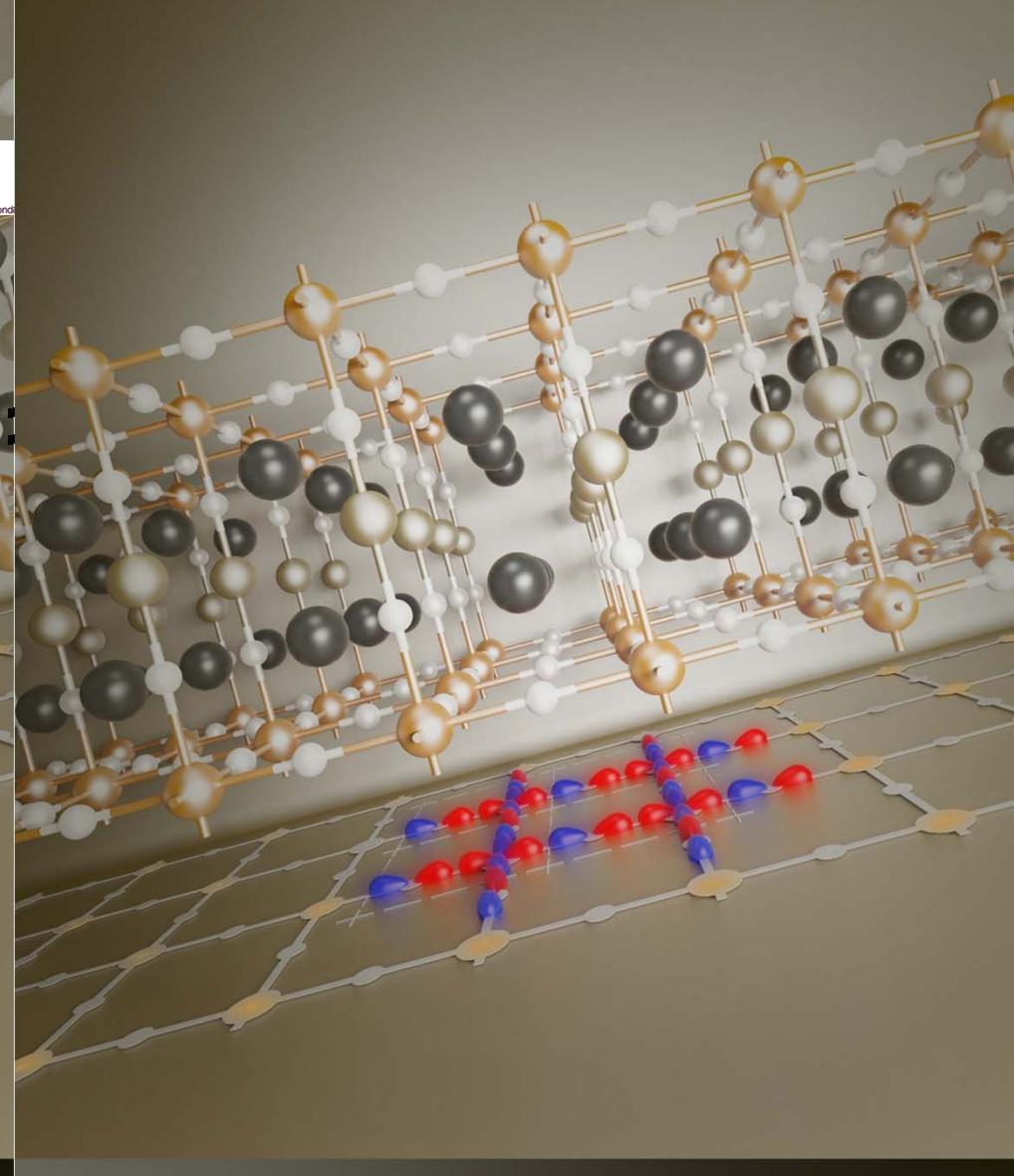


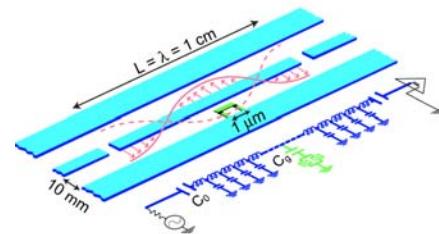
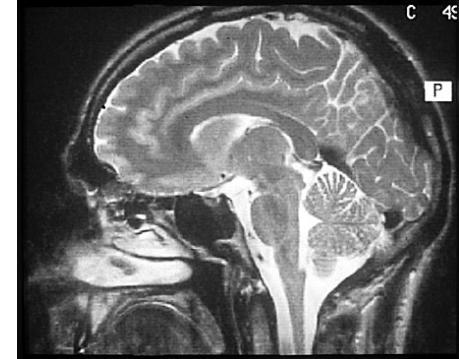
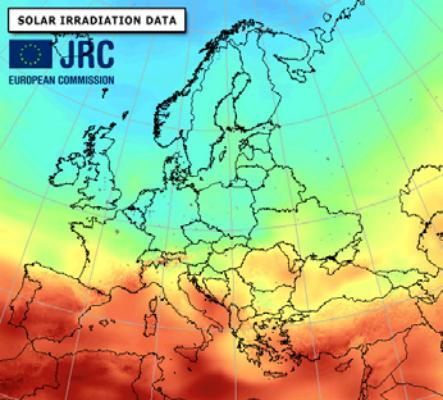
# Superconductivity in ultra-quantum matter Part II: Optimizing $T_c$

André-Marie Tremblay

RQMP  
30 September 2021  
10:30



# Applications



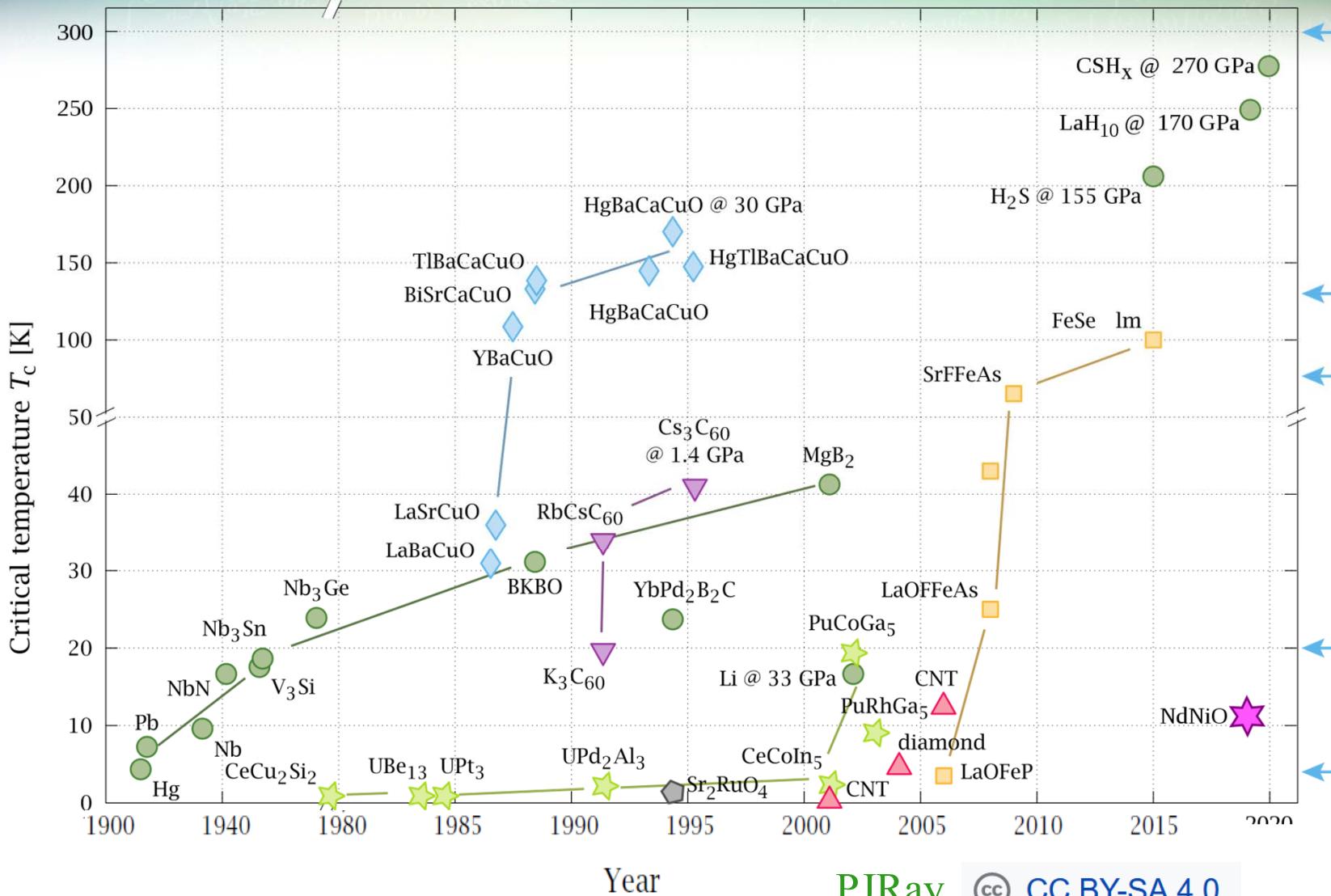
Alexandre Blais, et al. Phys. Rev. A  
69, 062320 (2004)



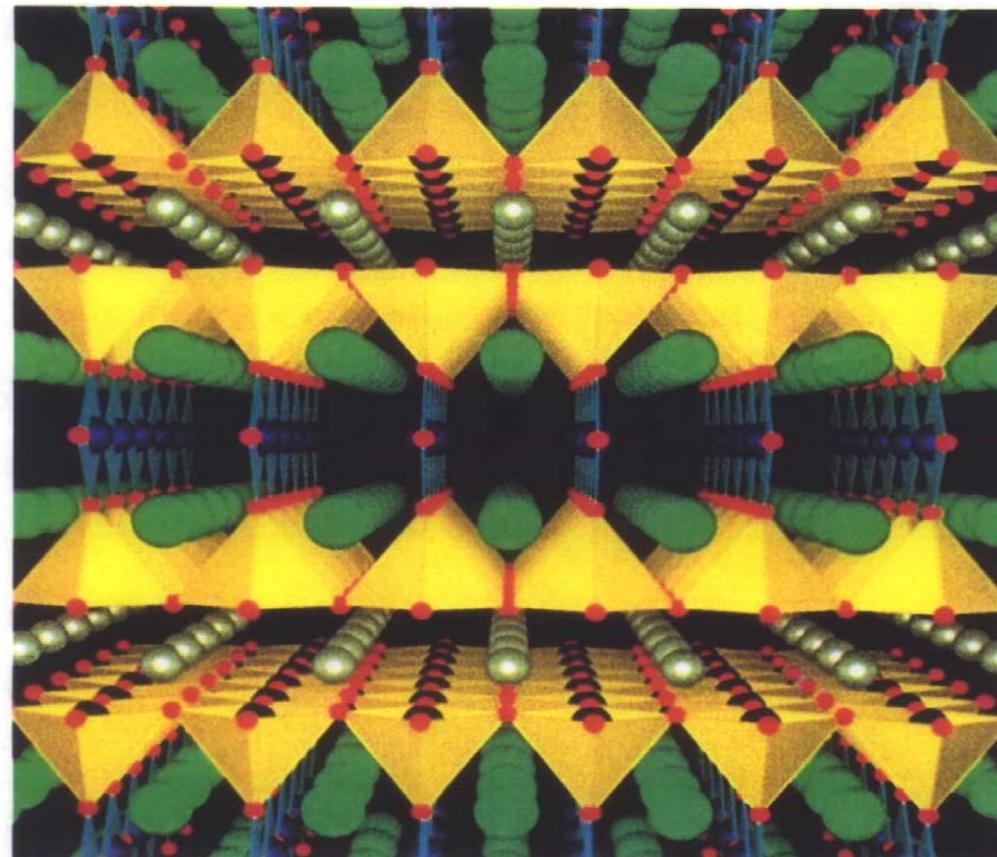
Photo IBM

Can we have  
superconductivity at  
room temperature?

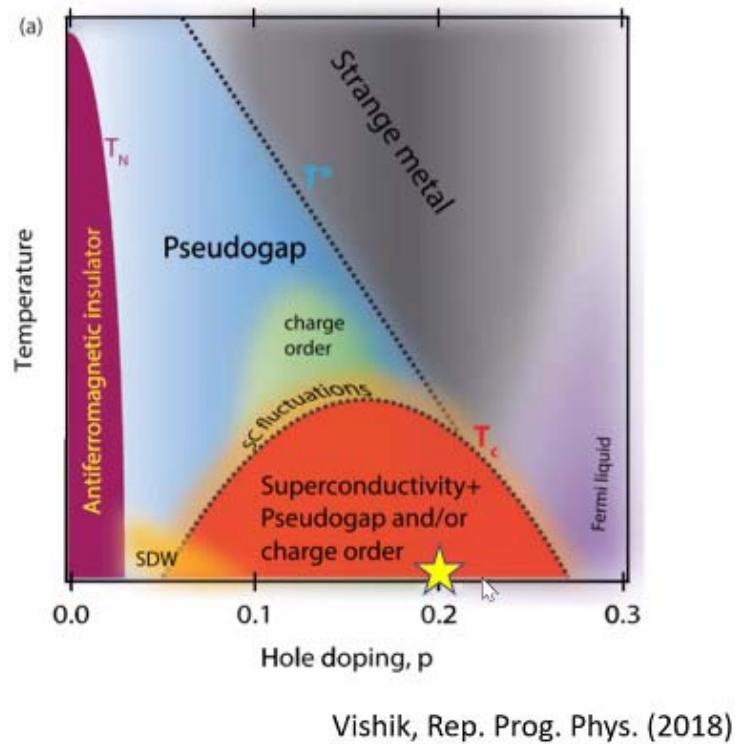




# Cuprates : Atomic structure



- Who ordered this?

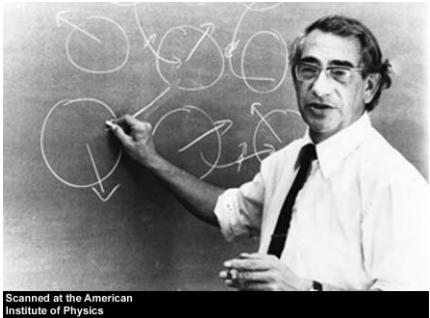


- Mott insulator
- Pseudogap
- Strange metal
- Quantum critical point (QCP)
- Competing ground states
- Superconductivity in the presence of strong repulsion

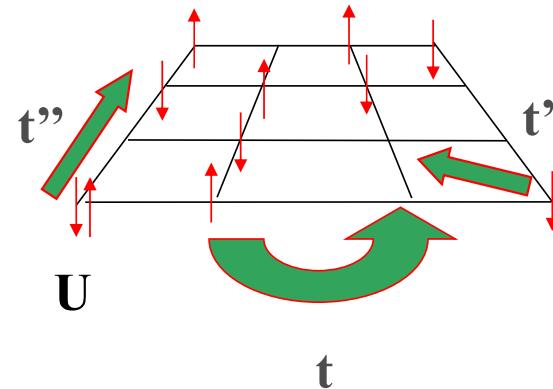
# A highly quantum mechanical problem



# Hubbard model



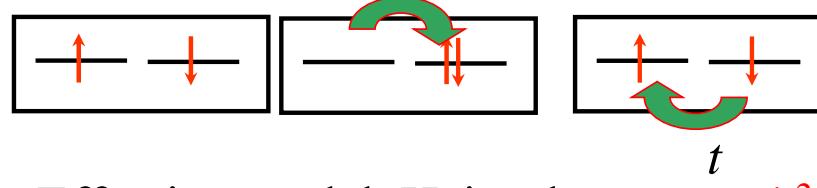
$$\mu$$



1931-1980

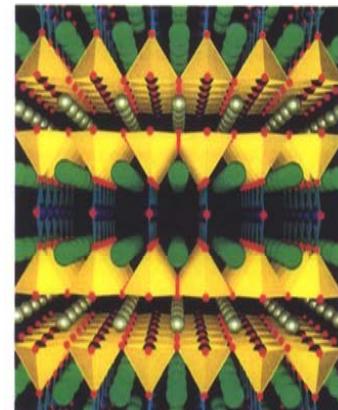
$$H = - \sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Spin 1/2



Effective model, Heisenberg:  $J = 4t^2 / U$

Attn: Charge transfer insulator



# Outline

- Method
- One-band Hubbard model
  - d-wave superconductivity
- Three-band Hubbard model : oxygen can probe the details
  - Explaining three experiments that show how to optimize d-wave superconductivity

## Take home messages

- A detailed picture of the origin of superconductivity in cuprates follows from a model that takes into account Cu, O, kinetic energy and repulsion
- We need to look beyond traditional tools of solid state physics to work this out.

