

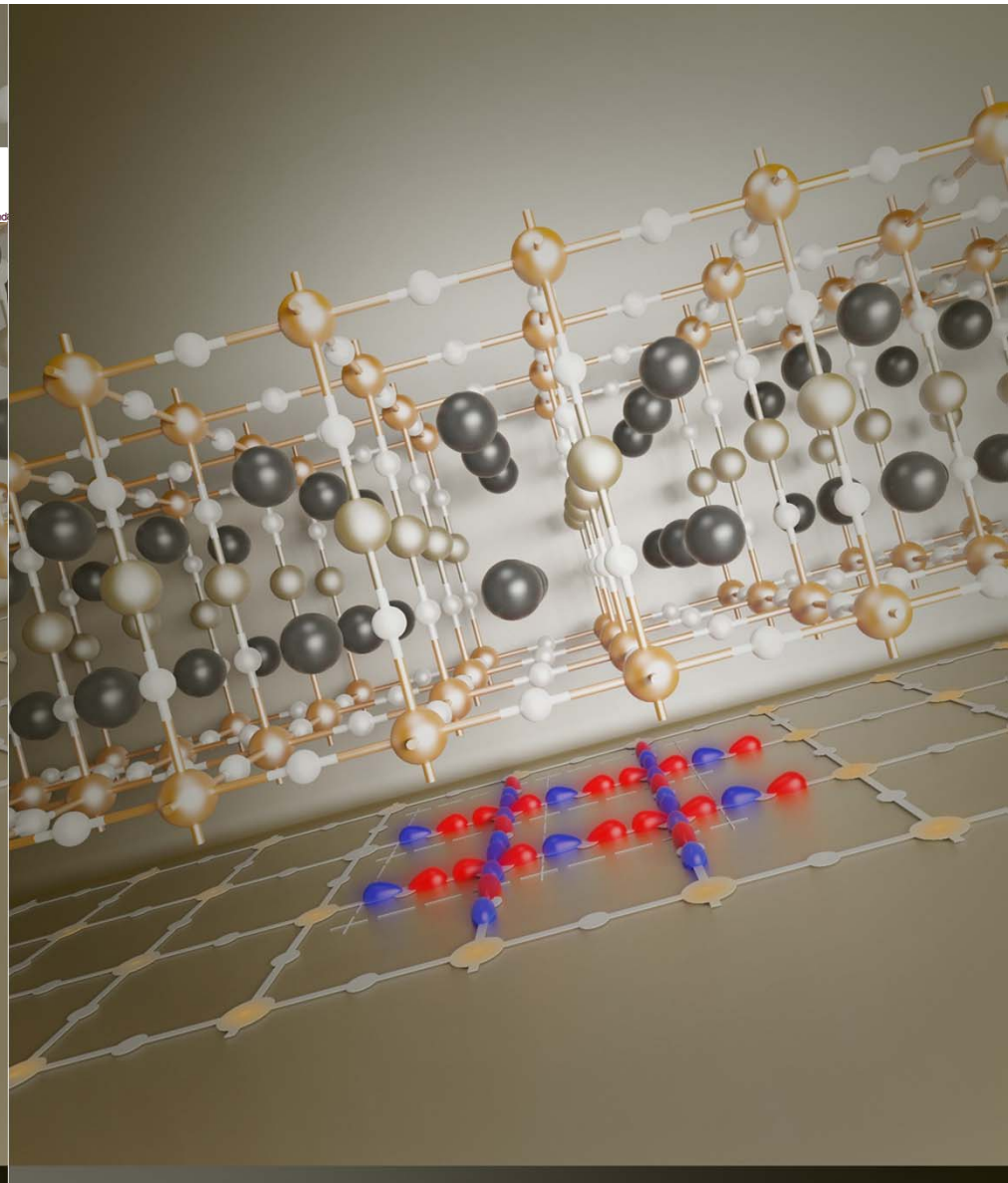
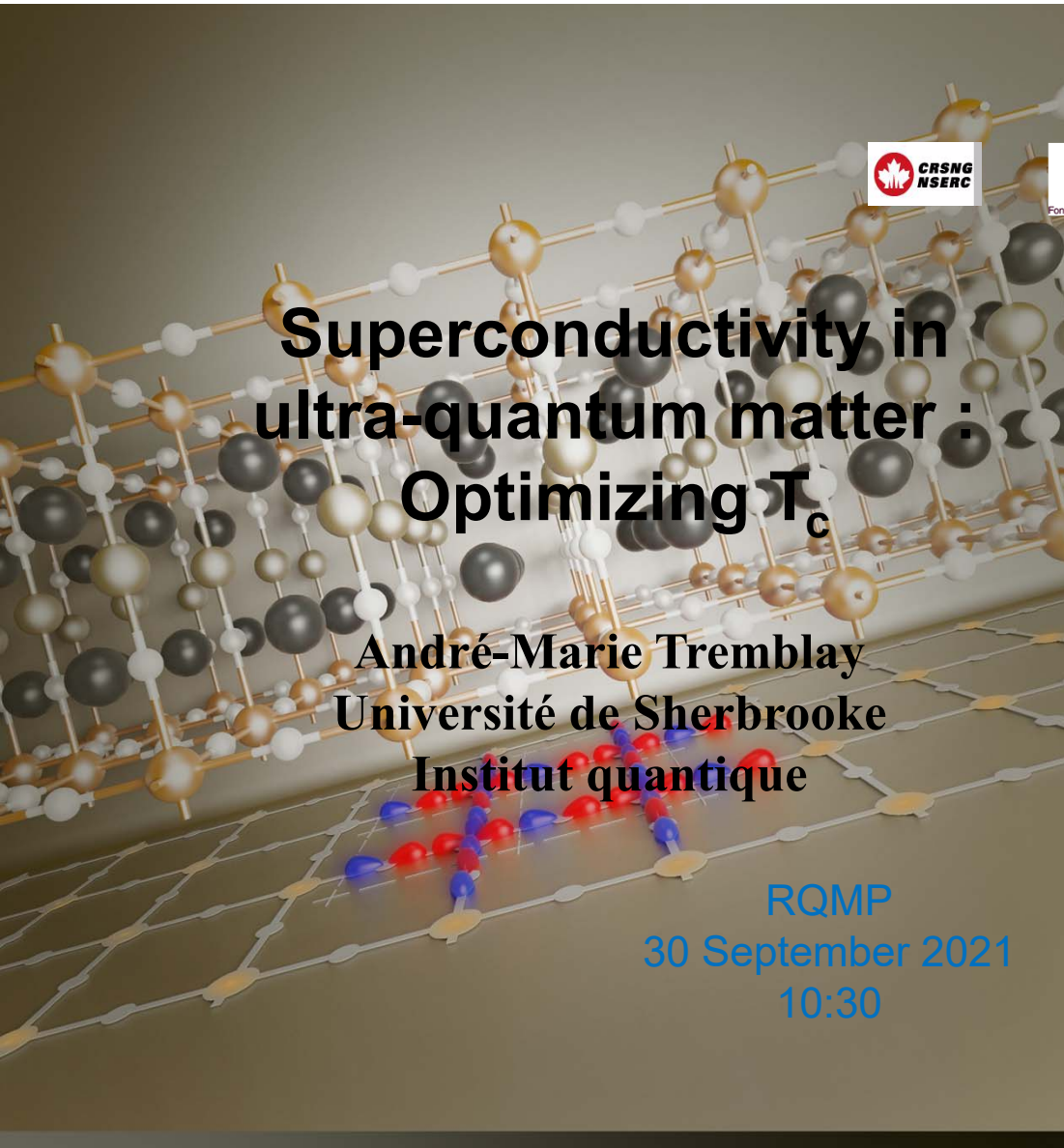




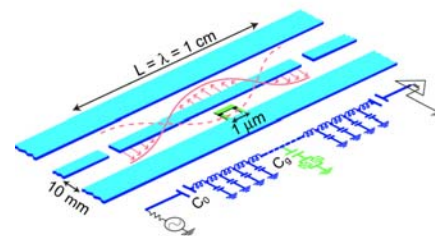
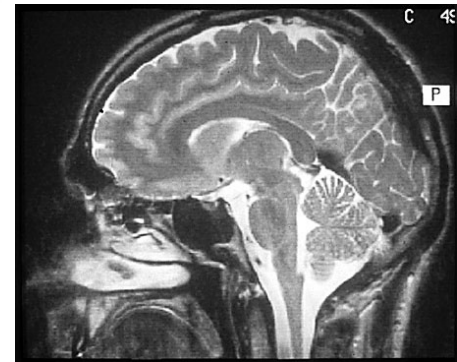
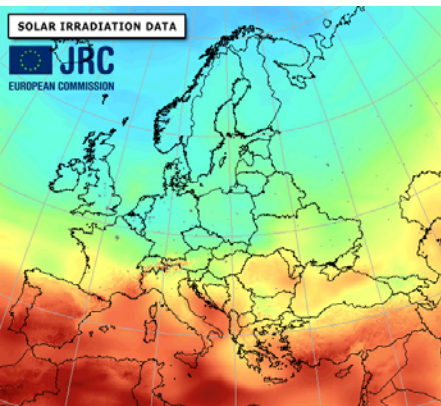
Superconductivity in ultra-quantum matter : Optimizing T_c

André-Marie Tremblay
Université de Sherbrooke
Institut quantique

RQMP
30 September 2021
10:30



Applications

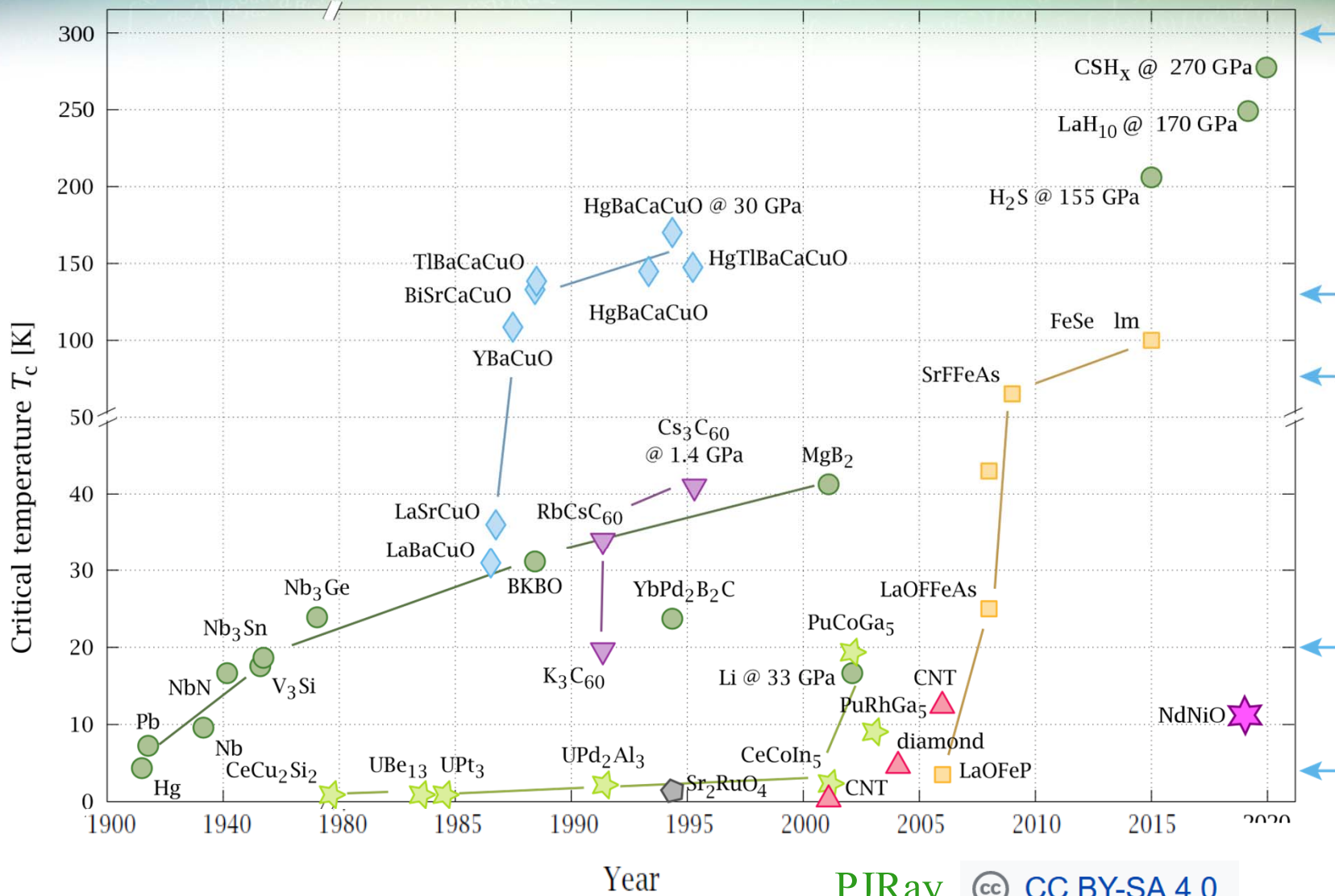


Alexandre Blais, et al. Phys. Rev. A
69, 062320 (2004)

