

And yet they attract: Superconductivity in the presence of strong repulsion

A.-M. Tremblay

Institut quantique

Brookhaven National Laboratory

Tuesday January 17, 2017



CIFAR
CANADIAN INSTITUTE
for ADVANCED RESEARCH



UNIVERSITÉ DE
SHERBROOKE



UNIVERSITÉ DE
SHERBROOKE

Two pillars of Condensed Matter Physics

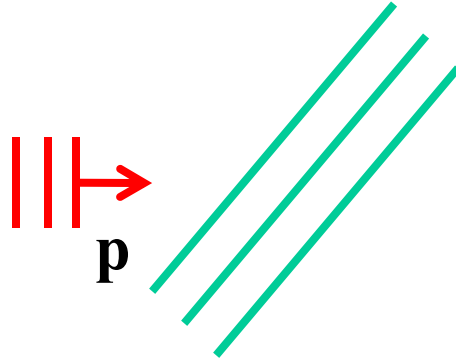
- Band theory
 - DFT
 - Fermi liquid Theory
 - Metals
 - Semiconductors: transistor
- BCS theory of superconductivity
 - Broken symmetry
 - Emergent phenomenon
 - Also in particle physics, astrophysics...



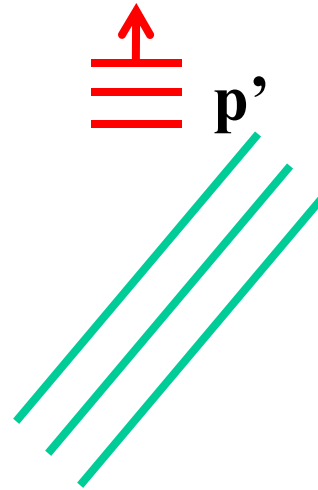
Superconductivity



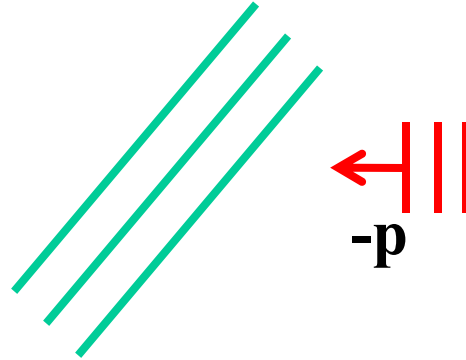
Attraction mechanism in the metallic state



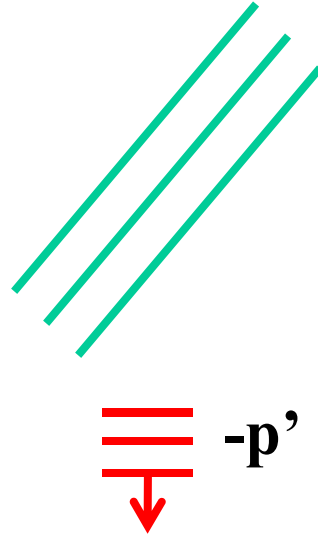
Attraction mechanism in the metallic state



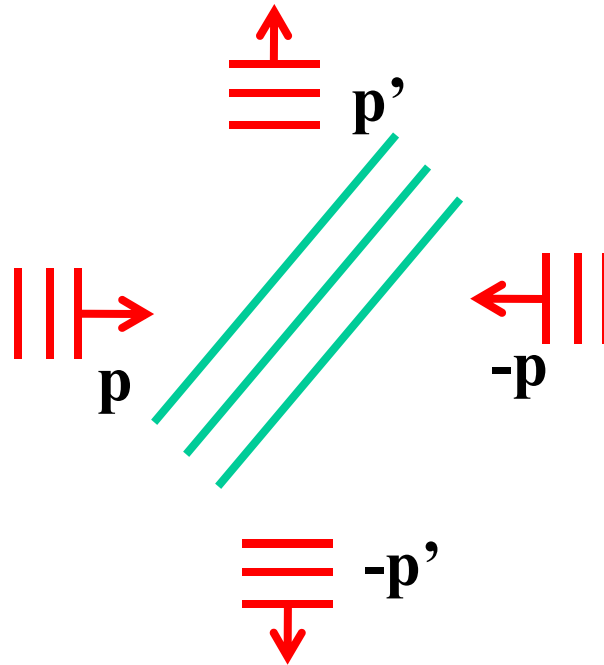
Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



#1 Cooper pair, #2 Phase coherence

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow}$$

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \langle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \rangle \langle \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \rangle$$

$$|\text{BCS}(\theta)\rangle = \dots + e^{iN\theta} |N\rangle + e^{i(N+2)\theta} |N+2\rangle + \dots$$

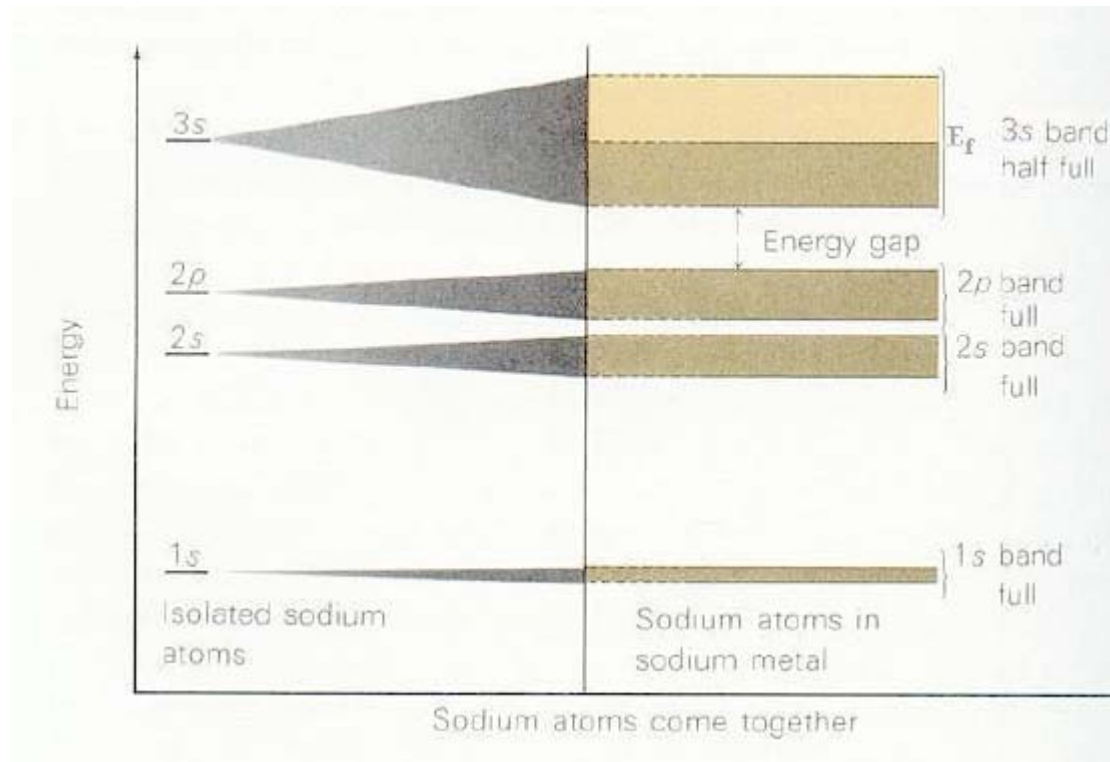


Breakdown of band theory

Half-filled band is metallic?



Metals and insulators: standard theory



http://chem.libretexts.org/Textbook_Maps/



Half-filled band: Not always a metal

NiO, Boer and Verway



Peierls, 1937



Mott, 1949



« Conventional » Mott transition

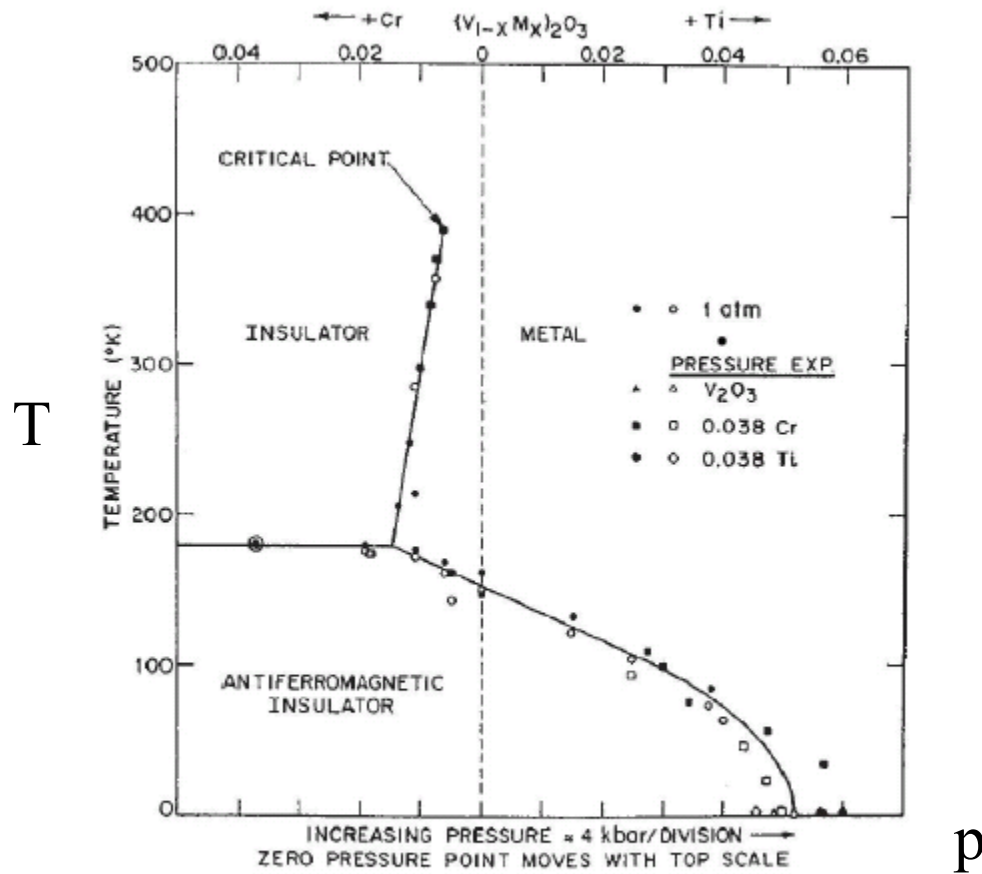


Figure: McWhan, PRB 1970; Limelette, Science 2003

Atomic structure

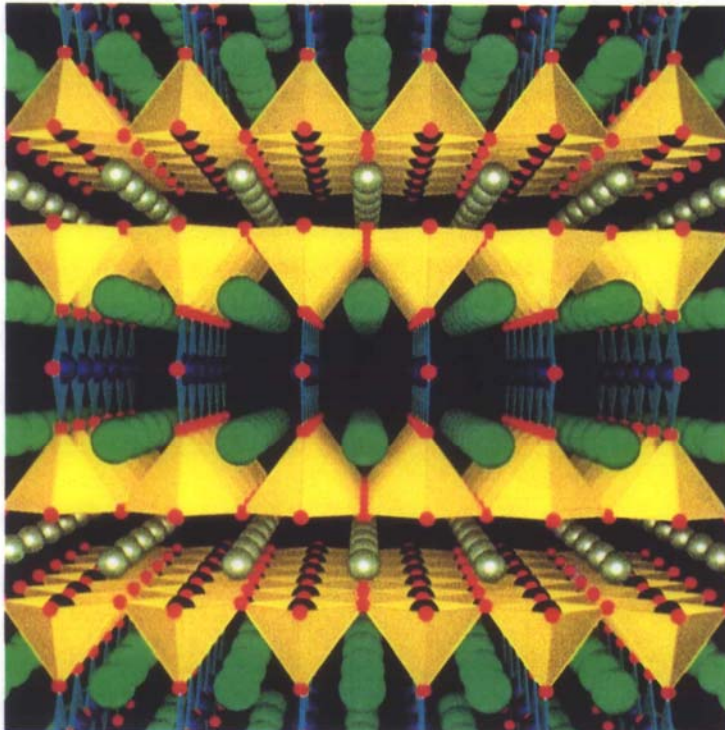
SCIENTIFIC AMERICAN

JUNE 1988
\$3.50

How nonsense is deleted from genetic messages.

R_x for economic growth: aggressive use of new technology.