# Method : The precursors

Hohenberg-Kohn : Exchange correlation  
Kohn-Sham : Basis set

Density Functional Theory

# Method

Metzner, Vollhardt PRL **62**, 324 (1989)

Georges, Kotliar, PRB **45**, 6479 (1992)

Jarrell PRL **69**, 168 (1992)

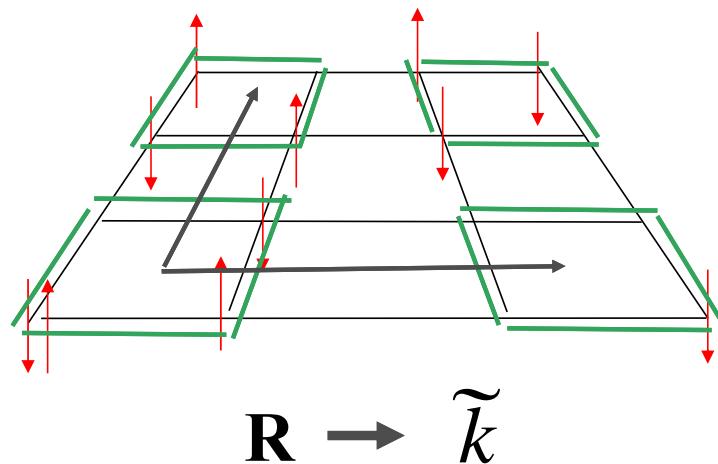
Review: Georges, Kotliar, Krauth, Rozenberg, RMP **68**, 13 (1996)

## Dynamical Mean-Field Theory : DMFT

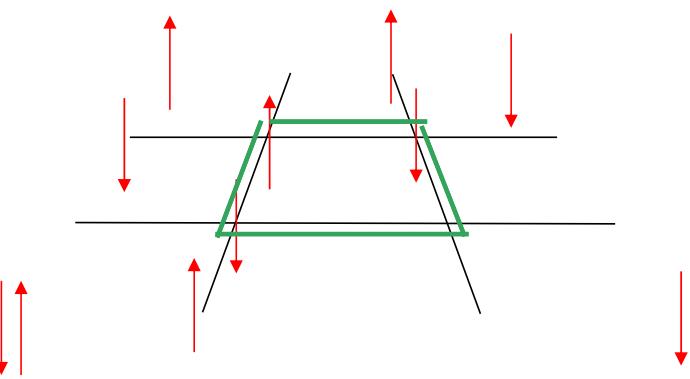
# Localized and delocalized pictures C-DMFT

10

**Delocalized**



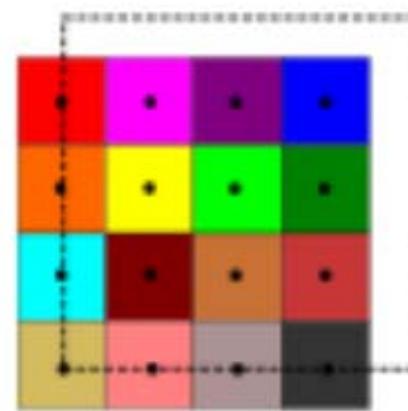
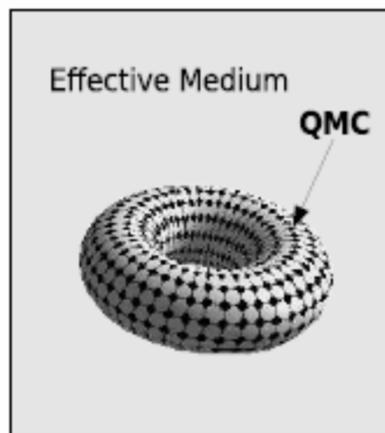
**Localized**



$$G_{ij} = \int \frac{d^d \tilde{k}}{(2\pi)^d} \left( \frac{1}{(i\omega_n + \mu)I - \varepsilon(\tilde{k}) - \Sigma} \right)_{ij}$$

$$(G^{-1})_{ij} = (G_0^{-1})_{ij} - \Sigma_{ij}$$

# Dynamical cluster approximation (DCA)

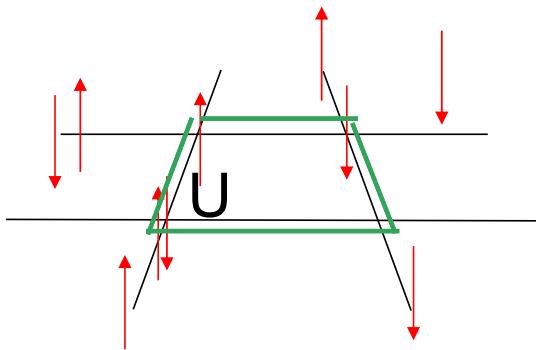


Hettler ... Jarrell ... Krishnamurty PRB **58** (1998)

# Impurity solvers



# Impurity solver (Exact diagonalisation)



Caffarel, Krauth, PRL **72** 1545 (1994)

QCM David Sénéchal

# Impurity solver : continuous-time quantum Monte Carlo

$$Z = \int \mathcal{D}[\psi^\dagger, \psi] e^{-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{\mathbf{k}} \psi_{\mathbf{k}}^\dagger(\tau) \Delta_{\mathbf{k}}(\tau, \tau') \psi_{\mathbf{k}}(\tau')}$$

Hybridization expansion :

Werner Millis PRB **74**, 155107 (2006)

Werner Millis B **75**, 085108 (2007)

Haule, PRB **75**, 155113 (2007)

Sémon, Sordi, AMST PRB **89**, 165113 (2014)

Sémon, Yee, Haule, AMST PRB **90**, 075149 (2014)

**triqs**

ALPSCore / CT-HYB

iQIST

ComCTQMC

# Some groups using these methods for cuprates

- Europe:
  - Georges, Parcollet, Ferrero, Civelli, Wu (Paris)
  - Lichtenstein, Potthoff, (Hamburg) Aichhorn (Graz), Liebsch (Jülich) de Medici (Grenoble) Capone (Italy)
- USA:
  - Gull (Michigan) Millis (Columbia)
  - Kotliar, Haule (Rutgers)
  - Jarrell (Louisiana)
  - Maier, Okamoto (Oakridge)
- Japan
  - Imada (Tokyo) Sakai, Tsunetsugu, Motome

# Critique



## + and -

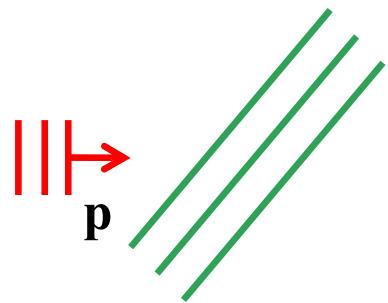
- Long range order:
  - No mean-field factorization on the cluster
  - Symmetry breaking allowed in the bath
- Included exactly:
  - Short-range dynamical and spatial correlations
- Missing:
  - Long wavelength p-h and p-p fluctuations
  - Hence good when the corresponding correlation lengths are small

# d-wave superconductivity One band model

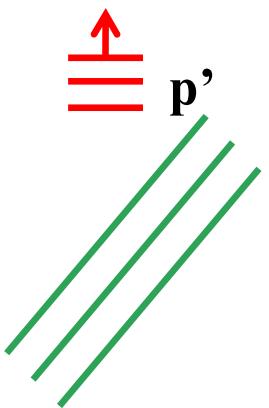


# Superconductivity

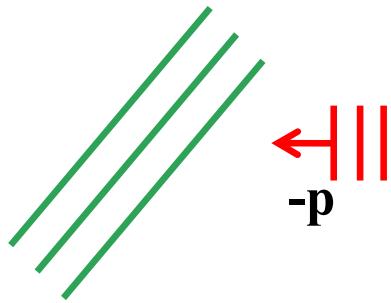
# Attraction mechanism in the metallic state



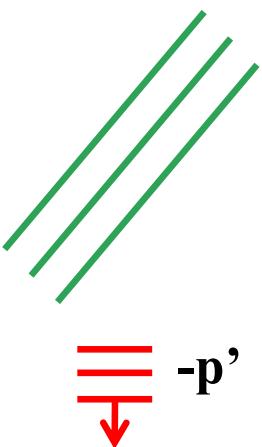
# Attraction mechanism in the metallic state



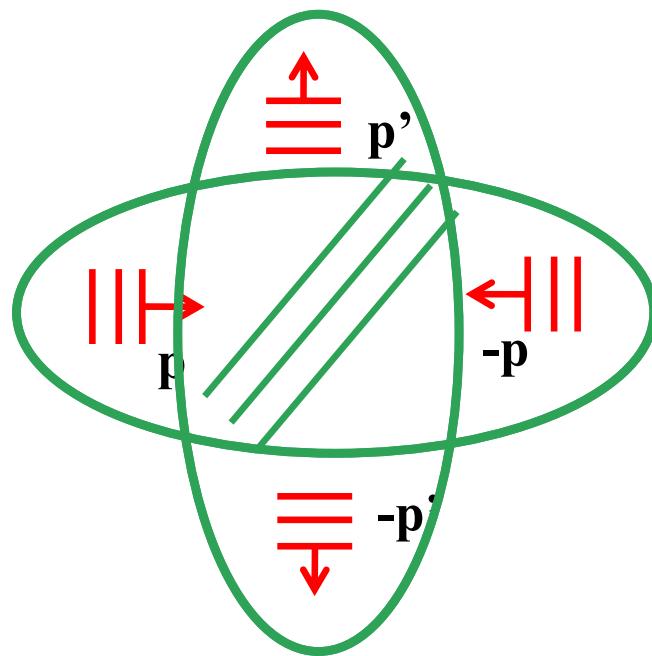
# Attraction mechanism in the metallic state



# Attraction mechanism in the metallic state



# Attraction mechanism in the metallic state



## #1 Cooper pair, #2 Phase coherence

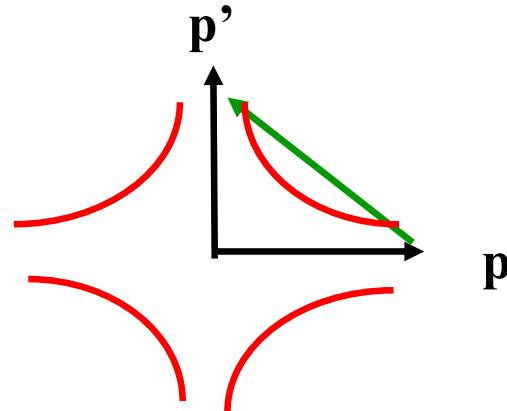
$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow}$$

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \langle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \rangle \langle \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \rangle$$

$$|\text{BCS}(\theta)\rangle = \dots + e^{iN\theta} |N\rangle + e^{i(N+2)\theta} |N+2\rangle + \dots$$

# Cartoon « BCS » weak-coupling picture

$$\Delta_{\mathbf{p}} = -\frac{1}{2V} \sum_{\mathbf{p}'} U(\mathbf{p} - \mathbf{p}') \frac{\Delta_{\mathbf{p}'}}{E_{\mathbf{p}'}} (1 - 2n(E_{\mathbf{p}'}))$$

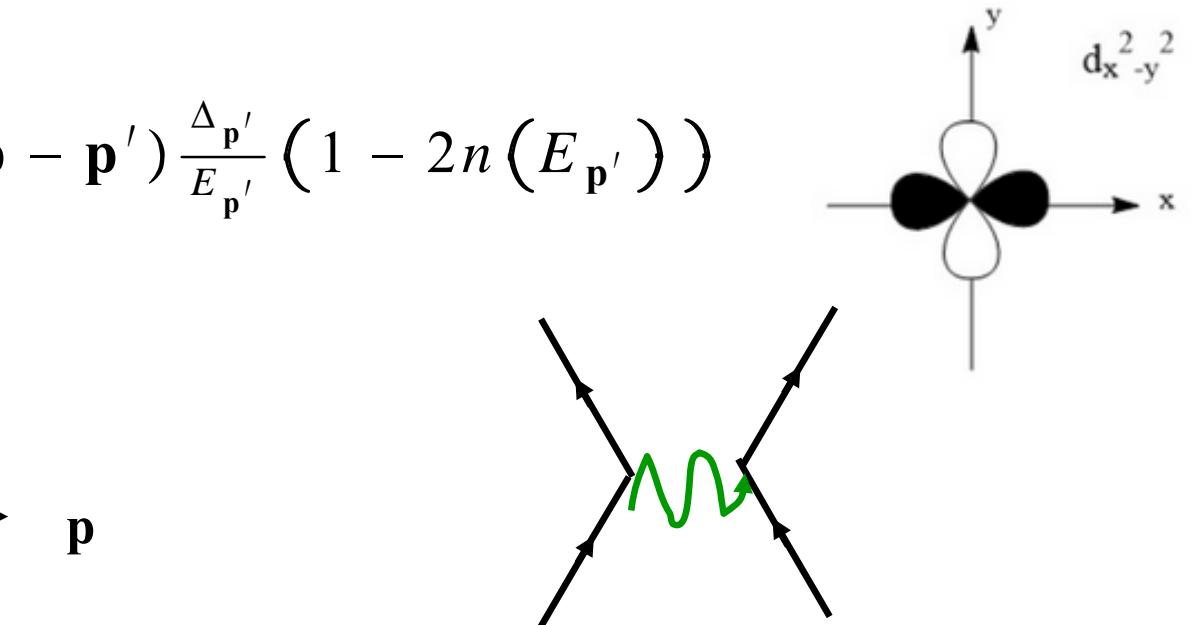


Exchange of spin waves?