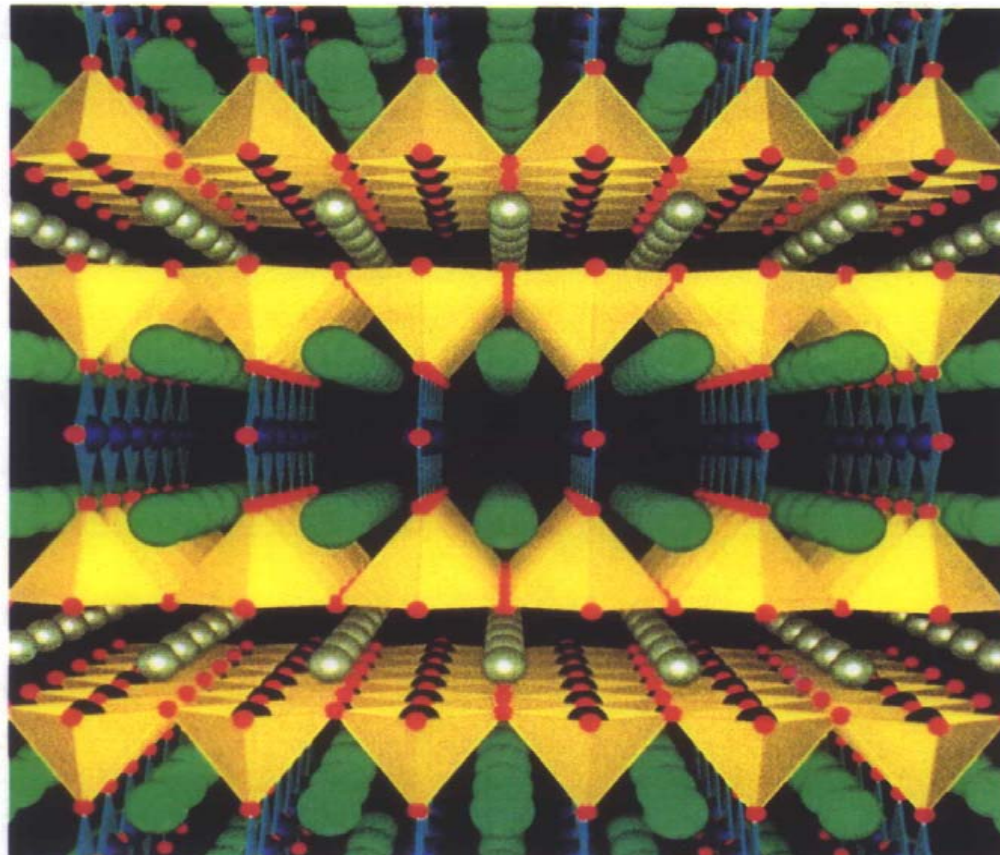


Photo IBM

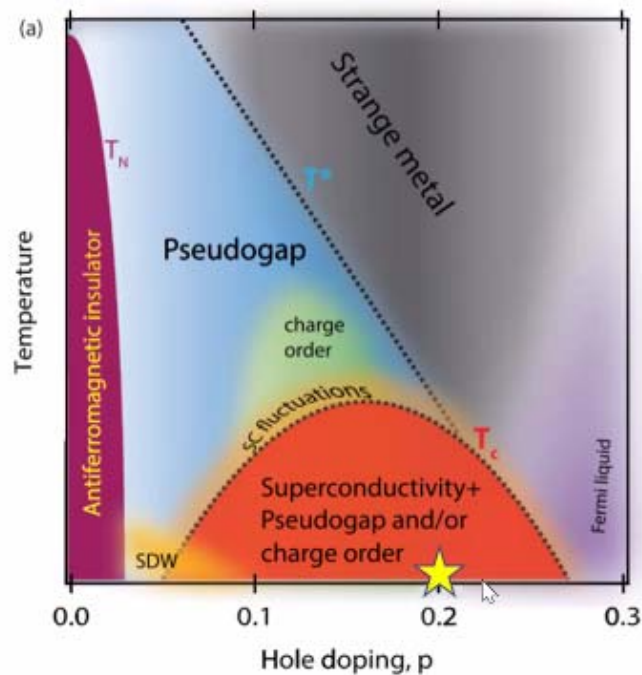
**Can we have
superconductivity at
room temperature?**



Cuprates : Atomic structure



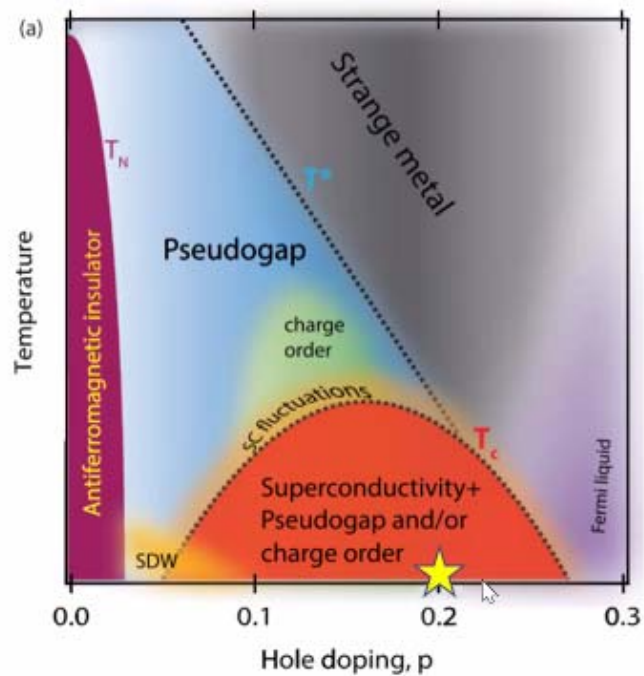
- Who ordered this?



Vishik, Rep. Prog. Phys. (2018)

- Mott insulator
- Pseudogap
- Strange metal
- Quantum critical point (QCP)
- Competing ground states
- Superconductivity in the presence of strong repulsion

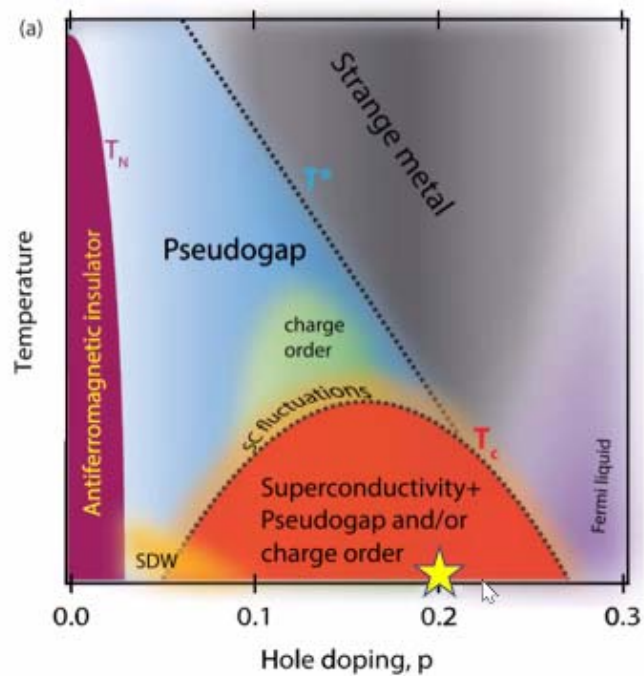
- Who ordered this?



Vishik, Rep. Prog. Phys. (2018)



- Who is looking into this?

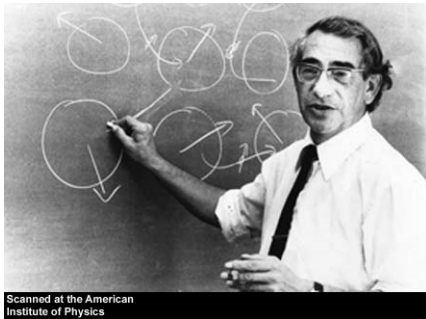


Vishik, Rep. Prog. Phys. (2018)



A highly quantum mechanical problem

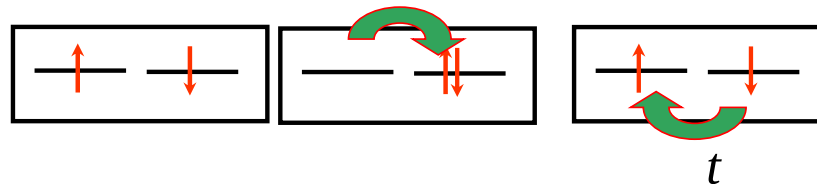
Hubbard model



1931-1980

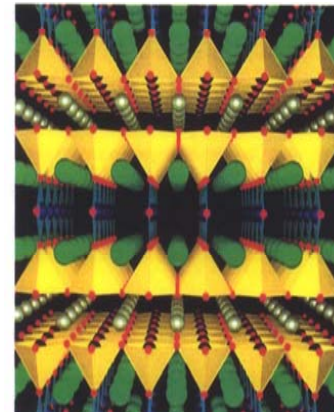
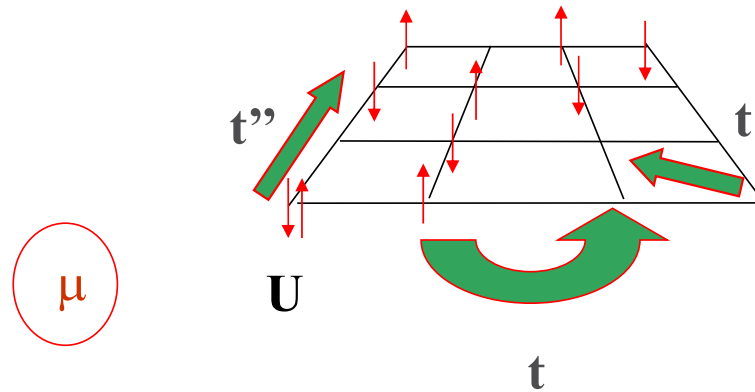
$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Spin 1/2



Effective model, Heisenberg: $J = 4t^2 / U$

Attn: Charge transfer insulator



Outline

- Method
- One-band Hubbard model
 - d-wave superconductivity
- Three-band Hubbard model : oxygen can probe the details
 - Calculations explain three experiments that show how to optimize d-wave superconductivity

Take home messages

- A detailed picture of the origin of superconductivity in cuprates follows from a model that takes into account Cu, O, kinetic energy and repulsion
- We need to look beyond traditional tools of solid state physics to work this out.

Method : The precursors

Hohenberg-Kohn : Exchange correlation

Kohn-Sham : Basis set

Density Functional Theory

Method

Metzner, Vollhardt PRL **62**, 324 (1989)

Georges, Kotliar, PRB **45**, 6479 (1992)

Jarrell PRL **69**, 168 (1992)

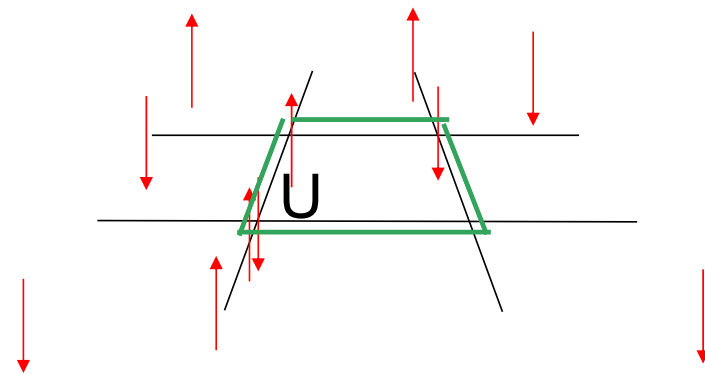
Review: Georges, Kotliar, Krauth, Rozenberg, RMP **68**, 13 (1996)

Dynamical Mean-Field Theory : DMFT

Localized and delocalized pictures



Localized



Lichtenstein *et al.*, PRB 2000
Kotliar *et al.*, PRB 2000
M. Potthoff, EJP 2003

REVIEWS

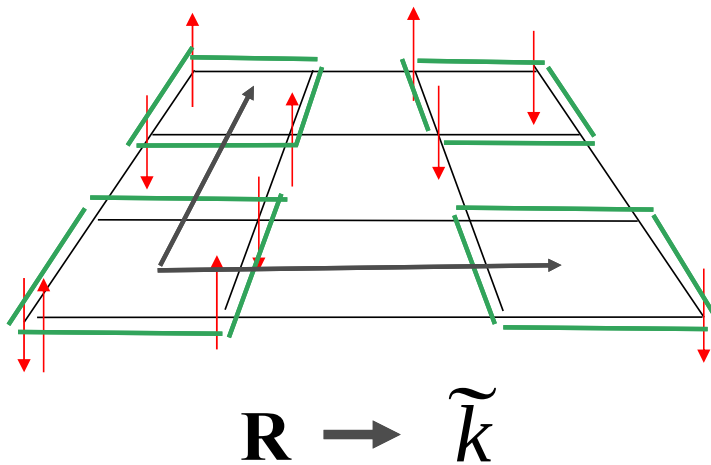
Maier, Jarrell *et al.*, RMP. (2005)
Kotliar *et al.* RMP (2006)
AMST *et al.* LTP (2006)

$$(G^{-1})_{ij} = (G_0^{-1})_{ij} - \Sigma_{ij}$$

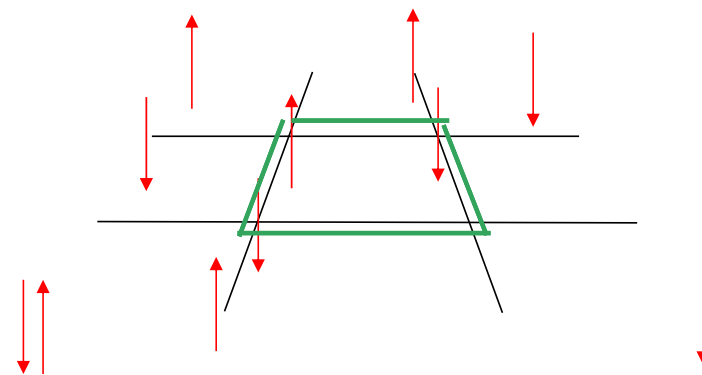
Localized and delocalized pictures **C-DMFT**



Delocalized



Localized

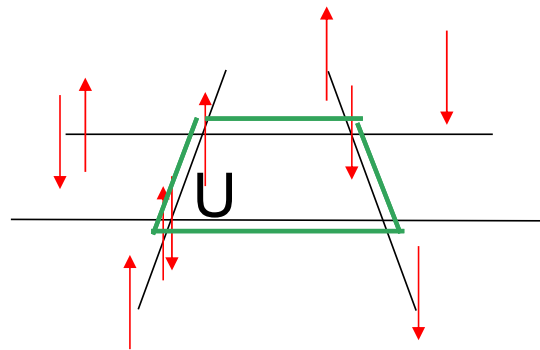


$$G_{ij} = \int \frac{d^d \tilde{\mathbf{k}}}{(2\pi)^d} \left(\frac{1}{(i\omega_n + \mu)I - \varepsilon(\tilde{\mathbf{k}}) - \Sigma} \right)_{ij}$$

$$(G^{-1})_{ij} = (G_0^{-1})_{ij} - \Sigma_{ij}$$

Impurity solvers

Impurity solver (Exact diagonalisation)



Caffarel, Krauth, PRL **72** 1545 (1994)

QCM David Sénéchal

Impurity solver : continuous-time quantum Monte Carlo

$$Z = \int \mathcal{D}[\psi^\dagger, \psi] e^{-S_c - \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{\mathbf{K}} \psi_{\mathbf{K}}^\dagger(\tau) \Delta_{\mathbf{K}}(\tau, \tau') \psi_{\mathbf{K}}(\tau')}$$

Hybridization expansion :

Werner Millis PRB **74**, 155107 (2006)

Werner Millis B **75**, 085108 (2007)

Haule, PRB **75**, 155113 (2007)

Sémon, Sordi, AMST PRB **89**, 165113 (2014)

Sémon, Yee, Haule, AMST PRB **90**, 075149 (2014)

triqs

ALPSCore / CT-HYB

iQIST

ComCTQMC

Some groups using these methods for cuprates

- Europe:
 - Georges, Parcollet, Ferrero, Civelli (Paris)
 - Lichtenstein, Potthoff, (Hamburg) Aichhorn (Graz), Liebsch (Jülich) de Medici (Grenoble) Capone (Italy)
- USA:
 - Gull (Michigan) Millis (Columbia)
 - Kotliar, Haule (Rutgers) (Haule, Kotliar PRB 76, 104509 (2007))
 - Jarrell (Louisiana)
 - Maier, Okamoto (Oakridge)
- Japan
 - Imada (Tokyo) Sakai, Tsunetsugu, Motome
- China
 - Wei Wu ...