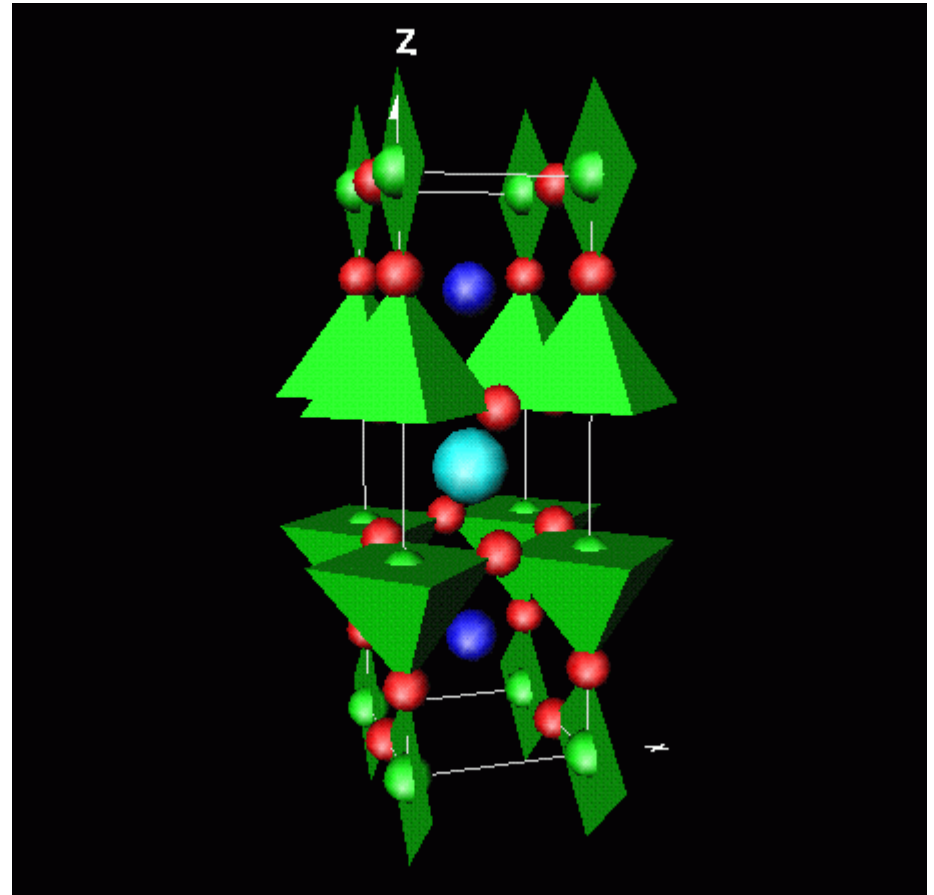
Can particle physics test cosmology?



High-Temperature Superconductor belongs to a family of materials that exhibit exotic electronic properties.

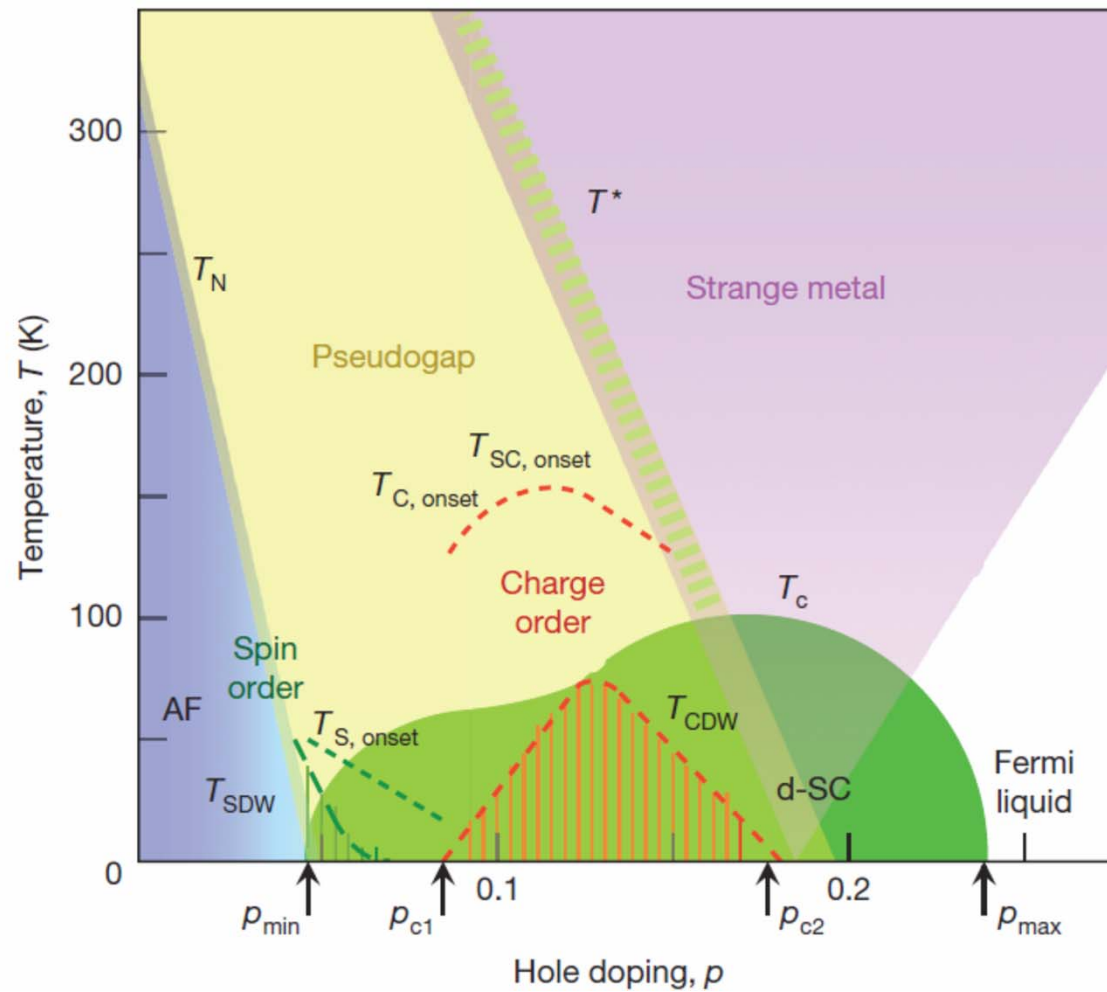


92-37



UNIVERSITÉ DE
SHERBROOKE

Phase diagram $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$



Keimer et al., Nature 518, 179 (2015)



Outline

1. The model
2. The method

Part I: Weakly and strongly correlated electrons

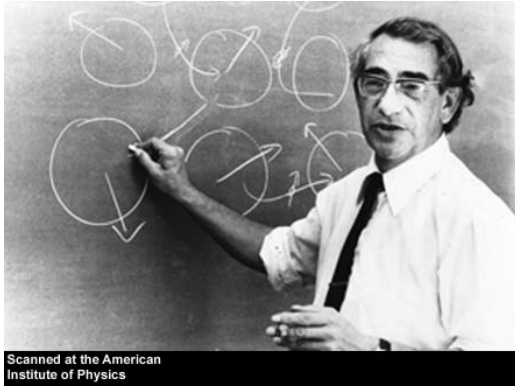
Part II: Strongly correlated superconductivity



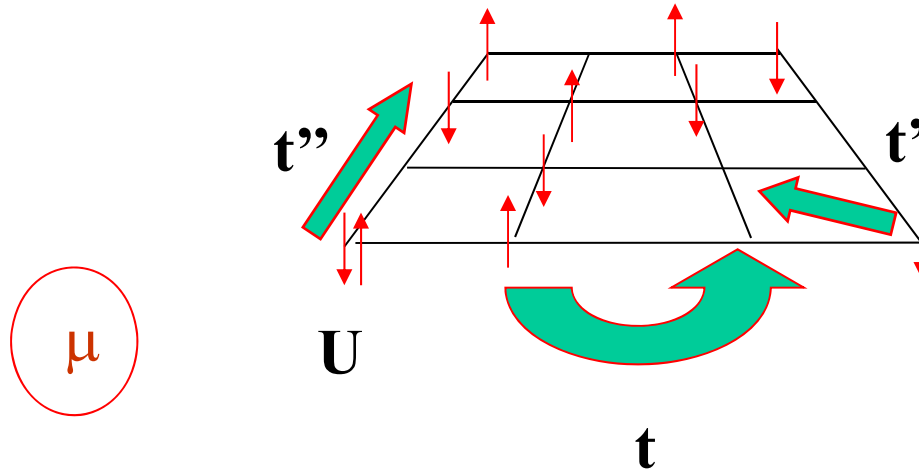
2. The model



Hubbard model



1931-1980



$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} \left(c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

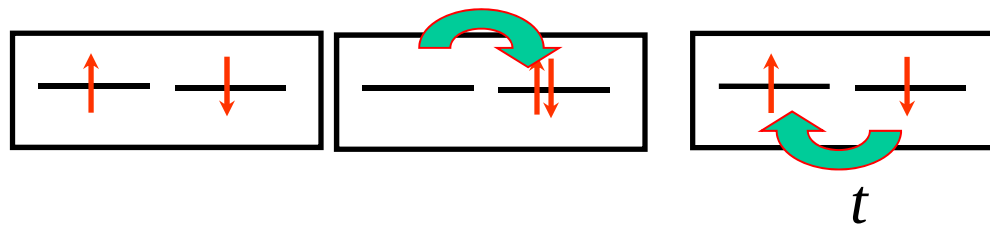
Attn: Charge transfer insulator

Interesting in the general case

No mean-field factorization for d-wave superconductivity

$$H = -\sum_{\langle ij \rangle \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Mott transition



Effective model, Heisenberg: $J = 4t^2 / U$

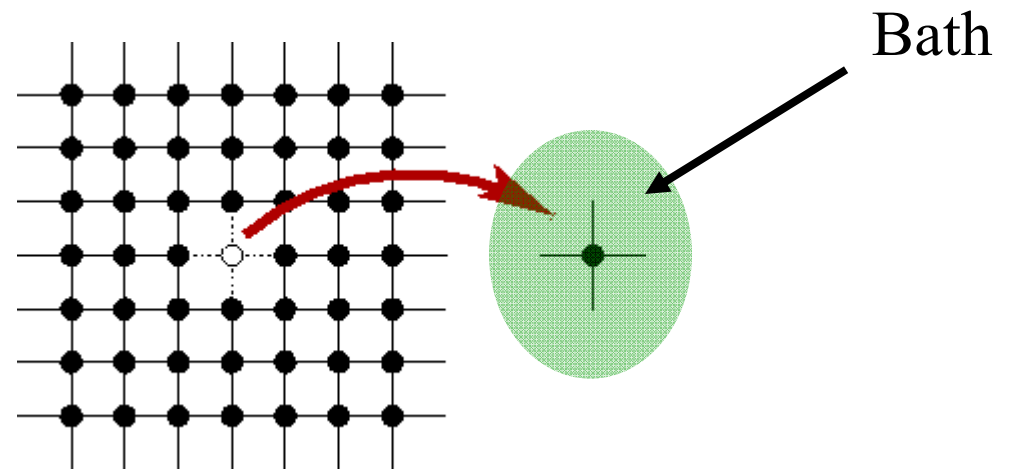
Method for strongly correlated matter

Dynamical Mean Field Theory (+ clusters)

Concept: atomic localized correlations
consistent with delocalized aspect



Mott transition and Dynamical Mean-Field Theory. The beginnings in $d = \text{infinity}$



W. Metzner and D. Vollhardt, PRL (1989)

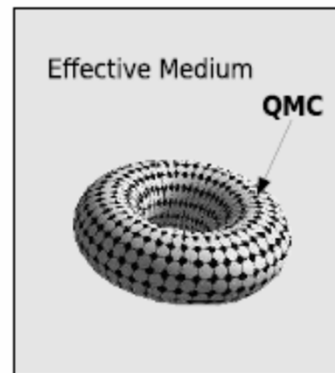
A. Georges and G. Kotliar, PRB (1992)

M. Jarrell PRB (1992)

DMFT, ($d = 3$)

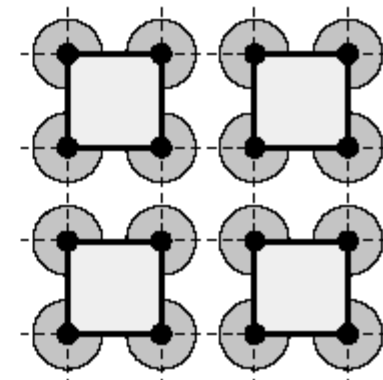


2d Hubbard: Quantum cluster method

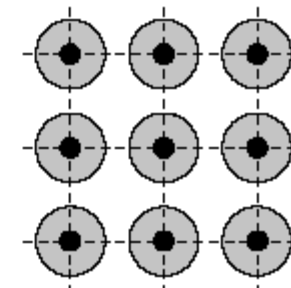


DCA

C-DMFT



DMFT



Hettler ...Jarrell...Krishnamurty PRB **58** (1998)

Kotliar et al. PRL **87** (2001)