Kohn-Luttinger

$T_c$  with pressure

P.W. Anderson Science 317, 1705 (2007)

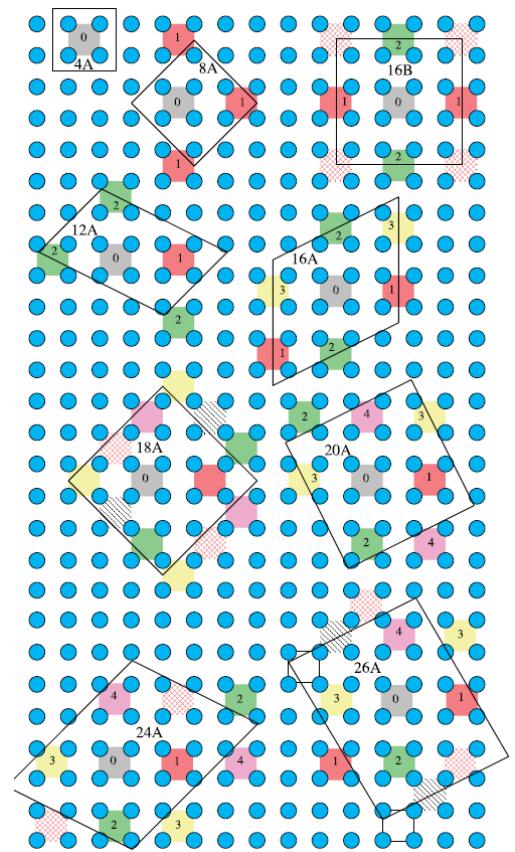
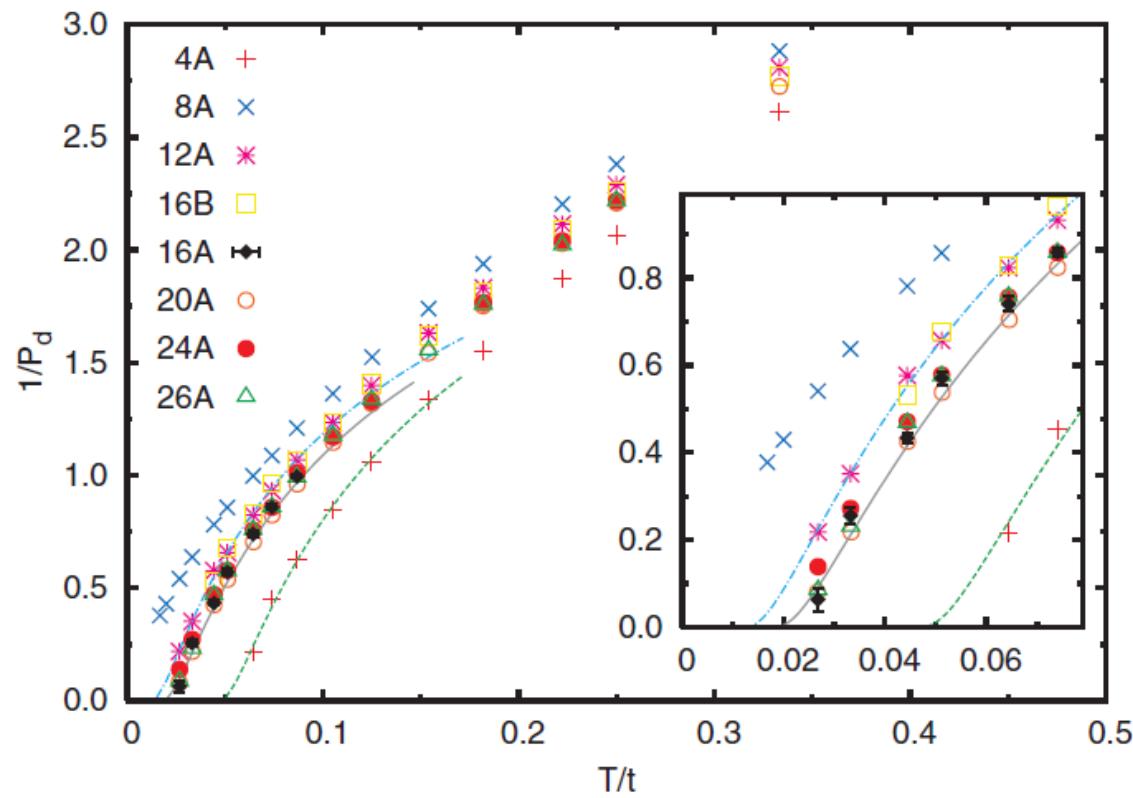


Béal–Monod, Bourbonnais, Emery  
P.R. B. 34, 7716 (1986).

D. J. Scalapino, E. Loh, Jr., and J. E. Hirsch  
P.R. B 34, 8190-8192 (1986).

Kohn, Luttinger, P.R.L. 15, 524 (1965).

# Exchange of spin waves, $U = 4t$ doping 10%



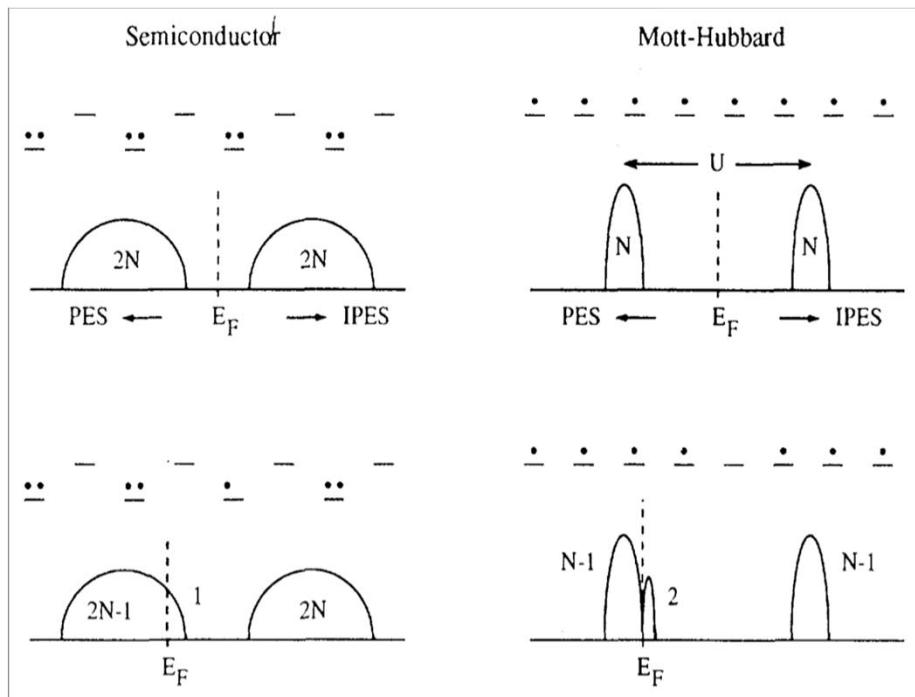
Maier, Jarrell, Schulthess, Kent, White PRL 95, 237001 (2005)

# The strong-correlation limit : Superexchange



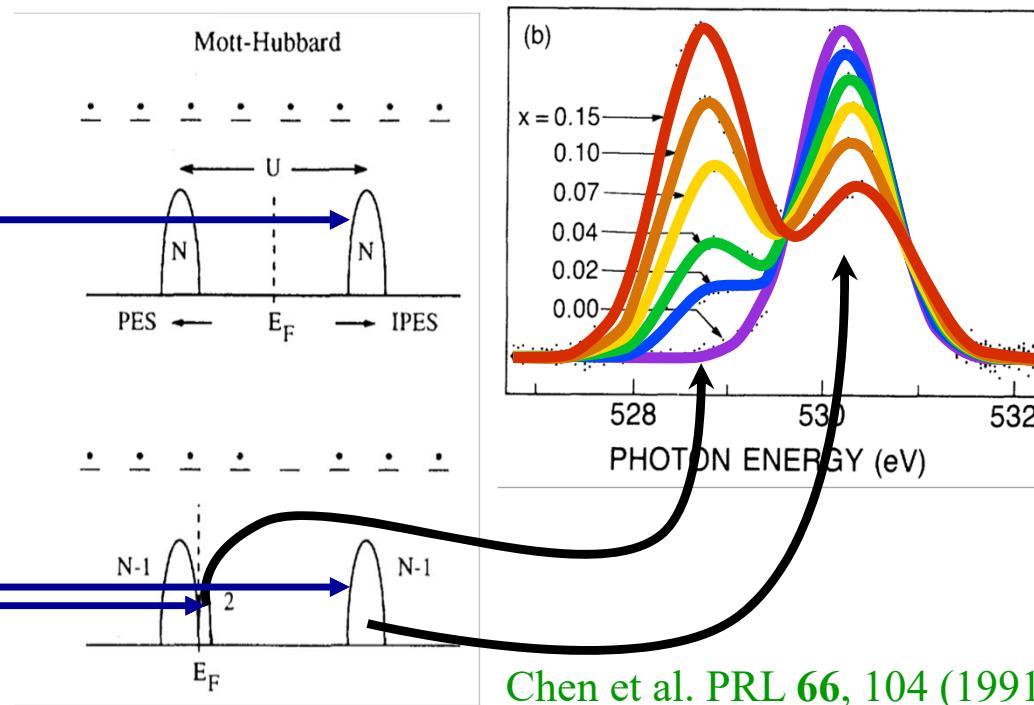
# Mott Insulator

Meinders *et al.* PRB **48**, 3916 (1993)



# Mott Insulator : X-Ray absorption

Meinders *et al.* PRB **48**, 3916 (1993)



Chen et al. PRL **66**, 104 (1991)

# A cartoon strong correlation picture

$$J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j = J \sum_{\langle i,j \rangle} \left( \frac{1}{2} c_i^\dagger \vec{\sigma} c_i \right) \cdot \left( \frac{1}{2} c_j^\dagger \vec{\sigma} c_j \right)$$

$$d = \langle \hat{d} \rangle = 1/N \sum_{\vec{k}} (\cos k_x - \cos k_y) \langle c_{\vec{k},\uparrow}^\dagger c_{-\vec{k},\downarrow} \rangle$$

$$H_{MF} = \sum_{\vec{k},\sigma} \varepsilon(\vec{k}) c_{\vec{k},\sigma}^\dagger c_{\vec{k},\sigma} - 4Jm\hat{m} - Jd(\hat{d} + \hat{d}^\dagger) + F_0$$

Pitaevskii Brückner:

Pair state orthogonal to repulsive core of Coulomb interaction

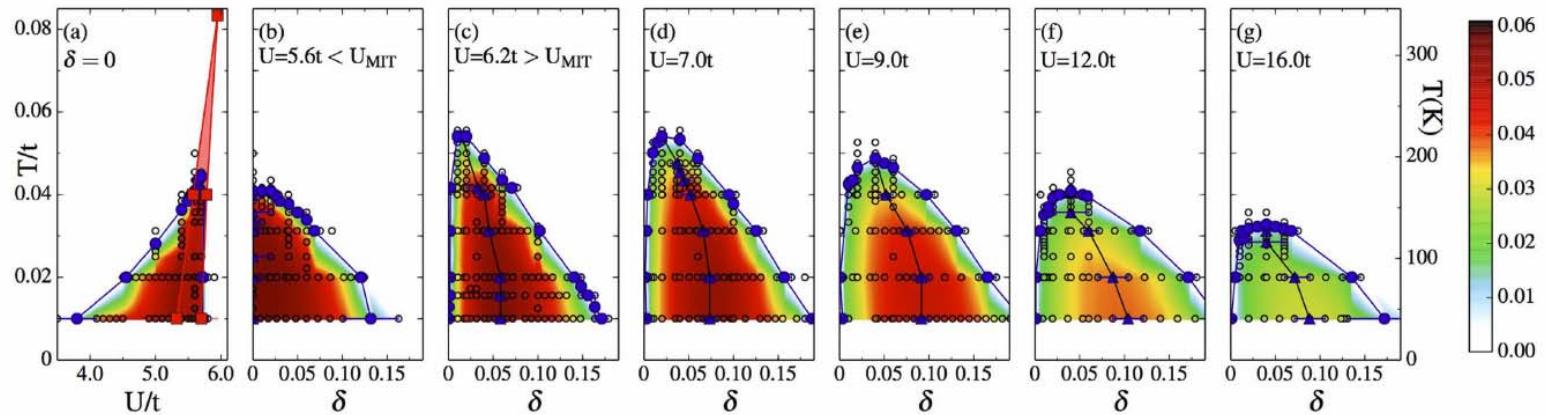
P.W. Anderson Science  
317, 1705 (2007)

Miyake, Schmitt–Rink, and Varma  
P.R. B 34, 6554-6556 (1986)

More sophisticated Slave Boson: Kotliar Liu PRB 1988

# $T_c$ controlled by $J$ , CDMFT 2x2

$$J = 4t^2/U$$



Fratino et al.  
Sci. Rep. 6, 22715

Some experiments that suggest  $T_c < T_{\text{pair}} < T^*$

T. Kondo *et al.* PRL 111 (2013)

Kondo, Takeshi, et al. Kaminski Nature Physics 2011, 7, 21-25

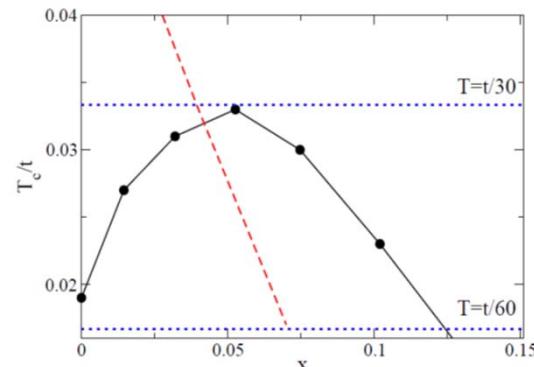
A. Pushp, Parker, ... A. Yazdani, Science 364, 1689 (2009)

Lee ... Tajima (Osaka) <https://arxiv.org/pdf/1612.08830>

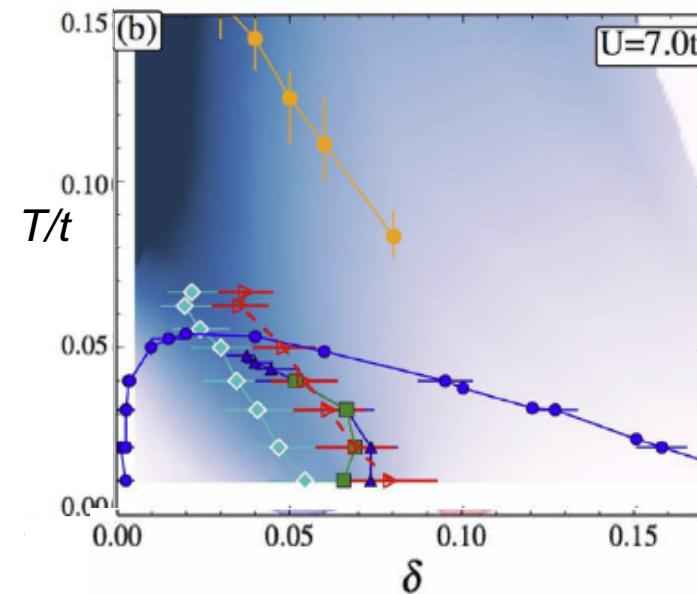
Patrick M. Rourke, et al. Hussey Nature Physics 7, 455–458 (2011)

Lee et al. J. Phys. Soc. Jpn. 86, 023701 (2017)