Critique

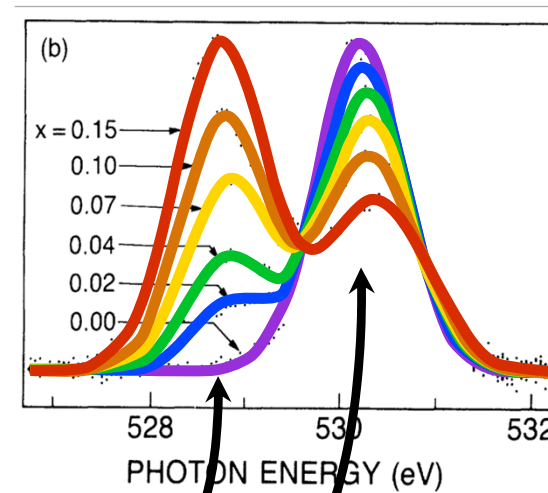
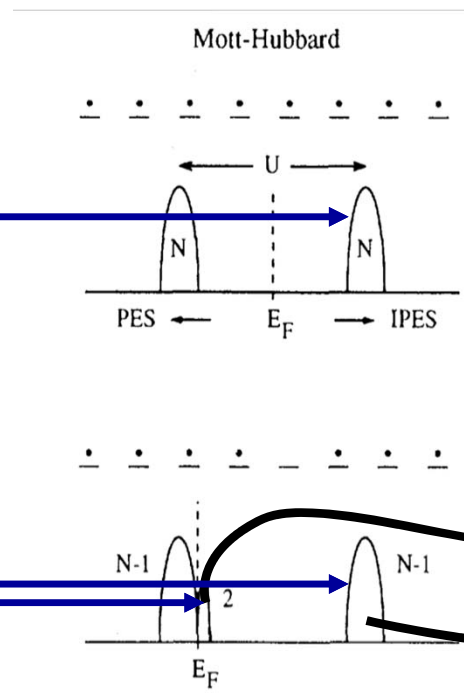
+ and -

- Long range order:
 - No mean-field factorization on the cluster
 - Symmetry breaking allowed in the bath
- Included exactly:
 - Short-range dynamical and spatial correlations
- Missing:
 - Long wavelength p-h and p-p fluctuations
 - Hence good when the corresponding correlation lengths are small

Cuprates as doped Mott insulators

Mott Insulator : X-Ray absorption

Meinders *et al.* PRB **48**, 3916 (1993)

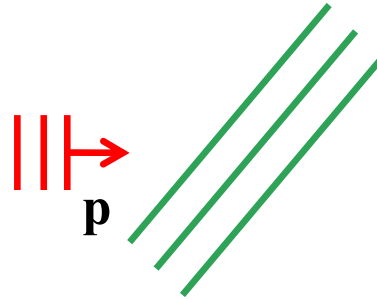


Chen *et al.* PRL **66**, 104 (1991)

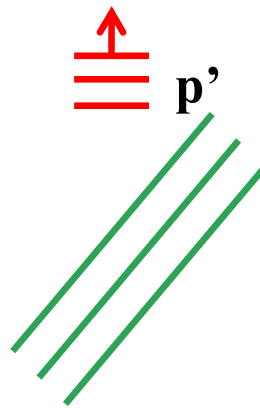
d-wave superconductivity One band model

Superconductivity

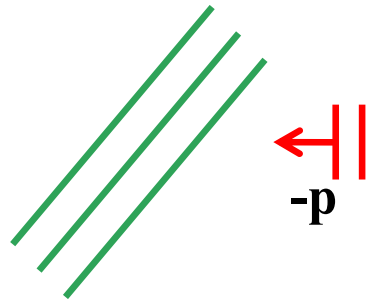
Attraction mechanism in the metallic state



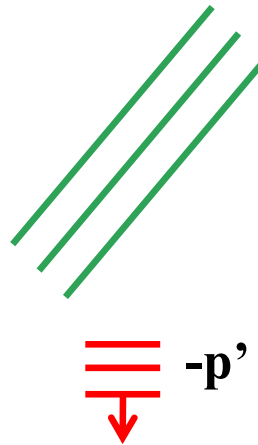
Attraction mechanism in the metallic state



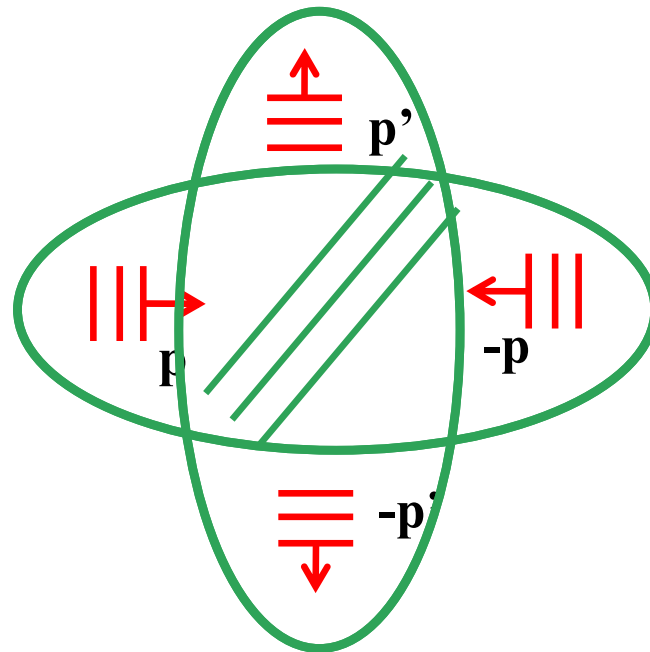
Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



Attraction mechanism in the metallic state



#1 Cooper pair, #2 Phase coherence

$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow}$$

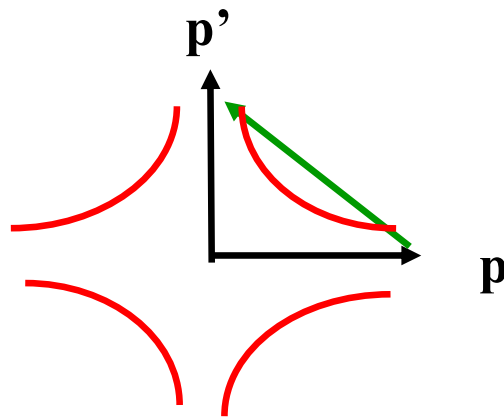
$$E_P = \sum_{\mathbf{p}, \mathbf{p}'} U_{\mathbf{p}-\mathbf{p}'} \langle \psi_{\mathbf{p}'\uparrow, -\mathbf{p}'\downarrow}^* \rangle \langle \psi_{\mathbf{p}\uparrow, -\mathbf{p}\downarrow} \rangle$$

$$|\text{BCS}(\theta)\rangle = \dots + e^{iN\theta} |N\rangle + e^{i(N+2)\theta} |N+2\rangle + \dots$$

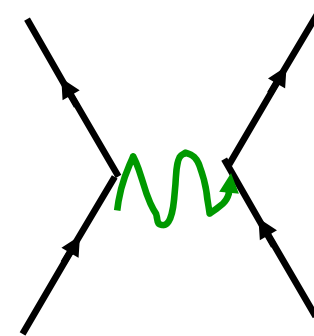
The weak-correlation limit : Boson exchange

Cartoon « BCS » weak-coupling picture

$$\Delta_{\mathbf{p}} = -\frac{1}{2V} \sum_{\mathbf{p}'} U(\mathbf{p} - \mathbf{p}') \frac{\Delta_{\mathbf{p}'}}{E_{\mathbf{p}'}} (1 - 2n(E_{\mathbf{p}'}))$$



Exchange of spin waves?
Kohn-Luttinger
 T_c with pressure

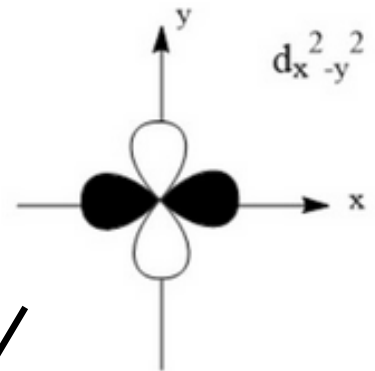


Béal-Monod, Bourbonnais, Emery
P.R. B. **34**, 7716 (1986).

D. J. Scalapino, E. Loh, Jr., and J. E. Hirsch
P.R. B **34**, 8190-8192 (1986).

Kohn, Luttinger, P.R.L. **15**, 524 (1965).

P.W. Anderson Science 317, 1705 (2007)



The strong-correlation limit : Superexchange

A cartoon strong correlation picture

$$\hat{\mathcal{H}}_{\text{modèle } t-J} = -t \sum_{\langle i,j \rangle \sigma} \hat{P} \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + c.h \right) \hat{P} + J \sum_{\langle i,j \rangle} \left(\hat{\vec{S}}_i \cdot \hat{\vec{S}}_j - \frac{1}{4} \hat{n}_i \hat{n}_j \right)$$

$$J \hat{S}_i^z \hat{S}_j^z = J(\hat{n}_{i\uparrow} - \hat{n}_{i\downarrow})(\hat{n}_{j\uparrow} - \hat{n}_{j\downarrow})$$

$$= J(\hat{c}_{i\uparrow}^\dagger \hat{c}_{i\uparrow} - \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow})(\hat{c}_{j\uparrow}^\dagger \hat{c}_{j\uparrow} - \hat{c}_{j\downarrow}^\dagger \hat{c}_{j\downarrow})$$

$$= -J(\hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{j\uparrow}^\dagger \hat{c}_{j\uparrow} + \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\uparrow} \hat{c}_{j\downarrow}^\dagger \hat{c}_{j\downarrow}) + \dots$$

$$= -J(\hat{c}_{j\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{j\uparrow} + \hat{c}_{i\uparrow}^\dagger \hat{c}_{j\downarrow}^\dagger \hat{c}_{j\downarrow} \hat{c}_{i\uparrow}) + \dots$$

Hartree-Fock :

$$d^* = \langle \hat{c}_{j\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \rangle \mathcal{H}_{\text{modèle } t-J}$$

$$\langle J \hat{S}_i^z \hat{S}_j^z \rangle = -2J d^* d + \dots$$

Pitaevskii Brückner:

Pair state orthogonal to repulsive core of Coulomb interaction

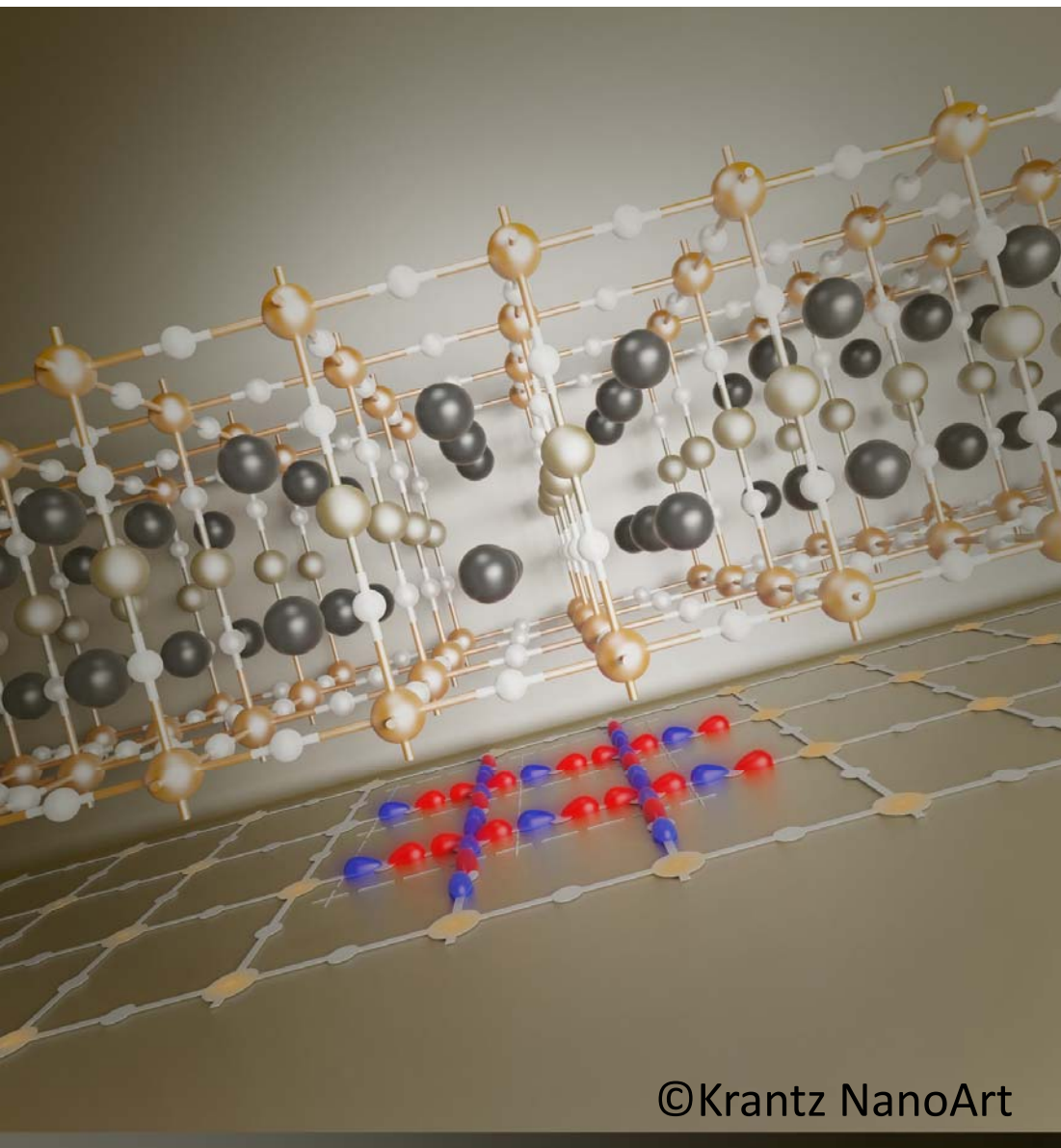
P.W. Anderson Science
317, 1705 (2007)

Miyake, Schmitt-Rink, and Varma
P.R. B 34, 6554-6556 (1986)

More sophisticated Slave Boson: Kotliar Liu PRB 1988

Summary of what we learn from one band d-wave

- Lots of singlets near half-filling
- Superconductivity strongest when kinetic and potential energy are comparable (Scales like J at large U)
- Condensation energy when strong correlations : (confirmed by experiment)
 - From kinetic energy near half-filling
 - From potential energy at large doping
- Phase fluctuations important for the shape of the d-wave dome



