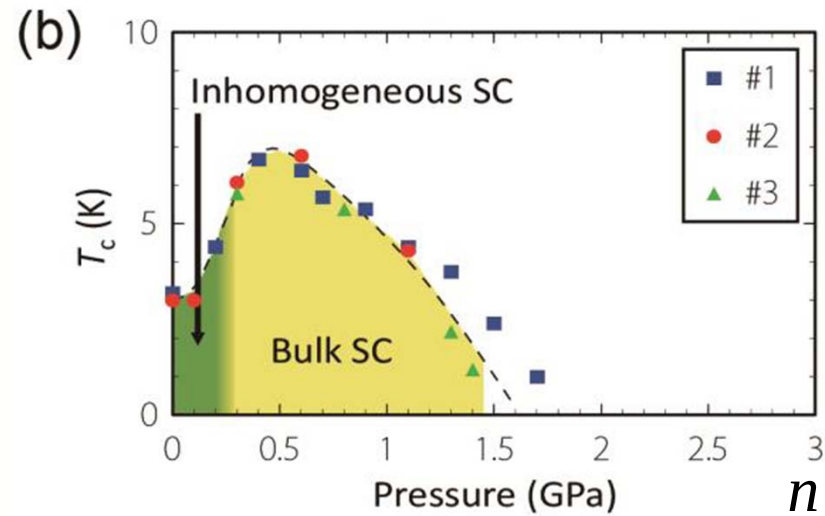
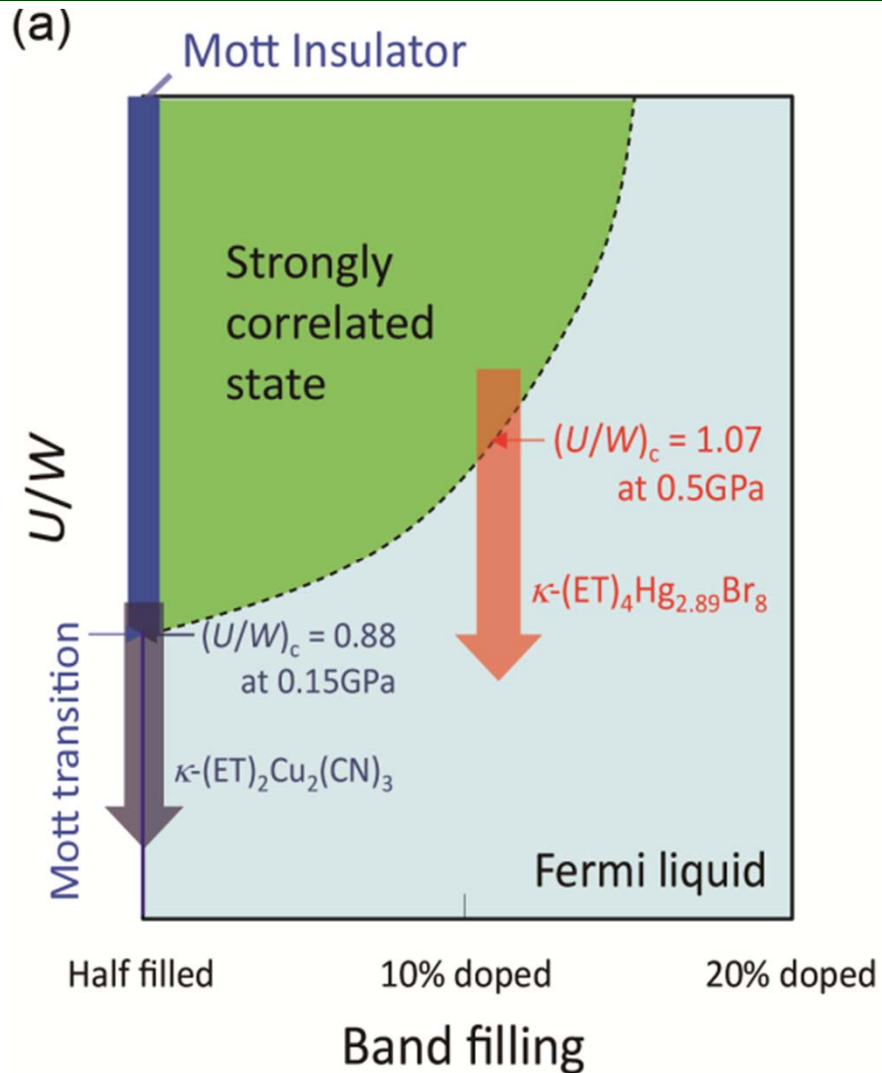


H. Oike, K. Miyagawa, H. Taniguchi, K. Kanoda PRL **114**, 067002 (2015)



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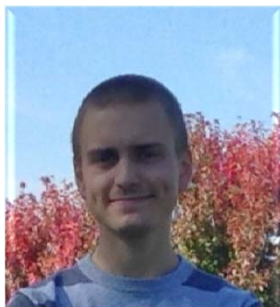
Doped BEDT



H. Oike, K. Miyagawa, H. Taniguchi, K. Kanoda PRL **114**, 067002 (2015)



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Charles-David Hébert



Patrick Sémon

Organics : Phase diagram, finite T

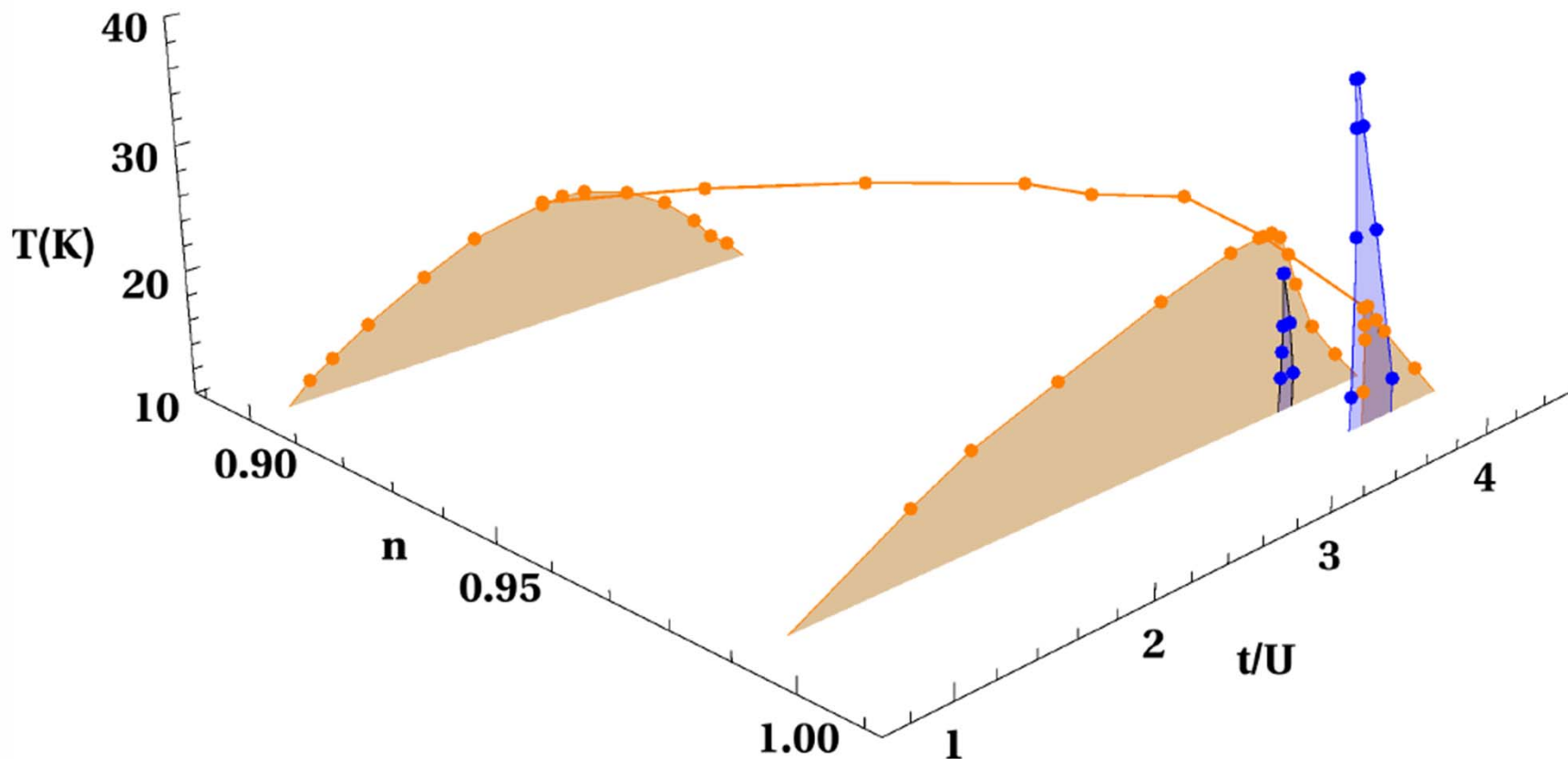
Made possible by algorithmic improvements

P. Sémon *et al.*
PRB **85**, 201101(R) (2012)
PRB **90** 075149 (2014);
and PRB **89**, 165113 (2014)



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$t' = 0.4t$ overview

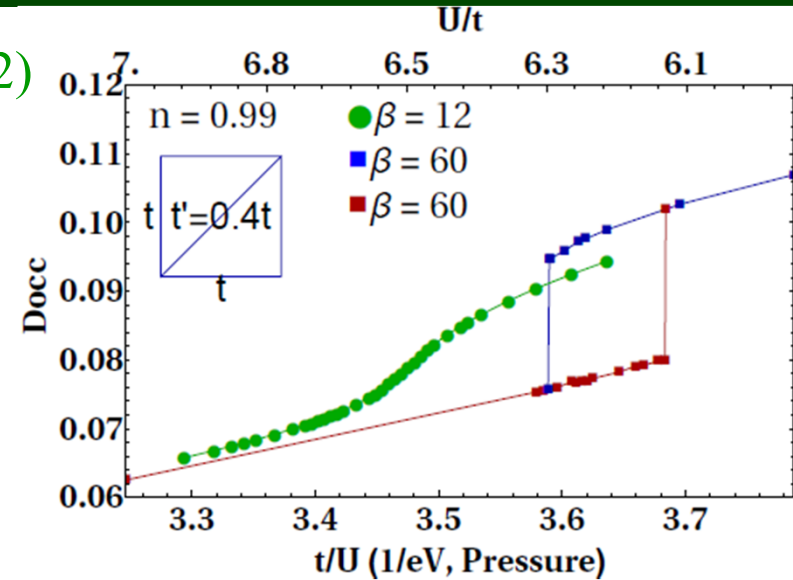
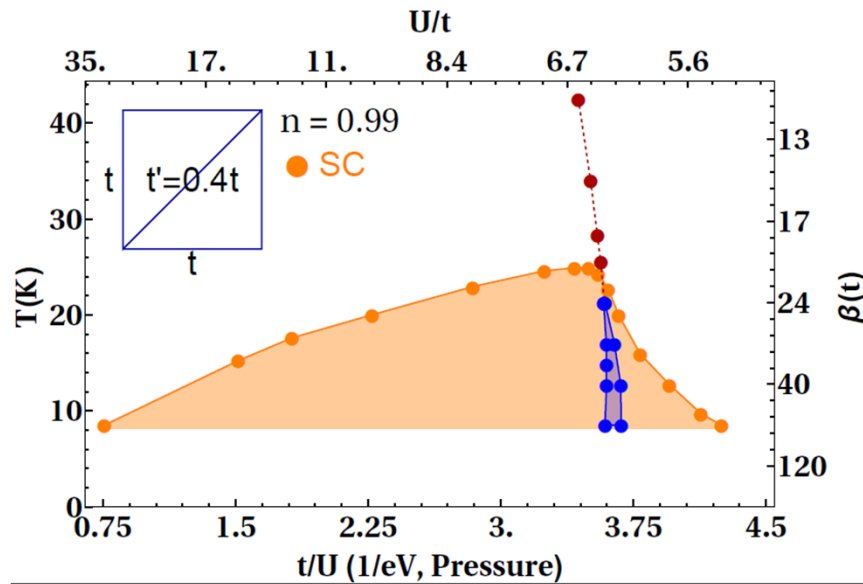


Compare: T. Watanabe, H. Yokoyama and M. Ogata
JPS Conf. Proc. 3, 013004 (2014)