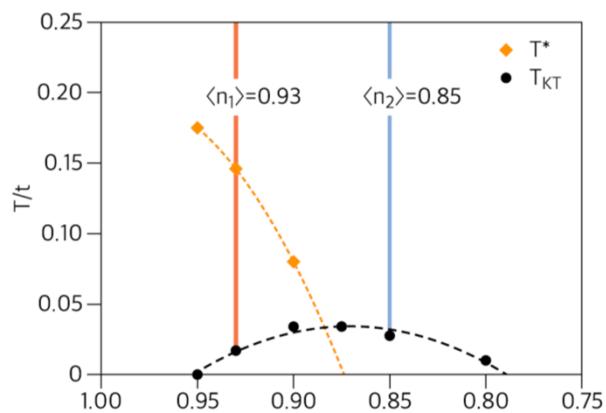
# Phase diagram



E. Gull and A. J. Millis  
Phys. Rev. B 88, 075127

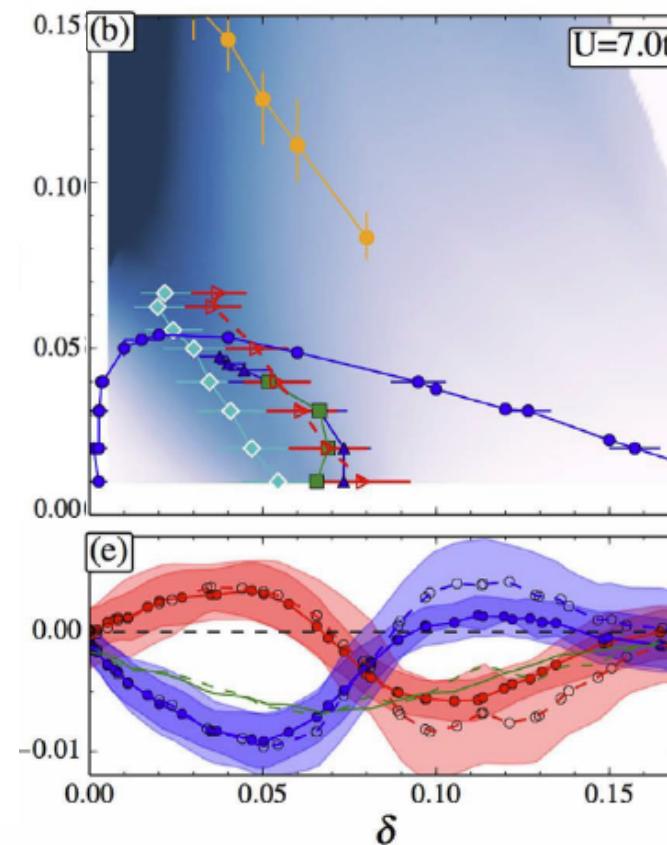


Fratino et al.  
Sci. Rep. 6, 22715



T.A. Maier, D.J. Scalapino, npj Quantum Materials (2019)

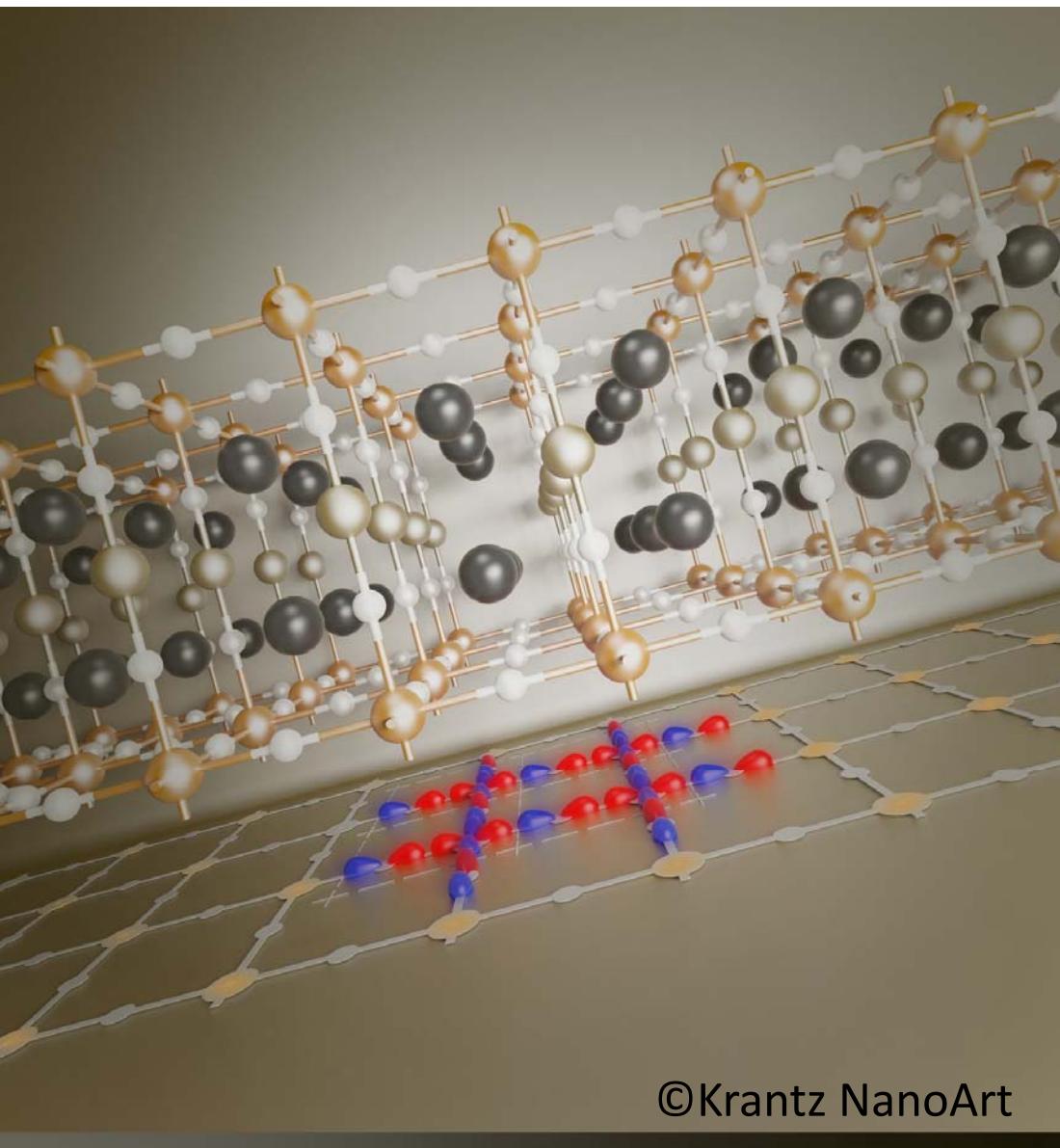
# Condensation energy



Fratino et al.  
Sci. Rep. 6, 22715

Theory, see also  
Jarrel PRL  
(2004), Gull  
Millis PRB  
(2014)

Experiments:  
Bontemps,  
Santander-Syro  
Van der Marel ...



**USHERBROOKE.CA/IQ126**

# Three-band (Emery VSA) Hubbard model



Sidhartha Dash Nicolas Kowalski



Patrick Sémon



David Sénéchal

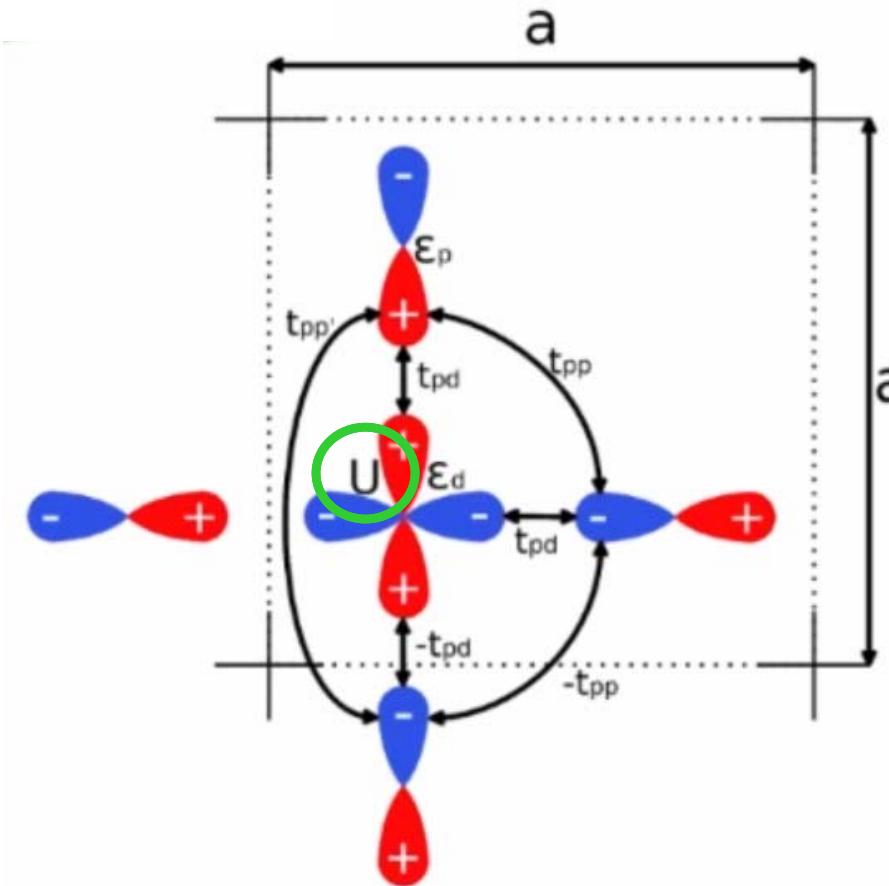
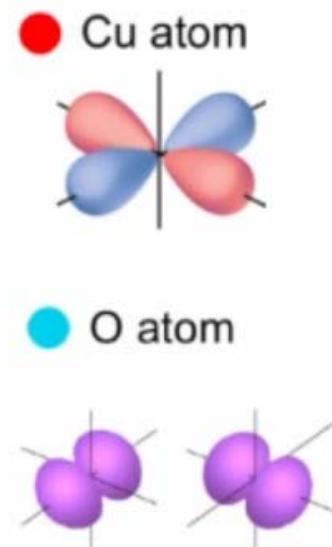
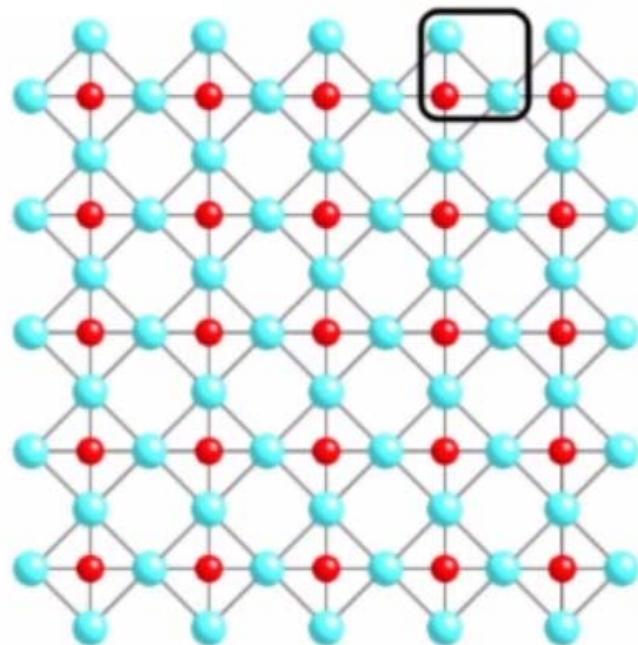


V.J. Emery, Phys. Rev. Lett. **58**, 2794 (1987)

C. M. Varma, S. Schmitt-Rink, and E. Abrahams, Solid State Communications **62**, 681–685 (1987), ISSN 0038-1098,

PNAS **118** (40) e2106476118 (2021)

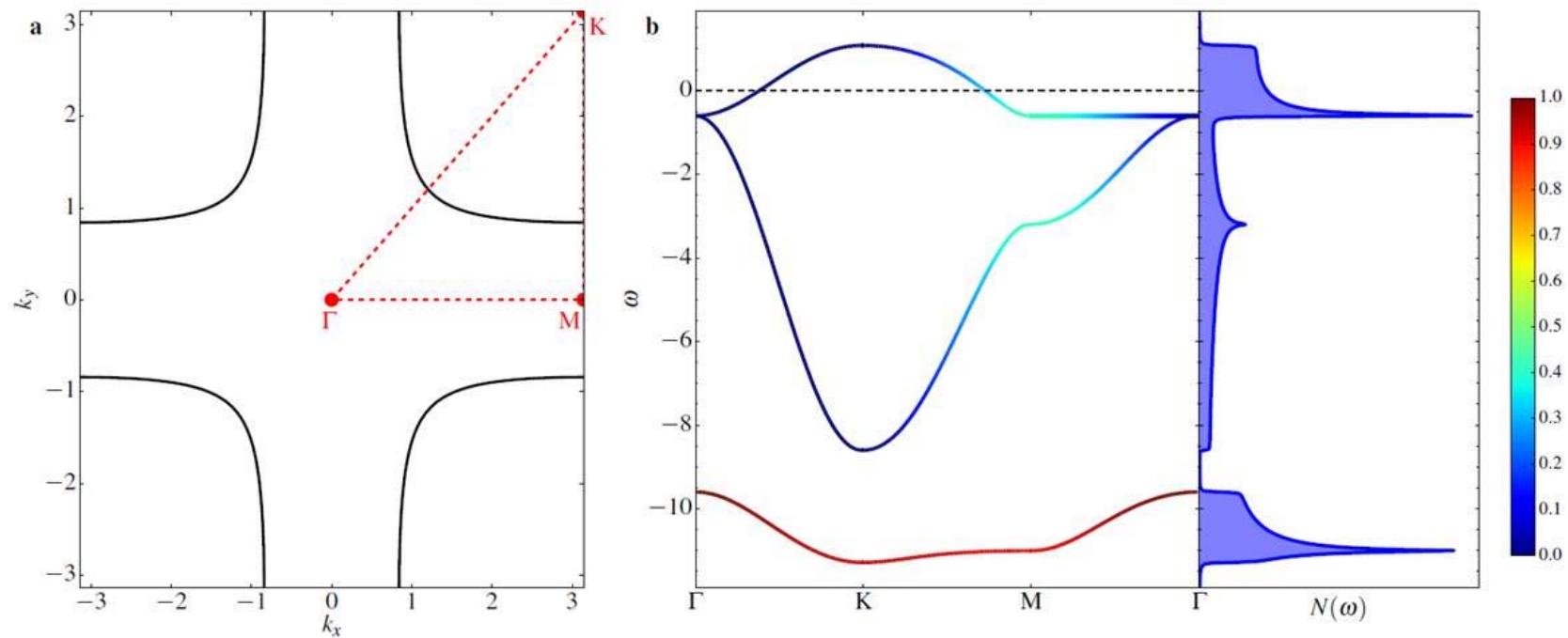
# Copper and oxygen planes



© Nicolas Kowalski

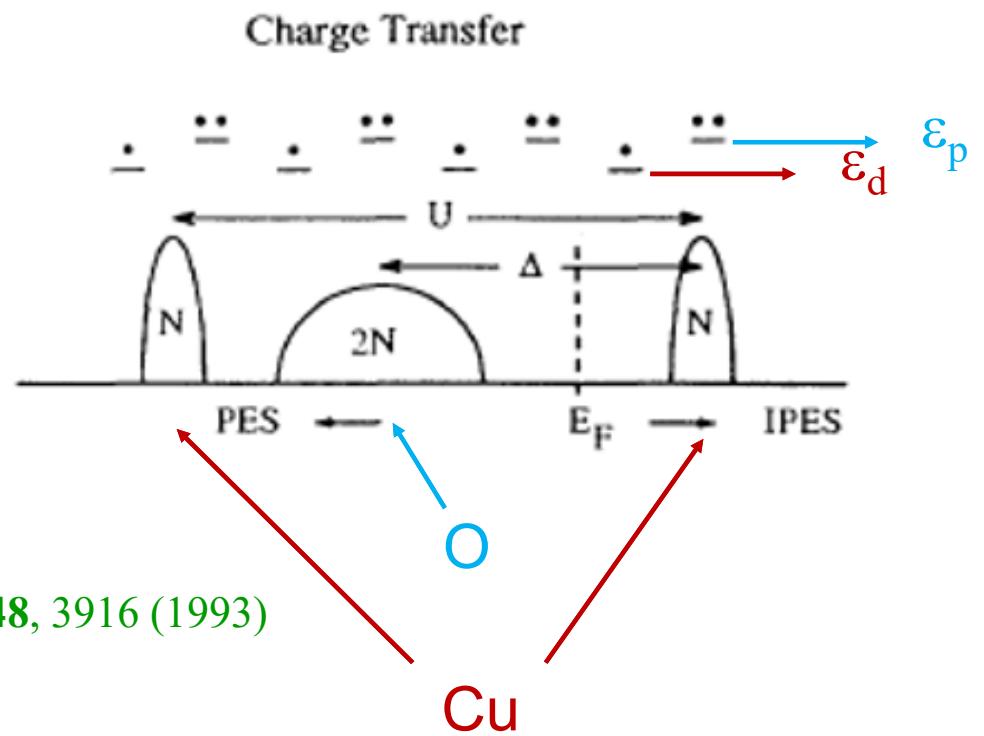
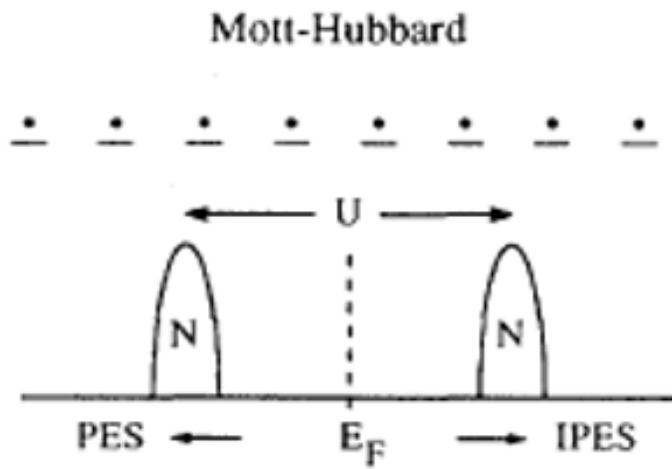
© Nicolas Kowalski  
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# Bands : Copper-Oxygen hybridization