USHERBROOKE.CA/IQ132

Three-band (Emery VSA) Hubbard model



Sidhartha Dash



Nicolas Kowalski



Patrick Sémon



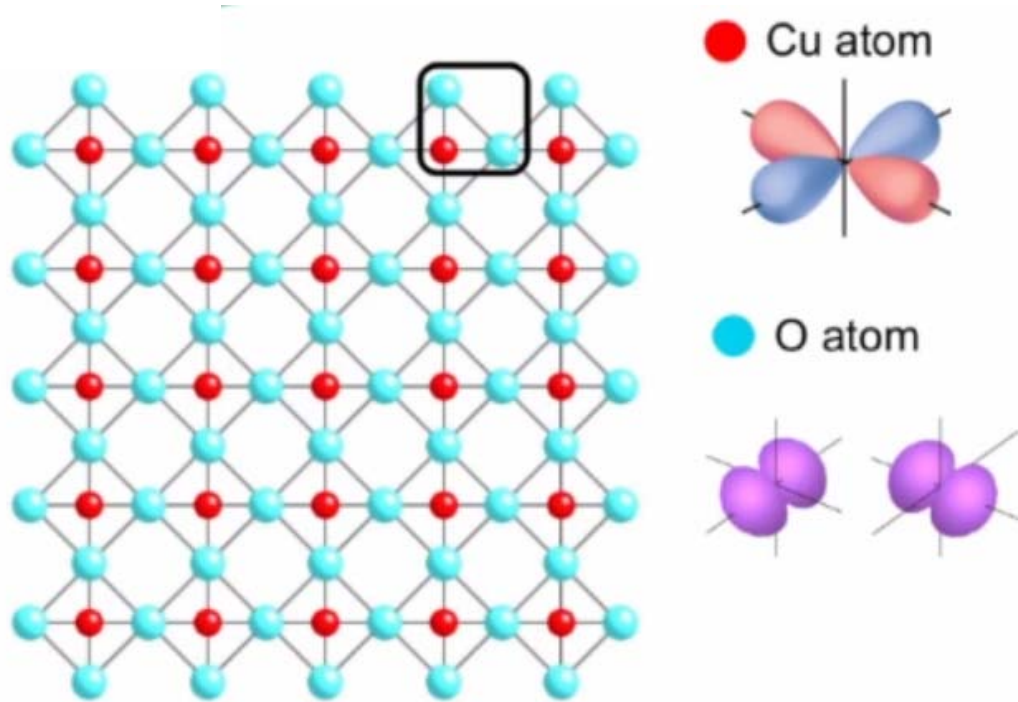
David Sénéchal

V. J. Emery, Phys. Rev. Lett. 58, 2794 (1987)

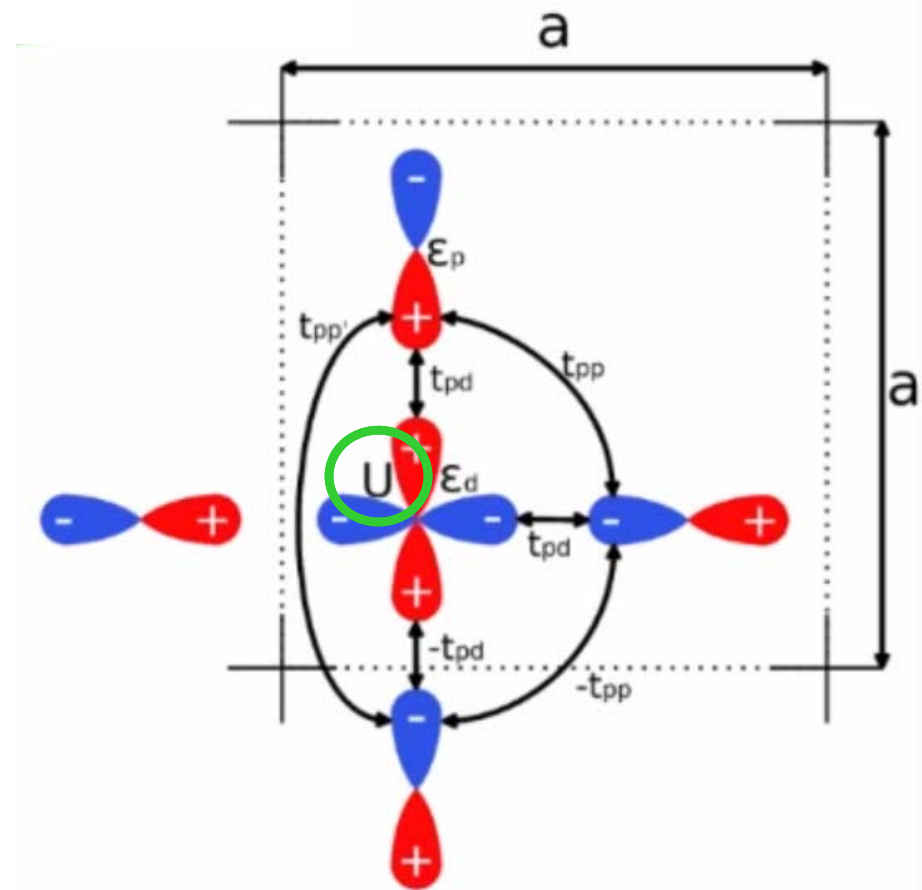
C. M. Varma, S. Schmitt-Rink, and E. Abrahams, Solid State Communications 62, 681–685 (1987), ISSN 0038-1098,

PNAS 118 (40) e2106476118 (2021)

Copper and oxygen planes

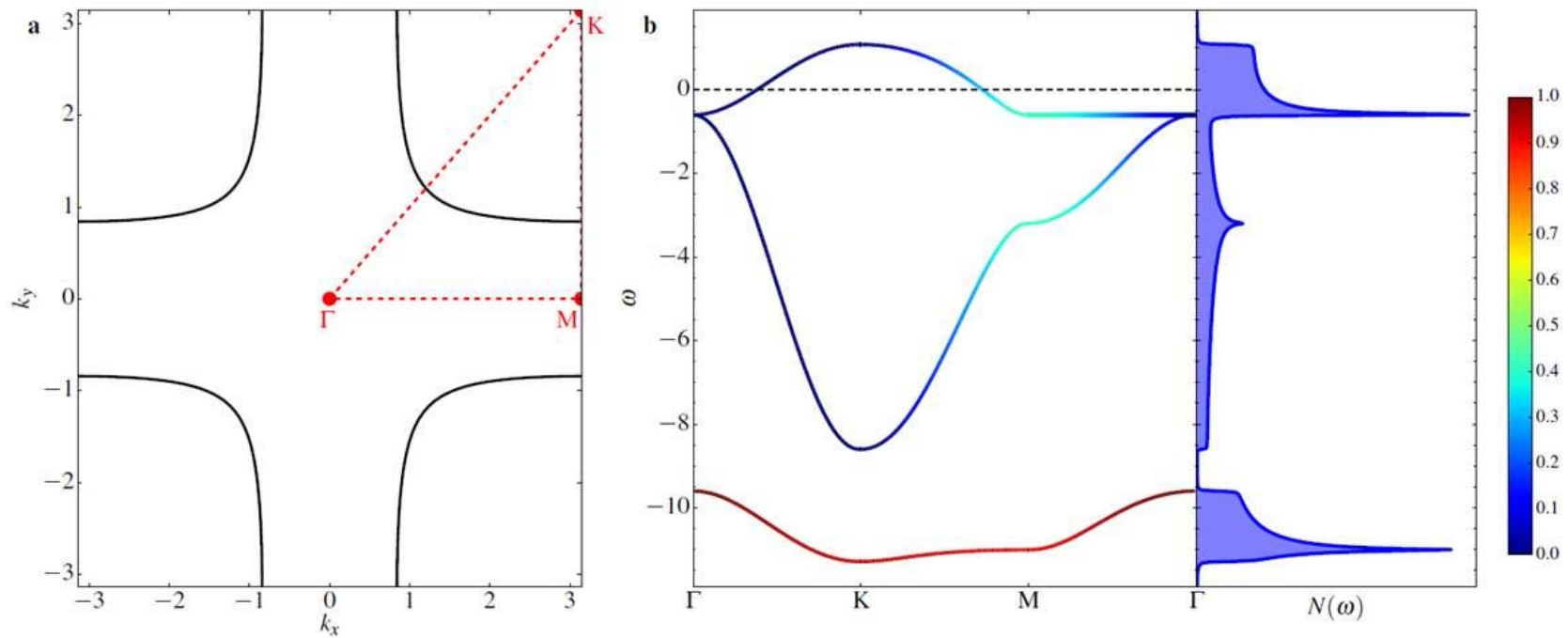


© Nicolas Kowalski



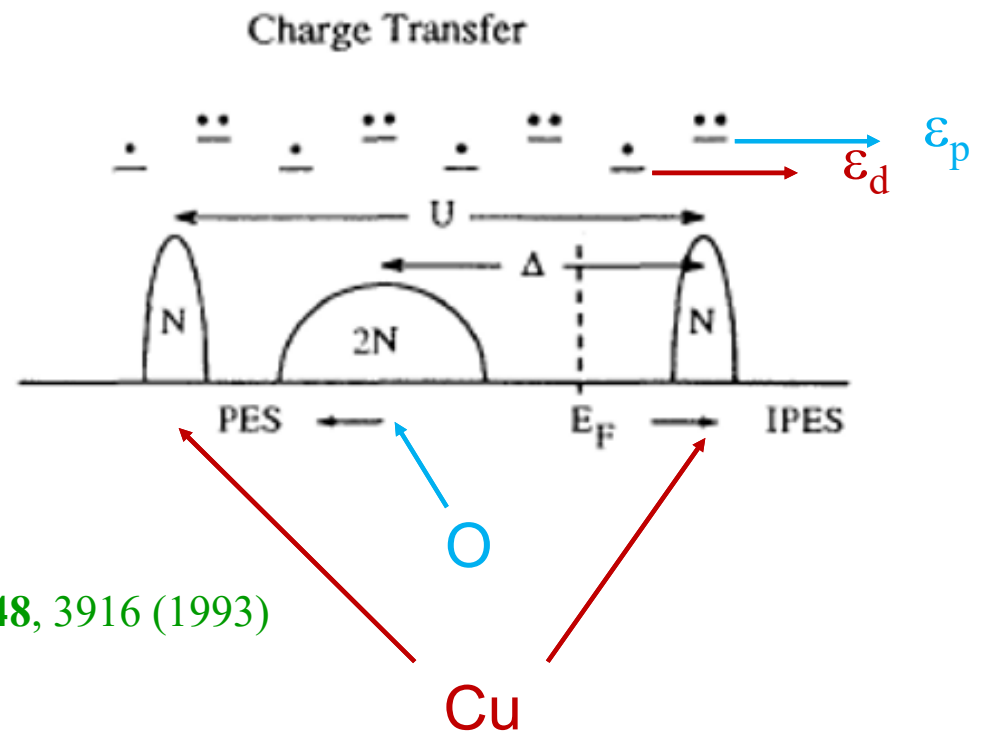
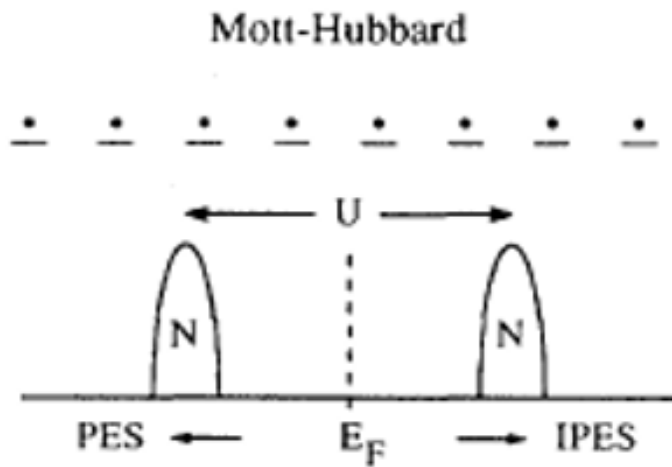
© Nicolas Kowalski

Bands : Copper-Oxygen hybridization



L. Fratino, P. Sémon, G. Sordi and A.-M.S. T.
Sci. Rep., 6, 22715 (2016)

Interactions : Charge-transfer insulator

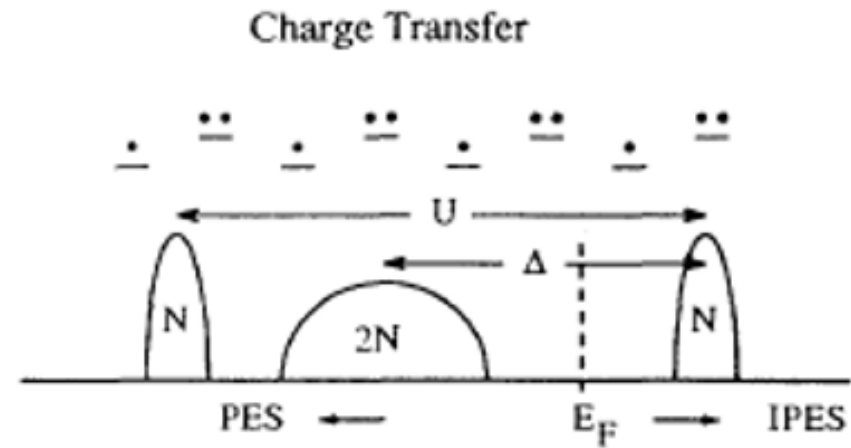
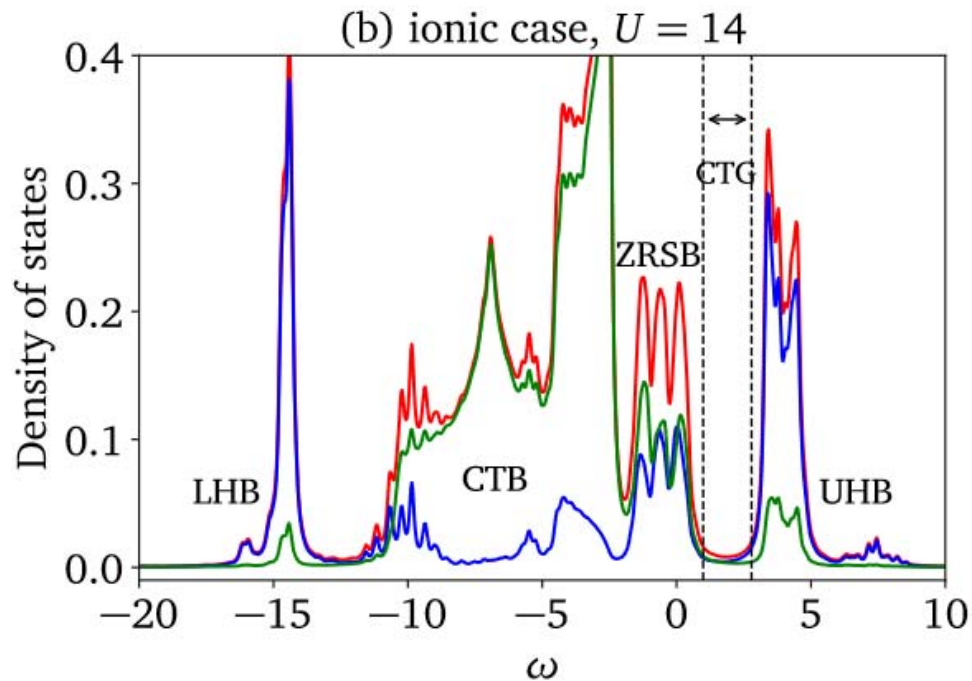


Meinders *et al.* PRB **48**, 3916 (1993)