First order and Widom line in organics

G. Sordi *et al.* Scientific Reports, 2, 547 (2012)



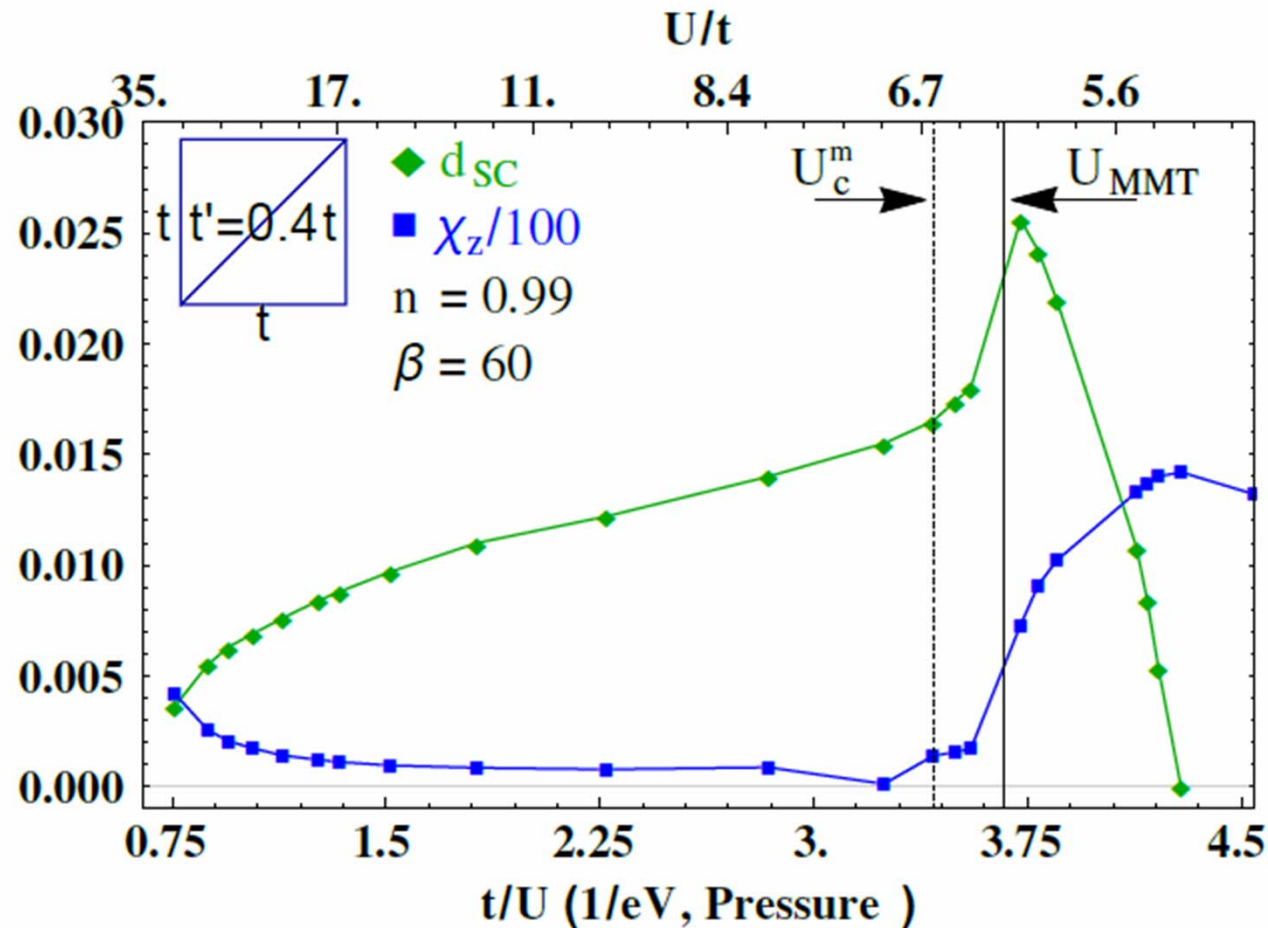
Compare: T. Watanabe, H. Yokoyama
and M. Ogata
JPS Conf. Proc.
3, 013004 (2014)

C.-D. Hébert, P. Sémon, A.-M.S. T PRB 92, 195112 (2015)

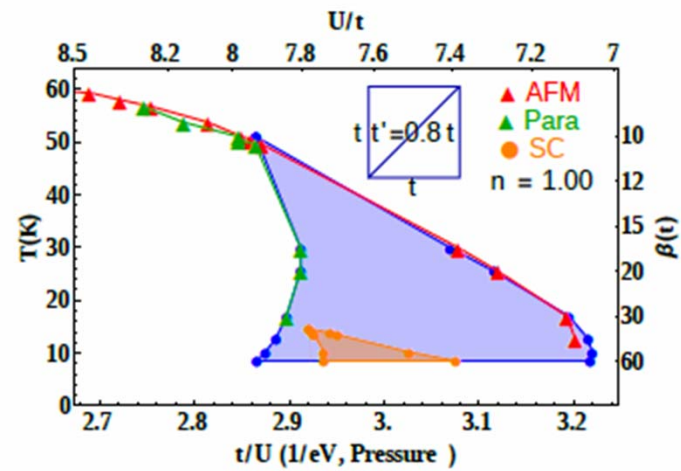


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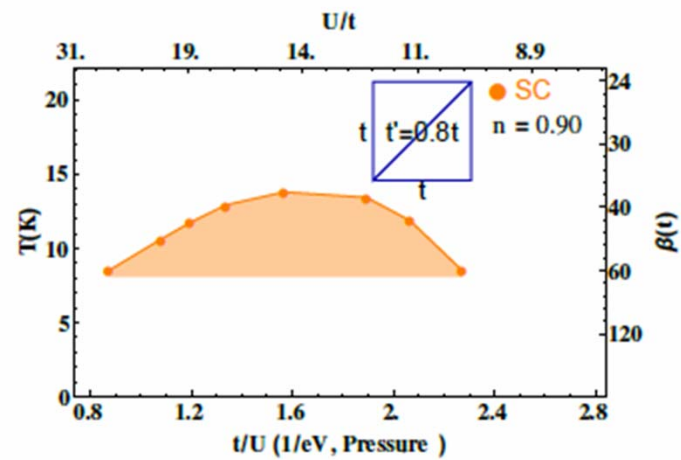
Signatures of Widom line in the superconducting state



$$t' = 0.8 t$$

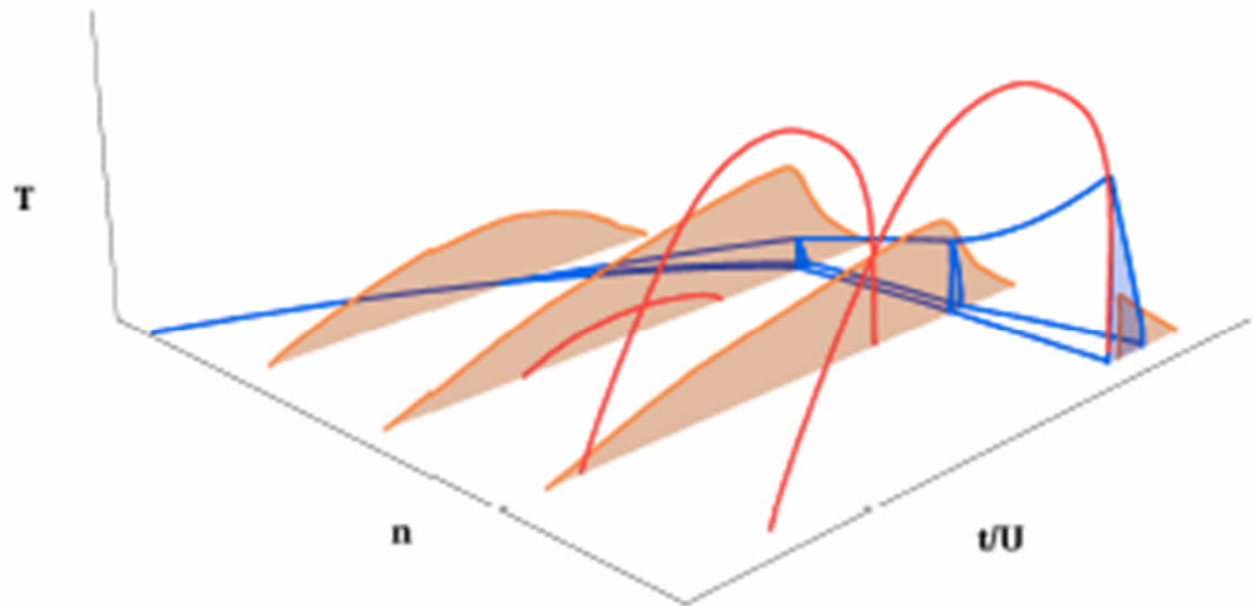
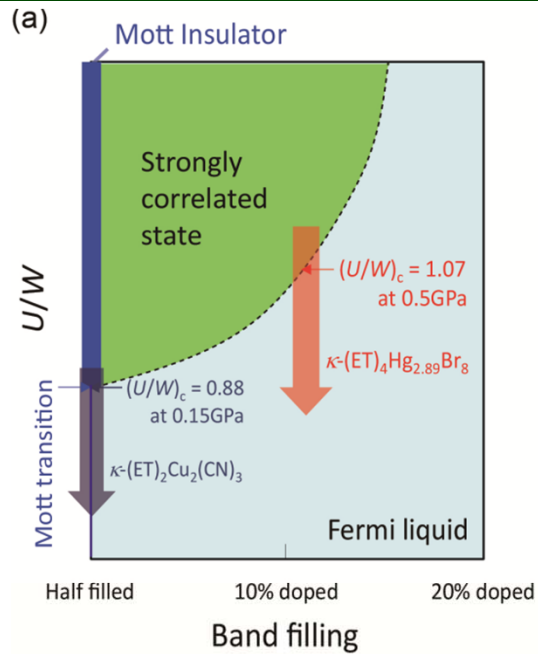


(a)



(b)

Generic case highly frustrated case





Wei Wu

AFM quantum critical point in heavy fermions (with same category of methods)

W. Wu A.-M.S.T. Phys. Rev. X, 2015



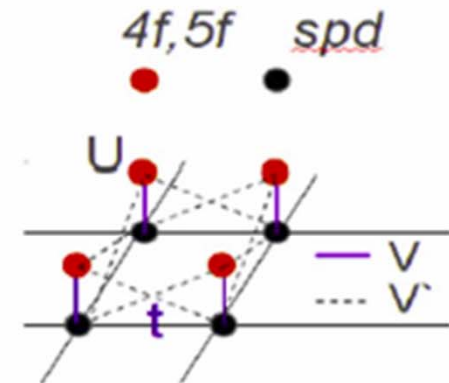
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Heavy fermions

$$H = \sum_{k,\sigma} \epsilon_k c_{k,\sigma}^\dagger c_{k,\sigma} + \sum_{k,\sigma} \epsilon^f f_{k,\sigma}^\dagger f_{k,\sigma}$$

$$+ \sum_{k,\sigma} V_k (f_{k,\sigma}^\dagger c_{k,\sigma} + \text{H.c.}) + \sum_i U \left(n_f^\uparrow - \frac{1}{2} \right) \left(n_f^\downarrow - \frac{1}{2} \right)$$

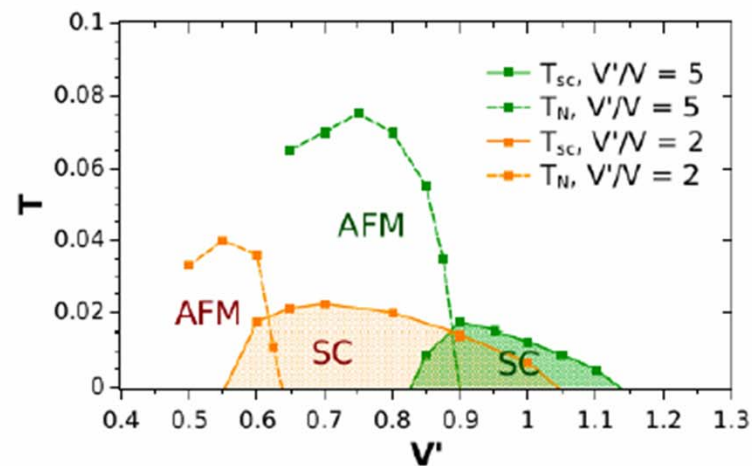
$$V_k = V + 2V'[\cos(k_x) + \cos(k_y)]$$



$U=4$

AFM: antiferro-magnetism
SC: superconducting

$V'/V = 2$: more frustrated case
 $V'/V = 5$: less frustrated case



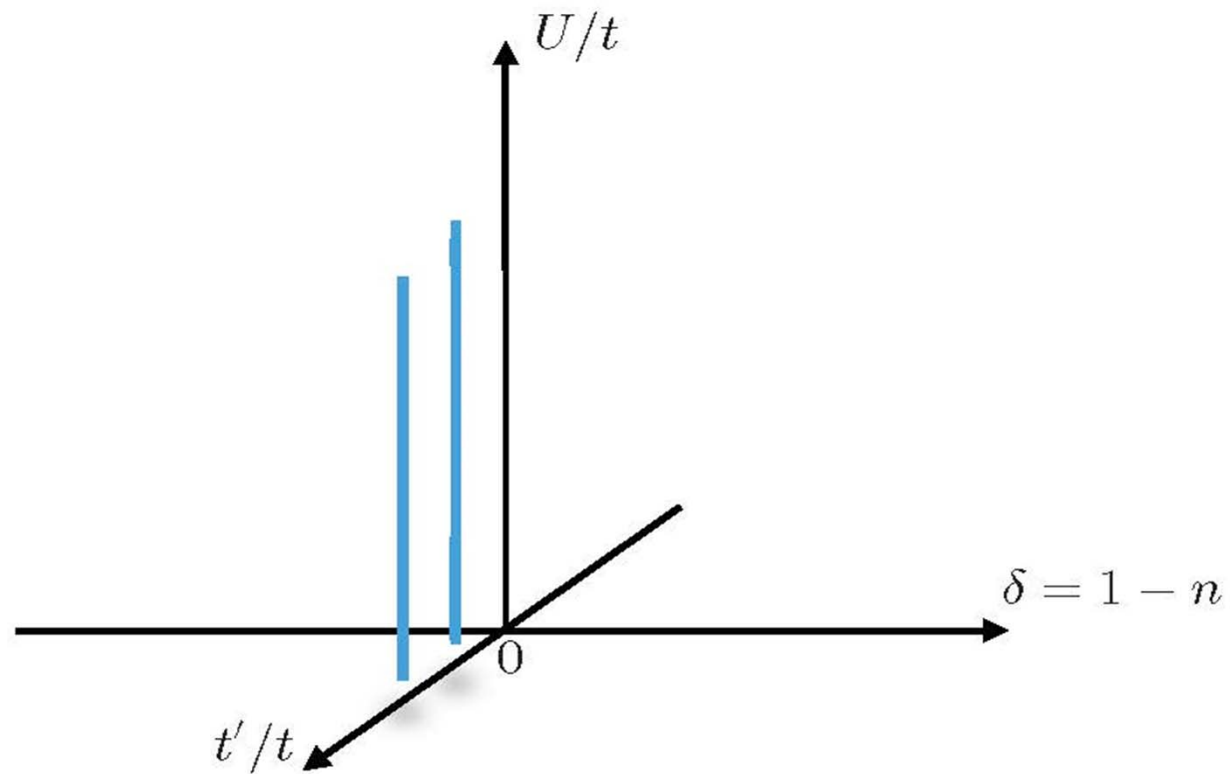
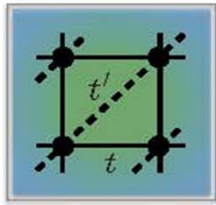
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Summary : organics