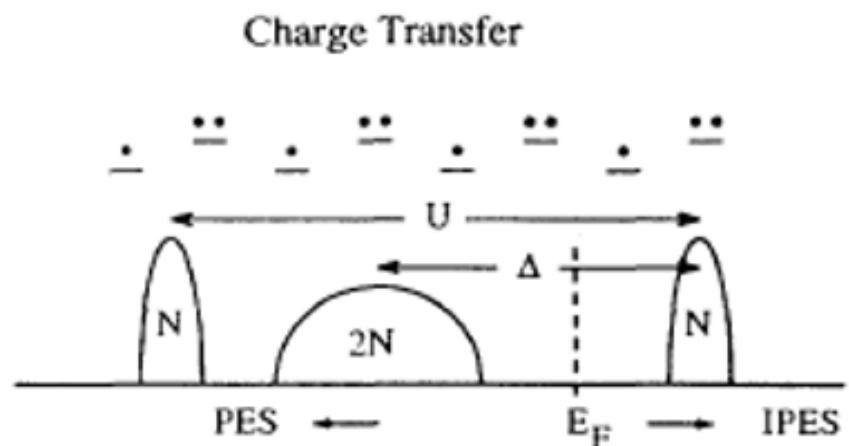
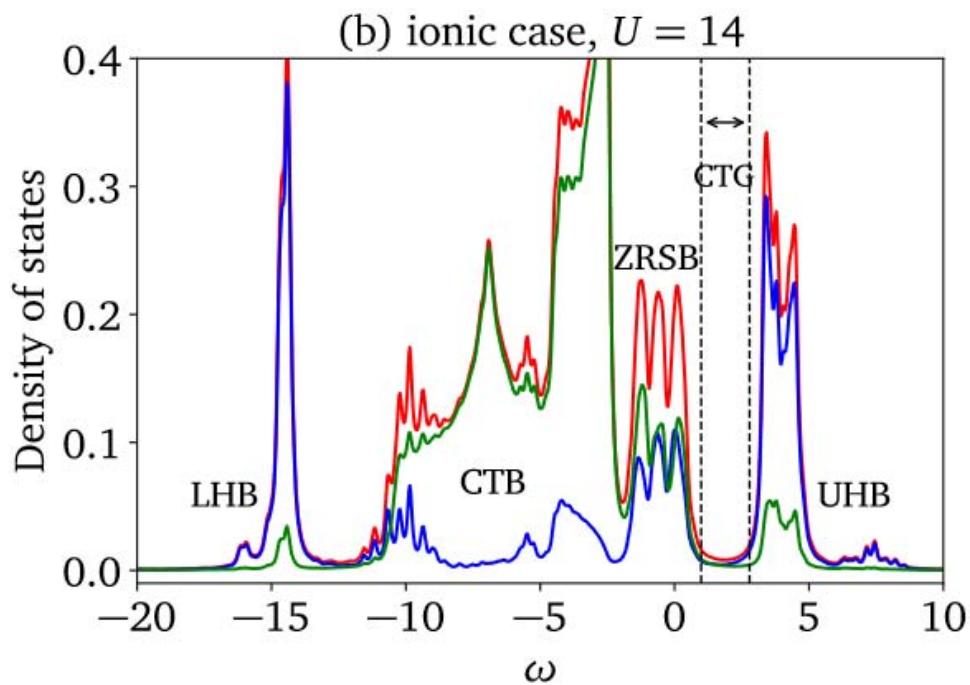
L. Fratino, P. Sémon, G. Sordi and A.-M.S. T.  
Sci. Rep., 6, 22715 (2016)

# Interactions : Charge-transfer insulator

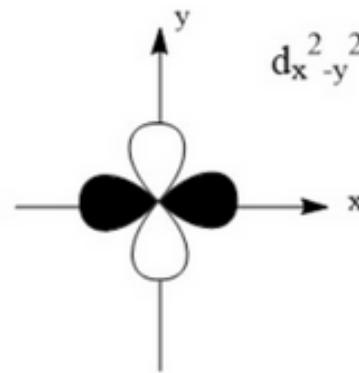


Meinders *et al.* PRB **48**, 3916 (1993)

## "Ionic" limiting cases with manageable sign problem

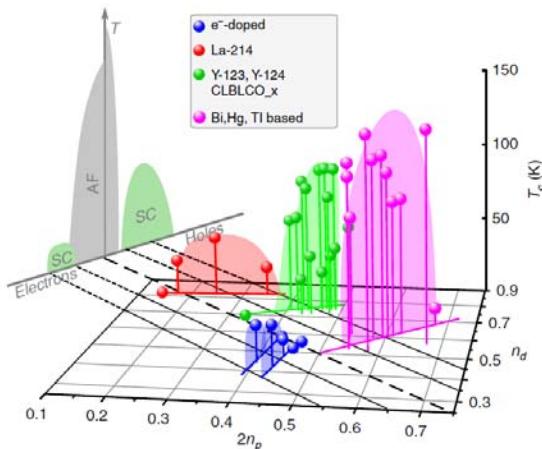


# d-wave Superconductivity

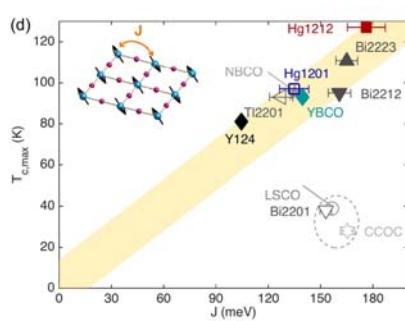


$$d = \langle \hat{d} \rangle = 1/N \sum_{\vec{k}} (\cos k_x - \cos k_y) \langle c_{\vec{k},\uparrow} c_{-\vec{k},\downarrow} \rangle$$

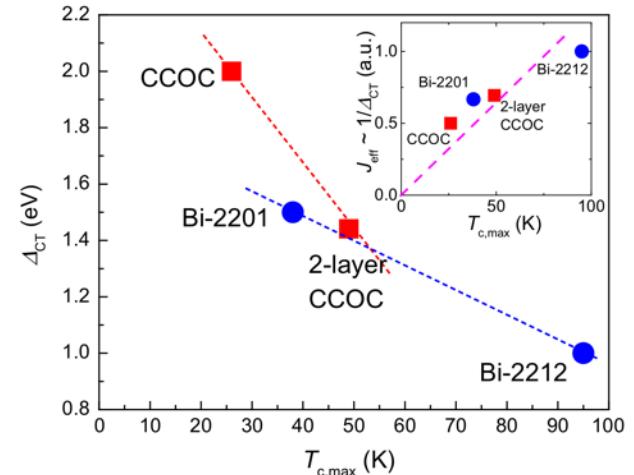
# Three experimental observations on optimizing $T_c$



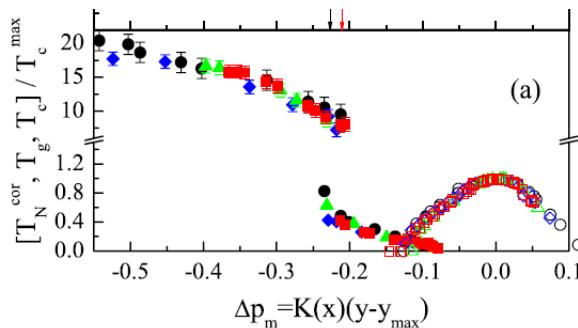
Rybicki, ... Haase,  
Nat. Comm. 7, 11413  
(2016)



Lichen Wang, *et al.*  
arXiv 2011.05029



Ruan *et al.*  
Sci. Bull. 61 (2016)

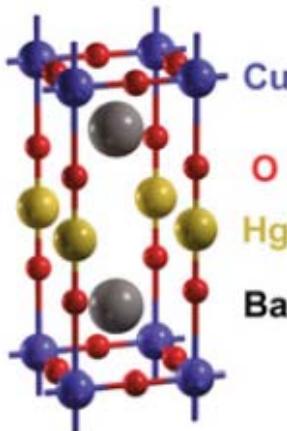


A. Keren New J. Phys. 11 065006

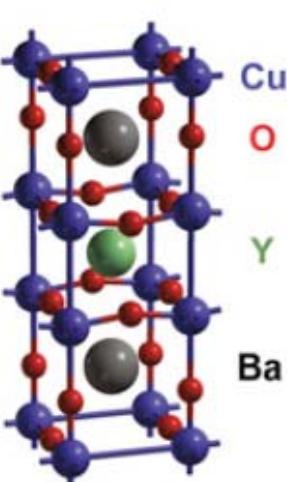
# There are different kinds of cuprates : All with CuO<sub>2</sub> planes

A

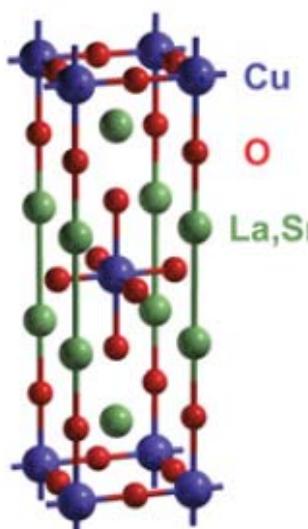
$HgBa_2CuO_{4+\delta}$   
(Hg1201)



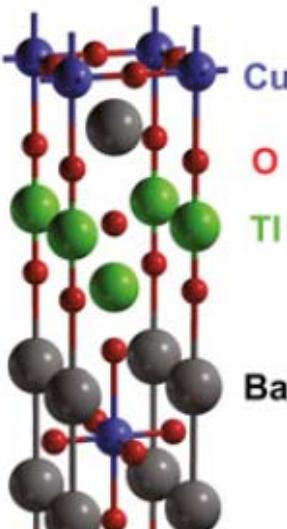
$YBa_2Cu_3O_{6+\delta}$   
(YBCO)



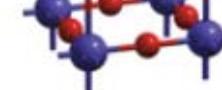
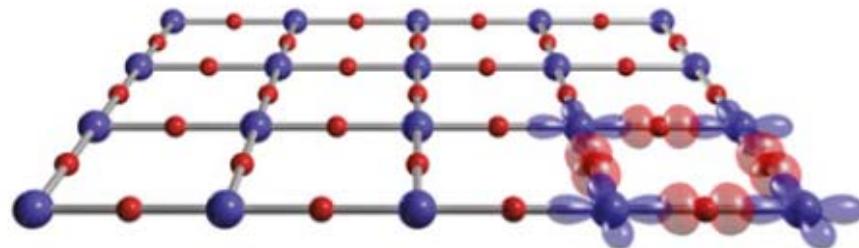
$La_{2-x}Sr_xCuO_4$   
(LSCO)



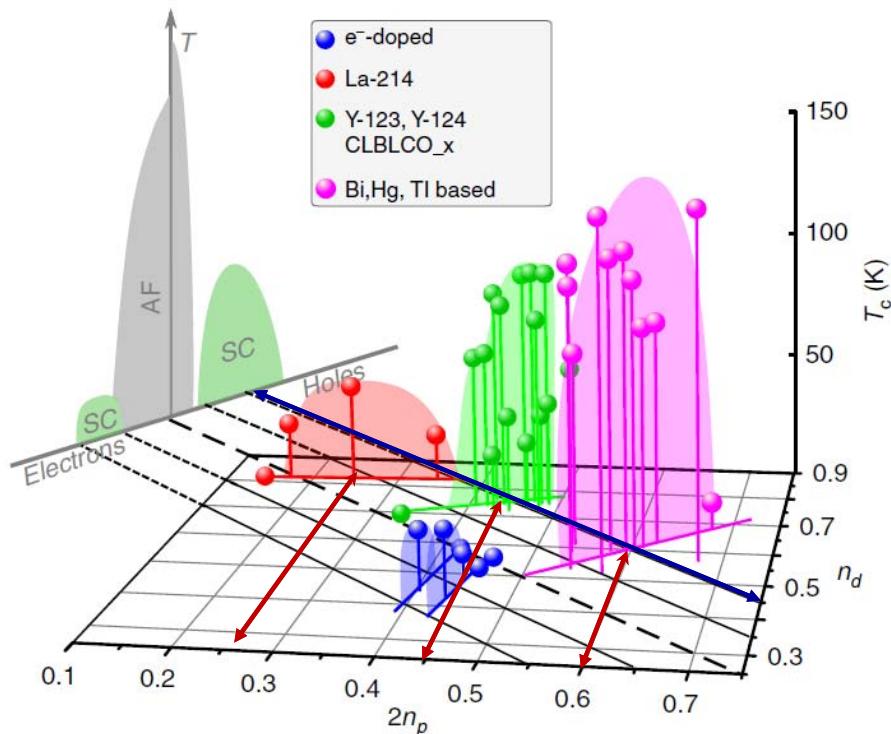
$Tl_2Ba_2CuO_{6+\delta}$   
(Tl2201)