M. Potthoff et al. PRL **91**, 206402 (2003).

REVIEWS

Maier, Jarrell et al., RMP. (2005)

Kotliar et al. RMP (2006)

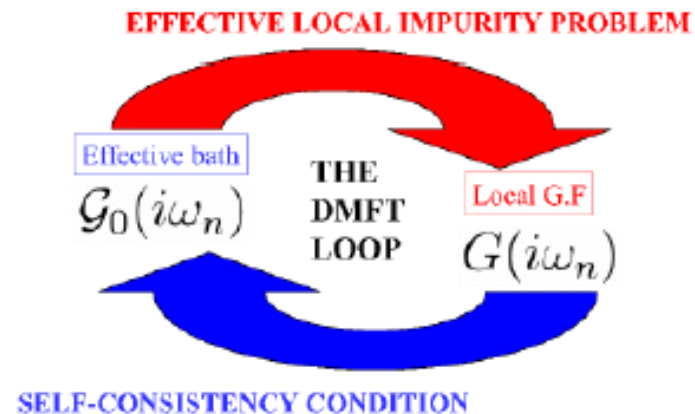
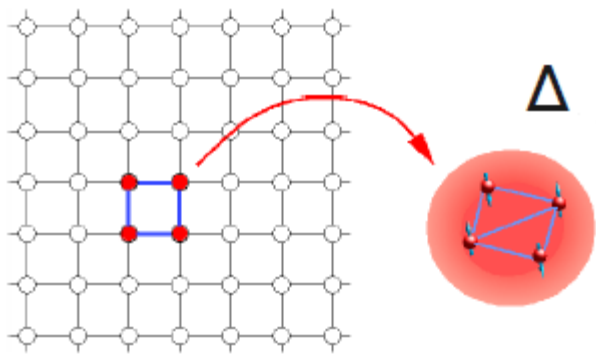
AMST et al. LTP (2006)



UNIVERSITÉ DE
SHERBROOKE

Impurity solver

$$Z = \int D[d^\dagger, d] \exp \left[-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_i [d_i^\dagger(\tau) \Delta_{ii}(\tau, \tau') d_i(\tau')] \right]$$



Mean-field is not a trivial problem! Many impurity solvers.

Here: continuous time QMC

P. Werner, PRL 2006
P. Werner, PRB 2007
K. Haule, PRB 2007

$$\Delta(i\omega_n) = i\omega_n + \mu - \Sigma_c(i\omega_n)$$

$$= \left[\sum_{\vec{k}} \frac{1}{i\omega_n + \mu - t_c(\vec{k}) - \Sigma_c(i\omega_n)} \right]^{-1}$$

+ and -

- Long range order:
 - Allow symmetry breaking in the bath (mean-field)
- Included:
 - Short-range dynamical and spatial correlations
- Missing:
 - Long wavelength p-h and p-p fluctuations



Groups using these methods for cuprates

- Europe:
 - Georges, Parcollet, Ferrero, Civelli, (Paris)
 - de Medici (Grenoble) Capone (Italy)
- USA:
 - Gull (Michigan) Millis (Columbia)
 - Kotliar, Haule (Rutgers)
 - Jarrell (Louisiana)
 - Maier, Okamoto (Oakridge)
- Japan
 - Imada (Tokyo) Sakai, Tsunetsugu, Motome



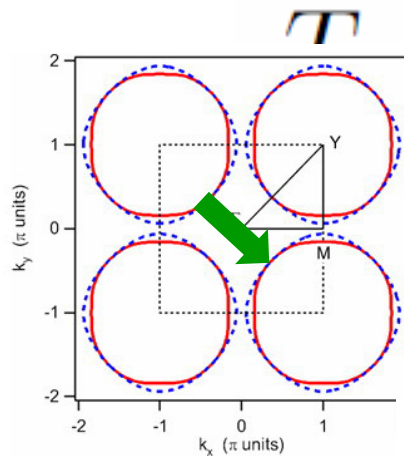
Part I

Weakly vs strongly correlated electrons
Normal and antiferromagnetic state

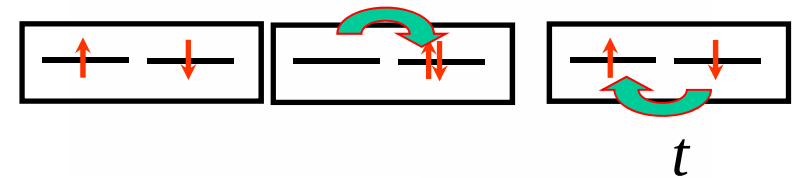


Weak vs Strong correlations

$n = 1$, unfrustrated $d = 3$ cubic lattice



Mott



Slater $\Rightarrow$

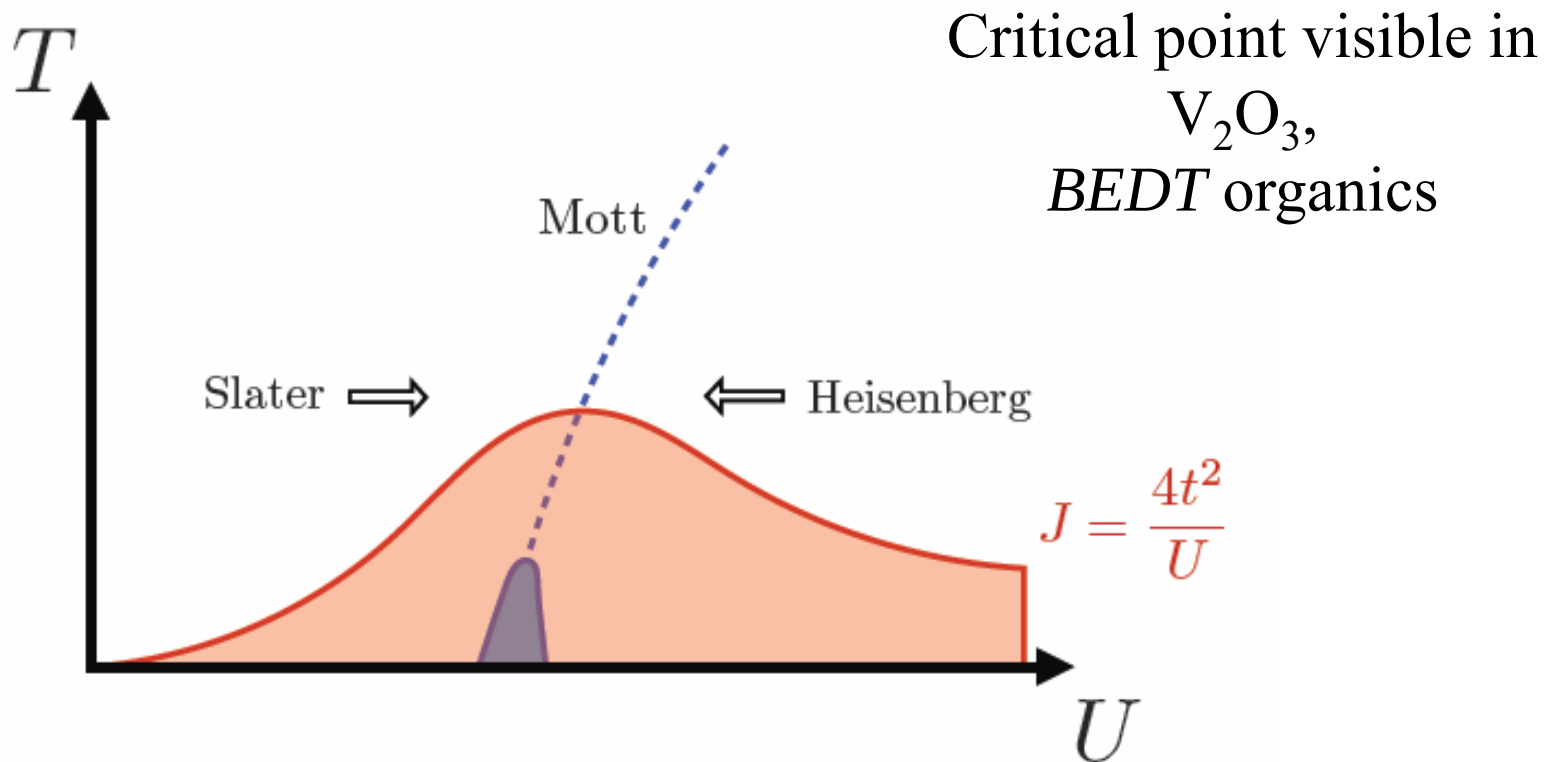
$\Leftarrow$ Heisenberg
 $J = \frac{4t^2}{U}$

AFM

U

Local moment and Mott transition

$n = 1, d = 2$ square lattice



Understanding finite temperature phase from a *mean-field theory* down to $T = 0$

« Conventional » Mott transition

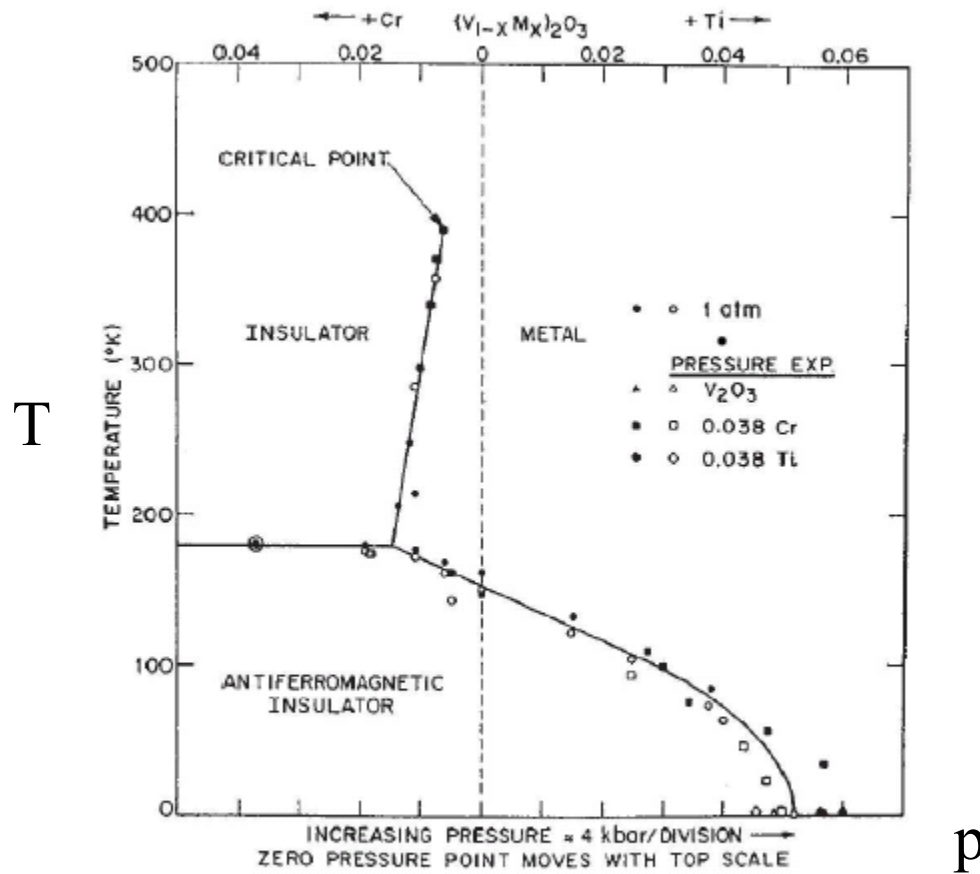
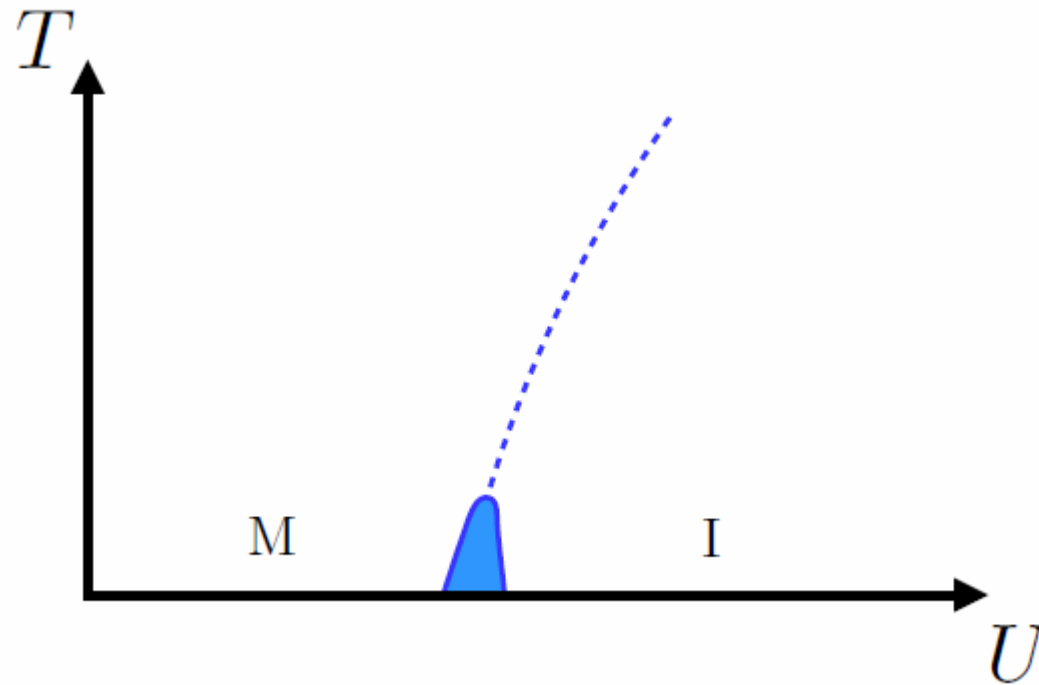