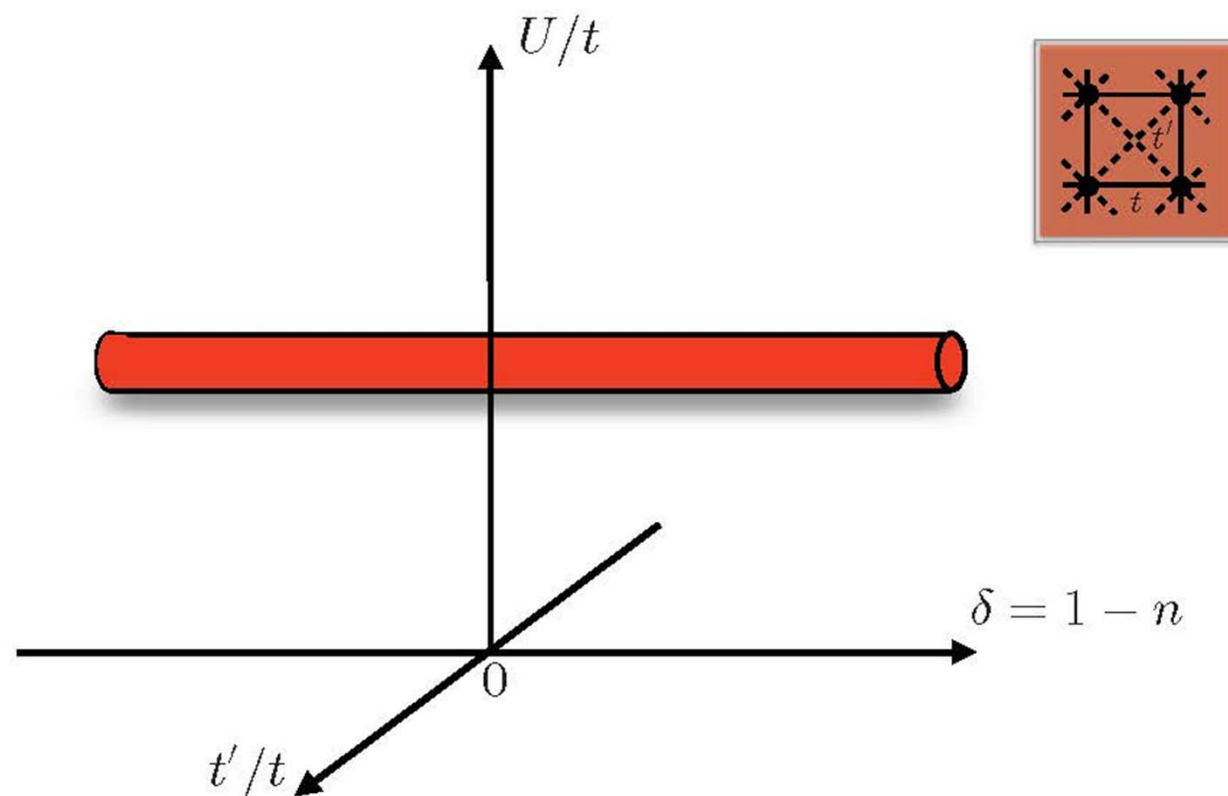
- Agreement with experiment
 - SC: larger T_c and broader P range if doped
 - Larger frustration: Decrease T_N and T_c
 - Normal state metal to pseudogap crossover
- Predictions
 - First order transition at low T in normal state
 - (or remnants in SC state)
- Physics
 - SC dome without an AFM QCP. Extension of Mott
 - SC from short range J .
 - T_c decreases at Widom line



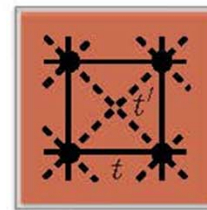
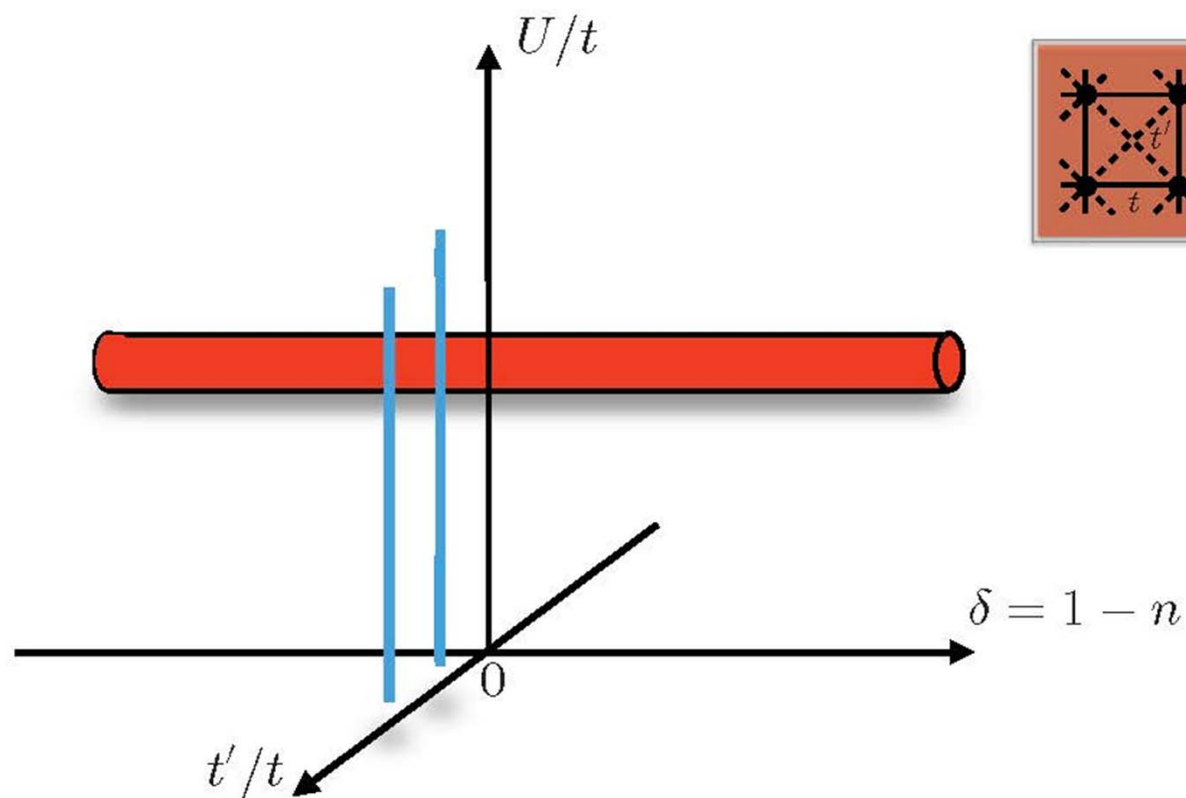
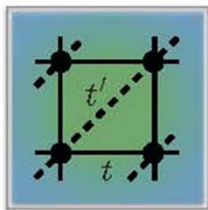
Perspective