B



# #1 Optimizing $T_c$ with oxygen hole content

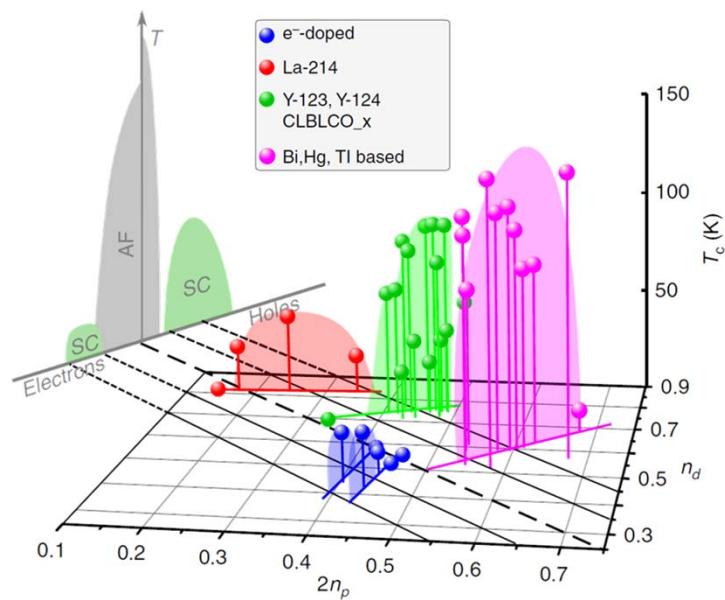


Rybicki,, Haase, Nat. Comm. 7, 11413 (2016)

# Results

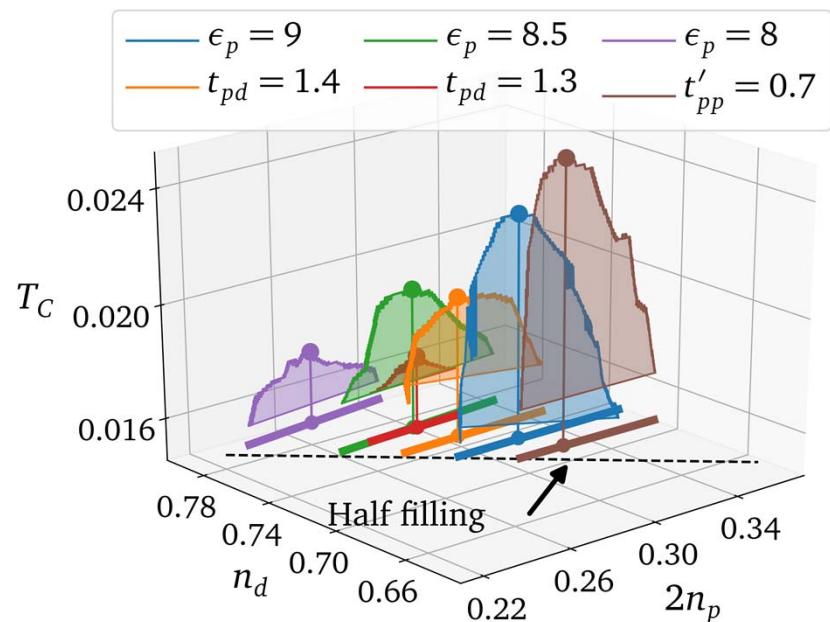
## Critical Temperature

●  $\epsilon_p - \epsilon_d = 7.0$   $t_{pd} = 1.5$ ,  $t_{pp} = 1.0$ ,  $t'_{pp} = 1.0$



D. Rybicki et al. "Perspective on the phase diagram of cuprate high-temperature superconductors," Nature Communications, vol. 7, p. 11413, 2016

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Kowalski, Dash, Sémond, Sénéchal, A-M.T.  
PNAS 118 (40) e2106476118 (2021)

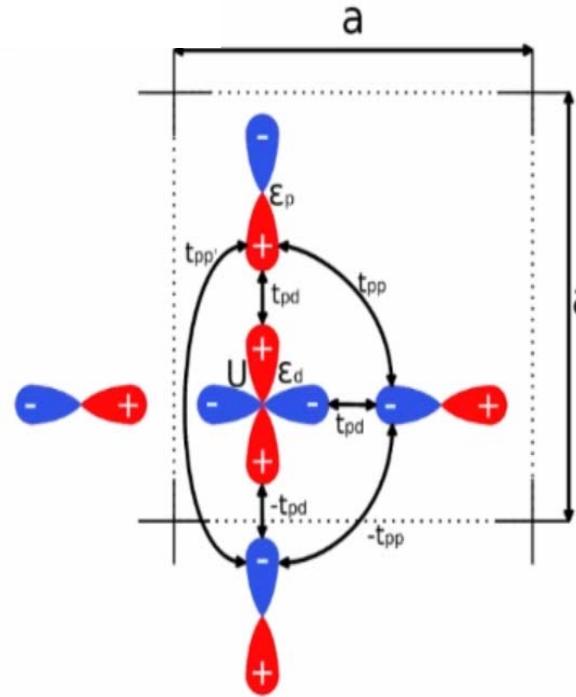
# Optimizing $T_c$

	charge	dopants	structure	hamiltonian
	$\text{HgO}_\delta$ balances -2 charge	supplies	harbors dopants	tunes chemical potential
	$\text{BaO}$ neutral	inert	protects $\text{CuO}_2$ from disorder	tunes in-plane $t, t', U$
	$\text{CuO}_2$ -2 charge/u.c.	accepts	roughly sets lattice const.	superconducts
			(same as other CaS layer)	

Chuck-Hou Yee *et al* EPL 111 17002 (2015 )

# Electronic structure

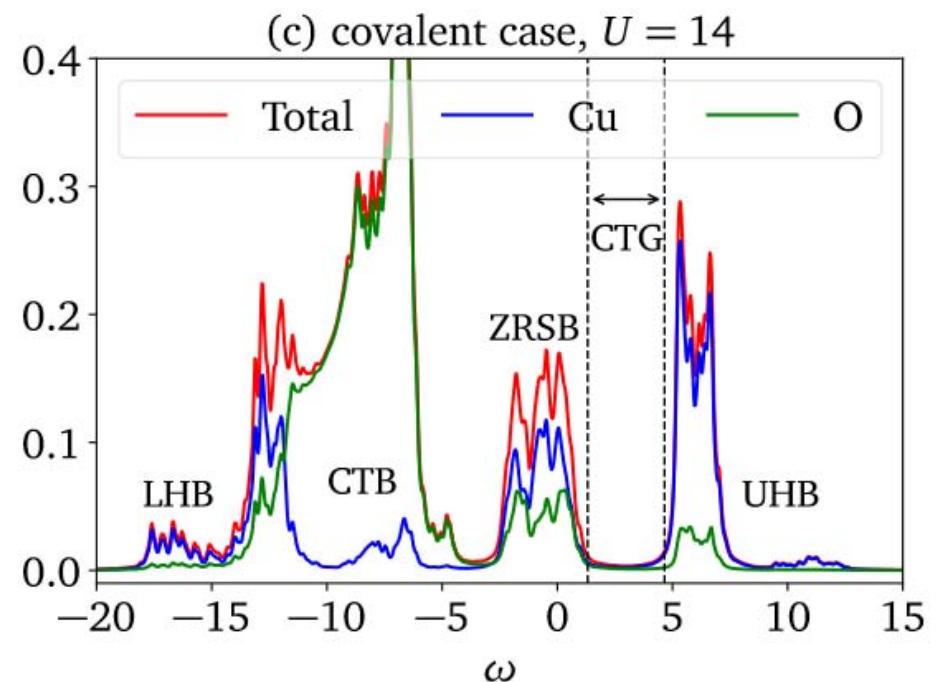
	Compound	$\epsilon_d - \epsilon_p$ (eV)	$t_{pd}$ (eV)	$t_{pp}$ (eV)	$t_{pp'}$ (eV)	$t'/t$	layers	$d_{\text{Cu-O}}^{\text{apical}}$ (Å)	$T_c$ (K)
(1)	$\text{La}_2\text{CuO}_4$	2.61	1.39	0.640	0.103	0.070	1	2.3932	38
(2)	$\text{Pb}_2\text{Sr}_2\text{YC}_{\text{u}3}\text{O}_8$	2.32	1.30	0.673	0.160	0.108	2	2.3104	70
(3)	$\text{Ca}_2\text{CuO}_2\text{Cl}_2$	2.21	1.27	0.623	0.132	0.085	1	2.7539	26
(4)	$\text{La}_2\text{CaCu}_2\text{O}_6$	2.20	1.31	0.644	0.152	0.120	2	2.2402	45
(5)	$\text{Sr}_2\text{Nd}_2\text{NbCu}_2\text{O}_{10}$	2.10	1.25	0.612	0.144	0.110	2	2.0450	28
(6)	$\text{Bi}_2\text{Sr}_2\text{CuO}_6$	2.06	1.36	0.677	0.153	0.105	1	2.5885	24
(7)	$\text{YBa}_2\text{Cu}_3\text{O}_7$	2.05	1.28	0.673	0.150	0.110	2	2.0936	93
(8)	$\text{HgBa}_2\text{CaCu}_2\text{O}_6$	1.93	1.28	0.663	0.187	0.133	2	2.8053	127
(9)	$\text{HgBa}_2\text{CuO}_4$	1.93	1.25	0.649	0.161	0.122	1	2.7891	90
(10)	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$	1.87	1.15	0.590	0.140	0.108	1	2.8585	30
(11a)	$\text{HgBa}_2\text{Ca}_2\text{Cu}_3\text{O}_8$ (outer)	1.87	1.29	0.674	0.184	0.141	3	2.7477	135
(11b)	$\text{HgBa}_2\text{Ca}_2\text{Cu}_3\text{O}_8$ (inner)	1.94	1.29	0.656	0.167	0.124	3	2.7477	135
(12)	$\text{Tl}_2\text{Ba}_2\text{CuO}_6$	1.79	1.27	0.630	0.150	0.121	1	2.7143	90
(13)	$\text{LaBa}_2\text{Cu}_3\text{O}_7$	1.77	1.13	0.620	0.188	0.144	2	2.2278	79
(14)	$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$	1.64	1.34	0.647	0.133	0.106	2	2.0033	95
(15)	$\text{Tl}_2\text{Ba}_2\text{CaCu}_2\text{O}_8$	1.27	1.29	0.638	0.140	0.131	2	2.0601	110
(16a)	$\text{Bi}_2\text{Sr}_2\text{Ca}_2\text{Cu}_3\text{O}_{10}$ (outer)	1.24	1.32	0.617	0.159	0.138	3	1.7721	108
(16a)	$\text{Bi}_2\text{Sr}_2\text{Ca}_2\text{Cu}_3\text{O}_{10}$ (inner)	2.24	1.32	0.678	0.198	0.121	3	1.7721	108



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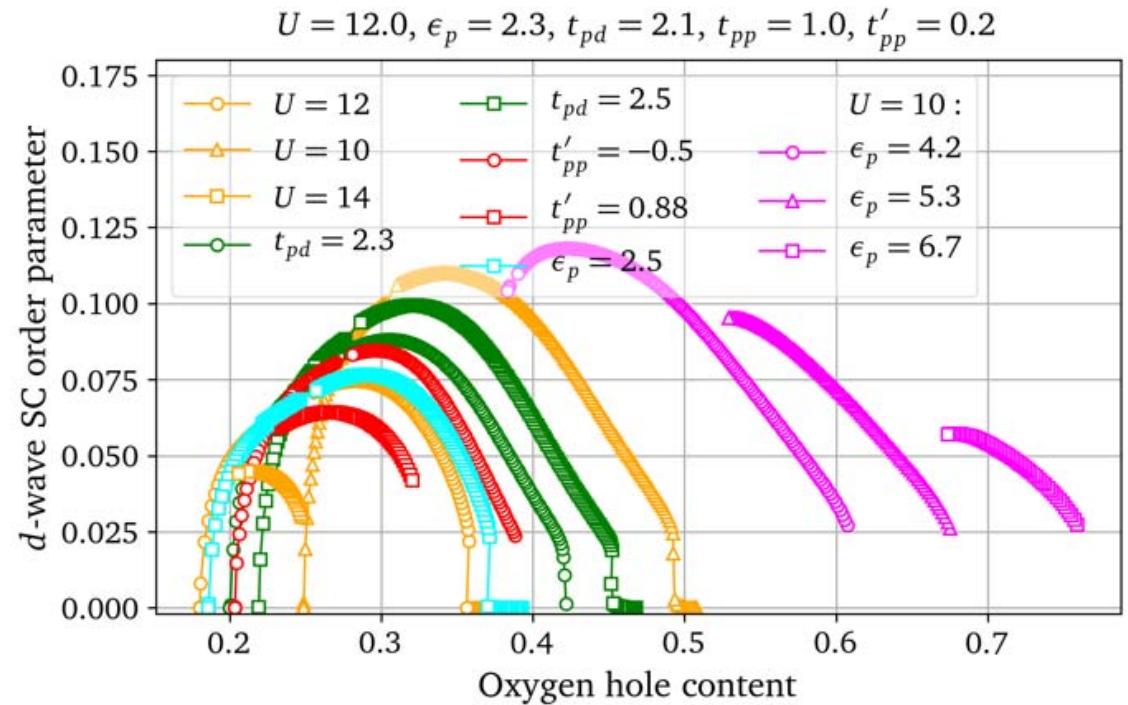
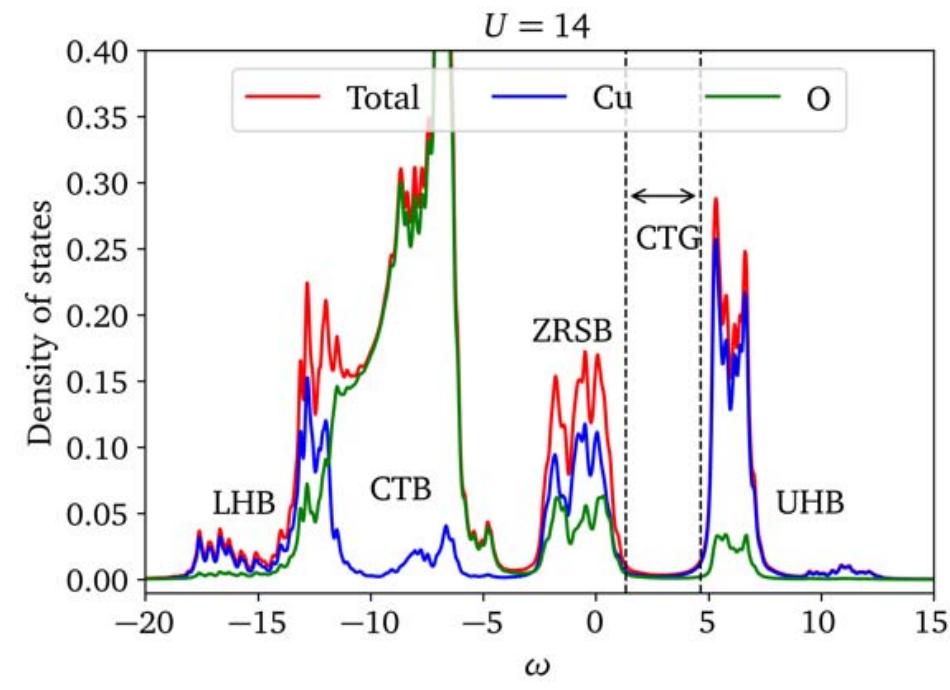
Weber, Yee, Haule, Kotliar, EPL 100, 2012

# Density of states



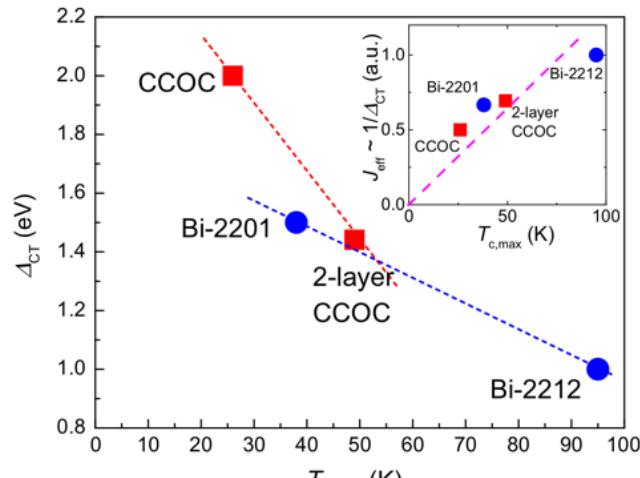
○  $\epsilon_p - \epsilon_d = 2.3$ ,  $t_{pd} = 2.1$ ,  $t_{pp} = 1.0$ ,  $t'_{pp} = 0.2$