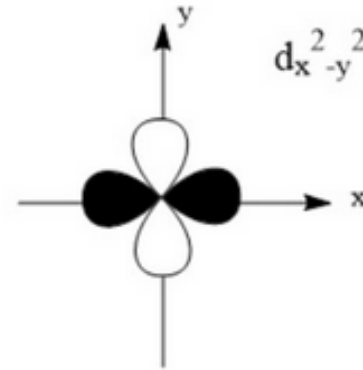
Cu

O

"Ionic" limiting cases with manageable sign problem

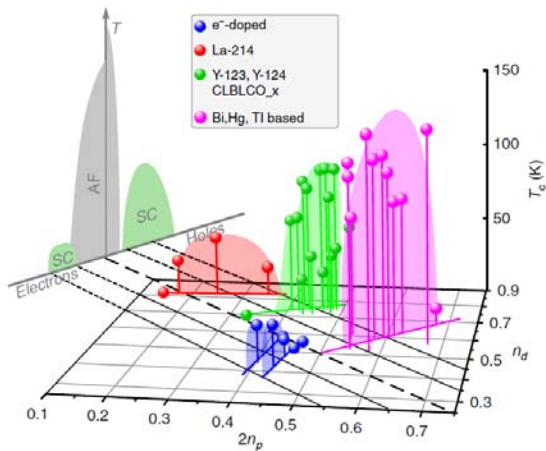


d-wave Superconductivity

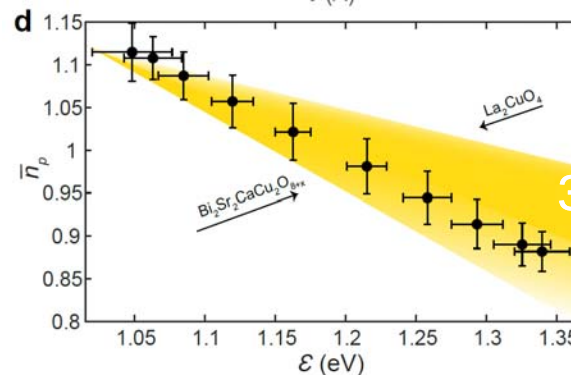


$$d = \langle \hat{d} \rangle = \frac{1}{N} \sum_{\vec{k}} (\cos k_x - \cos k_y) \langle c_{\vec{k},\uparrow} c_{-\vec{k},\downarrow} \rangle$$

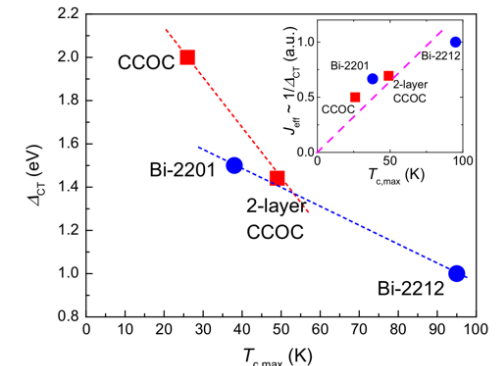
Three experimental observations on optimizing T_c



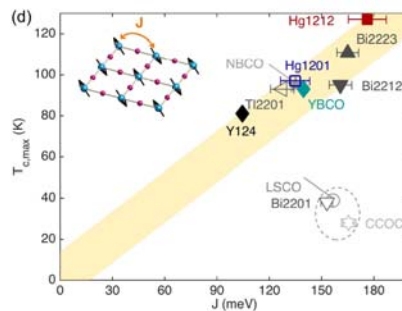
Rybicki, ... Haase,
Nat. Comm. 7, 11413
(2016)



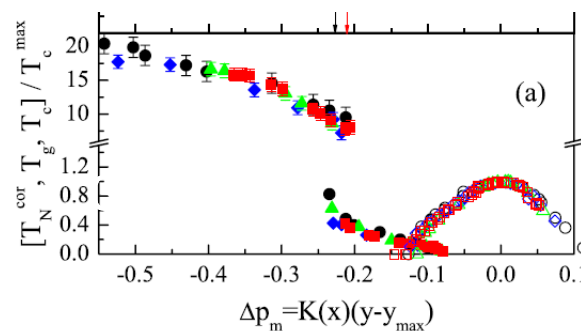
O'Mahony *et al.* arXiv:2108.03655



Ruan *et al.*
Sci. Bull. 61 (2016)



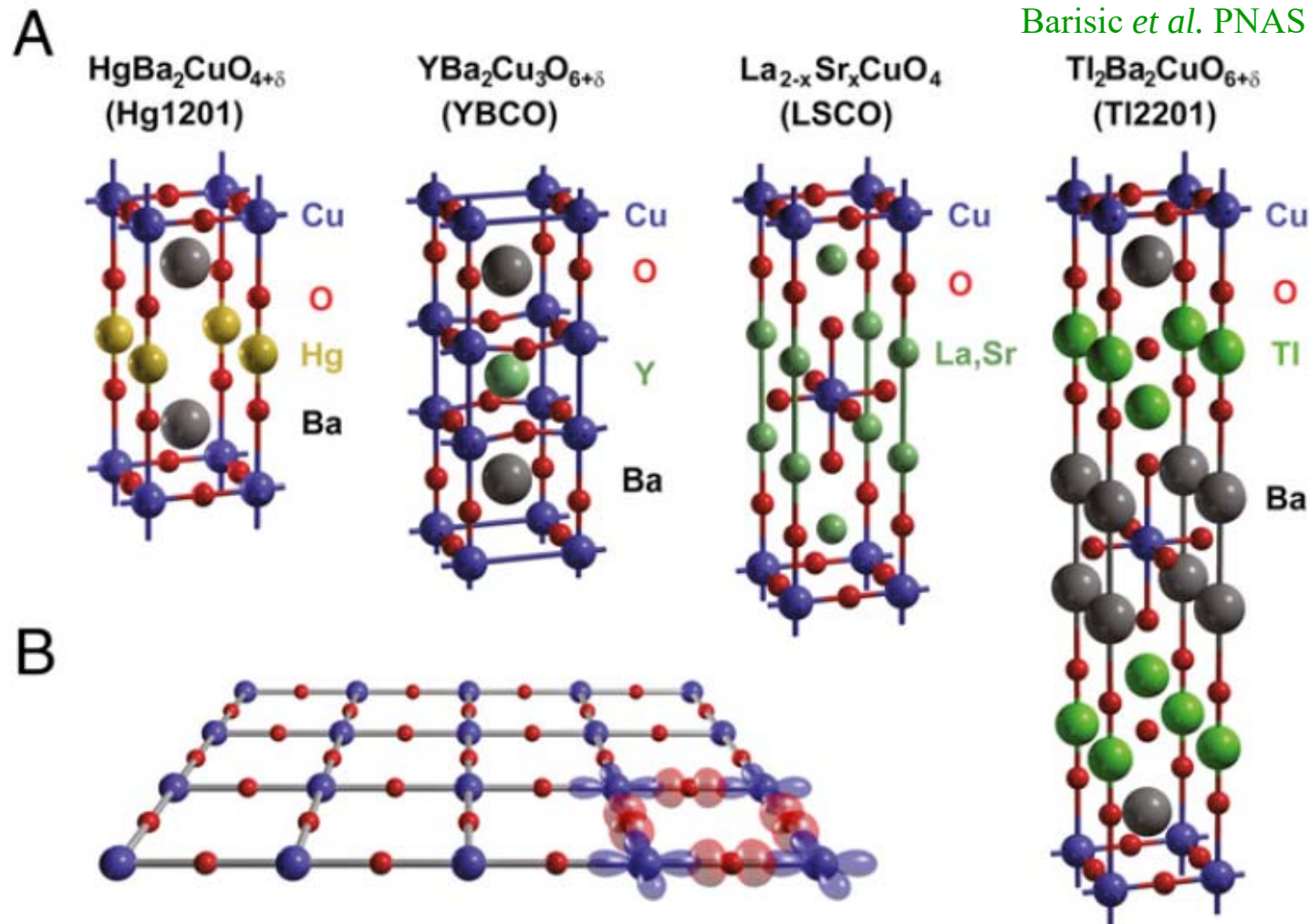
Lichen Wang, *et al.*
arXiv 2011.05029



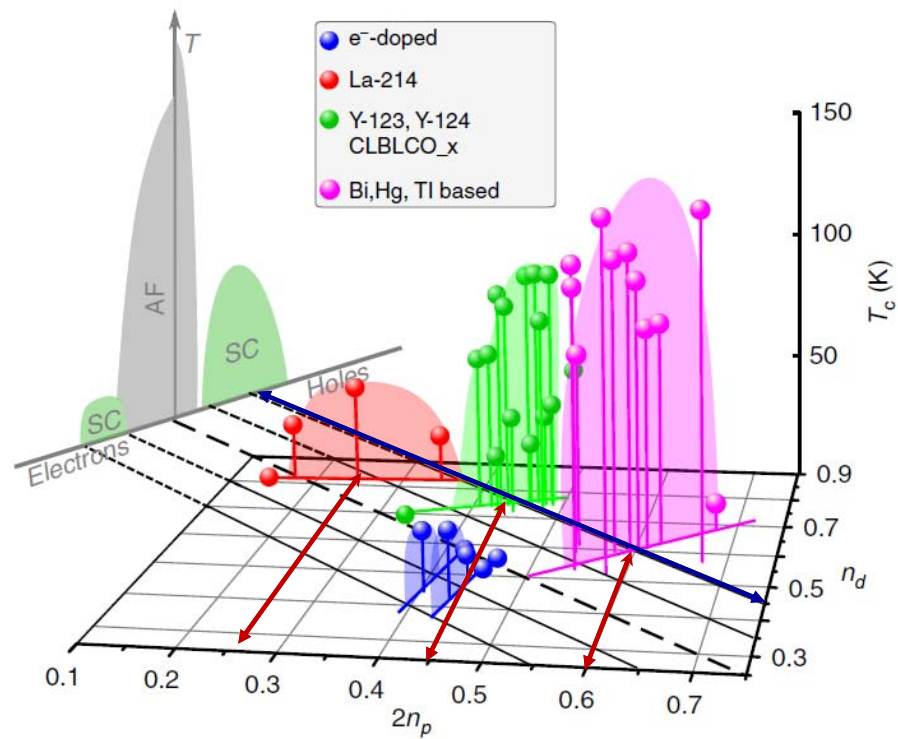
A. Keren New J. Phys. 11 065006

There are different kinds of cuprates : All with CuO_2 planes

Barisic *et al.* PNAS **110**, 12235 (2013)



#1 Optimizing T_c with oxygen hole content

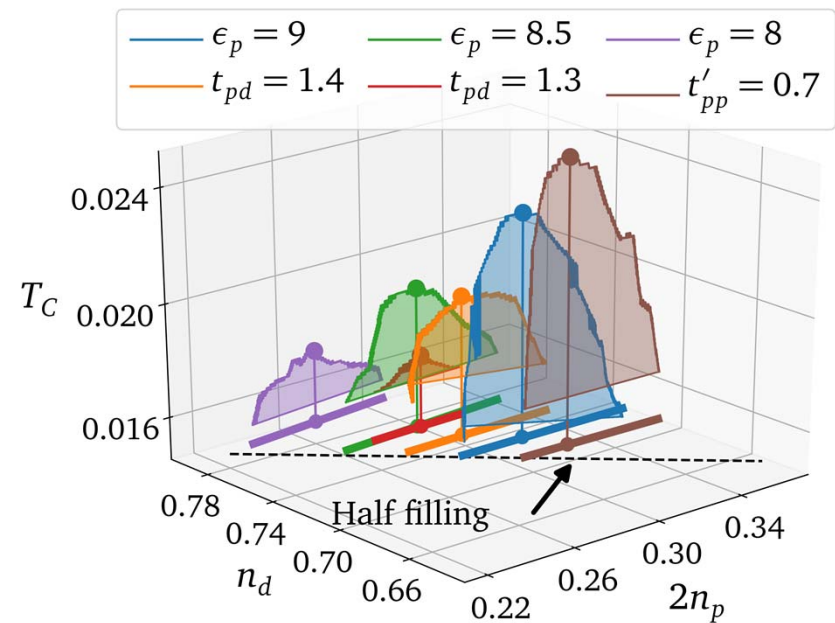
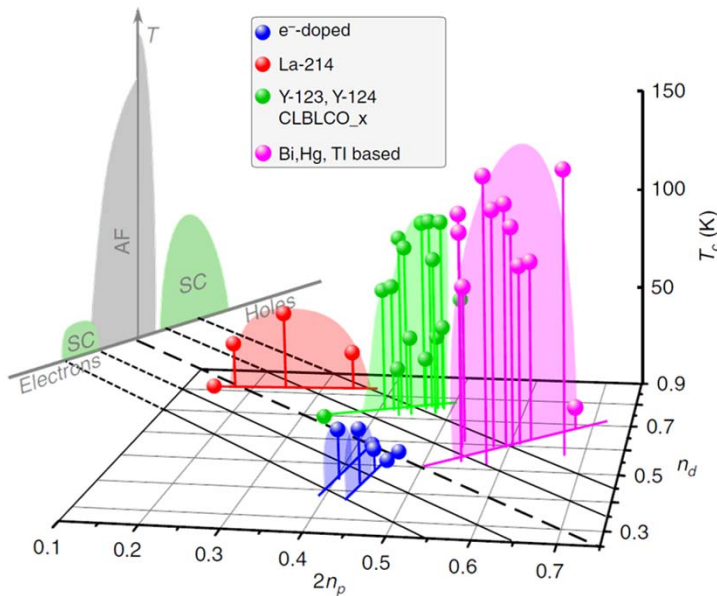


Rybicki,, Haase, Nat. Comm. 7, 11413 (2016)

Results

Critical Temperature

● $\epsilon_p - \epsilon_d = 7.0$ $t_{pd} = 1.5$, $t_{pp} = 1.0$, $t'_{pp} = 1.0$



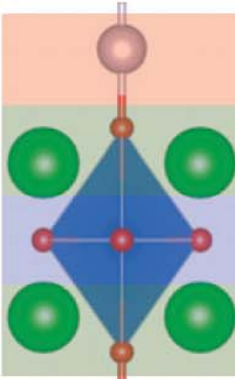
D. Rybicki et al. "Perspective on the phase diagram of cuprate high-temperature superconductors," Nature Communications, vol. 7, p. 11413, 2016

Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS 118 (40) e2106476118 (2021)

More realistic models

Optimizing T_c

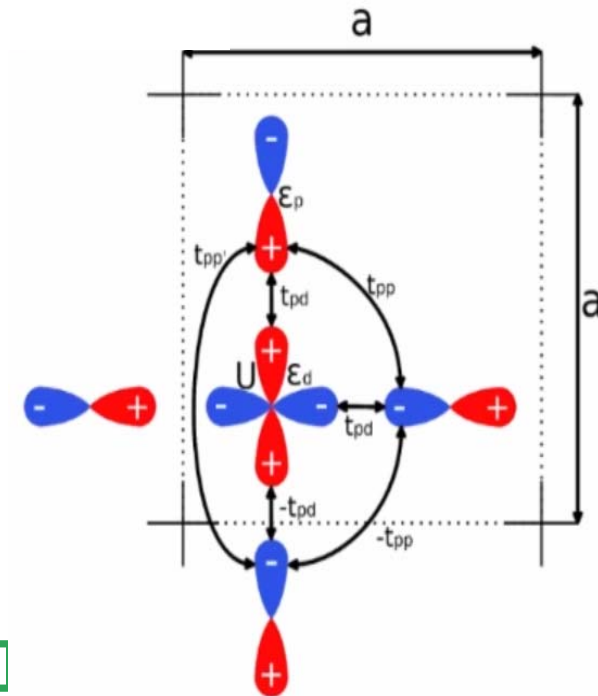


	charge	dopants	structure	hamiltonian
 HgO _δ	balances -2 charge	supplies	harbors dopants	tunes chemical potential
BaO	neutral	inert	protects CuO ₂ from disorder	tunes in-plane t, t', U
CuO ₂	-2 charge/u.c.	accepts	roughly sets lattice const.	superconducts
BaO			(same as other CaS layer)	