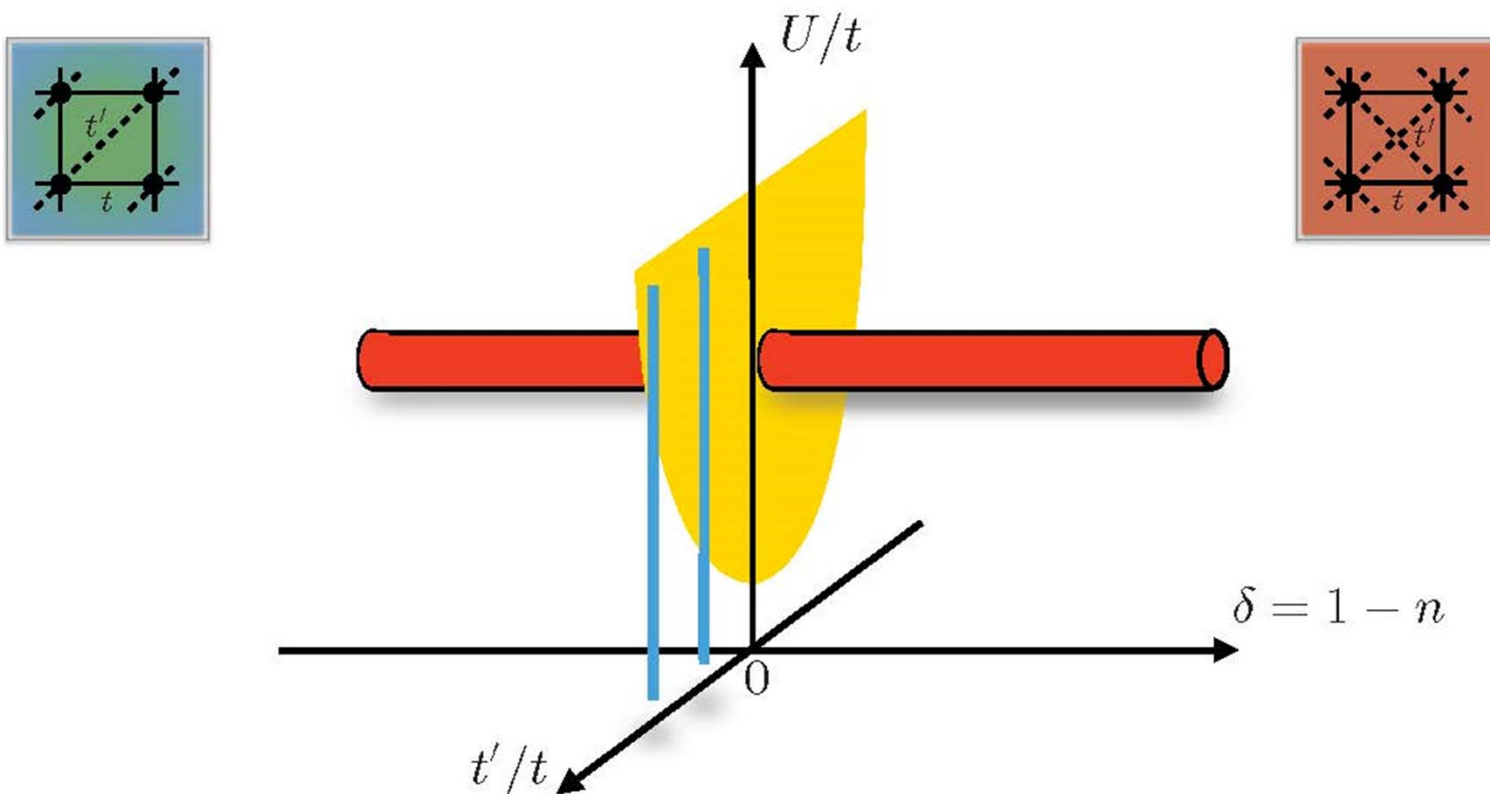
Perspective



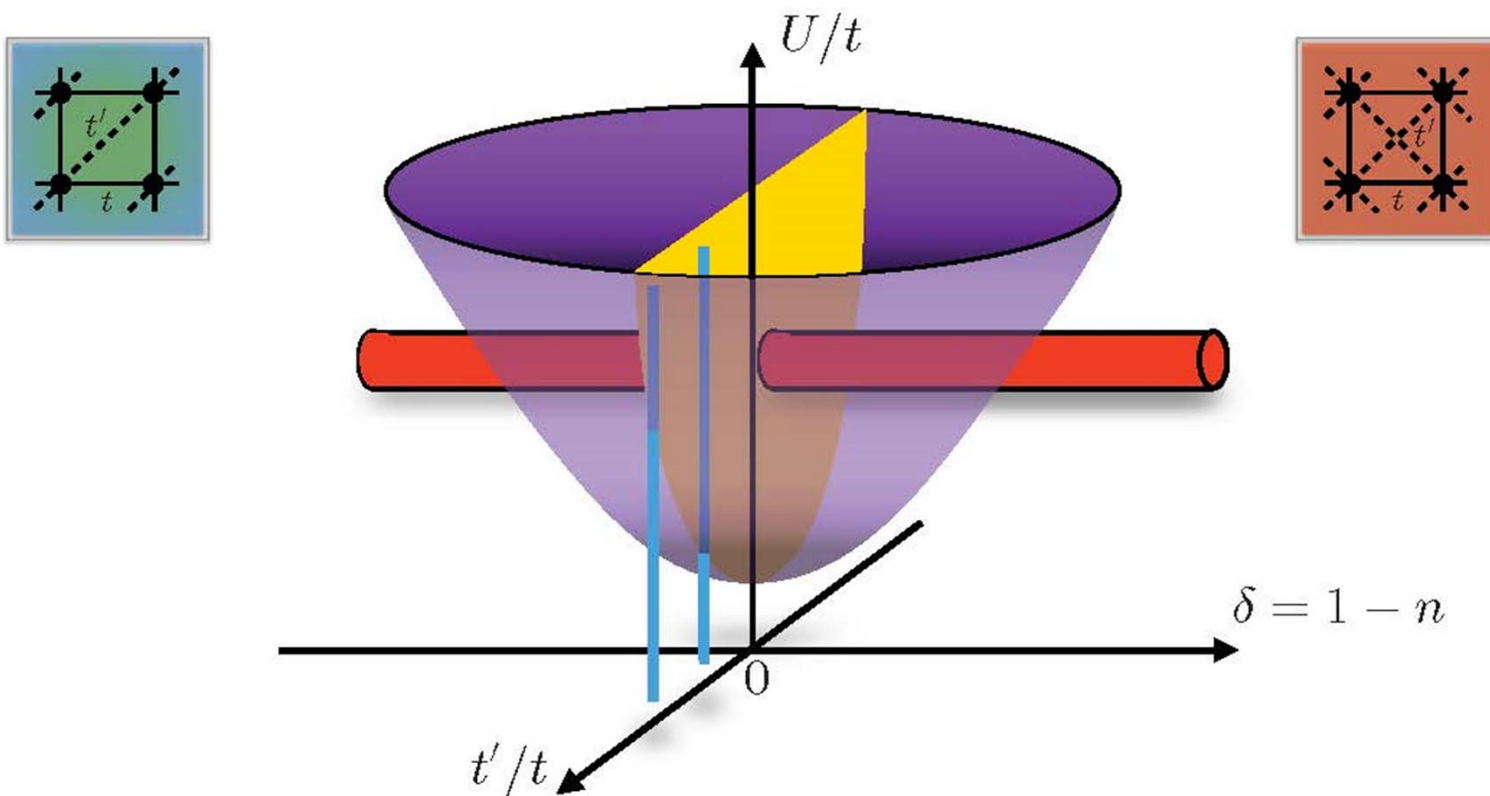
Perspective



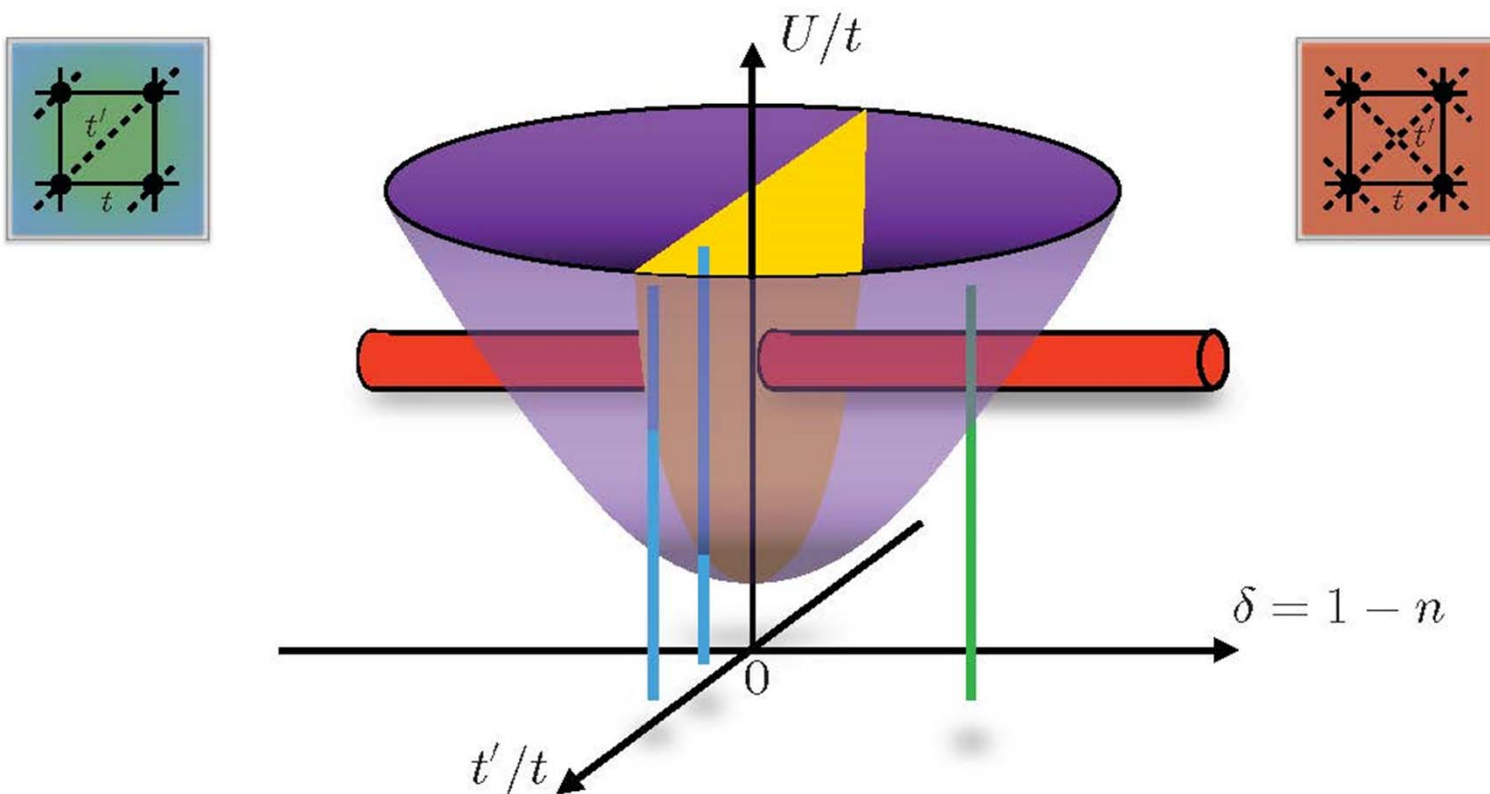
Perspective



Perspective



Perspective



Main collaborators



Giovanni Sordi



Kristjan Haule



David Sénéchal



Bumsoo Kyung



Patrick Sémon



Dominic Bergeron



Sarma Kancharla



Marcello Civelli



Massimo Capone



Gabriel Kotliar



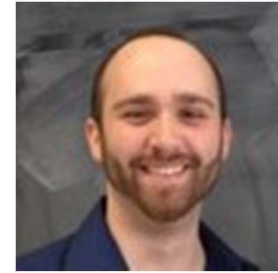
Main collaborators, continued



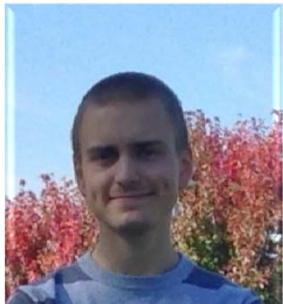
Lorenzo Fratino



Wei Wu



Alexis Reymbaut



Charles-David Hébert



Maxime Charlebois



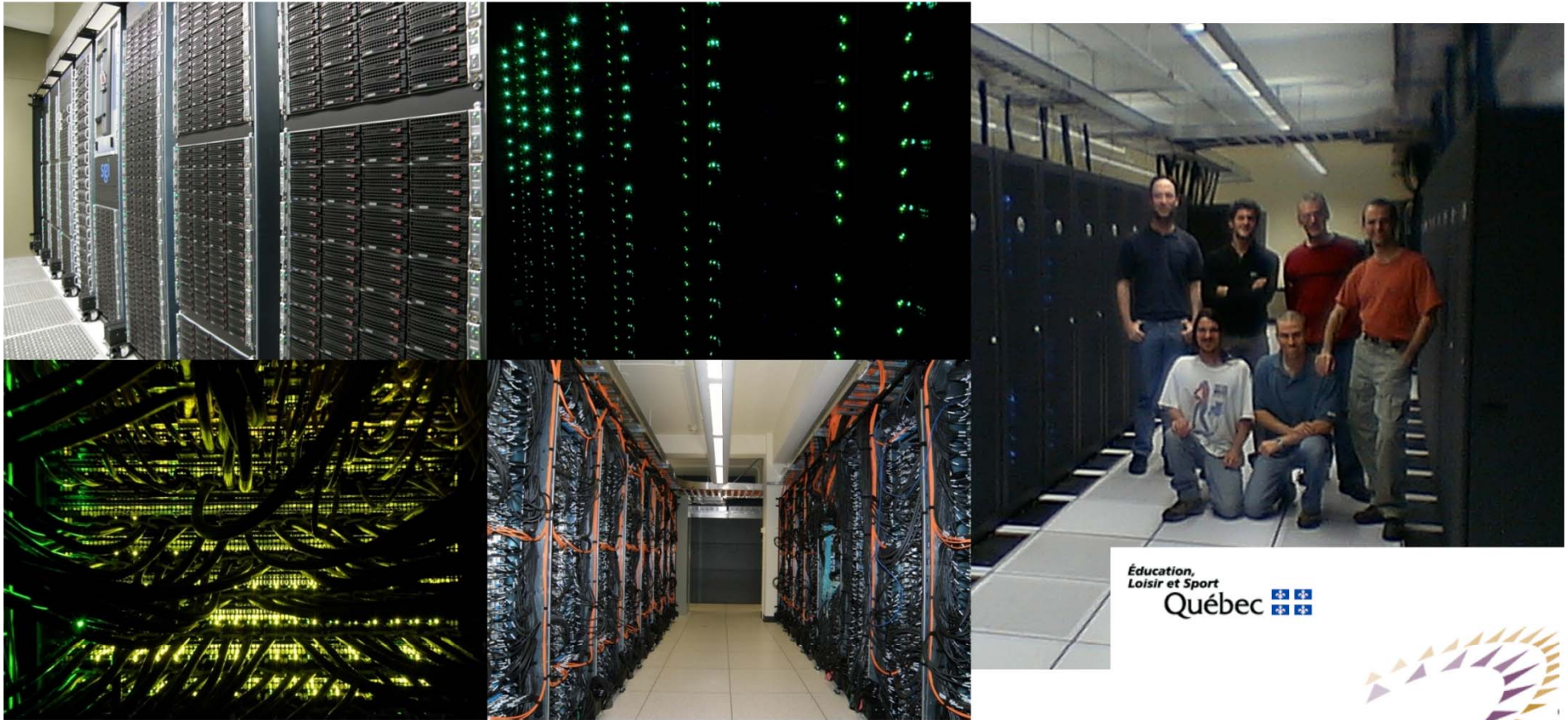
Alexandre Day



Vincent Bouliane



Mammoth



Éducation,
Loisir et Sport
Québec



Canada Foundation for Innovation
Fondation canadienne pour l'innovation


Calcul Québec

 **compute + calcul**
CANADA

High Performance Computing

CREATING KNOWLEDGE
DRIVING INNOVATION
BUILDING THE DIGITAL ECONOMY

Le calcul de haute performance

CRÉER LE SAVOIR
ALIMENTER L'INNOVATION
BÂTIR L'ÉCONOMIE NUMÉRIQUE



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Further references

For references, September 2013 Julich summer school
Strongly Correlated Superconductivity

<http://www.cond-mat.de/events/correl13/manuscripts/tremblay.pdf>

Lecture notes

<http://www.physique.usherbrooke.ca/tremblay/cours/phy-892/N-corps.pdf>



Merci

Thank you

Methods

Measurable quantities : Green's functions

$$\langle \mathcal{O} \rangle \equiv \frac{\text{Tr}[e^{-\beta(H-\mu N)} \mathcal{O}]}{\text{Tr}[e^{-\beta(H-\mu N)}]}$$

$$\begin{aligned}\mathcal{G}_{\mathbf{k}\sigma}(\tau) &= -\langle T_{\tau}[c_{\mathbf{k}\sigma}(\tau)c_{\mathbf{k}\sigma}^{\dagger}] \rangle \\ &= -\theta(\tau)\langle c_{\mathbf{k}\sigma}(\tau)c_{\mathbf{k}\sigma}^{\dagger} \rangle + \theta(-\tau)\langle c_{\mathbf{k}\sigma}^{\dagger}c_{\mathbf{k}\sigma}(\tau) \rangle.\end{aligned}$$

$$c_{\mathbf{k}\sigma}(\tau) = e^{(H-\mu N)\tau} c_{\mathbf{k}\sigma} e^{-(H-\mu N)\tau}$$

$$\mathcal{G}_{\mathbf{k}\sigma}(i\omega_n) = \int_0^{\beta} d\tau e^{i\omega_n \tau} \mathcal{G}_{\mathbf{k}\sigma}(\tau)$$

$$\omega_n = (2n+1)\pi T$$



Green's function: free electrons, atomic limit

$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma})$$

$$\mathcal{G}_{\mathbf{k}\sigma}(i\omega_n) = \frac{1}{i\omega_n - (\varepsilon_{\mathbf{k}} - \mu)}$$

$$H =$$

$$U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$\langle n \rangle = 1 \quad \mathcal{G}_\sigma(i\omega_n) = \frac{1/2}{i\omega_n + \frac{U}{2}} + \frac{1/2}{i\omega_n - \frac{U}{2}}$$



Self-energy and all that

$$H = -\sum_{\langle ij \rangle \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$\mathcal{G}_{\mathbf{k}\sigma}(i\omega_n) = \frac{1}{i\omega_n - (\varepsilon_{\mathbf{k}} - \mu) - \Sigma_{\mathbf{k}\sigma}(i\omega_n)}$$

$$\mathcal{G}_{\mathbf{k}\sigma}^{-1}(i\omega_n) = \mathcal{G}_{\mathbf{k}\sigma}^{0-1}(i\omega_n) - \Sigma_{\mathbf{k}\sigma}(i\omega_n)$$

Self-energy in the atomic limit for $n = 1$

$$\mathcal{G}_\sigma(i\omega_n) = \frac{1/2}{i\omega_n + \frac{U}{2}} + \frac{1/2}{i\omega_n - \frac{U}{2}}$$

$$\mathcal{G}_\sigma(i\omega_n) = \frac{1}{i\omega_n + \frac{U}{2} - \Sigma(i\omega_n)} \quad \Sigma(i\omega_n) = \frac{U}{2} + \frac{U^2}{i\omega_n}$$



Dynamical “variational” principle

$$\Omega_t[G] = \Phi[G] - \text{Tr}[(G_{0t}^{-1} - G^{-1})G] + \text{Tr} \ln(-G)$$

$$\Phi[G] = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

The diagrams represent terms in the expansion of $\Phi[G]$. The first three terms are enclosed in a red box, and the first two terms of this box are enclosed in a green box. A red line connects the red box to a red box containing text.