Figure: McWhan, PRB 1970; Limelette, Science 2003

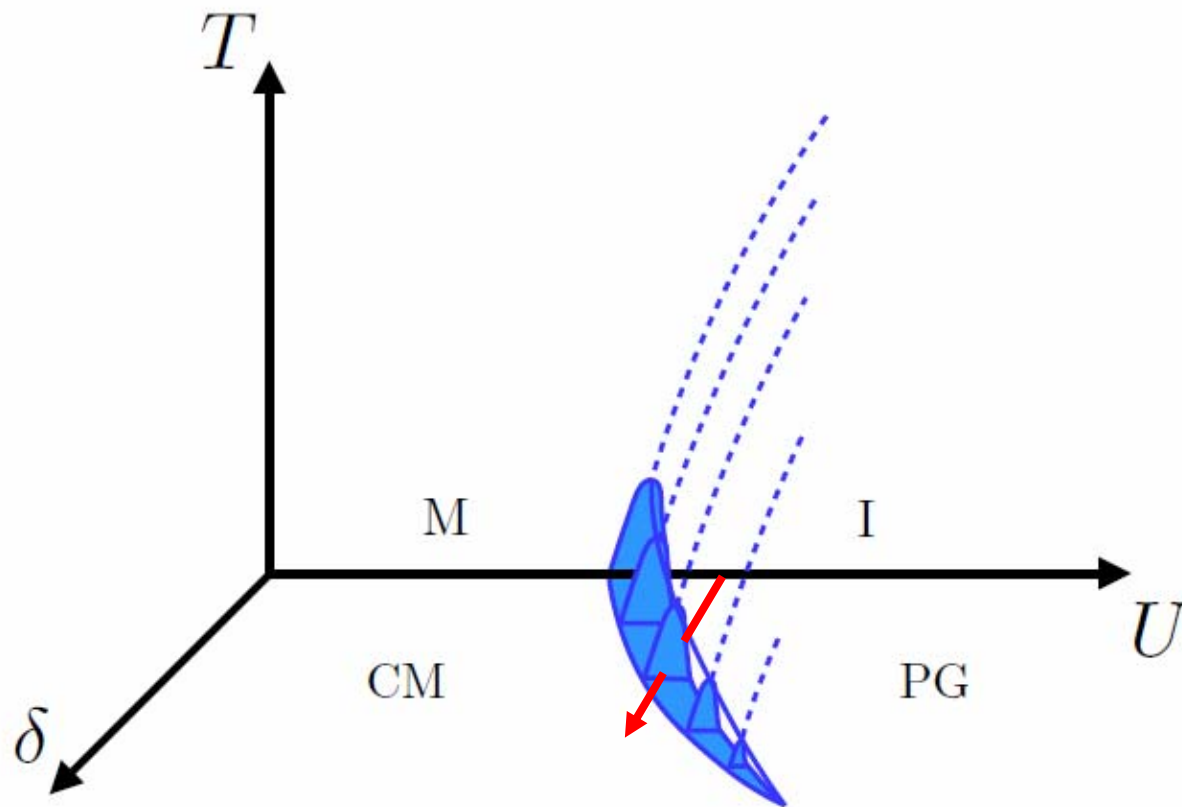
Influence of Mott transition away from half-filling

$n = 1, d = 2$ square lattice



Influence of Mott transition away from half-filling

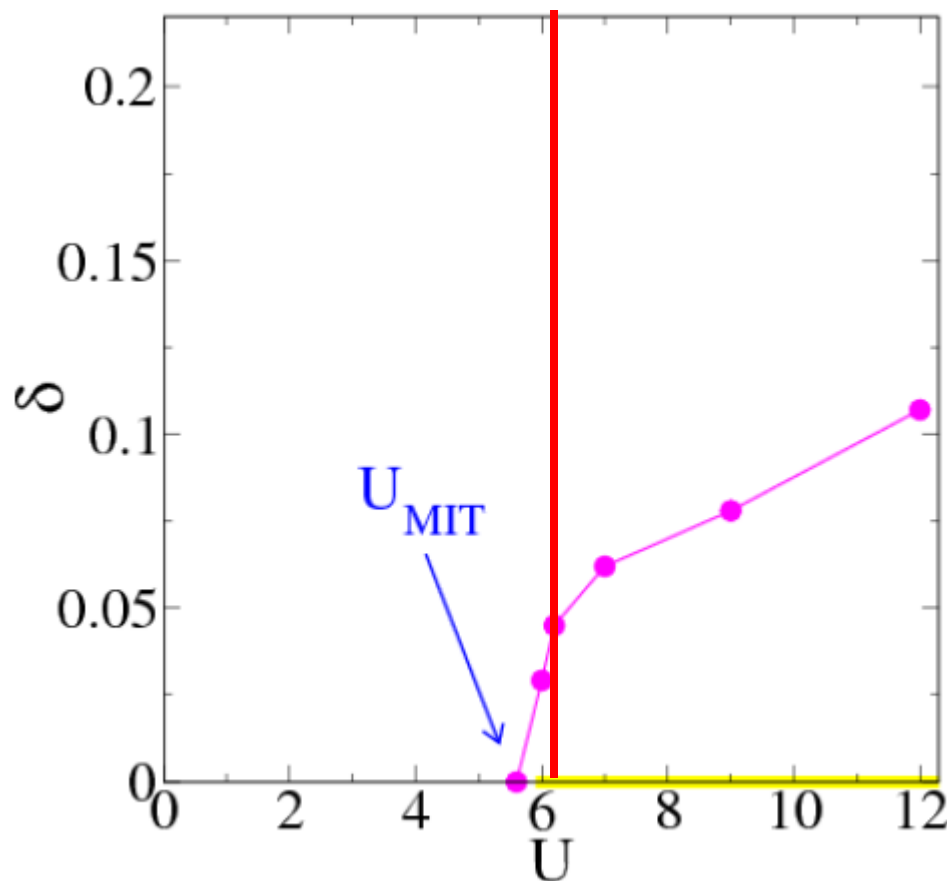
$n = 1, d = 2$ square lattice



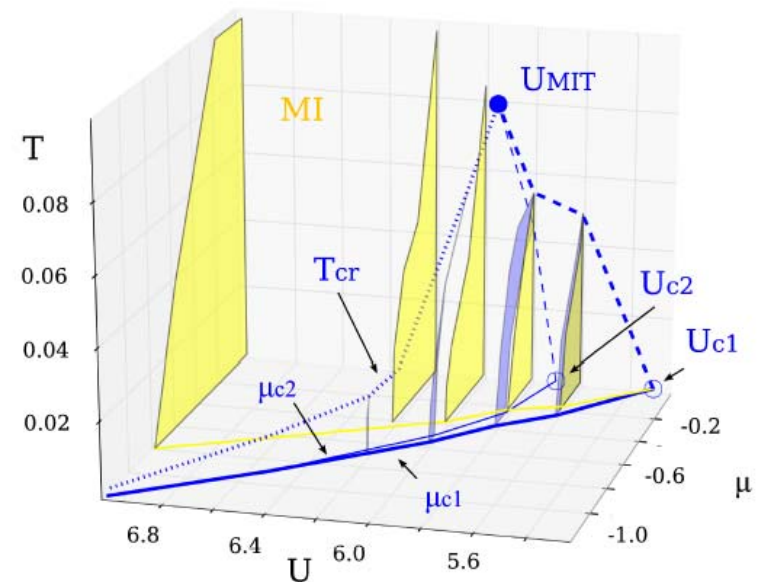
Link to Mott transition up to optimal doping

Another emergent transition

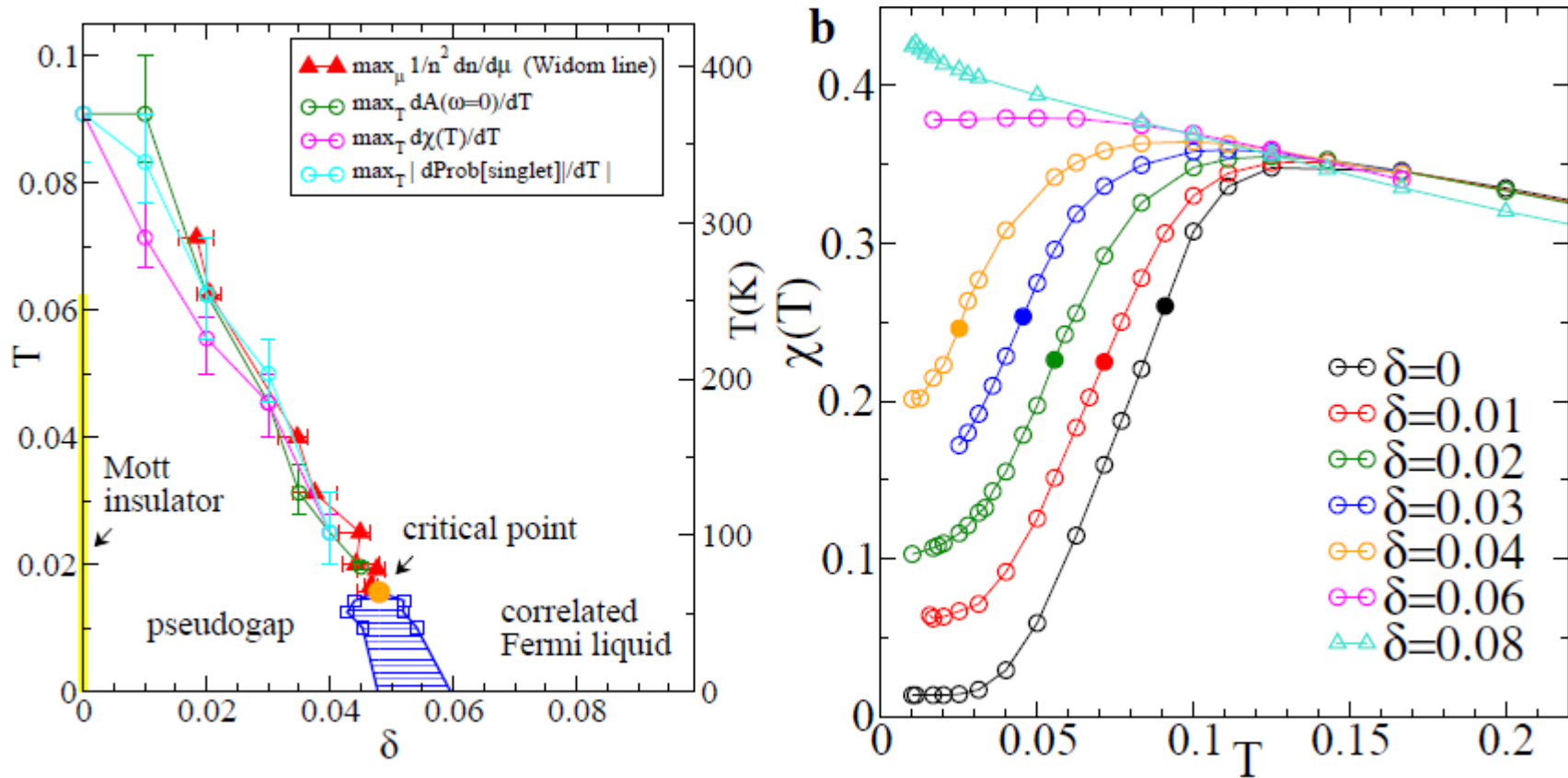
Doping dependence of critical point as a function of U