Chuck-Hou Yee *et al* *EPL* **111** 17002 (2015)

Electronic structure

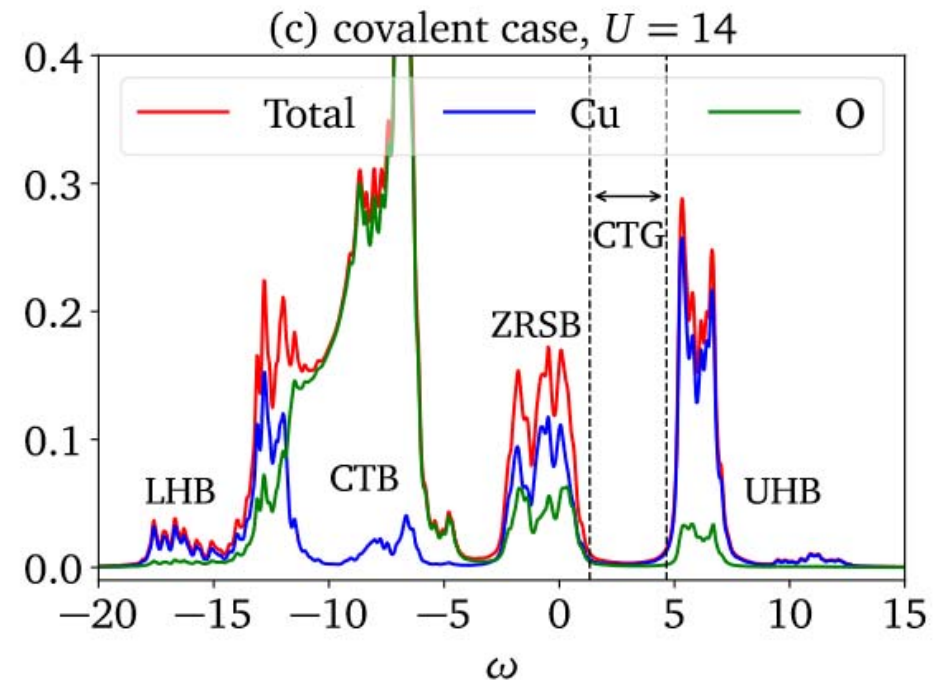
	Compound	$\epsilon_d - \epsilon_p$ (eV)	t_{pd} (eV)	t_{pp} (eV)	$t_{pp'}$ (eV)	t'/t	layers	$d_{\text{Cu-O}}^{\text{apical}}$ (Å)	T_c (K)
(1)	La_2CuO_4	2.61	1.39	0.640	0.103	0.070	1	2.3932	38
(2)	$\text{Pb}_2\text{Sr}_2\text{YCu}_3\text{O}_8$	2.32	1.30	0.673	0.160	0.108	2	2.3104	70
(3)	$\text{Ca}_2\text{CuO}_2\text{Cl}_2$	2.21	1.27	0.623	0.132	0.085	1	2.7539	26
(4)	$\text{La}_2\text{CaCu}_2\text{O}_6$	2.20	1.31	0.644	0.152	0.120	2	2.2402	45
(5)	$\text{Sr}_2\text{Nd}_2\text{NbCu}_2\text{O}_{10}$	2.10	1.25	0.612	0.144	0.110	2	2.0450	28
(6)	$\text{Bi}_2\text{Sr}_2\text{CuO}_6$	2.06	1.36	0.677	0.153	0.105	1	2.5885	24
(7)	$\text{YBa}_2\text{Cu}_3\text{O}_7$	2.05	1.28	0.673	0.150	0.110	2	2.0936	93
(8)	$\text{HgBa}_2\text{CaCu}_2\text{O}_6$	1.93	1.28	0.663	0.187	0.133	2	2.8053	127
(9)	$\text{HgBa}_2\text{CuO}_4$	1.93	1.25	0.649	0.161	0.122	1	2.7891	90
(10)	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$	1.87	1.15	0.590	0.140	0.108	1	2.8585	30
(11a)	$\text{HgBa}_2\text{Ca}_2\text{Cu}_3\text{O}_8$ (outer)	1.87	1.29	0.674	0.184	0.141	3	2.7477	135
(11b)	$\text{HgBa}_2\text{Ca}_2\text{Cu}_3\text{O}_8$ (inner)	1.94	1.29	0.656	0.167	0.124	3	2.7477	135
(12)	$\text{Tl}_2\text{Ba}_2\text{CuO}_6$	1.79	1.27	0.630	0.150	0.121	1	2.7143	90
(13)	$\text{LaBa}_2\text{Cu}_3\text{O}_7$	1.77	1.13	0.620	0.188	0.144	2	2.2278	79
(14)	$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$	1.64	1.34	0.647	0.133	0.106	2	2.0033	95
(15)	$\text{Tl}_2\text{Ba}_2\text{CaCu}_2\text{O}_8$	1.27	1.29	0.638	0.140	0.131	2	2.0601	110
(16a)	$\text{Bi}_2\text{Sr}_2\text{Ca}_2\text{Cu}_3\text{O}_{10}$ (outer)	1.24	1.32	0.617	0.159	0.138	3	1.7721	108
(16a)	$\text{Bi}_2\text{Sr}_2\text{Ca}_2\text{Cu}_3\text{O}_{10}$ (inner)	2.24	1.32	0.678	0.198	0.121	3	1.7721	108



© Nicolas Kowalski

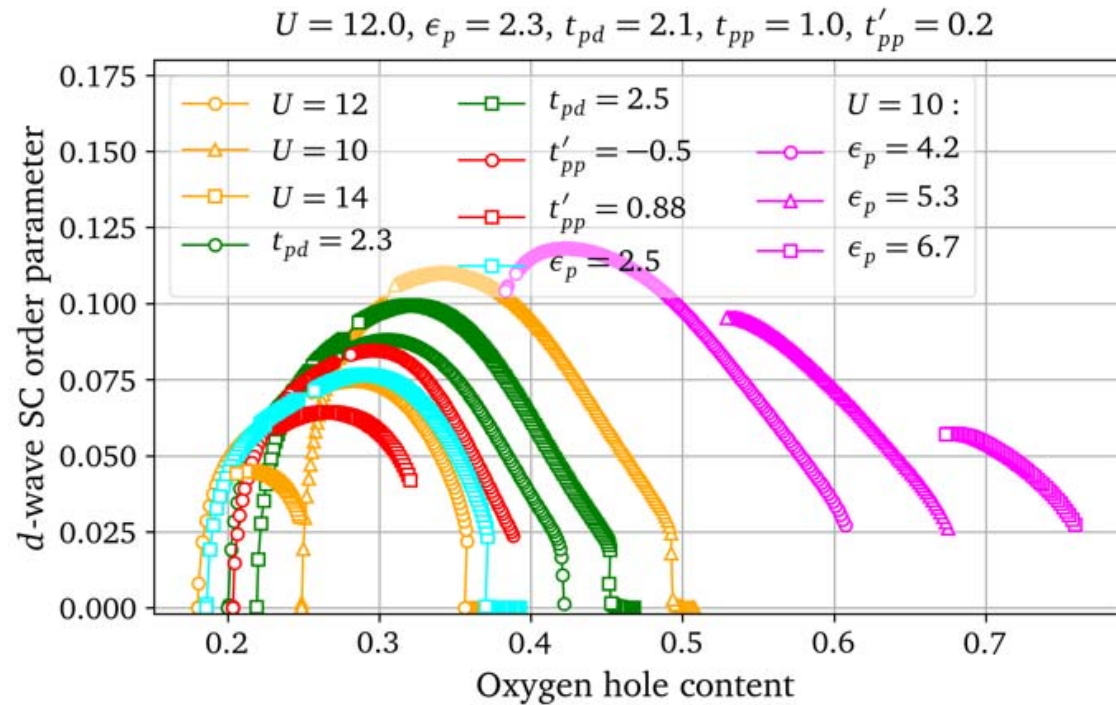
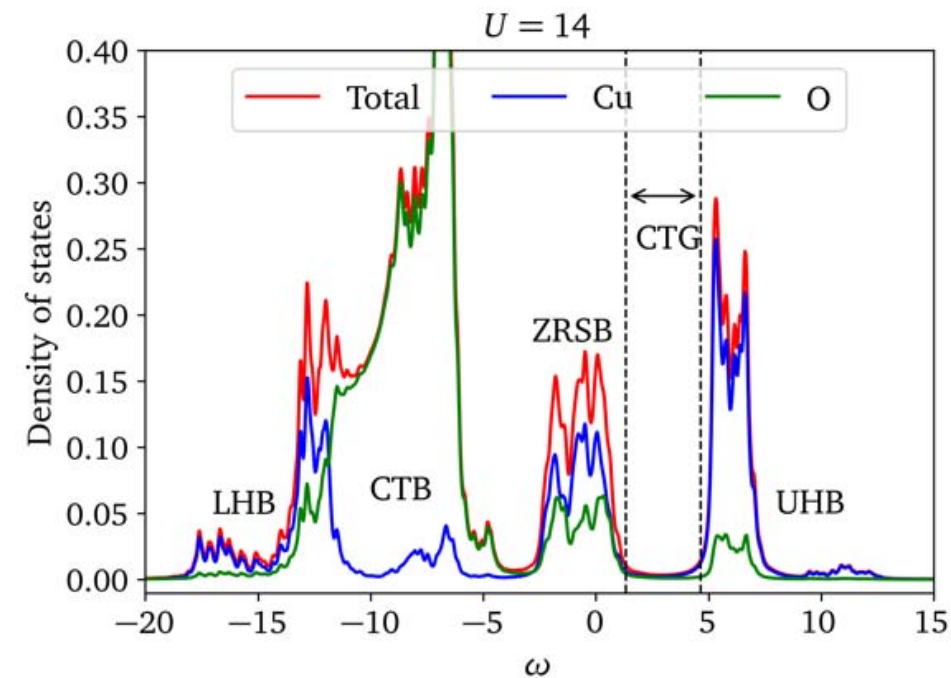
Weber, Yee, Haule, Kotliar, EPL 100, 2012

Density of states



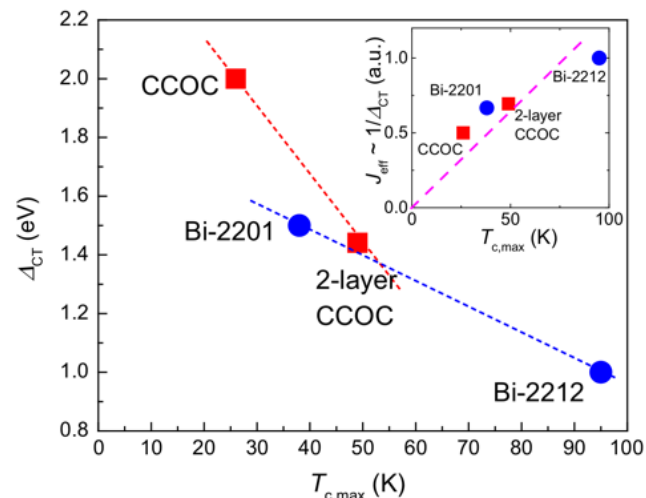
○ $\boxed{\epsilon_p - \epsilon_d = 2.3}$, $t_{pd} = 2.1$, $\boxed{t_{pp} = 1.0}$, $t'_{pp} = 0.2$

$T = 0$ superconducting domes for the covalent model

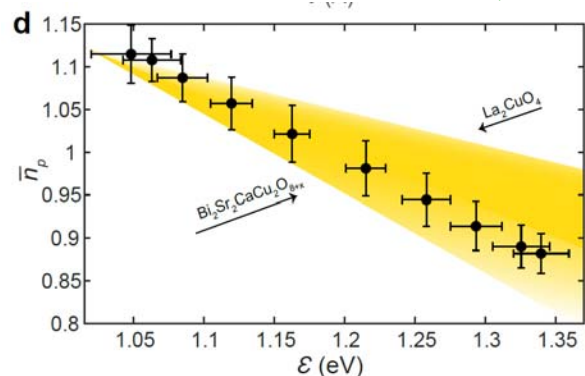


Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS 118 (40) e2106476118 (2021)

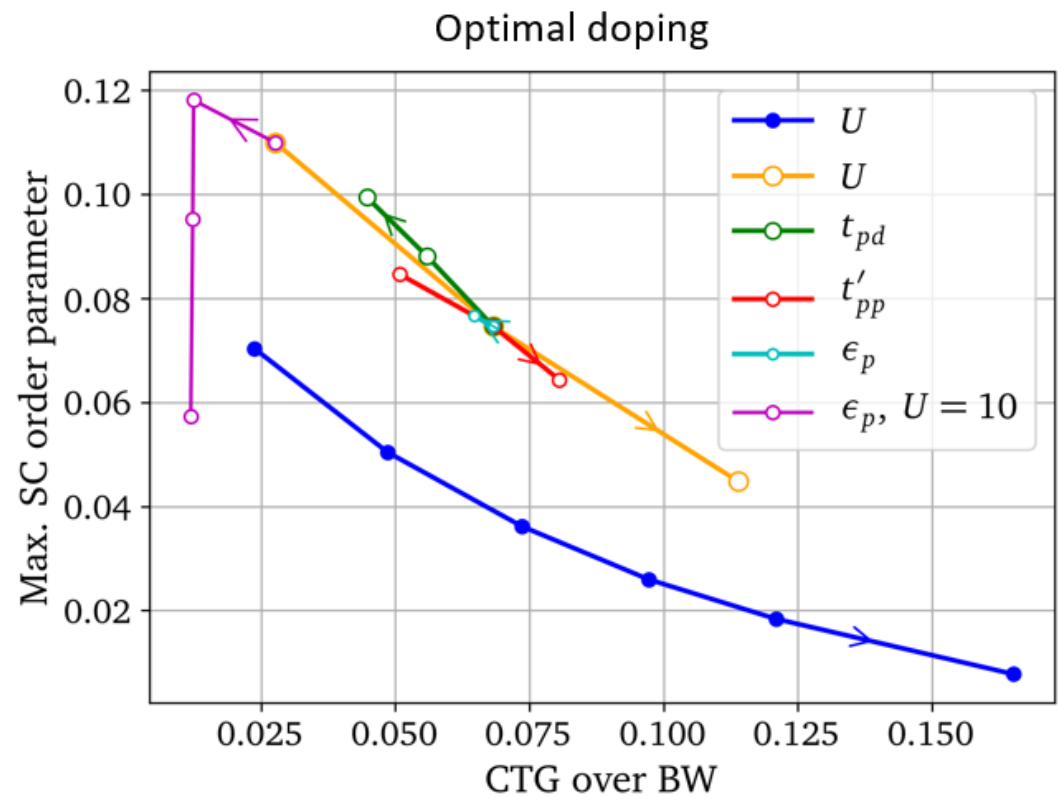
#2 Optimizing T_c with CT gap Δ (Oxygen as a witness)