Universality

$$\frac{\delta \Phi[G]}{\delta G} = \Sigma$$

$$\frac{\delta \Omega_t[G]}{\delta G} = \Sigma - G_{0t}^{-1} + G^{-1} = 0$$

$$G = \frac{1}{G_{0t}^{-1} - \Sigma}$$

H.F. if approximate Φ
by first order

FLEX higher order

Then Ω is grand potential
Related to dynamics (cf. Ritz)

Luttinger and Ward 1960, Baym and Kadanoff (1961)



Self-consistency condition

- Obtain Green's function for the « impurity » (cluster) in a bath
- Extract Σ
- Substitute Σ in lattice Green's function
- Project lattice Green's function on impurity (cluster).
- If the two Green's functions are not equal, modify the bath until they are.



Self-consistency

$$\mathcal{G}_{\sigma}^{imp}(i\omega_n)^{-1} = \mathcal{G}_{\sigma}^{0-imp}(i\omega_n)^{-1} - \Sigma_{\sigma}(i\omega_n)$$

$$N_c \int \frac{d^d \tilde{\mathbf{k}}}{(2\pi)^d} \frac{1}{\mathcal{G}_{\tilde{\mathbf{k}}\sigma}^0(i\omega_n)^{-1} - \Sigma_{\sigma}(i\omega_n)} = \mathcal{G}_{\sigma}^{imp}(i\omega_n)$$



Methods of derivation

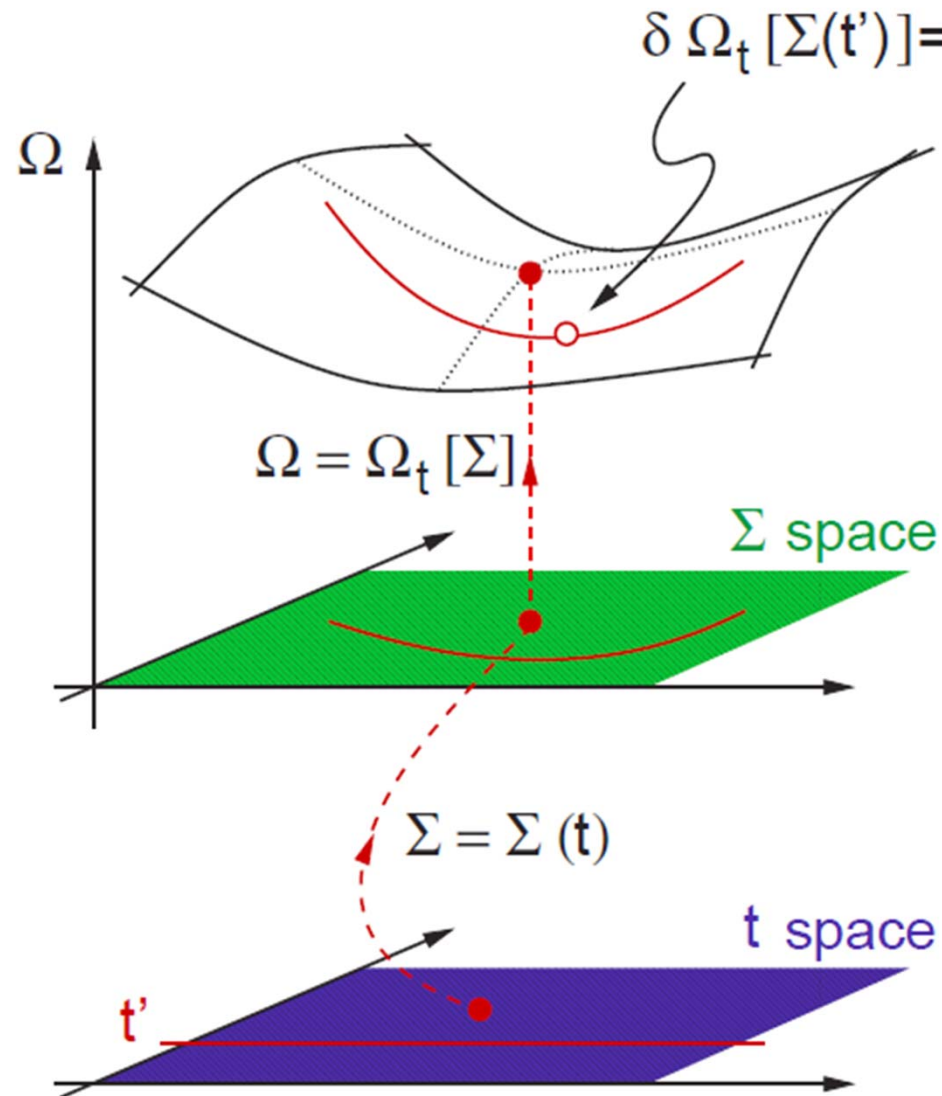
- Cavity method
- Local nature of perturbation theory in infinite dimensions
- Expansion around the atomic limit
- Effective medium theory
- Potthoff self-energy functional

M. Potthoff, Eur. Phys. J. B **32**, 429 (2003).

A. Georges *et al.*, Rev. Mod. Phys. **68**, 13 (1996).



DMFT as a stationary point



Another way to look at this (Potthoff)

$$\Omega_t[G] = \Phi[G] - \text{Tr}[(G_{0t}^{-1} - G^{-1})G] + \text{Tr} \ln(-G)$$

$$\frac{\delta \Phi[G]}{\delta G} = \Sigma$$

$$\Omega_t[\Sigma] = \Phi[G] - \text{Tr}[\Sigma G] - \text{Tr} \ln(-G_{0t}^{-1} + \Sigma)$$

Still stationary (chain rule)

$$\Omega_t[\Sigma] = F[\Sigma] - \text{Tr} \ln(-G_{0t}^{-1} + \Sigma)$$

SFT : Self-energy Functional Theory

With $F[\Sigma]$ Legendre transform of Luttinger-Ward funct.

$$\Omega_t[\Sigma] = F[\Sigma] + \text{Tr} \ln(-(G_0^{-1} - \Sigma)^{-1})$$

is stationary with respect to Σ and equal to grand potential there.

$$\Omega_t[\Sigma] = \Omega_{t'}[\Sigma] - \text{Tr} \ln(-(G_0'^{-1} - \Sigma)^{-1}) + \text{Tr} \ln(-(G_0^{-1} - \Sigma)^{-1}).$$

Vary with respect to parameters of the cluster (including Weiss fields)

Variation of the self-energy, through parameters in $H_0(\mathbf{t}')$

CT-QMC impurity solver



Monte Carlo method

Gull, Millis, Lichtenstein, Rubtsov, Troyer, Werner,
Rev.Mod.Phys. **83**, 349 (2011)

$$Z = \int_{\mathcal{C}} d\mathbf{x} p(\mathbf{x}).$$

$$\langle A \rangle_p = \frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \mathcal{A}(\mathbf{x}) p(\mathbf{x}).$$

$$\langle A \rangle_p \approx \langle A \rangle_{\text{MC}} \equiv \frac{1}{M} \sum_{i=1}^M \mathcal{A}(\mathbf{x}_i).$$

$$\langle A \rangle = \frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \mathcal{A}(\mathbf{x}) p(\mathbf{x}) = \frac{\int_{\mathcal{C}} d\mathbf{x} \mathcal{A}(\mathbf{x}) [p(\mathbf{x})/\rho(\mathbf{x})] \rho(\mathbf{x})}{\int_{\mathcal{C}} d\mathbf{x} [p(\mathbf{x})/\rho(\mathbf{x})] \rho(\mathbf{x})} \equiv \frac{\langle A(p/\rho) \rangle_{\rho}}{\langle p/\rho \rangle_{\rho}}.$$



Monte Carlo: Markov chain

- Ergodicity
- Detailed balance

$$\frac{W_{\mathbf{xy}}}{W_{\mathbf{yx}}} = \frac{p(\mathbf{y})}{p(\mathbf{x})}$$

$$W_{\mathbf{xy}} = W_{\mathbf{xy}}^{\text{prop}} W_{\mathbf{xy}}^{\text{acc}}$$

$$W_{\mathbf{xy}}^{\text{acc}} = \min[1, R_{\mathbf{xy}}]$$

$$R_{\mathbf{xy}} = \frac{p(\mathbf{y}) W_{\mathbf{yx}}^{\text{prop}}}{p(\mathbf{x}) W_{\mathbf{xy}}^{\text{prop}}}$$



Reminder on perturbation theory

$$\exp(-\beta(H_a + H_b)) = \exp(-\beta H_a) U(\beta)$$

$$\frac{\partial U(\beta)}{\partial \beta} = -H_b(\beta) U(\beta)$$

$$U(\beta) = 1 - \int_0^\beta d\tau H_b(\tau) + \int_0^\beta d\tau \int_0^\tau d\tau' H_b(\tau) H_b(\tau') + \dots$$



Partition function as sum over configurations

$$Z = \text{Tr}[\exp(H_a + H_b)]$$

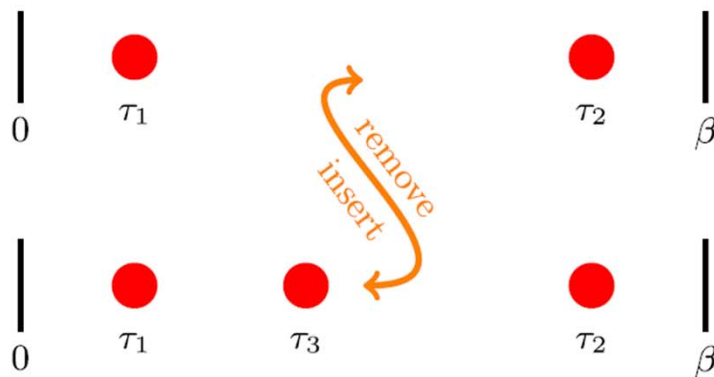
$$= \sum_k (-1)^k \int_0^\beta d\tau_1 \cdots \int_{\tau_{k-1}}^\beta d\tau_k \text{Tr}[e^{-\beta H_a} H_b(\tau_k) \\ \times H_b(\tau_{k-1}) \cdots H_b(\tau_1)].$$

$$Z = \sum_{k=0}^{\infty} \sum_{\gamma \in \Gamma_k} \int_0^\beta d\tau_1 \cdots \int_{\tau_{k-1}}^\beta d\tau_k w(k, \gamma, \tau_1, \dots, \tau_k).$$

$$\mathbf{x} = (k, \gamma, (\tau_1, \dots, \tau_k)), \quad p(\mathbf{x}) = w(k, \gamma, \tau_1, \dots, \tau_k) d\tau_1 \cdots d\tau_k,$$



Updates



$$W_{(k, \vec{\tau}), (k+1, \vec{\tau}')}^{\text{prop}} = \frac{d\tau}{\beta}$$

$$W_{(k+1, \vec{\tau}'), (k, \vec{\tau})}^{\text{prop}} = \frac{1}{k+1}.$$

$$\begin{aligned} R_{(k, \vec{\tau}), (k+1, \vec{\tau}')} &= \frac{p((k+1, \vec{\tau}'))}{p((k, \vec{\tau}))} \frac{W_{(k+1, \vec{\tau}'), (k, \vec{\tau})}^{\text{prop}}}{W_{(k, \vec{\tau}), (k+1, \vec{\tau}')}^{\text{prop}}} \\ &= \frac{w(k+1)d\tau'_1 \cdots d\tau'_{k+1}}{w(k)d\tau_1 \cdots d\tau_k} \frac{1/(k+1)}{d\tau/\beta} \\ &= \frac{w(k+1)}{w(k)} \frac{\beta}{k+1}. \end{aligned}$$

Beard, B. B., and U.-J. Wiese, 1996, [Phys. Rev. Lett. 77, 5130](#).

Prokof'ev, N. V., B. V. Svistunov, and I. S. Tupitsyn, 1996, [JETP Lett. 64, 911](#).