Smaller D and S



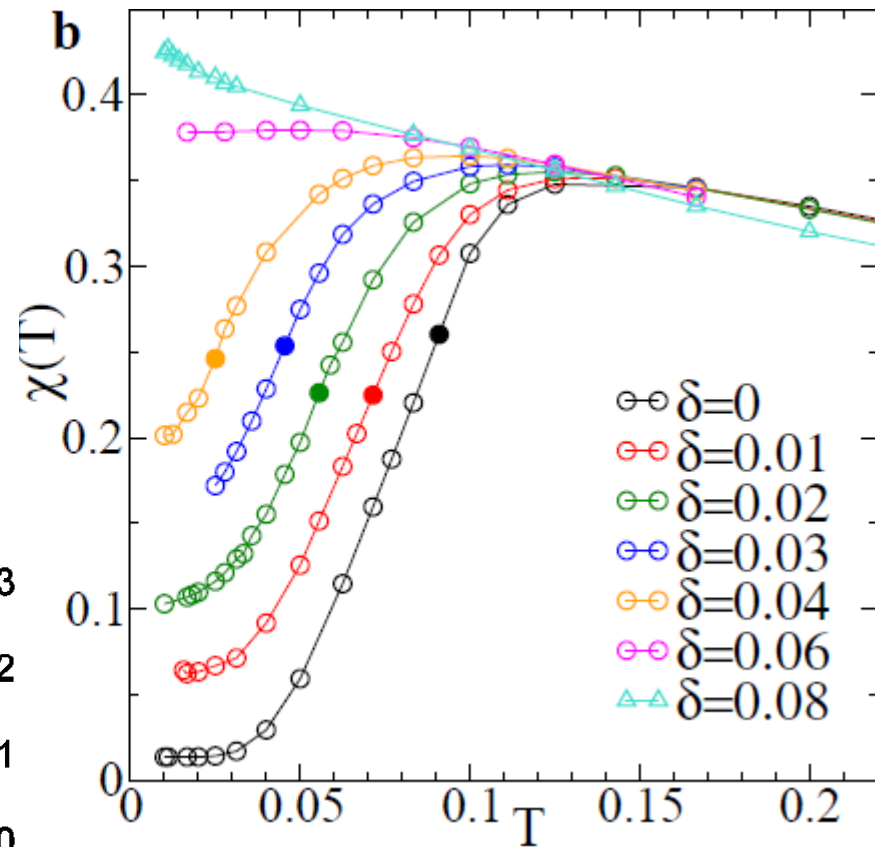
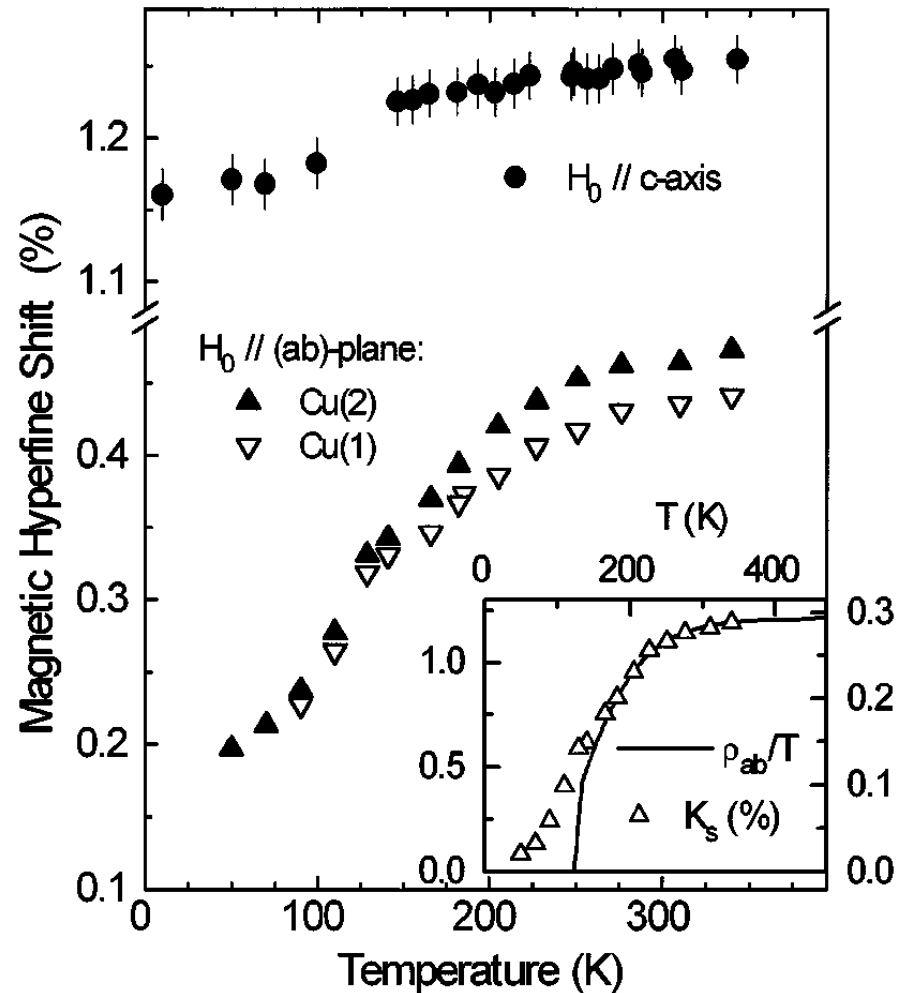
Spin susceptibility



G. Sordi, *et al.* Scientific Reports 2, 547 (2012)



Spin susceptibility



Underdoped Hg1223

Julien et al. PRL **76**, 4238 (1996)



UNIVERSITÉ DE
SHERBROOKE

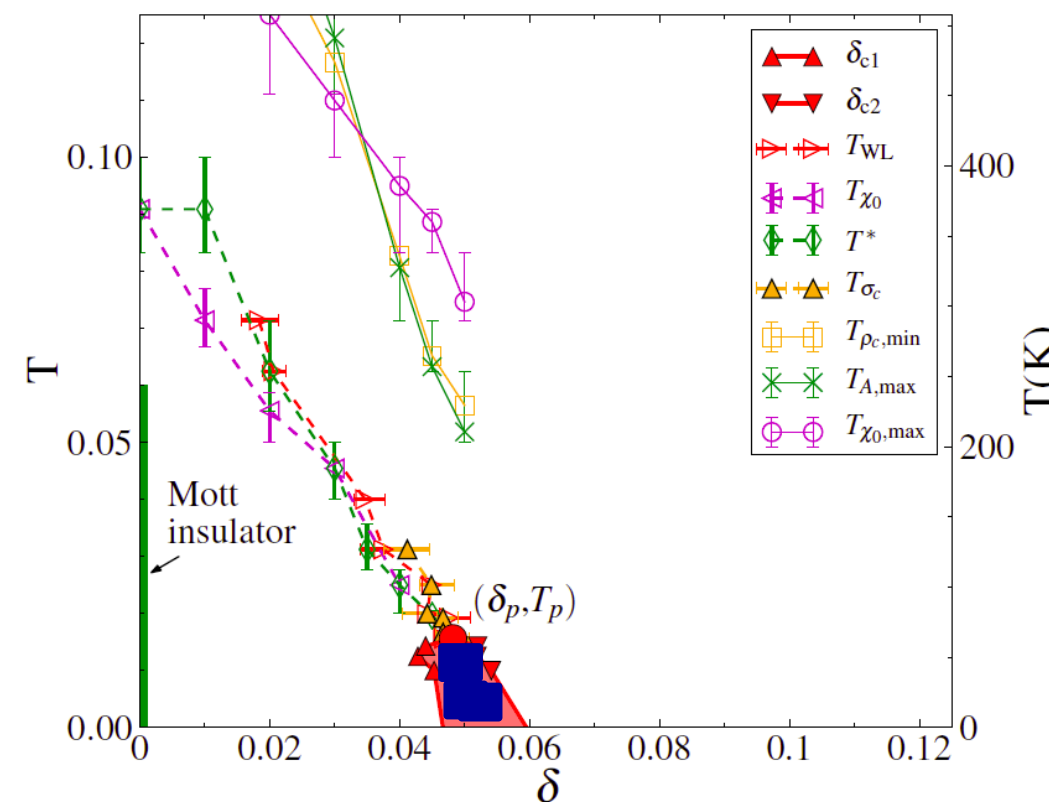


Giovanni Sordi

Two crossover lines



Patrick Sémon



G. Sordi et al. Phys. Rev. Lett. 108, 216401/1-6 (2012)

P. Sémon, G. Sordi, A.-M.S.T., Phys. Rev. B **89**, 165113/1-6 (2014)

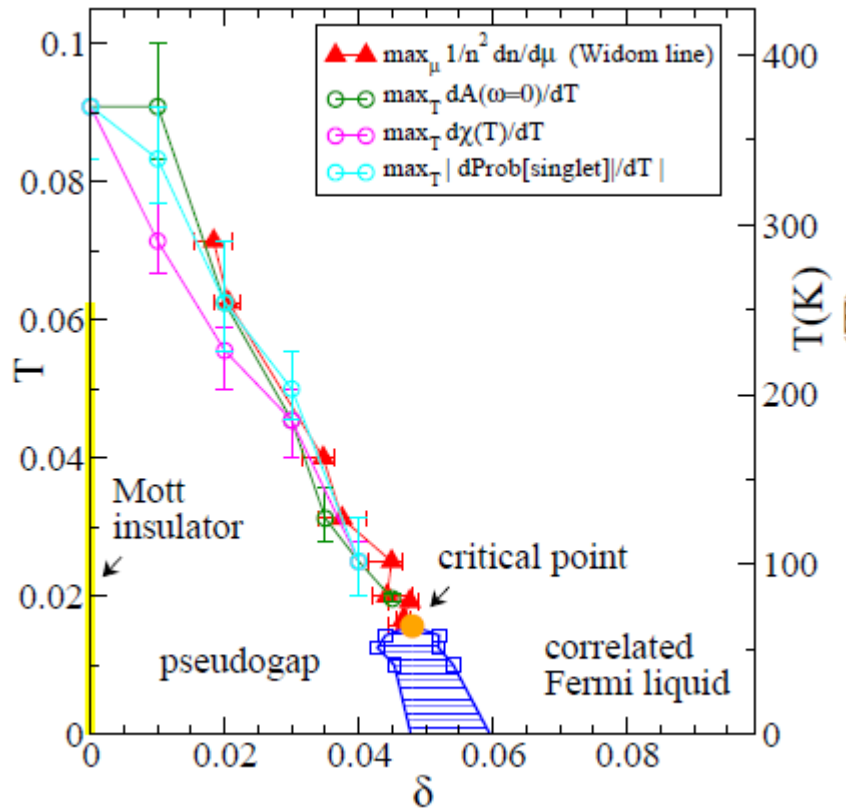


UNIVERSITÉ DE
SHERBROOKE

Physics

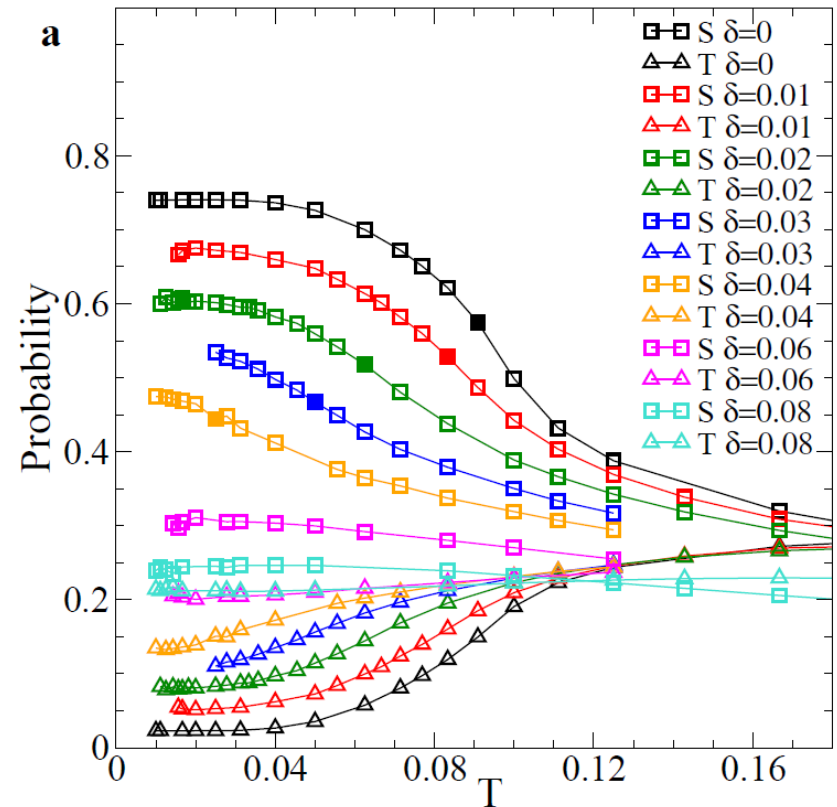


Plaquette eigenstates



See also:

Michel Ferrero, P. S. Cornaglia, L. De Leo, O. Parcollet, G. Kotliar, A. Georges
PRB **80**, 064501 (2009)





Giovanni Sordi



Patrick Sémon



Kristjan Haule

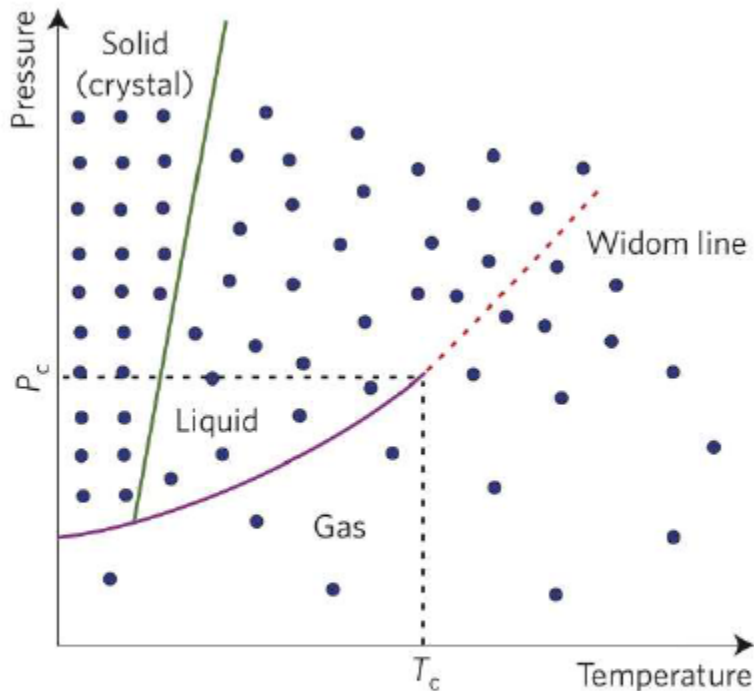
The Wisdom line

G. Sordi, *et al.* Scientific Reports 2, 547 (2012)



UNIVERSITÉ DE
SHERBROOKE

What is the Widom line?



McMillan and Stanley, Nat Phys 2010

- ▶ it is the continuation of the coexistence line in the supercritical region
- ▶ line where the **maxima of different response functions** touch each other asymptotically as $T \rightarrow T_p$
- ▶ liquid-gas transition in water: max in isobaric heat capacity C_p , isothermal compressibility, isobaric heat expansion, etc
- ▶ **DYNAMIC crossover arises from crossing the Widom line!**
water: Xu et al, PNAS 2005, Simeoni et al Nat Phys 2010



Giovanni Sordi



Maxime Charlebois



Patrick Sémon



Lorenzo Fratino

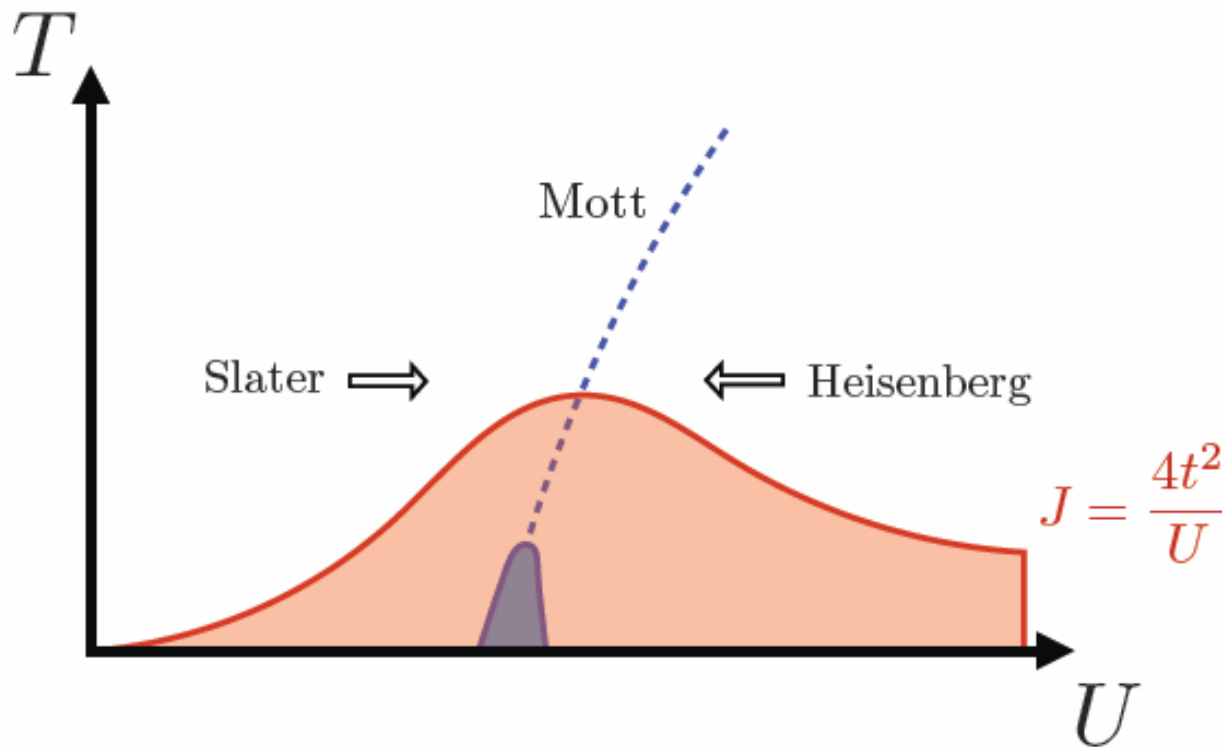
Intermezzo

Influence of the underlying normal
state on the ordered state

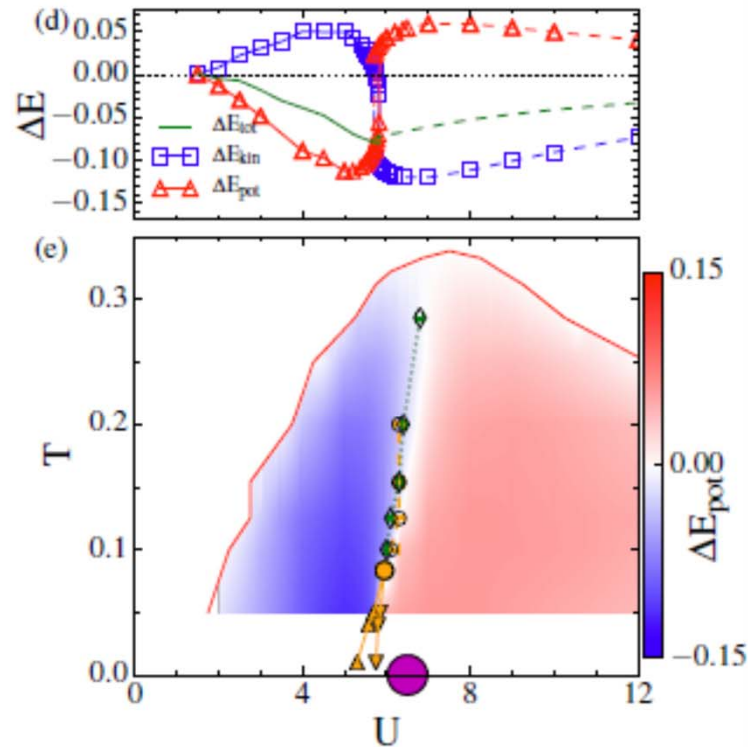


Crossovers inside the AFM phase

$n = 1$, unfrustrated $d = 3$ cubic lattice



Change in mechanism for stability of the AFM



L. Fratino,¹ P. Sémon,² M. Charlebois,² G. Sordi,¹ and A.-M. S. Tremblay^{2,3}

unpublished





Giovanni Sordi



Patrick Sémon



Lorenzo Fratino

Part II

Strongly correlated Superconductivity

Sordi et al. PRL **108**, 216401 (2012)

Fratino et al.

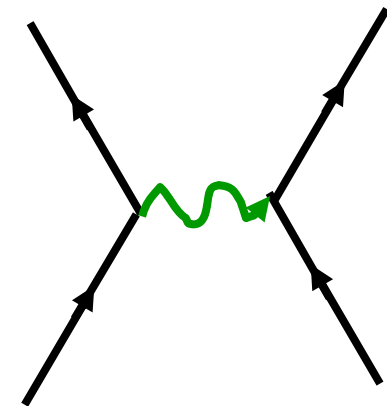
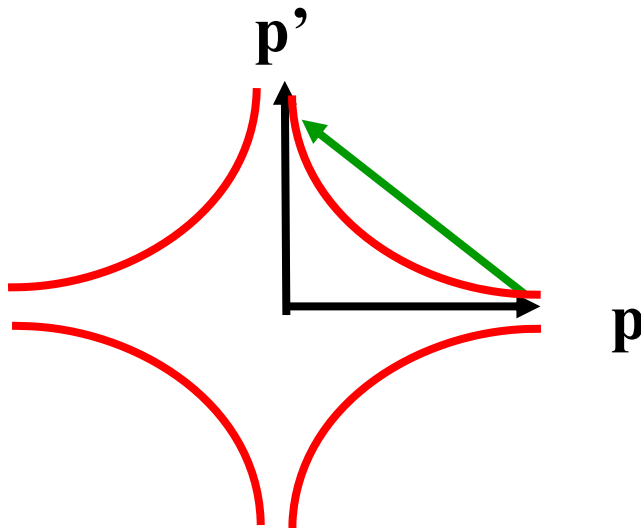
Sci. Rep. **6**, 22715 (2016)



UNIVERSITÉ DE
SHERBROOKE

Cartoon « BCS » weakly-correlated picture

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \langle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \rangle \langle \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \rangle$$



Béal–Monod, Bourbonnais, Emery
P.R. B. **34**, 7716 (1986).

Exchange of spin waves?
Kohn-Luttinger

D. J. Scalapino, E. Loh, Jr., and J. E. Hirsch
P.R. B **34**, 8190-8192 (1986).

T_c with pressure

Kohn, Luttinger, P.R.L. **15**, 524 (1965).

P.W. Anderson Science 317, 1705 (2007)



UNIVERSITÉ DE
SHERBROOKE

A cartoon strongly-correlated picture

$$J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j = J \sum_{\langle i,j \rangle} \left(\frac{1}{2} c_i^\dagger \vec{\sigma} c_i \right) \cdot \left(\frac{1}{2} c_j^\dagger \vec{\sigma} c_j \right)$$

$$d = \langle \hat{d} \rangle = 1/N \sum_{\vec{k}} (\cos k_x - \cos k_y) \langle c_{\vec{k},\uparrow} c_{-\vec{k},\downarrow} \rangle$$

$$H_{MF} = \sum_{\vec{k},\sigma} \varepsilon(\vec{k}) c_{\vec{k},\sigma}^\dagger c_{\vec{k},\sigma} - 4Jm\hat{m} - Jd(\hat{d} + \hat{d}^\dagger) + F_0$$

Pitaevskii Brückner:

Pair state orthogonal to repulsive core of Coulomb interaction

P.W. Anderson *Science*
317, 1705 (2007)

Miyake, Schmitt–Rink, and Varma
P.R. B 34, 6554-6556 (1986)

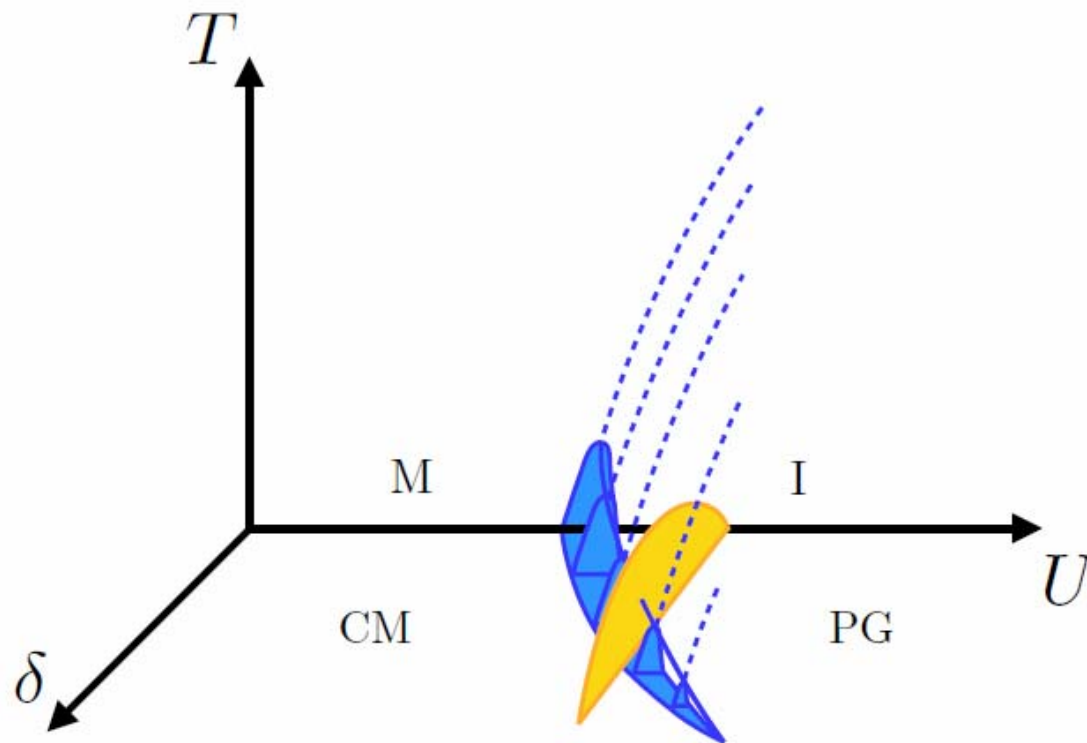
More sophisticated Slave Boson: Kotliar Liu *PRB* 1988