# $T = 0$ superconducting domes for the covalent model

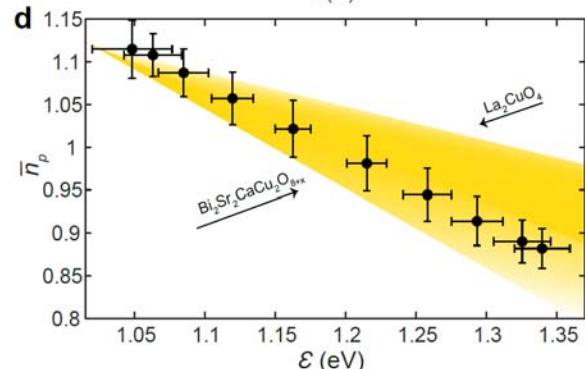


Kowalski, Dash, Sémond, Sénéchal, A-M.T.  
 PNAS 118 (40) e2106476118 (2021)

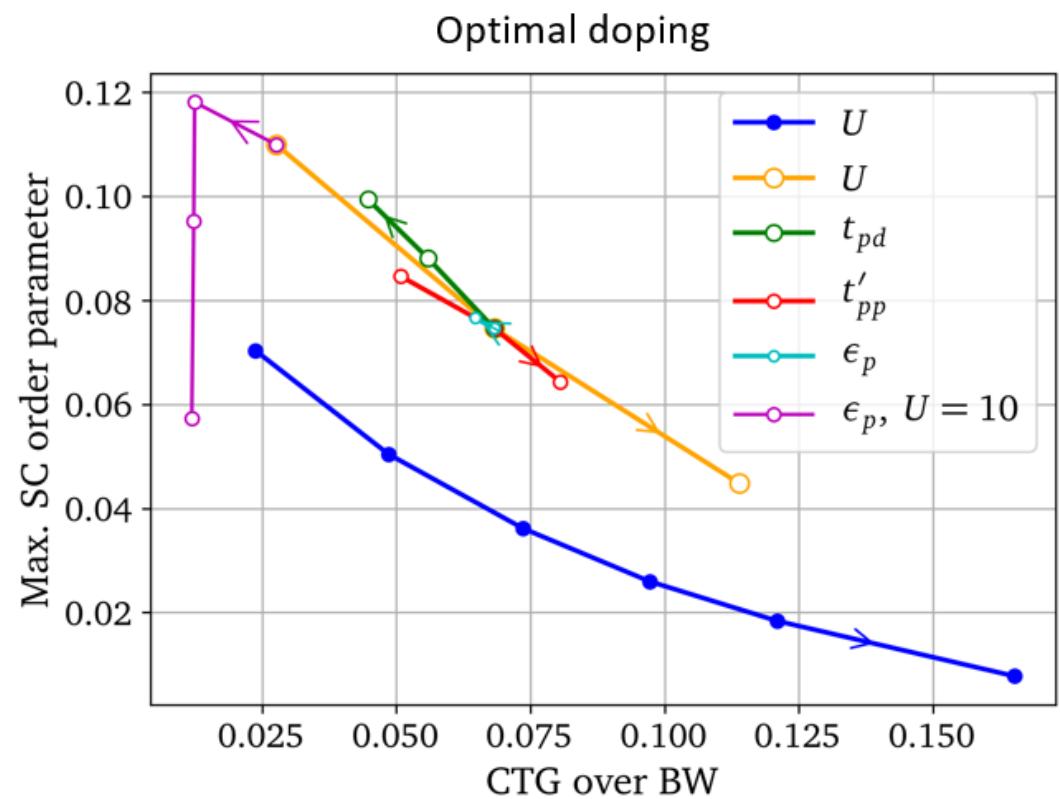
## #2 Optimizing $T_c$ with CT gap $\Delta$ (Oxygen as a witness)



Ruan *et al.* Sci. Bull. **61** (2016)

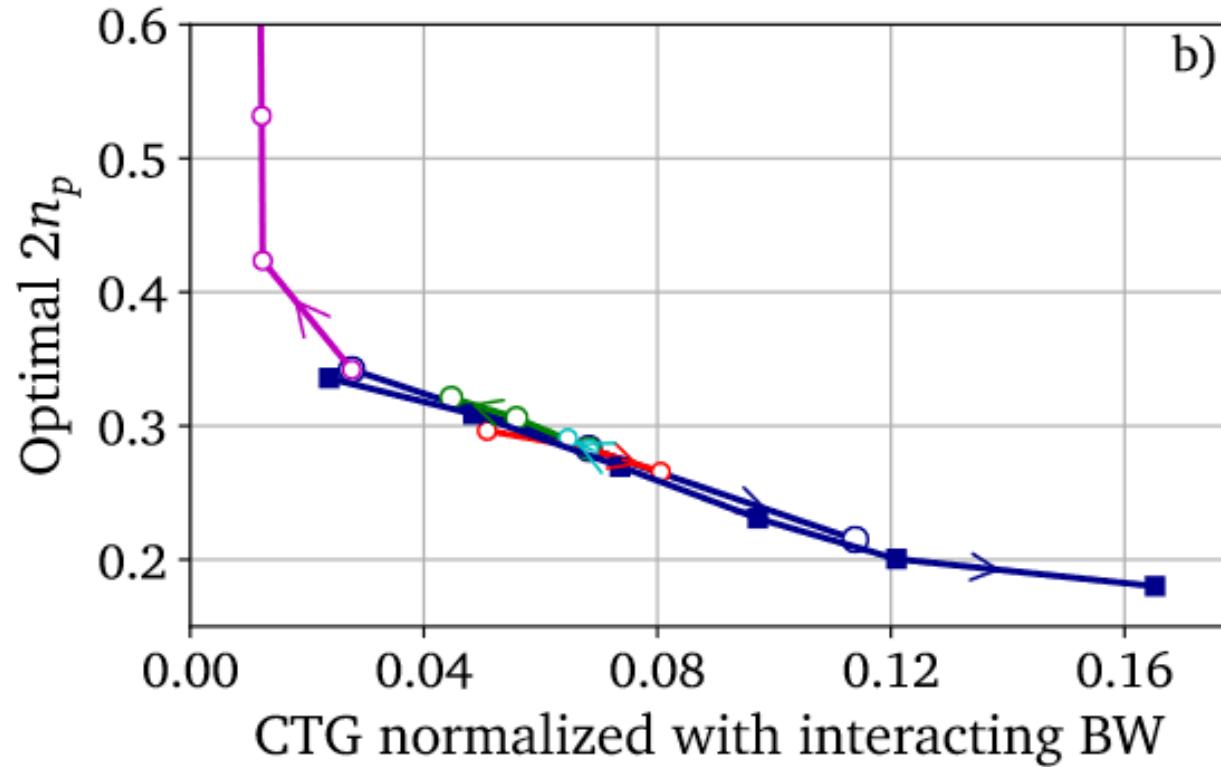


O'Mahony *et al.* arXiv:2108.03655



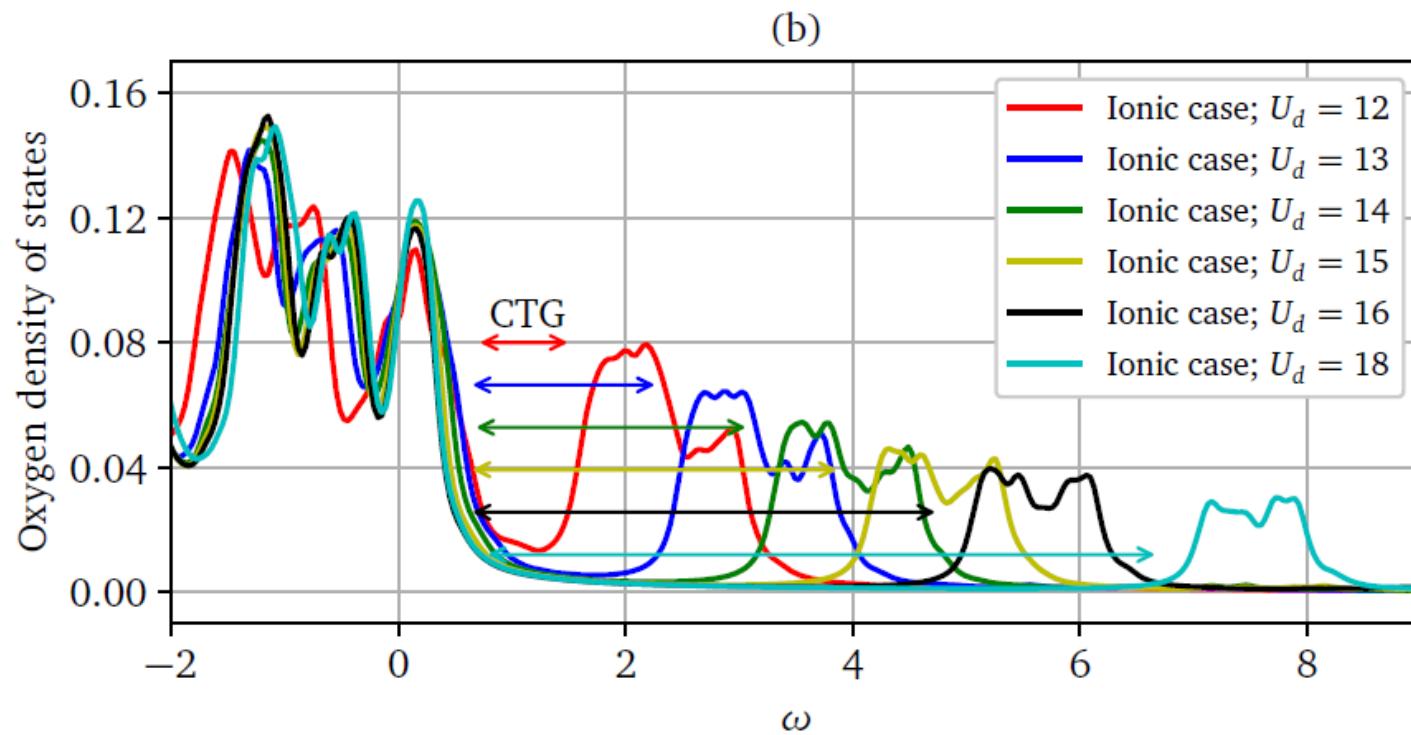
Kowalski, Dash, Sémon, Sénéchal, A-M.T.  
PNAS 118 (40) e2106476118 (2021) 147

# Charge-transfer gap, oxygen hole content



Kowalski, Dash, Sémon, Sénéchal, A-M.T.  
PNAS 118 (40) e2106476118 (2021) 149

# Charge transfer gap and oxygen hole content : Oxygen as a witness

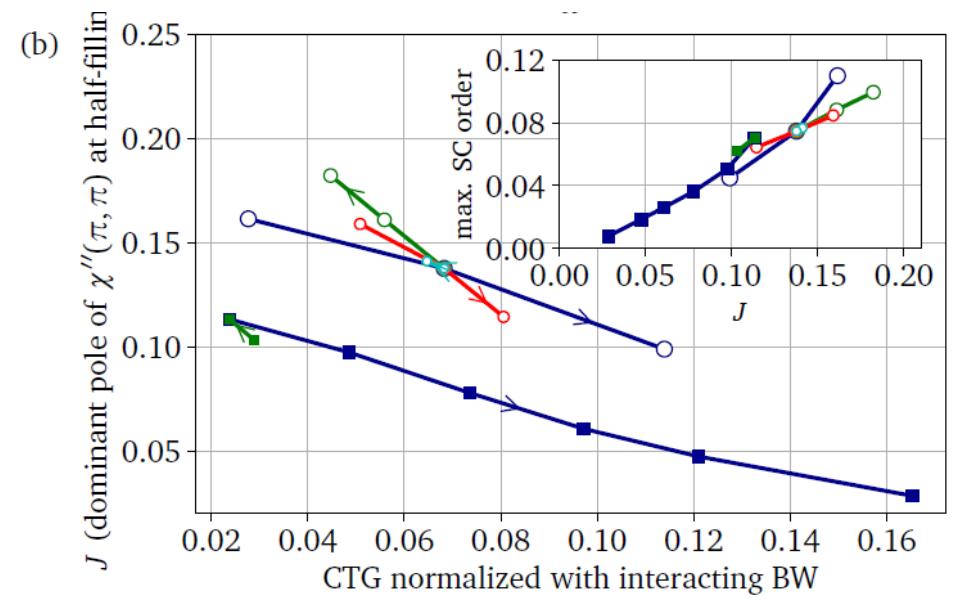
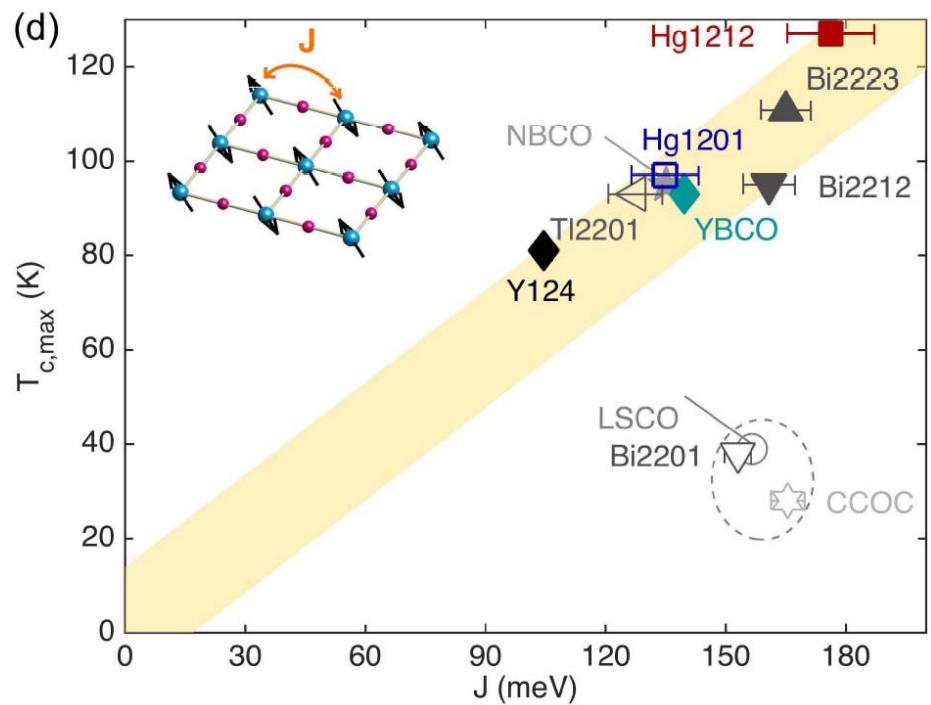