Solving cluster in a bath problem

- Continuous-time Quantum Monte Carlo calculations to sum all diagrams generated from expansion in powers of hybridization.
 - P. Werner, A. Comanac, L. de' Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. **97**, 076405 (2006).
 - K. Haule, Phys. Rev. B **75**, 155113 (2007).



Expansion in powers of the hybridization

$$H_{\text{hyb}} = \sum_{pj} (V_p^j c_p^\dagger d_j + V_p^{j*} d_j^\dagger c_p) = \tilde{H}_{\text{hyb}} + \tilde{H}_{\text{hyb}}^\dagger$$

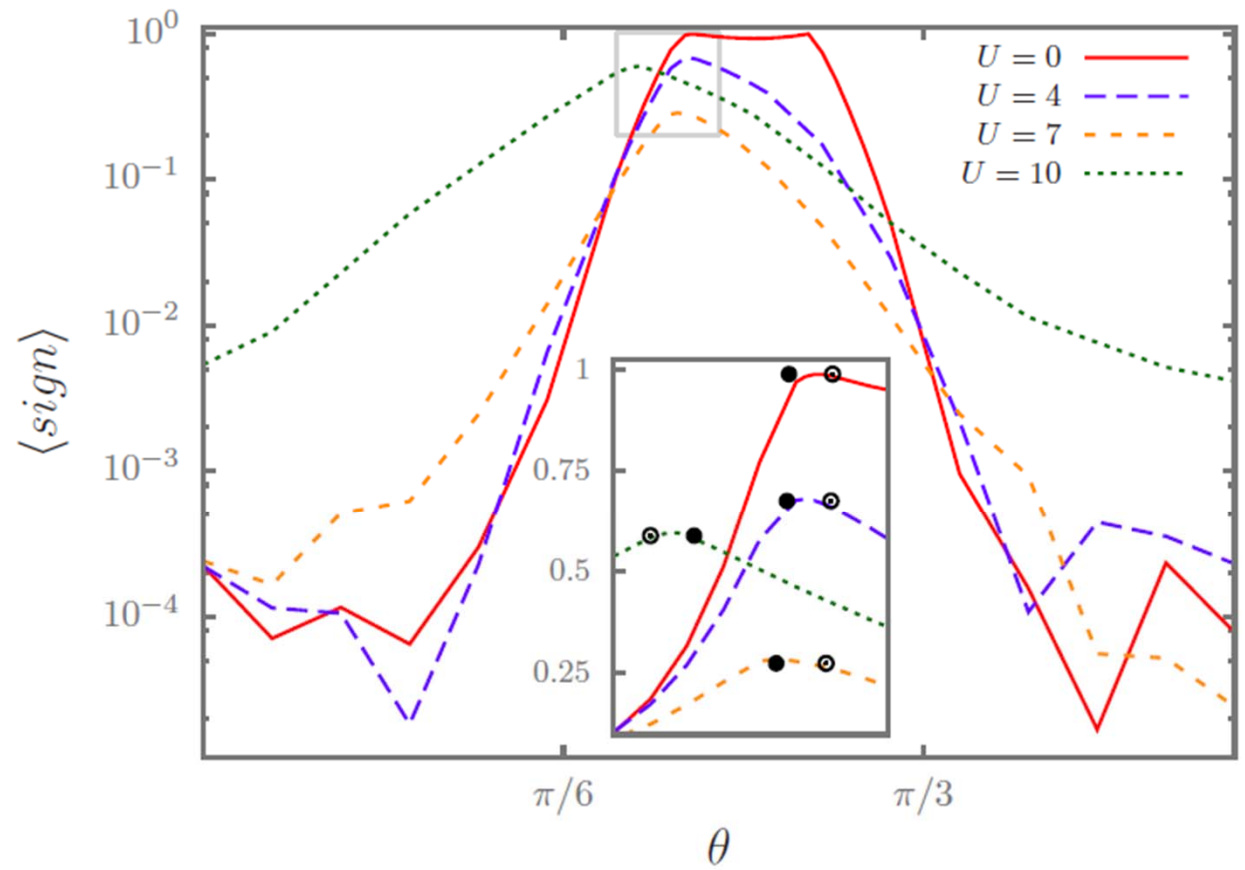
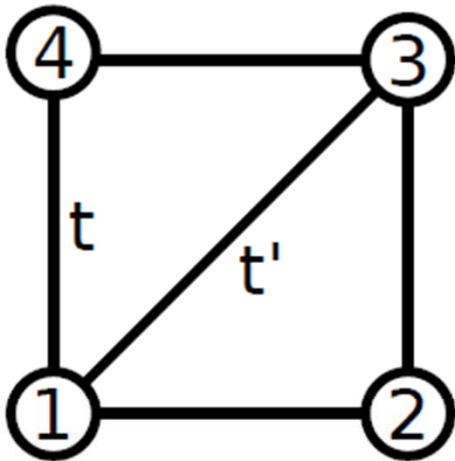
$$\begin{aligned} Z = & \sum_{k=0}^{\infty} \int_0^\beta d\tau_1 \cdots \int_{\tau_{k-1}}^\beta d\tau_k \int_0^\beta d\tau'_1 \cdots \int_{\tau'_{k-1}}^\beta d\tau'_k \\ & \times \sum_{\substack{j_1, \dots, j_k \\ j'_1, \dots, j'_k}} \sum_{\substack{p_1, \dots, p_k \\ p'_1, \dots, p'_k}} V_{p_1}^{j_1} V_{p'_1}^{j'_1*} \cdots V_{p_k}^{j_k} V_{p'_k}^{j'_k*} \\ & \times \text{Tr}_d [T_\tau e^{-\beta H_{\text{loc}}} d_{j_k}(\tau_k) d_{j'_k}^\dagger(\tau'_k) \cdots d_{j_1}(\tau_1) d_{j'_1}^\dagger(\tau'_1)] \\ & \times \text{Tr}_c [T_\tau e^{-\beta H_{\text{bath}}} c_{p_k}^\dagger(\tau_k) c_{p'_k}(\tau'_k) \cdots c_{p_1}^\dagger(\tau_1) c_{p'_1}(\tau'_1)]. \end{aligned}$$

$$P_m = \frac{\langle m | e^{-\beta H_{\text{loc}}} d_{j_k}(\tau_k) d_{j'_k}^\dagger(\tau'_k) \cdots d_{j_1}(\tau_1) d_{j'_1}^\dagger(\tau'_1) | m \rangle}{\sum_n \langle n | e^{-\beta H_{\text{loc}}} d_{j_k}(\tau_k) d_{j'_k}^\dagger(\tau'_k) \cdots d_{j_1}(\tau_1) d_{j'_1}^\dagger(\tau'_1) | n \rangle}$$



Sign problem

$$S = S_{\text{cl}}(\mathbf{c}^\dagger, \mathbf{c}) + \int_0^\beta d\tau d\tau' \mathbf{c}^\dagger(\tau') \mathbf{\Delta}(\tau' - \tau) \mathbf{c}(\tau)$$



P. Sémon, A.-M.S. Tremblay, (unpub.)



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Two-Particle Self-Consistent Approach ($U < 8t$)

- How it works

- General philosophy
 - Drop diagrams
 - Impose constraints and sum rules
 - Conservation laws
 - Pauli principle ($\langle n_{\sigma}^2 \rangle = \langle n_{\sigma} \rangle$)
 - Local moment and local density sum-rules
- Get for free:
 - Mermin-Wagner theorem
 - Kanamori-Brückner screening
 - Consistency between one- and two-particle $\Sigma G = U \langle n_{\sigma} n_{-\sigma} \rangle$

Vilk, AMT J. Phys. I France, 7, 1309 (1997); Allen et al. in *Theoretical methods for strongly correlated electrons* also cond-mat/0110130

(Mahan, third edition)



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TPSC approach: two steps

I: Two-particle self consistency

1. Functional derivative formalism (conservation laws)

(a) spin vertex:
$$U_{sp} = \frac{\delta \Sigma_{\uparrow}}{\delta G_{\downarrow}} - \frac{\delta \Sigma_{\downarrow}}{\delta G_{\uparrow}}$$

(b) analog of the Bethe-Salpeter equation:

$$\chi_{sp} = \frac{\delta G}{\delta \phi} = GG + GU_{sp}\chi_{sp}G$$

(c) self-energy:

$$\Sigma_{\sigma}(1, \bar{1}; \{\phi\}) G_{\sigma}(\bar{1}, 2; \{\phi\}) = -U \langle c_{-\sigma}^{\dagger}(1^{+}) c_{-\sigma}(1) c_{\sigma}(1) c_{\sigma}^{\dagger}(2) \rangle_{\phi}$$
$$\approx A_{\{\phi\}} G_{-\sigma}^{(1)}(1, 1^{+}; \{\phi\}) G_{\sigma}^{(1)}(1, 2; \{\phi\})$$

2. Factorization



TPSC...

$$U_{sp} = U \frac{\langle n_{\uparrow} n_{\downarrow} \rangle}{\langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle} \quad \text{Kanamori-Brückner screening}$$

$$\chi_{sp}^{(1)}(q) = \frac{\chi_0(q)}{1 - \frac{1}{2} U_{sp} \chi_0(q)}$$

3. The F.D. theorem and Pauli principle

$$\langle (n_{\uparrow} - n_{\downarrow})^2 \rangle = \langle n_{\uparrow} \rangle + \langle n_{\downarrow} \rangle - 2\langle n_{\uparrow} n_{\downarrow} \rangle$$

$$\frac{T}{N} \sum_q \chi_{sp}^{(1)}(q) = n - 2\langle n_{\uparrow} n_{\downarrow} \rangle$$

II: Improved self-energy

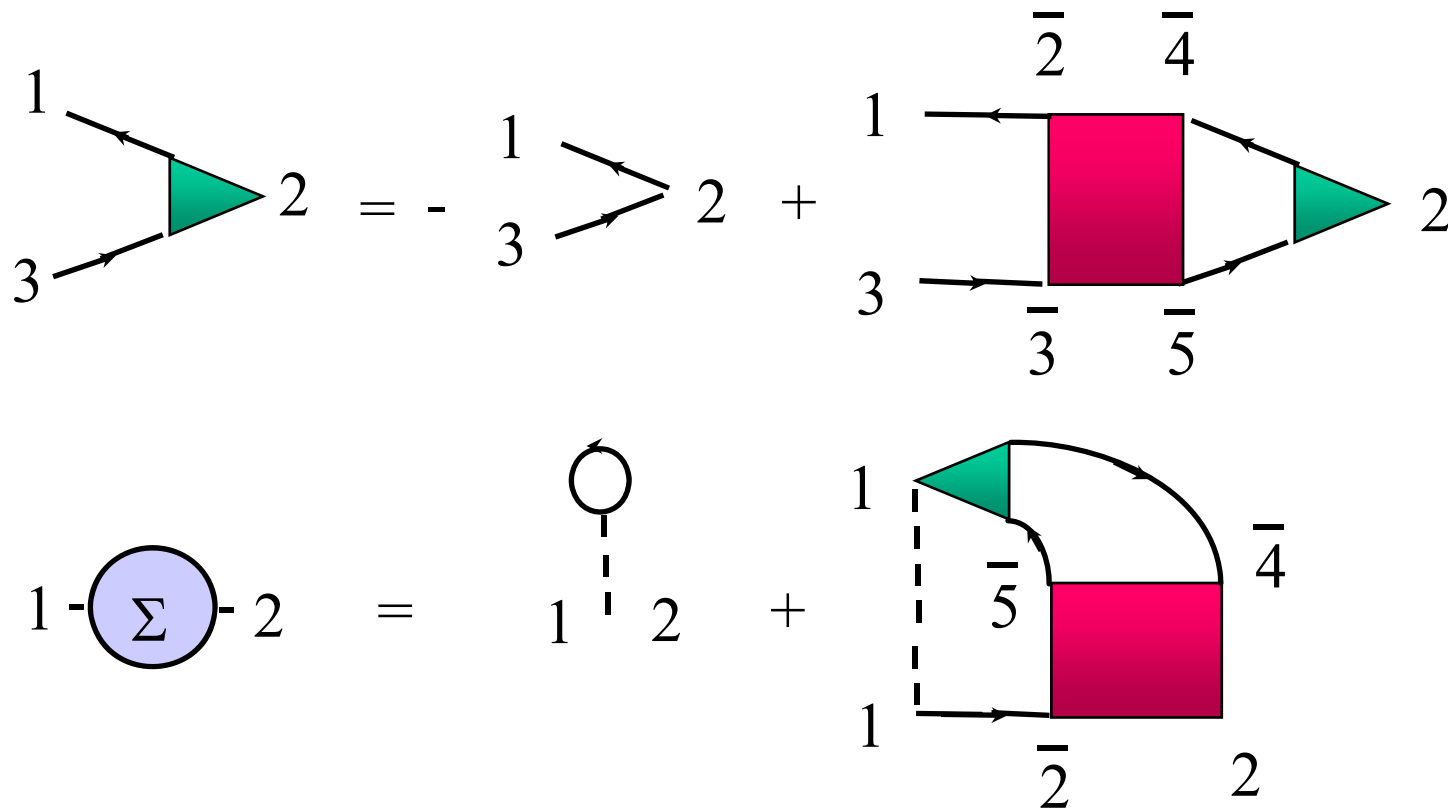
Insert the first step results

into exact equation: $\Sigma_{\sigma}(1, \bar{1}; \{\phi\}) G_{\sigma}(\bar{1}, 2; \{\phi\}) = -U \langle c_{-\sigma}^{\dagger}(1^{+}) c_{-\sigma}(1) c_{\sigma}(1) c_{\sigma}^{\dagger}(2) \rangle_{\phi}$

$$\Sigma_{\sigma}^{(2)}(k) = U n_{\bar{\sigma}} + \frac{U T}{8 N} \sum_q \left[3 U_{sp} \chi_{sp}^{(1)}(q) + U_{ch} \chi_{ch}^{(1)}(q) \right] G_{\sigma}^{(1)}(k + q)$$