Ruan *et al.* *Sci. Bull.* **61** (2016)

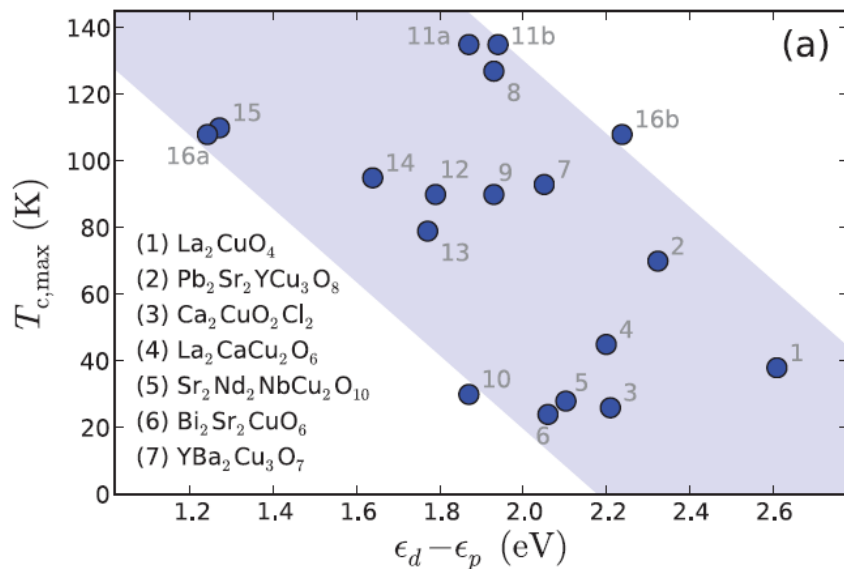


O'Mahony *et al.* arXiv:2108.03655

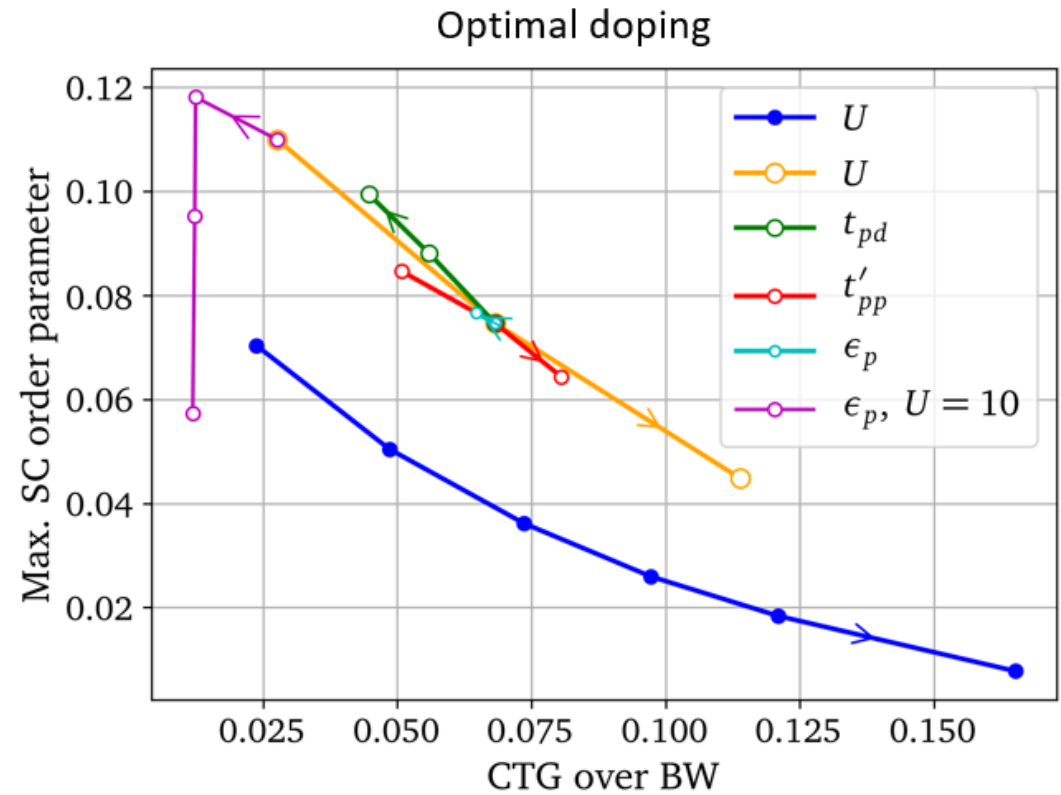


Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS **118** (40) e2106476118 (2021)

Experimental puzzle #2 with Charge Transfer Gap

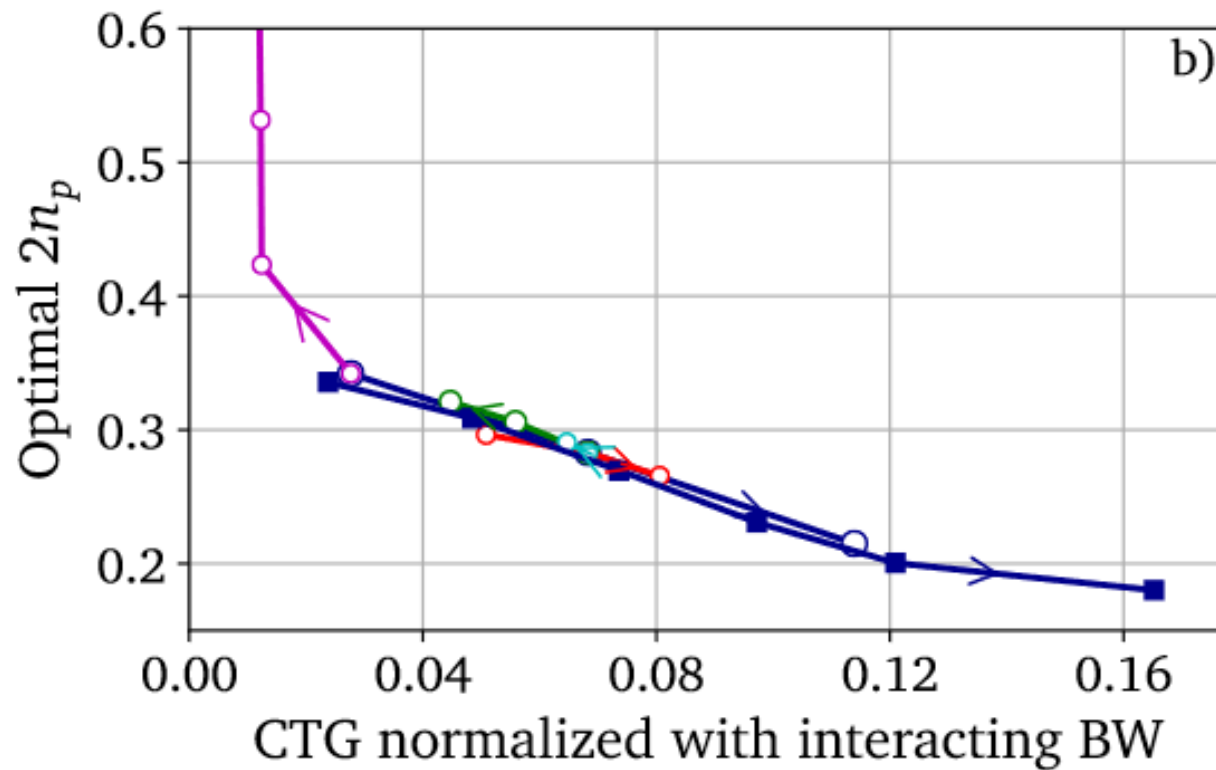


Weber, Yee, Haule, Kotliar, EPL 100, 2012



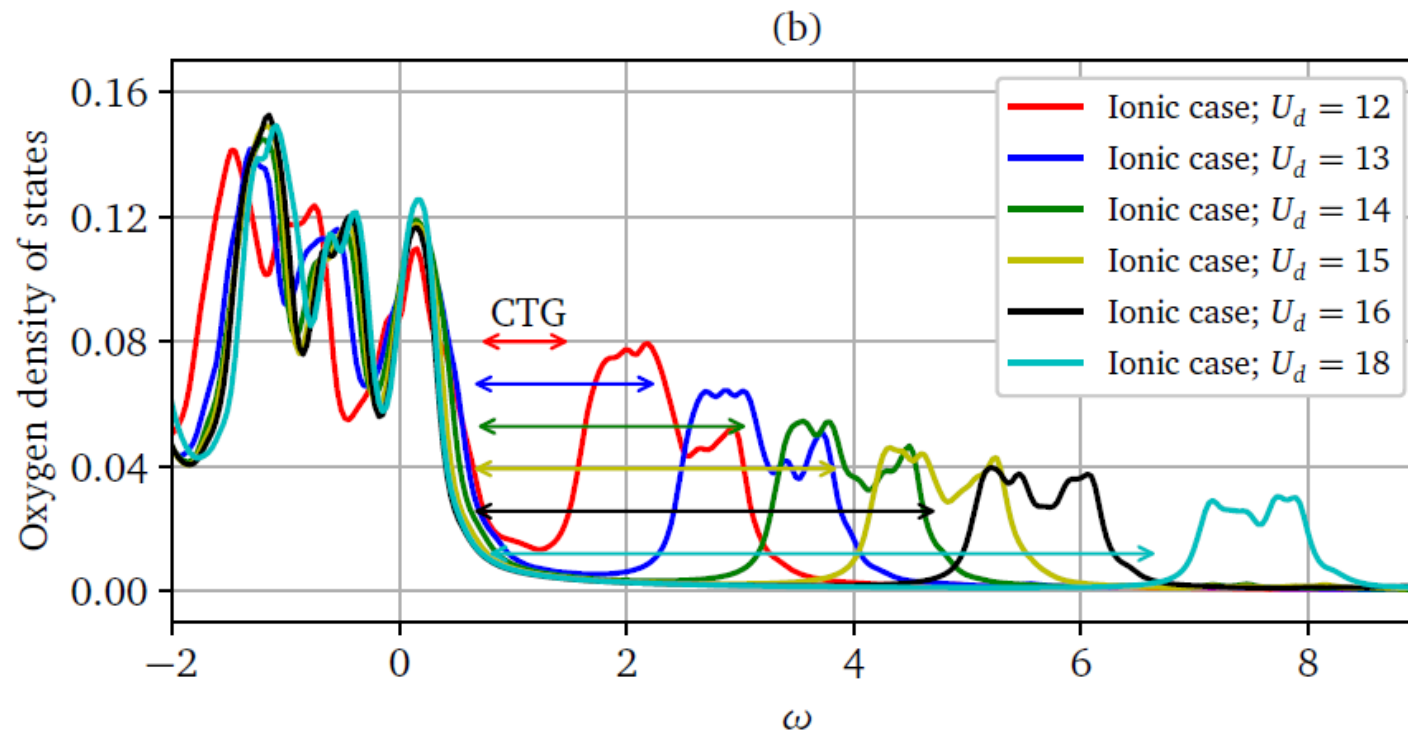
Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS 118 (40) e2106476118 (2021)

Charge-transfer gap, oxygen hole content



Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS 118 (40) e2106476118 (2021) 56

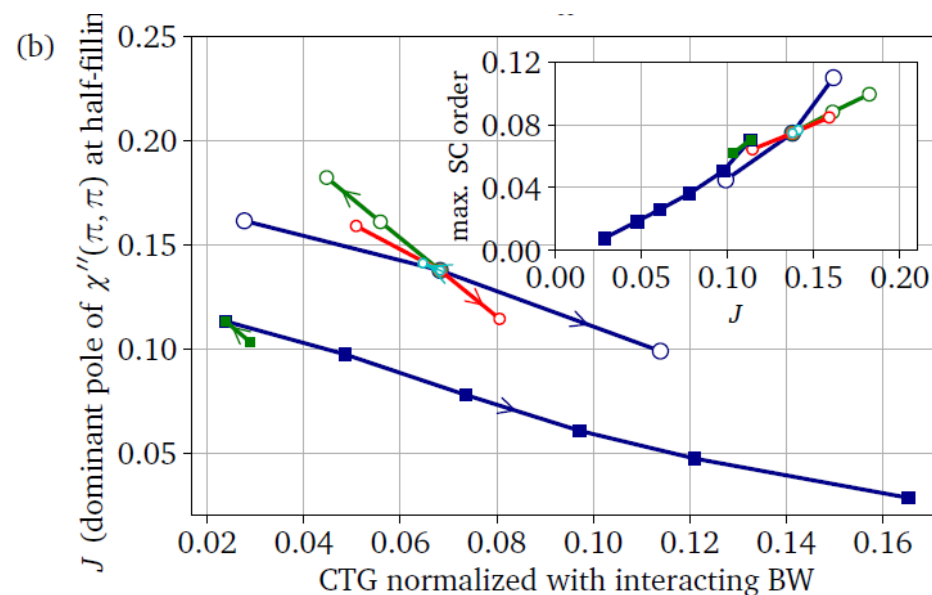
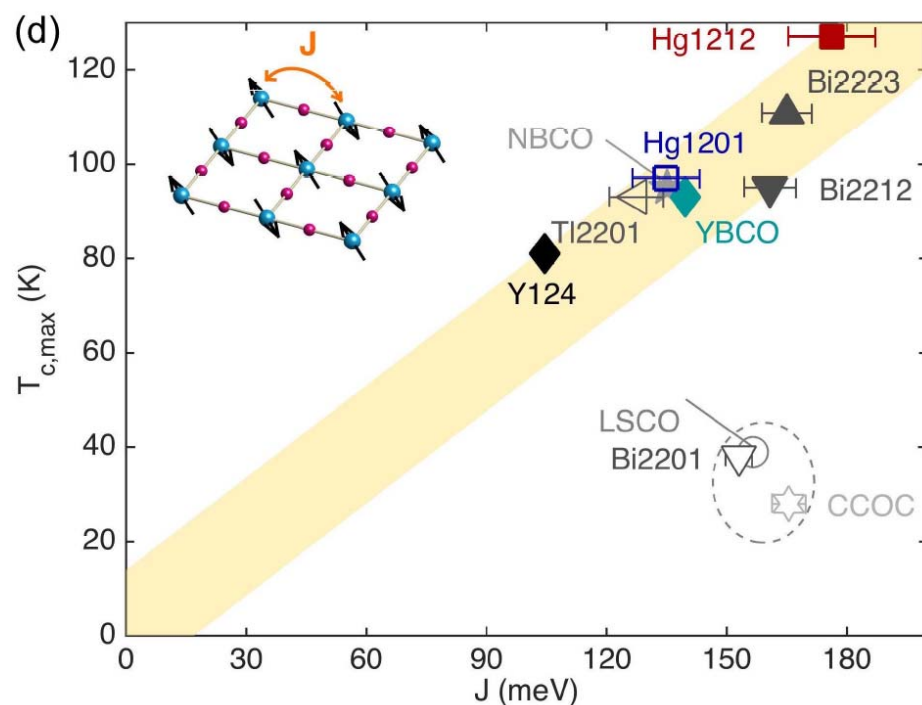
Charge transfer gap and oxygen hole content : Oxygen as a witness