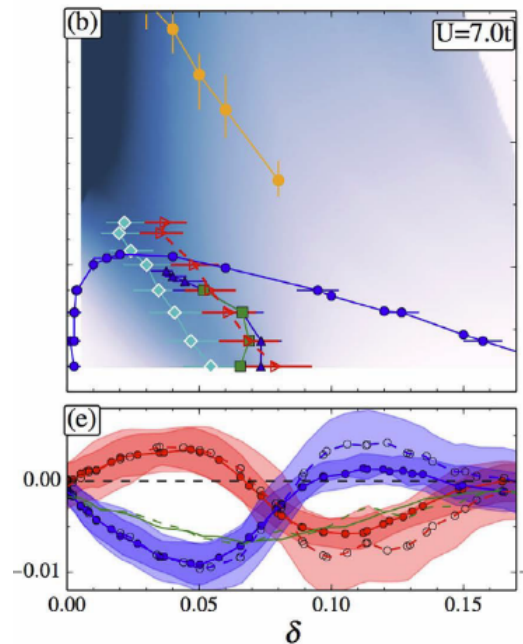
UNIVERSITÉ DE
SHERBROOKE

Superconductivity in Doped Mott insulator

$n = 1, d = 2$ square lattice



An organizing principle



Fratino et al.
Sci. Rep. 6, 22715

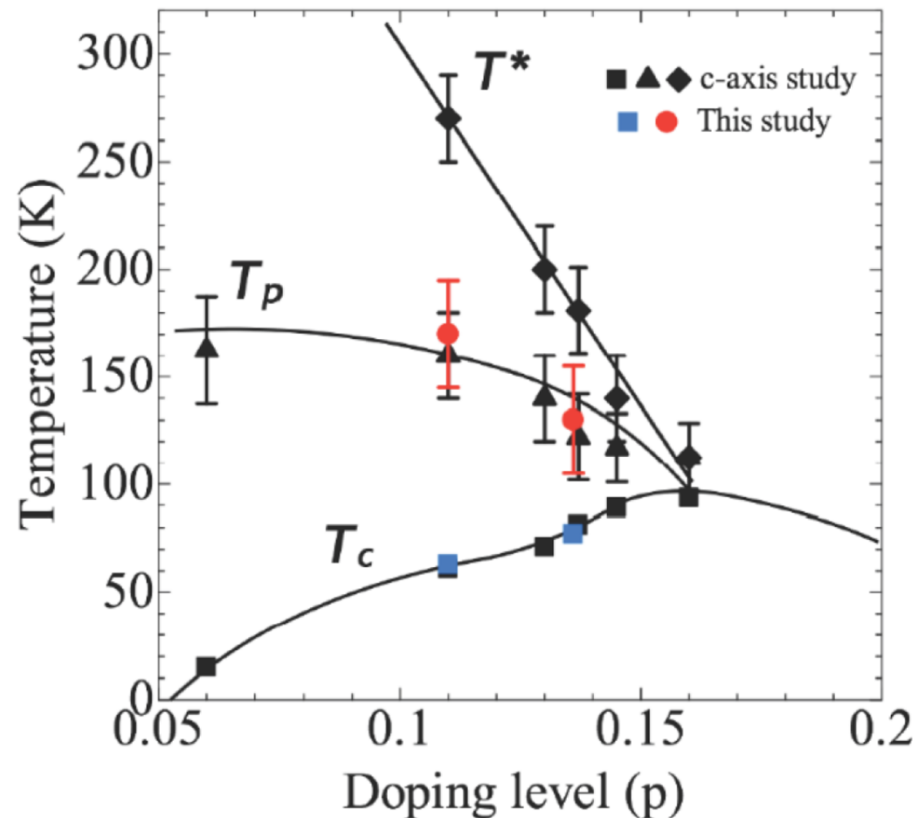
Theory, see also Jarrel PRL (2004), Gull Millis PRB (2014)

Experiments: Bontemps, Van der Marel ...



UNIVERSITÉ DE
SHERBROOKE

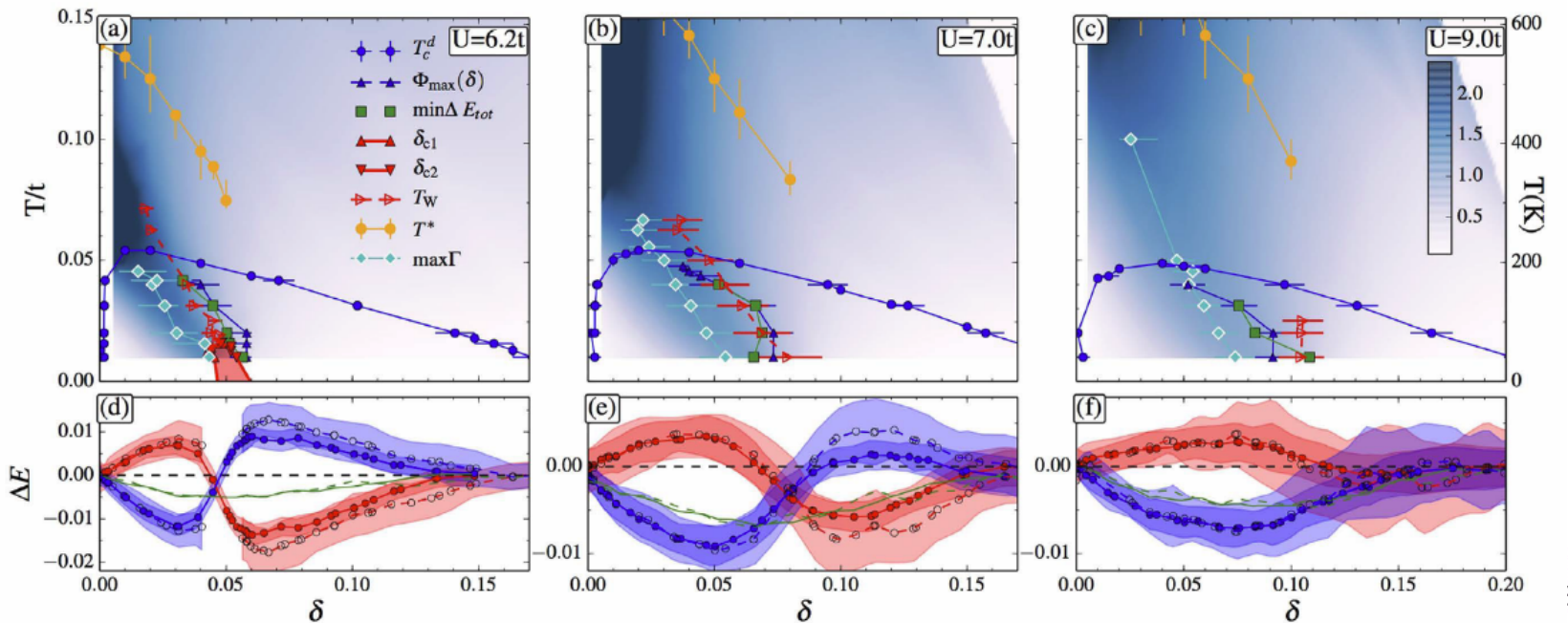
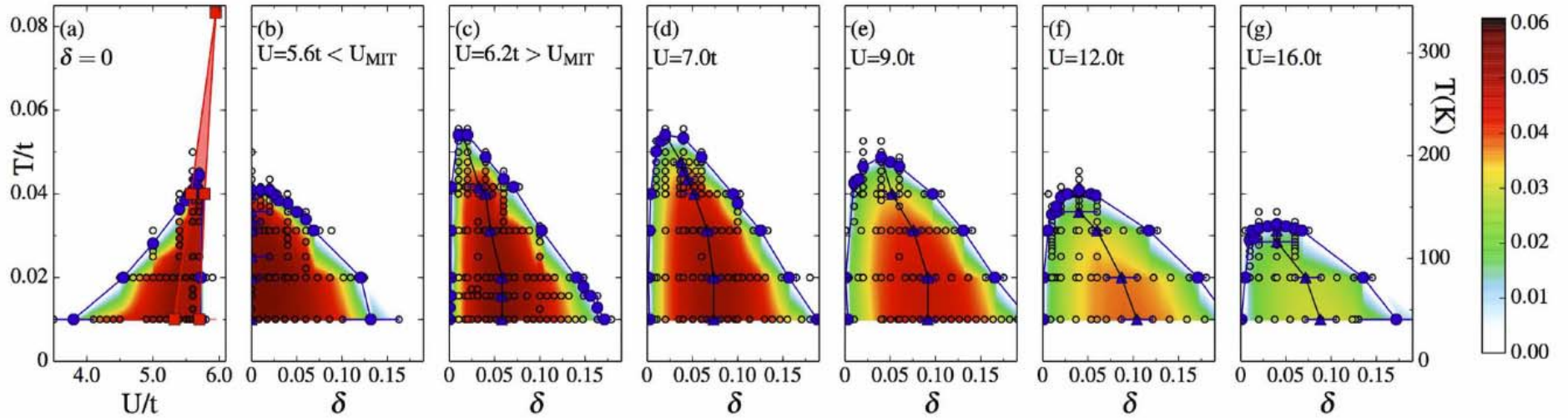
Evidence for local pairs from $\sigma_2(\omega)$



Lee ...Tajima (Osaka) <https://arxiv.org/pdf/1612.08830>



An organizing principle



Fratino et al.
Sci. Rep. 6, 22715

3 bands, charge transfer insulator

Fratino et al. PRB **93**, 245147 (2016)

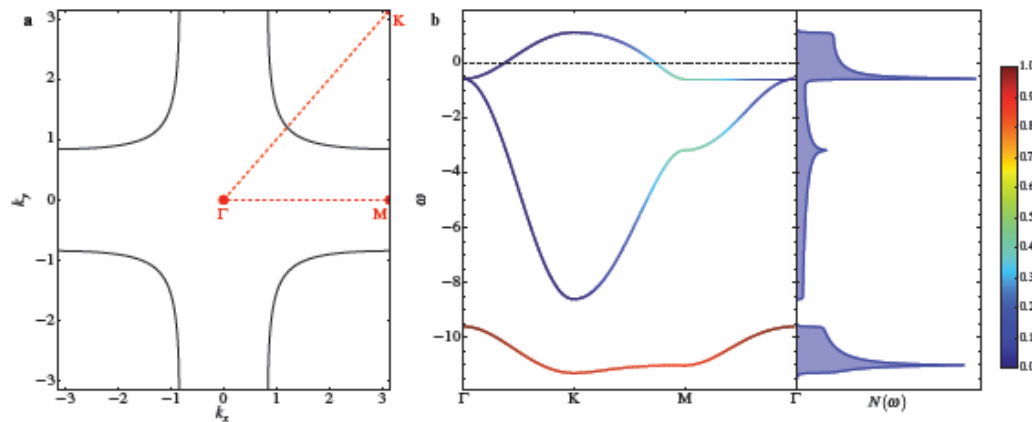
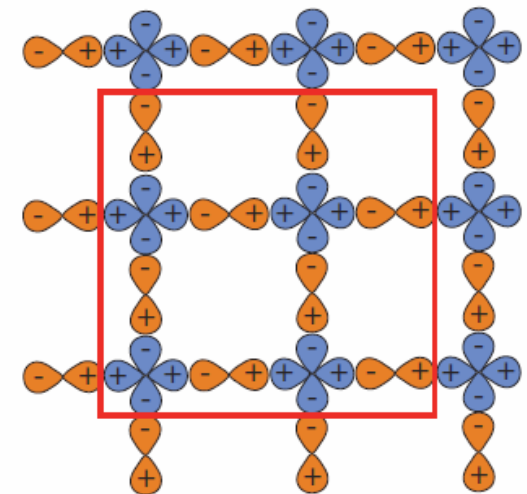


FIG. 2. (a) Noninteracting Fermi surface for the model parameter investigated in Fig. 1a of main text, namely $\epsilon_p = 9$, $t_{pp} = 1$, $t_{pd} = 1.5$, which gives a total occupation n_{tot} equal to five. (b) Non-interacting band structure for the same model parameter along with the resulting total density of states. Color corresponds to the d-character of the hybridised bands. The band crossing the Fermi level has mostly oxygen character.