A better approximation for single-particle properties (Ruckenstein)



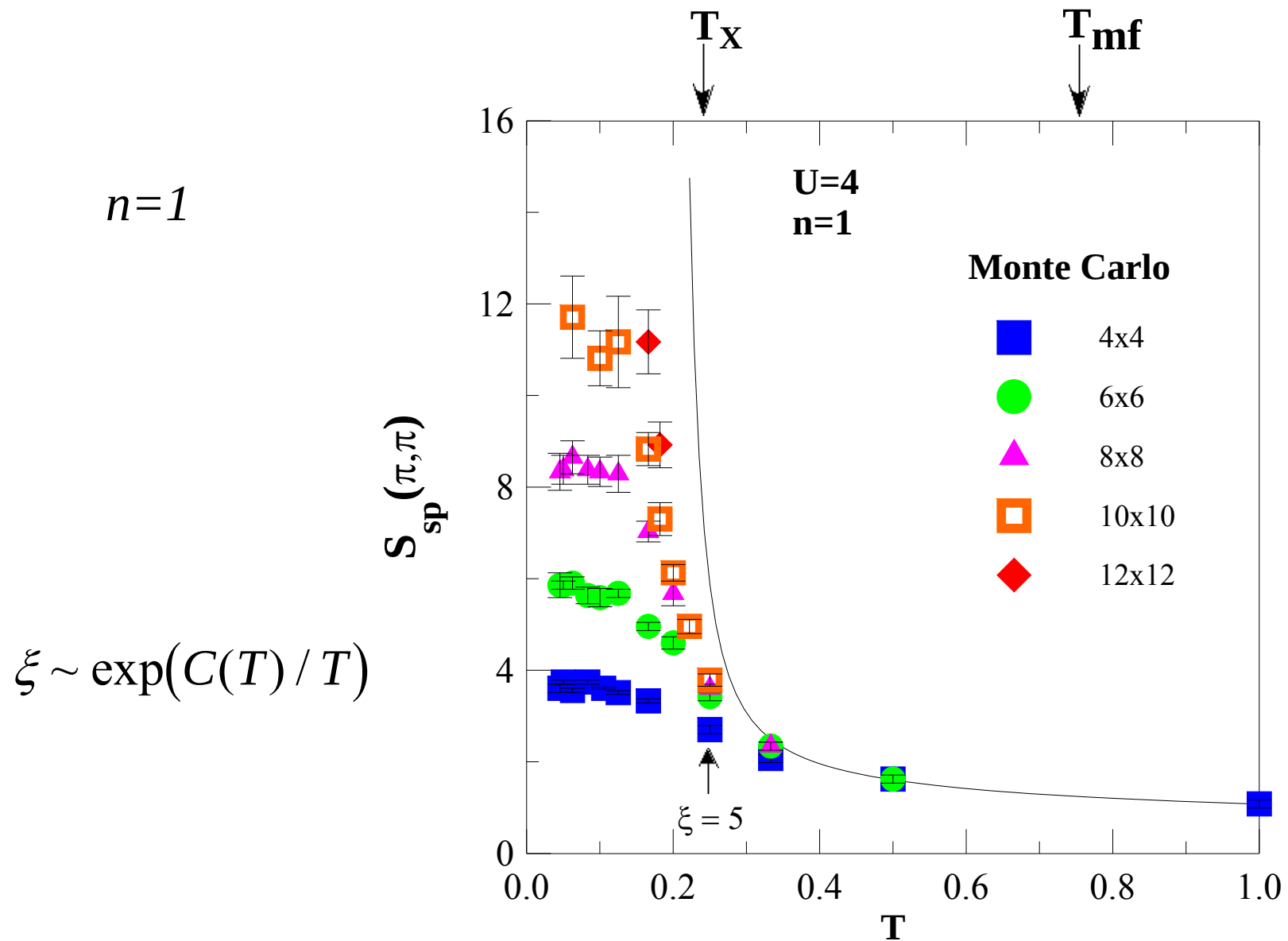
Y.M. Vilk and A.-M.S. Tremblay, J. Phys. Chem. Solids **56**, 1769 (1995).

Y.M. Vilk and A.-M.S. Tremblay, Europhys. Lett. **33**, 159 (1996);

N.B.: No Migdal theorem



Benchmarks for TPSC



Calc.: Vilk et al. P.R. B **49**, 13267 (1994)

QMC: S. R. White, et al. Phys. Rev. **40**, 506 (1989).

$O(N = \infty)$ A.-M. Daré, Y.M. Vilk and A.-M.S.T Phys. Rev. B **53**, 14236 (1996)



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Check on accuracy

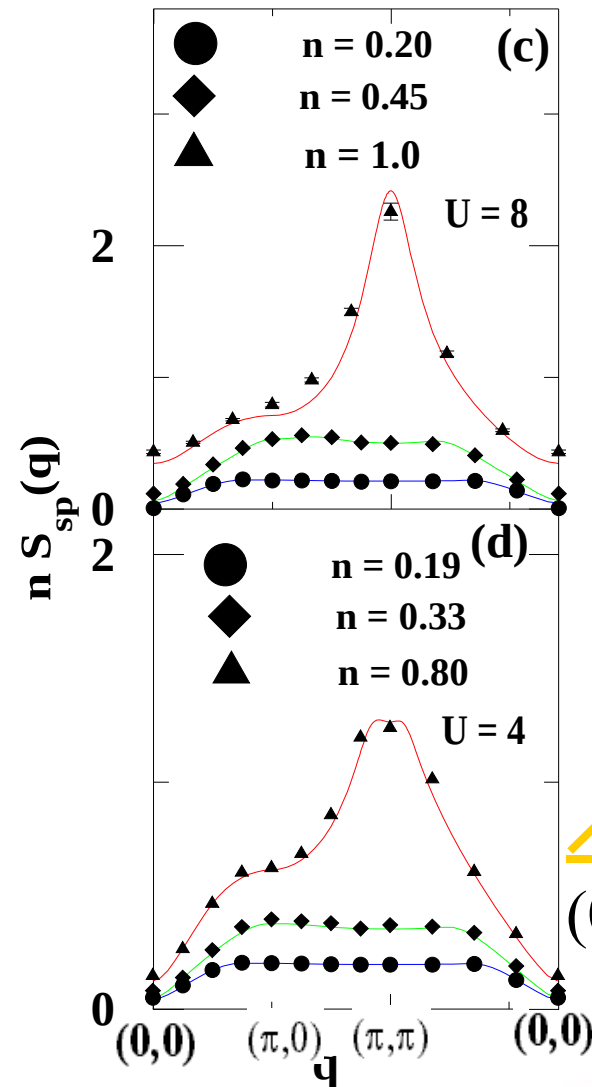
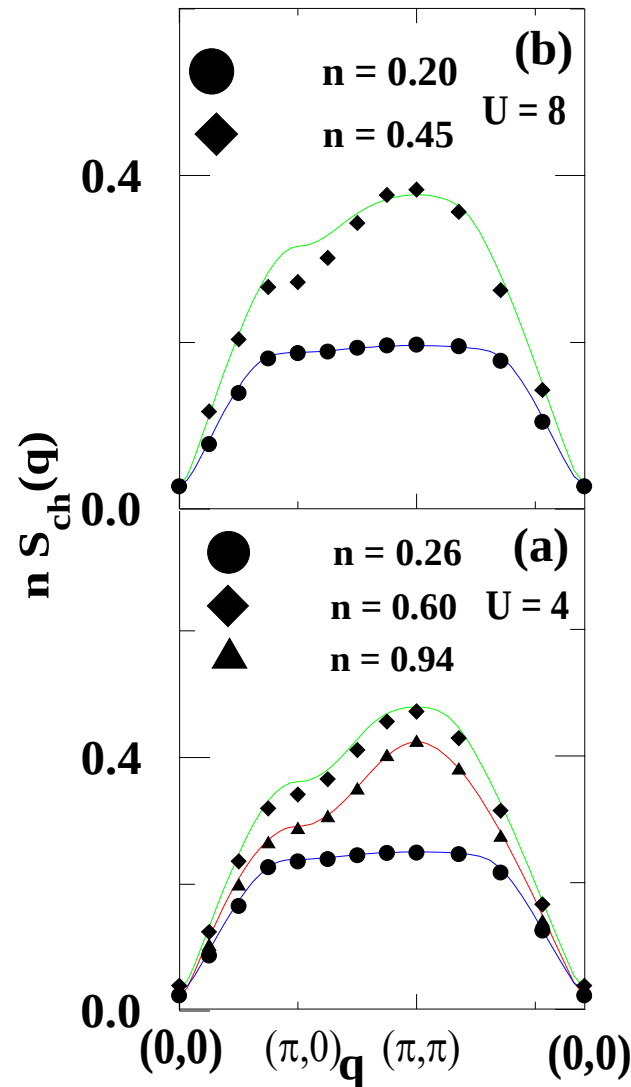
Notes:

-F.L.

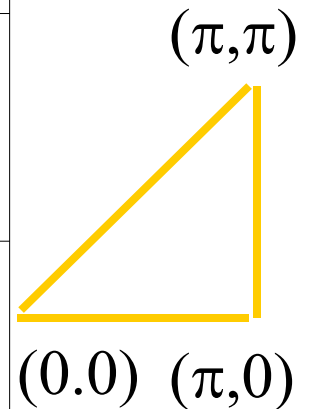
parameters

-Self also

Fermi-liquid



$U > 0$
 $\beta = 5$
 8×8



QMC + cal.: Vilk et al. P.R. B **49**, 13267 (1994)

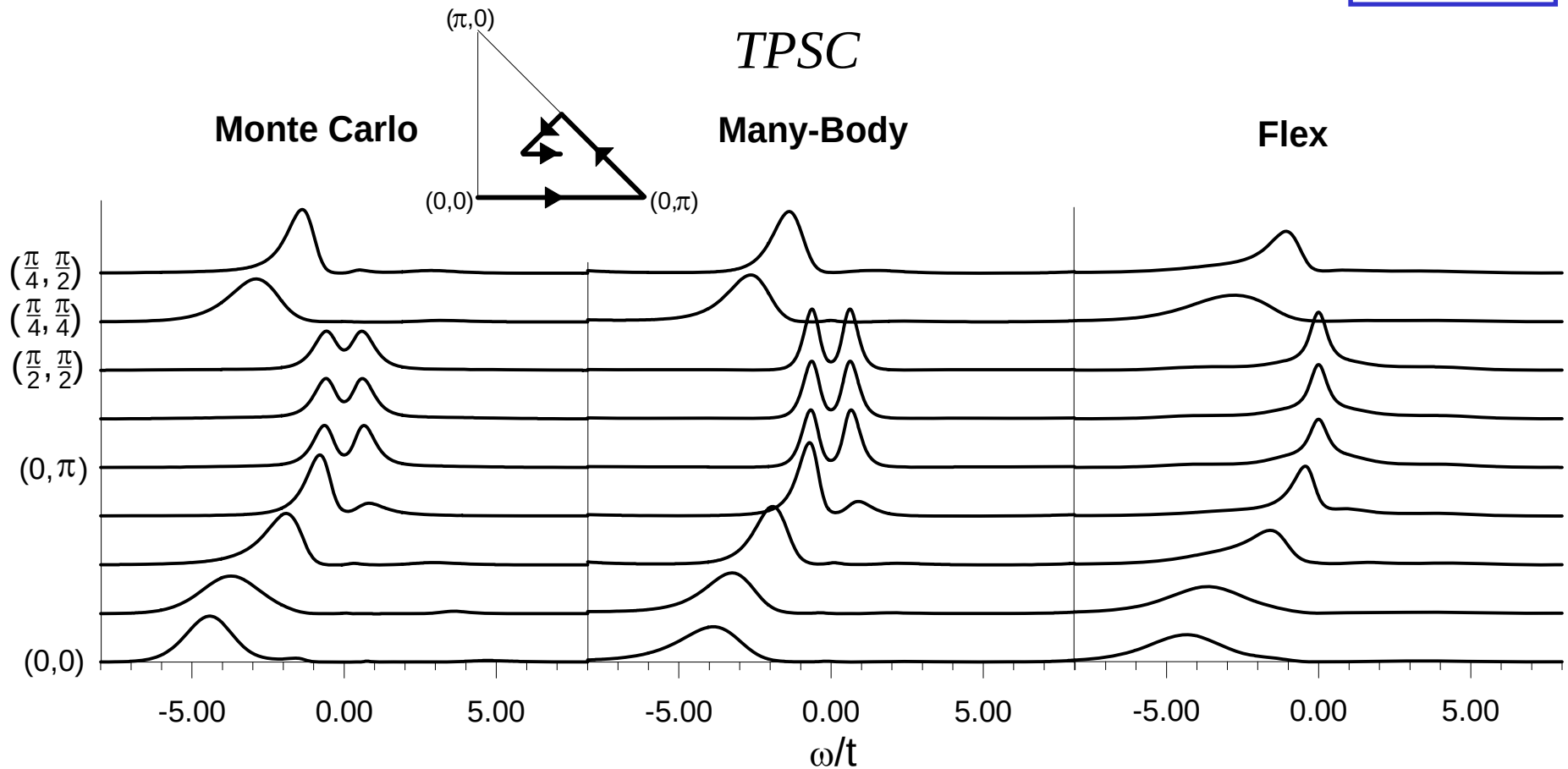


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Proofs...