© Sidhartha Dash

# Copper pairing mechanism : superexchange



# #3 Optimizing $T_c$ with superexchange



Lichen Wang, *et al.* arXiv 2011.05029

$$J = 4t^2 / U$$



D. Sénéchal



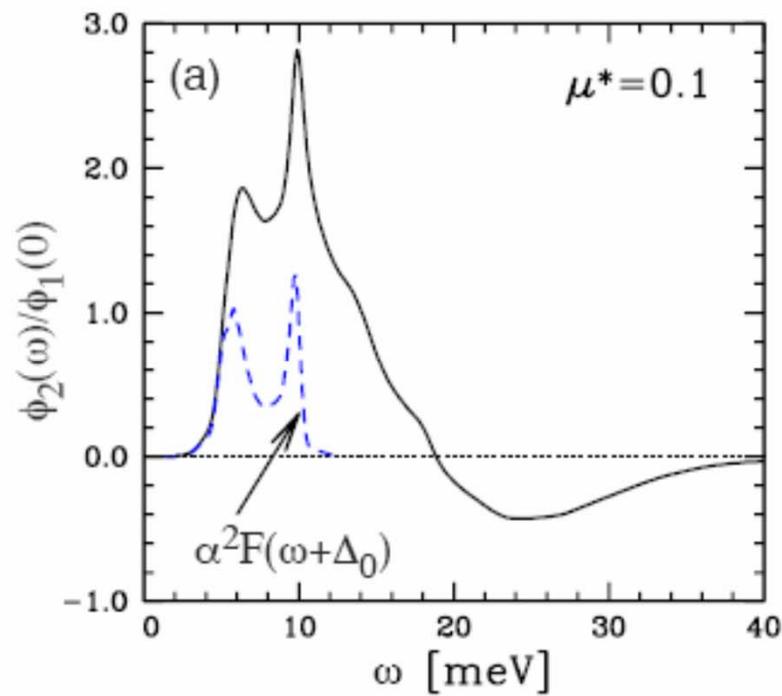
Bumsoo Kyung

## The glue

Kyung, Sénéchal, Tremblay, Phys. Rev. B **80**, 205109 (2009)  
Sénéchal, Day, Bouliane, AMST, Phys. Rev. B **87**, 075123 (2013)  
A. Reymbaut *et al.* PRB **94** 155146 (2016)

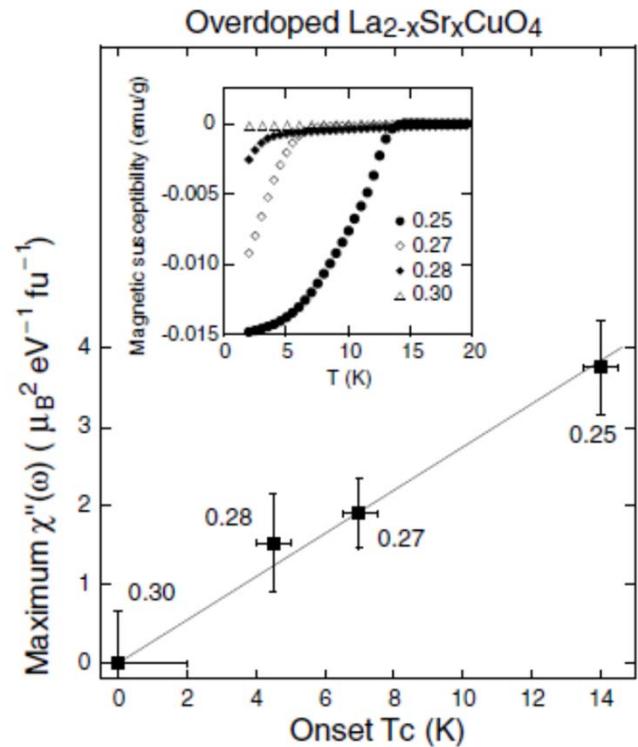
# $\text{Im } \Sigma_{\text{an}}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)

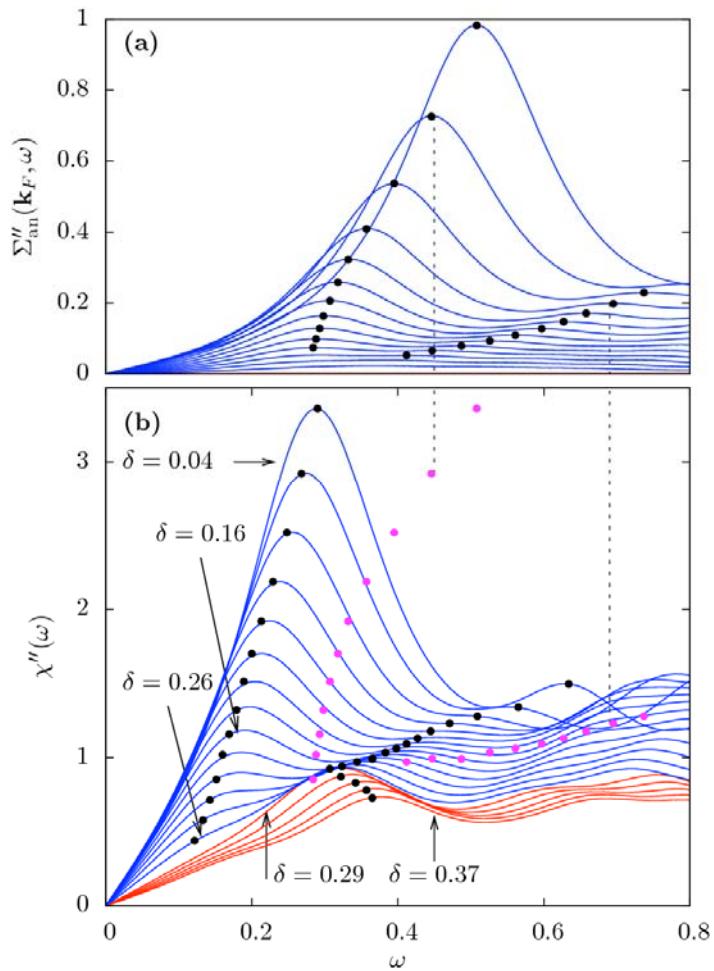


# The glue CDMFT 2x2, T=0

Kyung, Sénéchal, Tremblay, Phys. Rev. B  
80, 205109 (2009)



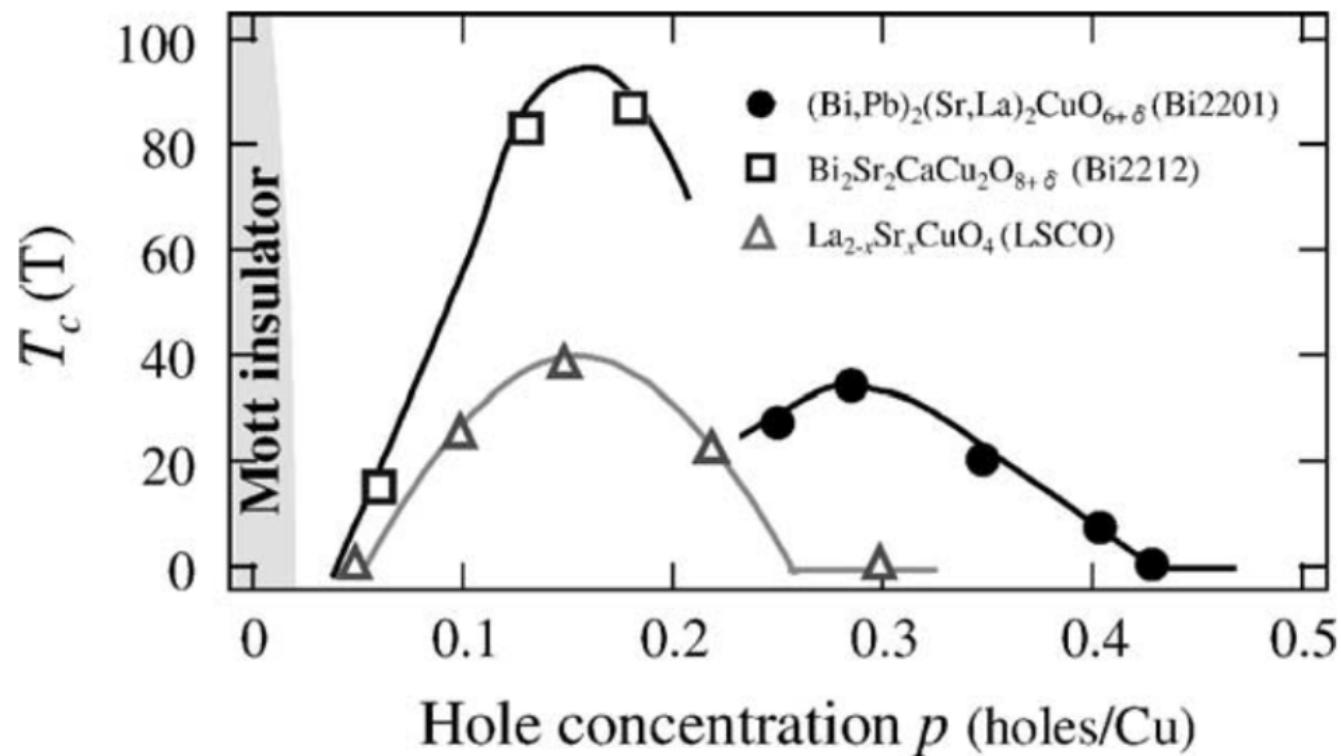
Wakimoto ... Birgeneau  
PRL (2004)



# Bonus



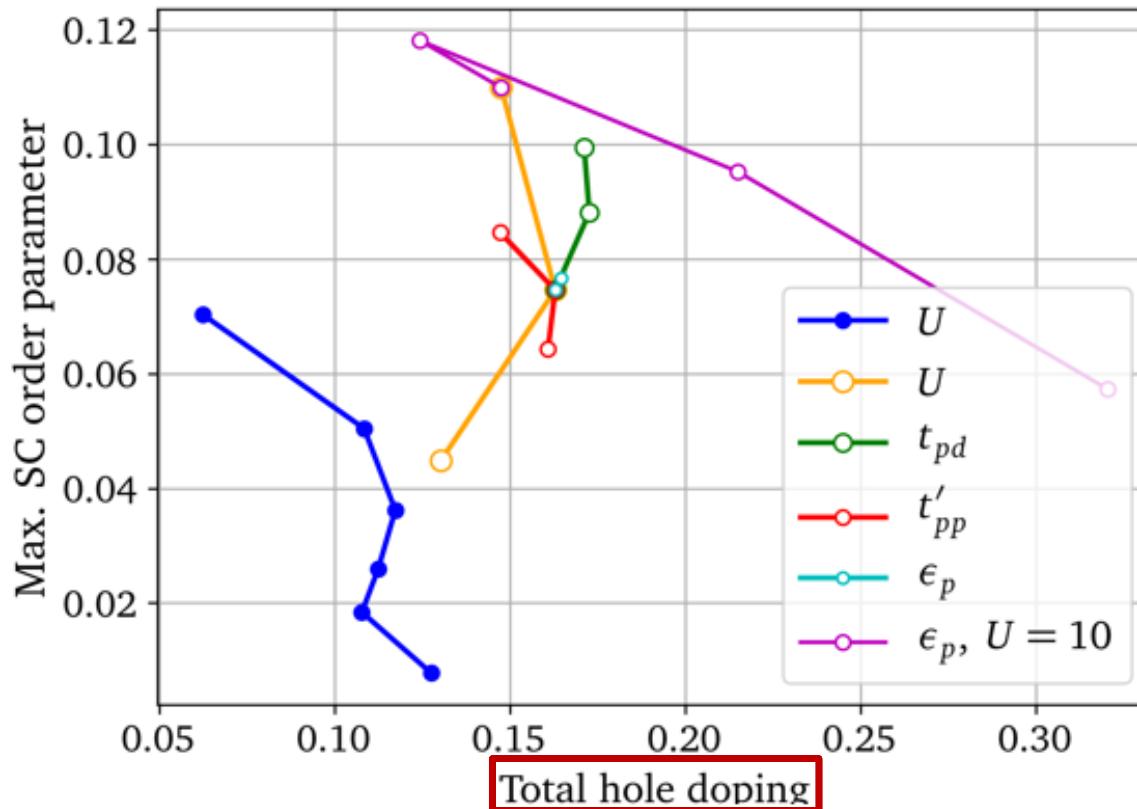
# $T_c$ and total hole concentration are not well correlated



T. Kondo *et al.*

Journal of Electron Spectroscopy and Related Phenomena **137-140**, 663 (2004)

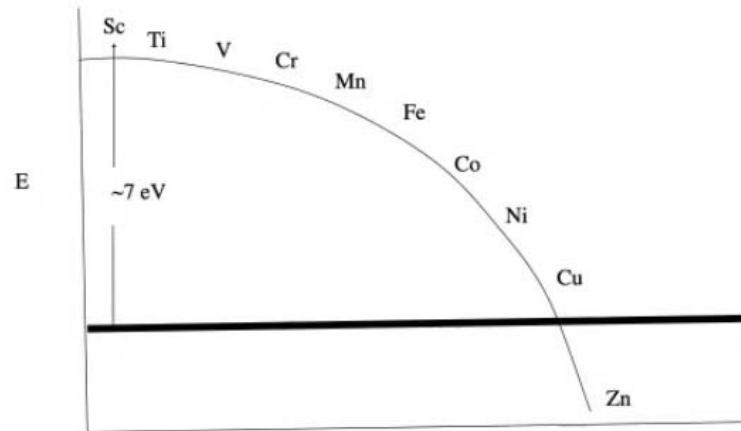
## Bonus: total hole doping does not explain max order parameter for the two models



Kowalski, Dash, Sémond, Sénéchal, A-M.T.  
PNAS 118 (40) e2106476118 (2021)

# Bonus : Importance of covalency

Affinity Energy (  $E(M^{2+}) - E(M^{1+})$  ) of first row  
Trans. Metals in relation to Ionization Energy of  
Oxygen (  $E(O^{2-}) - E(O^{1-})$  )



Also, Zaanen, Sawatzky, Allen (prl 1985).

C. M. Varma and T. Giamarchi, *Model for copper oxide metals and superconductors* (Elsevier Science B.V, 1995).

# Summary Conclusion



# Optimizing Tc



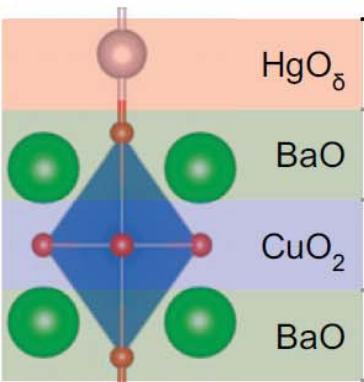
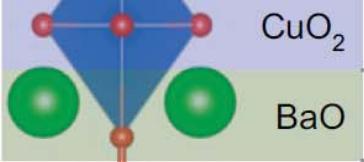
- Spin  $\frac{1}{2}$
- One band
- Two-dimensions
- Strong covalency between chalcogen and transition metal.
  - Chalcogen screens U
- Charge-transfer gap just opening (intermediate interactions).
- Large J at half-filling
- ... and more

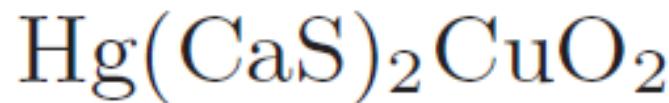
Chuck-Hou Yee *et al* *EPL* **111** 17002 (2015)

Stanev *et al.*, *npj Computational Materials* **4**, 29 (2018)

Liu *et al.* *APL Materials* **8**, 061104 (2020)

# Optimizing $T_c$

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	neutral	inert	protects CuO2 from disorder	tunes in-plane $t, t', U$
	-2 charge/u.c.	accepts	roughly sets lattice const.	superconducts
			(same as other CaS layer)	



Chuck-Hou Yee *et al* EPL 111 17002 (2015 )