Giovanni Sordi



Lorenzo Fratino

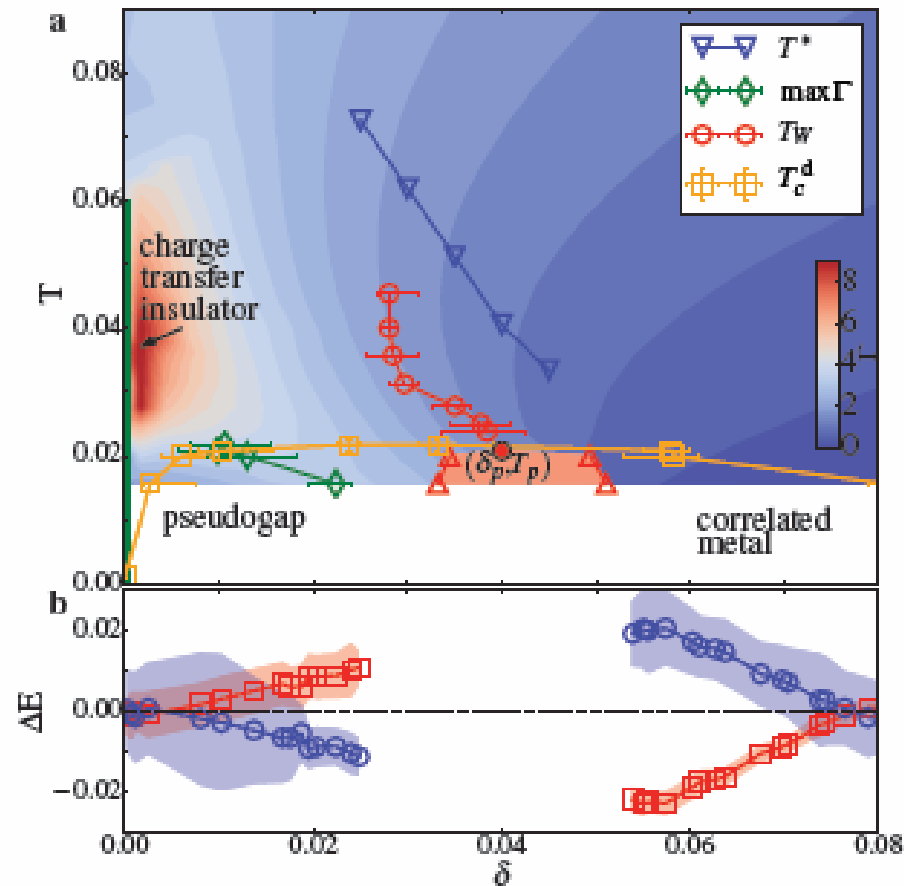


Patrick Sémon



UNIVERSITÉ DE
SHERBROOKE

3 bands, charge transfer insulator



Fratino et al. PRB 93, 245147 (2016)



Charles-David Hébert



Patrick Sémon

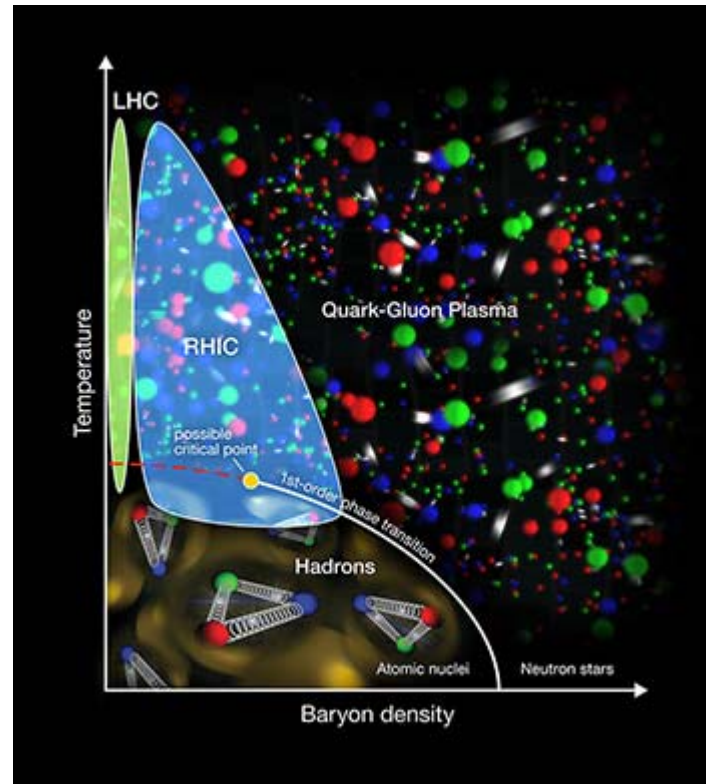
Organics : Phase diagram, finite T

Made possible by algorithmic improvements

P. Sémon *et al.*
PRB **85**, 201101(R) (2012)
PRB **90** 075149 (2014);
and PRB **89**, 165113 (2014)



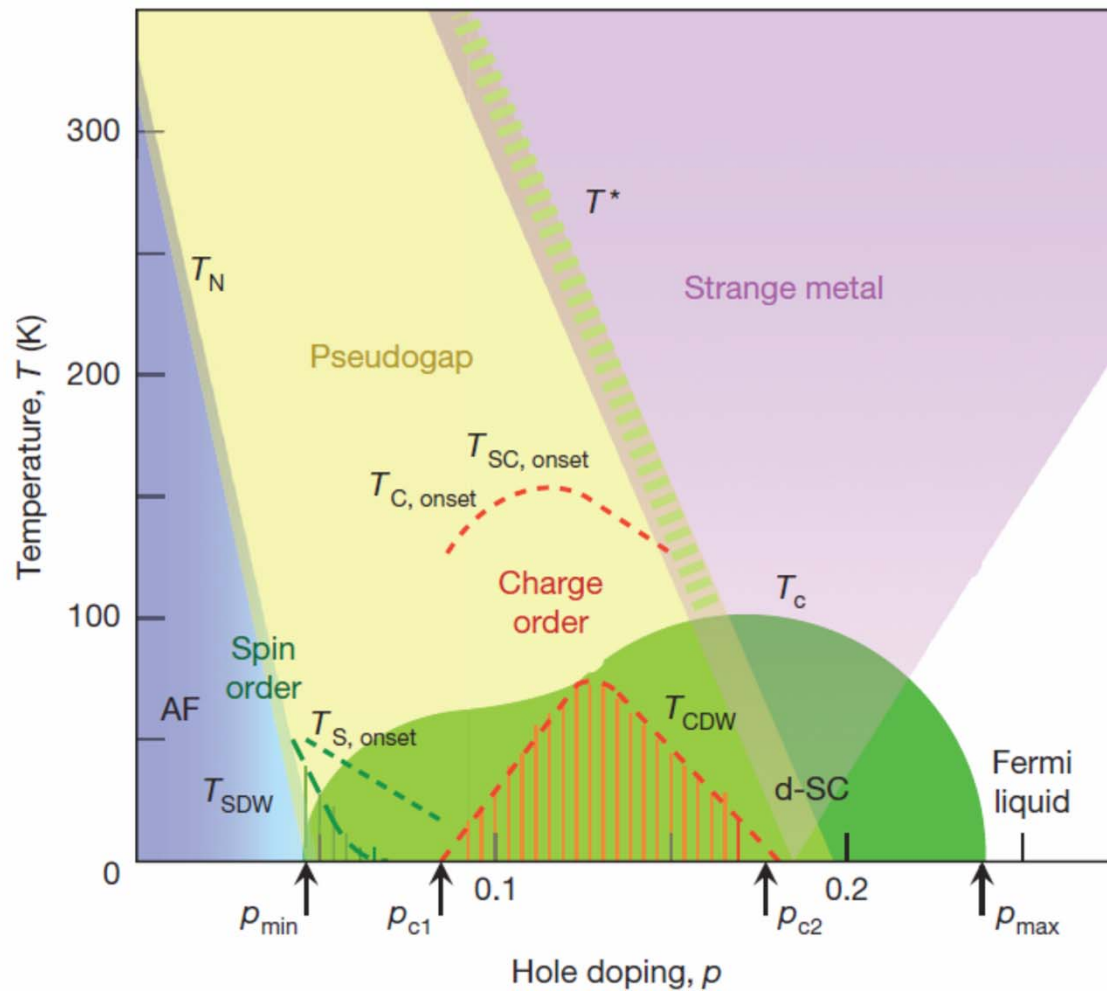
A general principle in strongly correlated matter?



Swagato Mukherjee, Raju Venugopalan, and Yi Yin
Phys. Rev. Lett. **117**, 222301 (2016)



Phase diagram



Keimer et al., Nature 518, 179 (2015)



P.W. Anderson

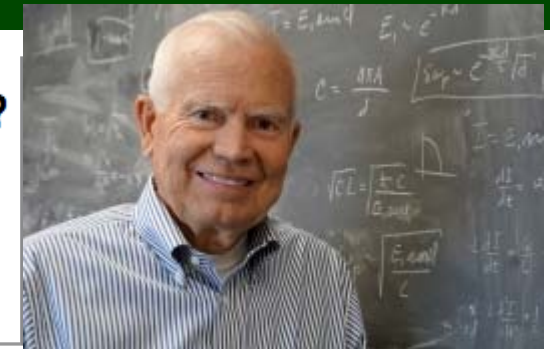
Raising the question

D.J. Scalapino



Is There Glue in Cuprate Superconductors?

Philip W. Anderson
Science **316**, 1705 (2007);
DOI: 10.1126/science.1140970



Is There Glue in Cuprate Superconductors?

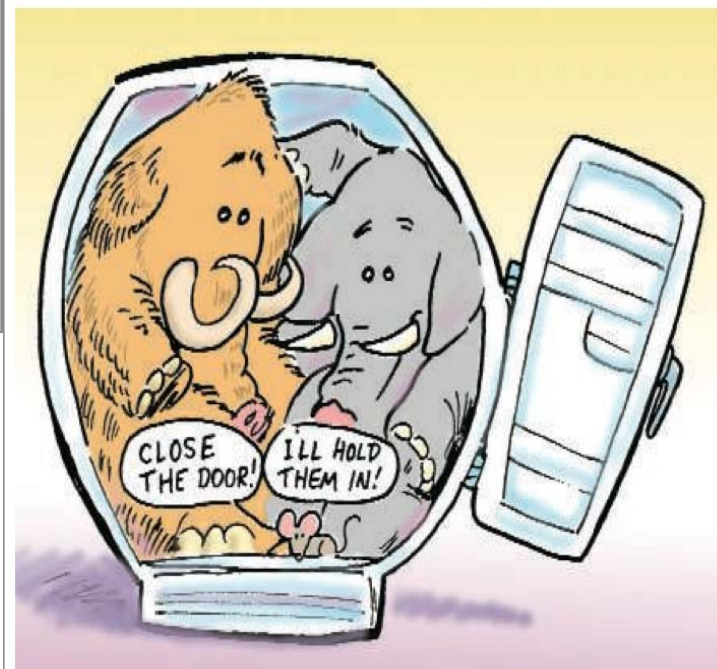
Philip W. Anderson

Many theories about electron pairing in cuprate superconductors may be on the wrong track.

Science e-letter, 5 and 10 Dec. 2007

Retardation

$$V_{el-ph}^{eff}(\vec{q}, \omega) = \frac{e^2}{4\pi\epsilon_0(q^2 + k_{TF}^2)} \left[1 + \frac{\omega_{ph}^2(\vec{q})}{\omega^2 - \omega_{ph}^2(\vec{q})} \right]$$



"We have a mammoth and an elephant in our refrigerator—do we care much if there is also a mouse?"



UNIVERSITÉ DE
SHERBROOKE

Conclusion

- Even within a single phase, there can be qualitative differences between the strong and weak correlation limit
- A phase transition in the underlying normal state can act as an organizing principle for the phase diagram.



Review: A.-M.S.T. [arXiv: 1310.1481](https://arxiv.org/abs/1310.1481)



A.-M.S. Tremblay

“Strongly correlated superconductivity”

Chapt. 10 : *Emergent Phenomena in Correlated Matter Modeling and Simulation*, Vol. 3, E. Pavarini, E. Koch, and U. Schollwöck (eds.)

Verlag des Forschungszentrum Jülich, 2013