$$U = +4$$

$$\beta = 5$$



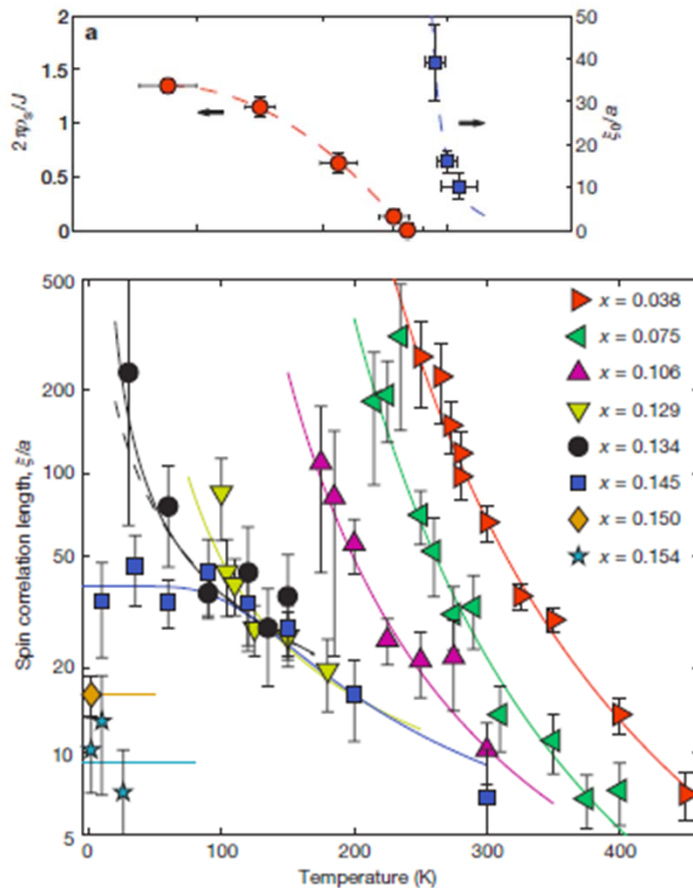
Calc. + QMC: Moukouri et al. P.R. B 61, 7887 (2000).



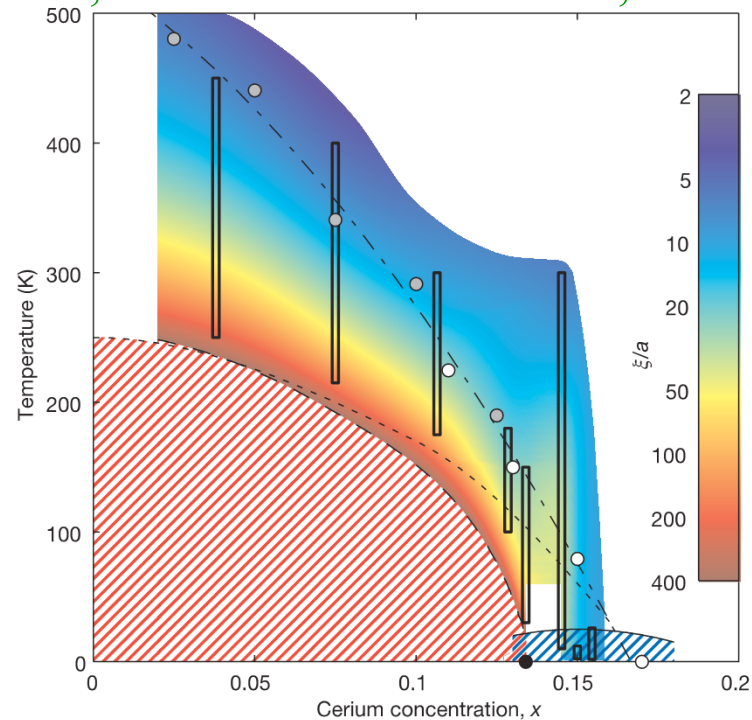
e-doped cuprates: precursors

NCCO

Motoyama, E. M. et al.. Nature 445, 186–189 (2007).

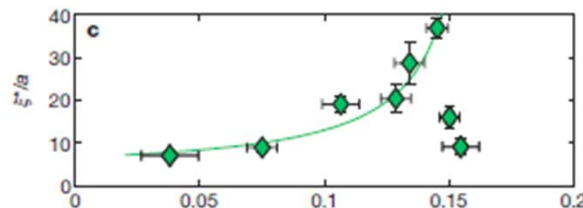


$z = 1$



Vilk, A.-M.S.T (1997)

Kyung, Hankevych, A.-M.S.T., PRL, 2004



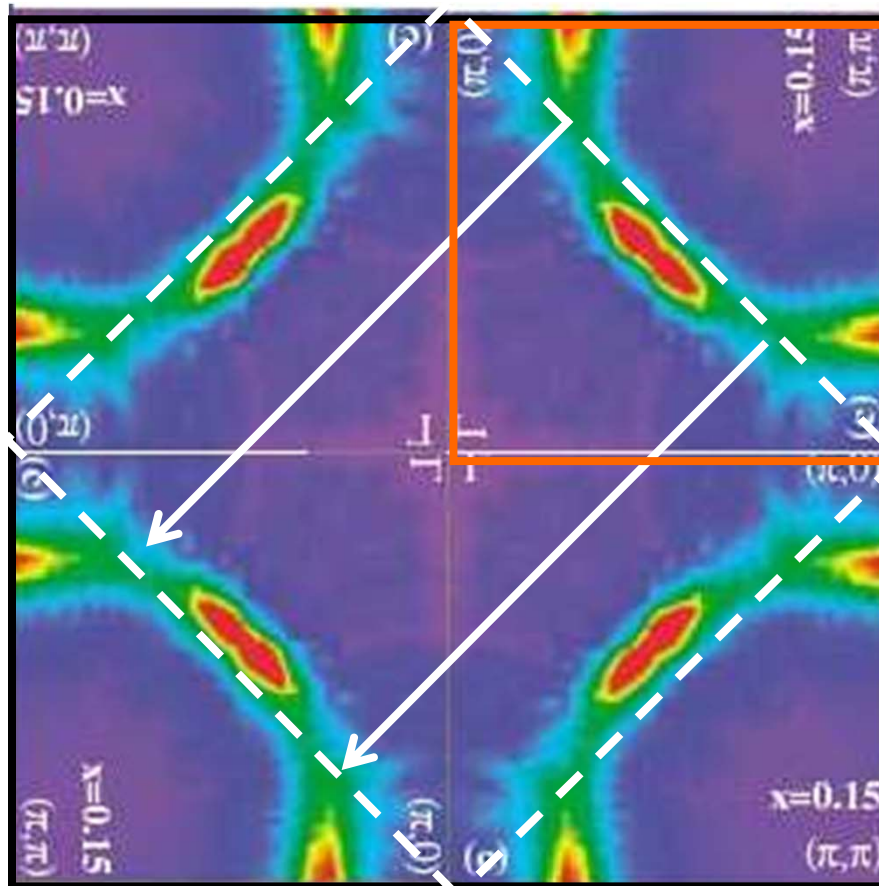
$$\xi^* = 2.6(2)\xi_{th}^*$$



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Hot spots from AFM quasi-static scattering

Mermin-Wagner

$$d = 2$$


Vilk, A.-M.S.T (1997)
Kyung, Hankevych,
A.-M.S.T., PRL, 2004

$$\xi^* = 2.6(2)\xi_{\text{th}}.$$

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Main collaborators



Giovanni Sordi



Kristjan Haule



David Sénéchal



Bumsoo Kyung



Patrick Sémon



Dominic Bergeron



Sarma Kancharla



Marcello Civelli



Massimo Capone



Gabriel Kotliar



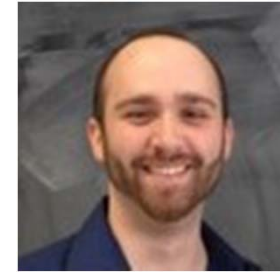
Main collaborators, continued



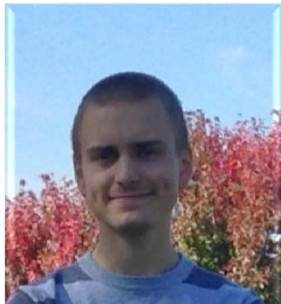
Lorenzo Fratino



Wei Wu



Alexis Reymbaut



Charles-David Hébert



Maxime Charlebois



Alexandre Day



Vincent Bouliane



Further references

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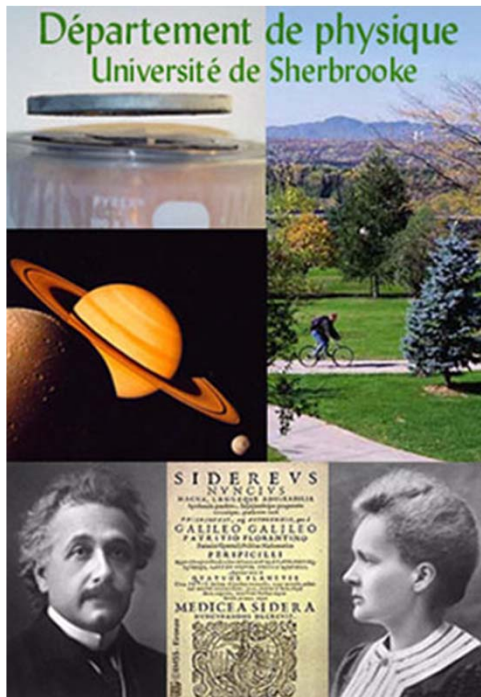
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Lecture notes

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André-Marie Tremblay



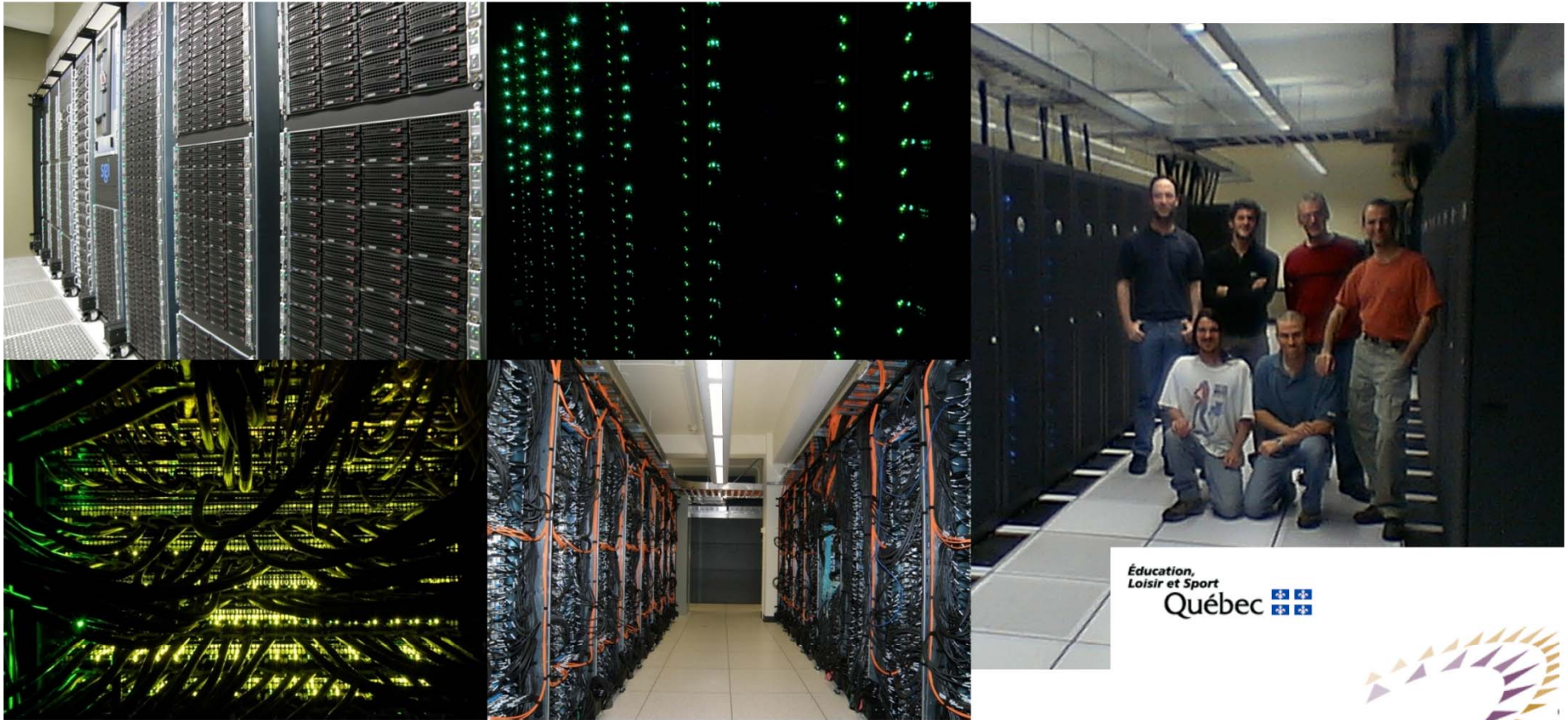
Le regroupement québécois sur les matériaux de pointe



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Mammoth



Merci

Thank you