© Sidhartha Dash

Copper pairing mechanism : superexchange

#3 Optimizing T_c with superexchange



Lichen Wang, *et al.* arXiv 2011.05029

$$J = 4t^2 / U$$



D. Sénéchal



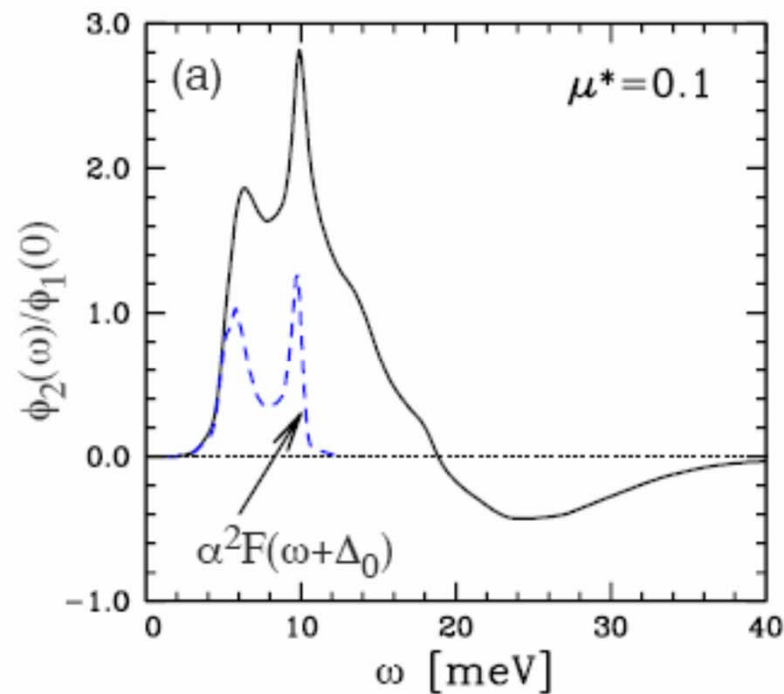
Bumsoo Kyung

The glue

Kyung, Sénéchal, Tremblay, Phys. Rev. B **80**, 205109 (2009)
Sénéchal, Day, Bouliane, AMST, Phys. Rev. B **87**, 075123 (2013)
A. Reymbaut *et al.* PRB **94** 155146 (2016)

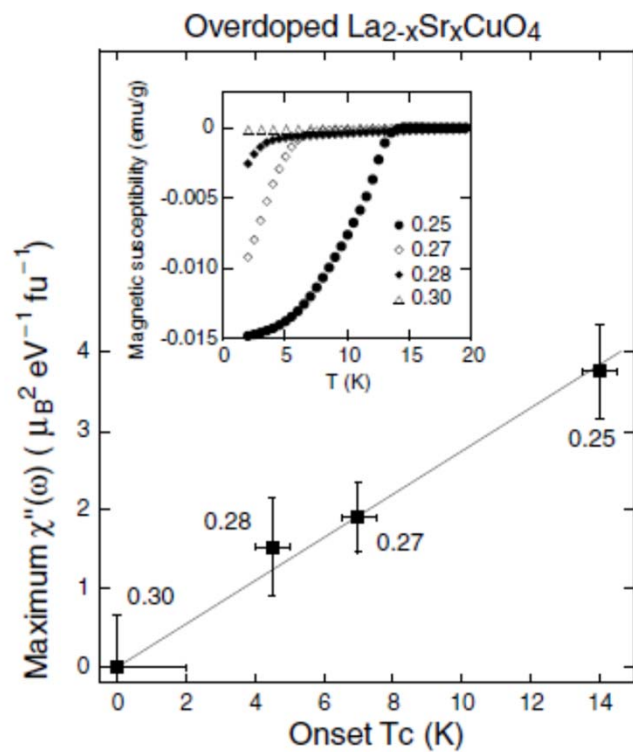
$\text{Im } \Sigma_{\text{an}}$ and electron-phonon in Pb

Maier, Poilblanc, Scalapino, PRL (2008)

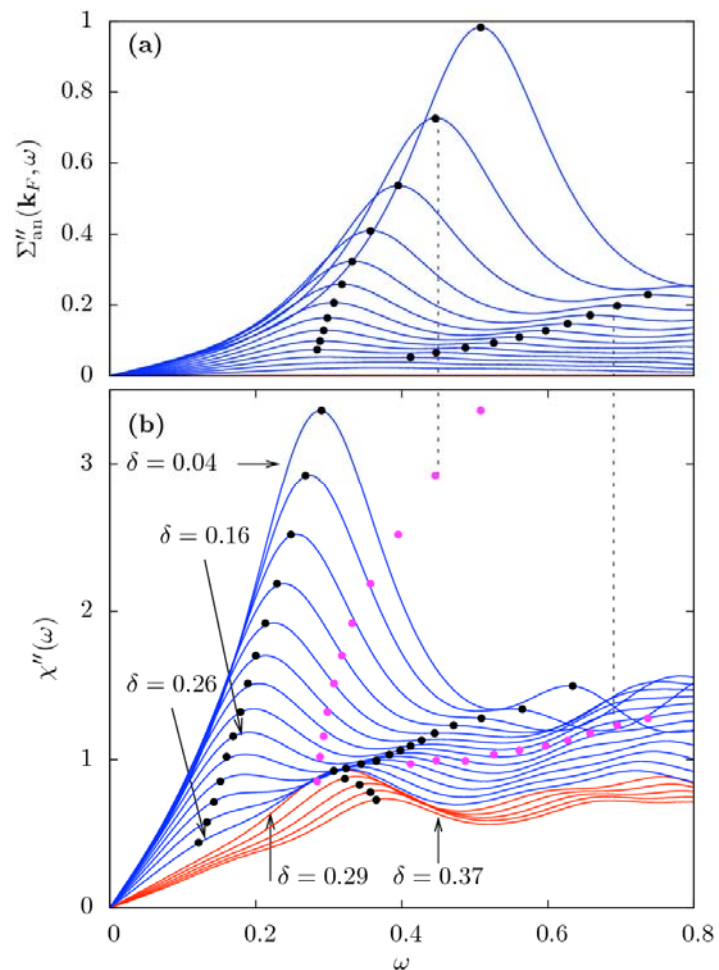


The glue CDMFT 2x2, T=0

Kyung, Sénéchal, Tremblay, Phys. Rev. B
80, 205109 (2009)

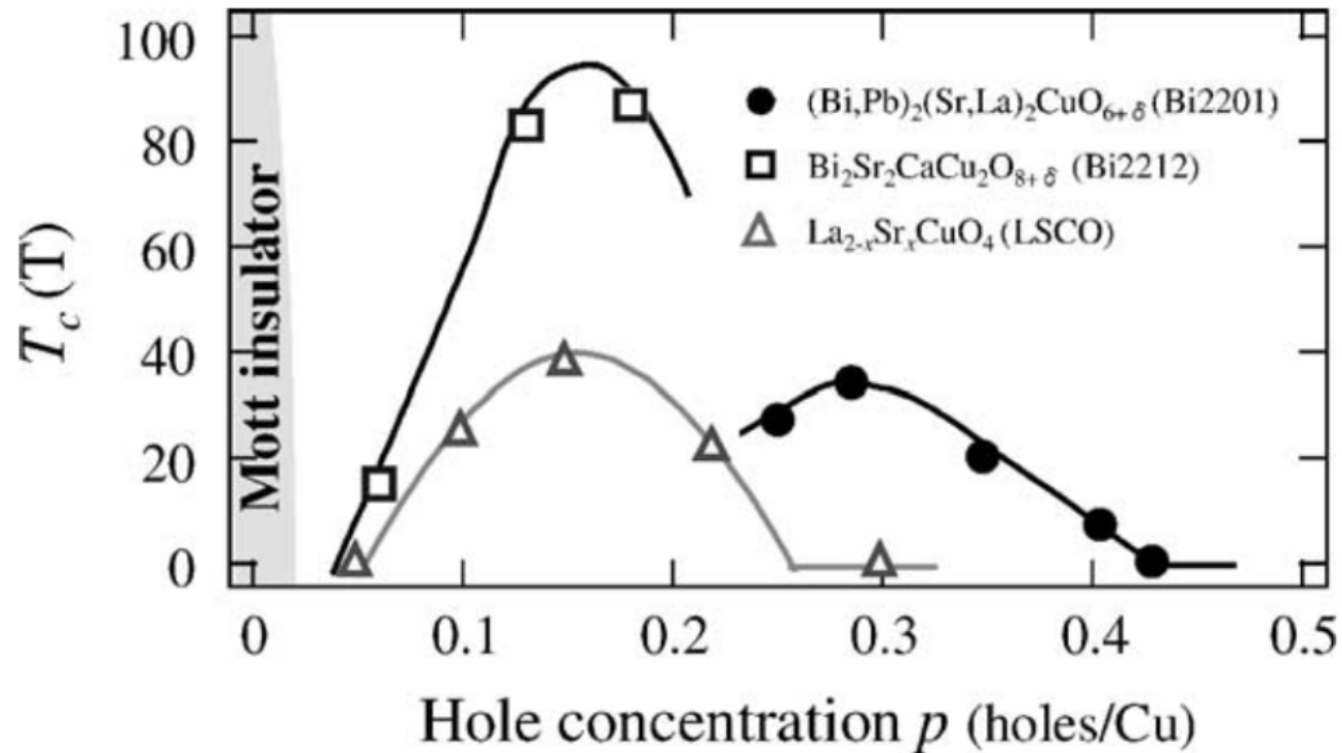


Wakimoto ... Birgeneau
PRL (2004)



Bonus

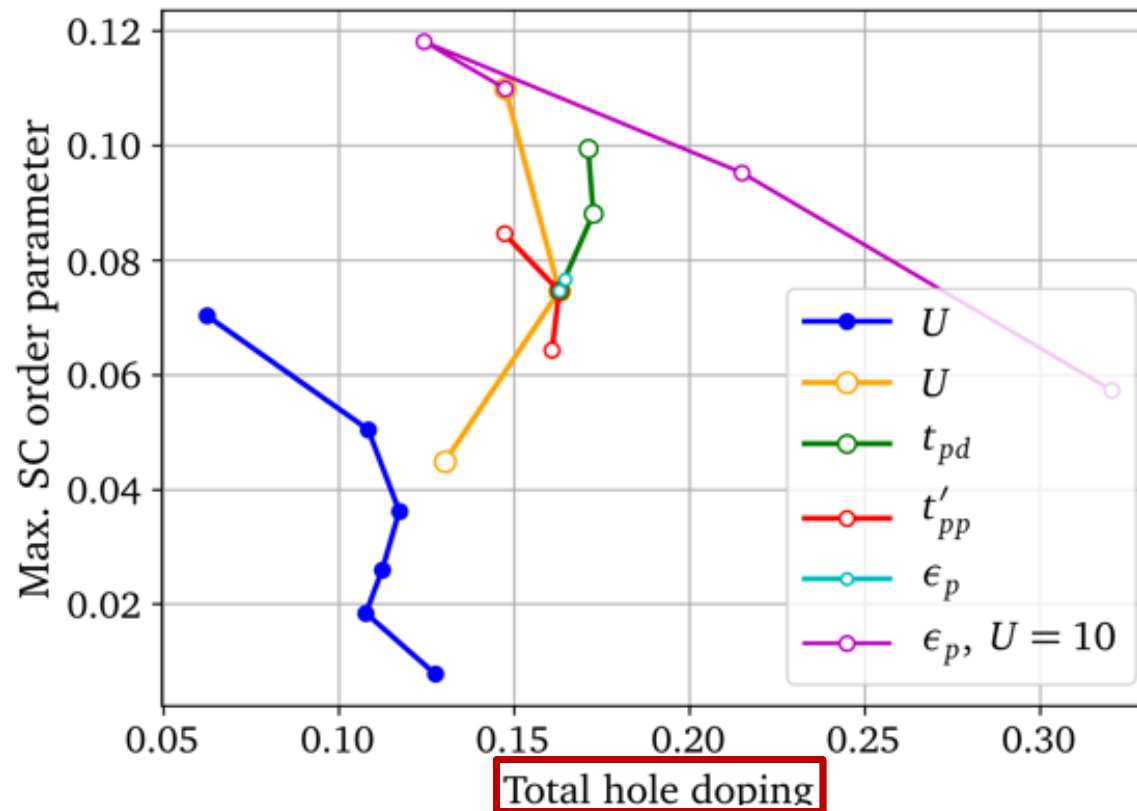
T_c and total hole concentration are not well correlated



T. Kondo *et al.*

Journal of Electron Spectroscopy and Related Phenomena **137-140**, 663 (2004)

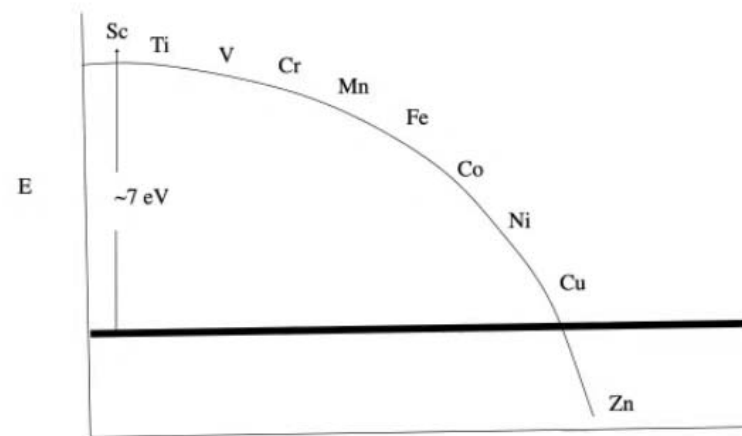
Bonus: total hole doping does not explain max order parameter



Kowalski, Dash, Sémon, Sénéchal, A-M.T.
PNAS 118 (40) e2106476118 (2021)

Bonus : Importance of covalency

Affinity Energy ($E(M^{2+}) - E(M^{1+})$) of first row
Trans. Metals in relation to Ionization Energy of
Oxygen ($E(O^{2-}) - E(O^{1-})$)



Also, Zaanen, Sawatzky, Allen (prl 1985).

C. M. Varma and T. Giamarchi, *Model for copper oxide metals and superconductors* (Elsevier Science B.V., 1995).

Summary Conclusion

Optimizing T_c

- Spin $\frac{1}{2}$
- One band
- Two-dimensions
- Strong covalency between chalcogen and transition metal.
 - Chalcogen screens U
- Charge-transfer gap just opening (intermediate interactions).
- Large J at half-filling
- ... and more

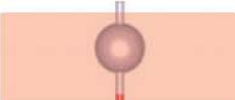
Chuck-Hou Yee *et al* *EPL* **111** 17002 (2015)

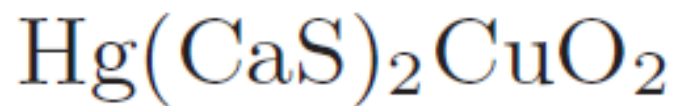
Stanev *et al.*, *npj Computational Materials* **4**, 29 (2018)

Liu *et al.* *APL Materials* **8**, 061104 (2020)

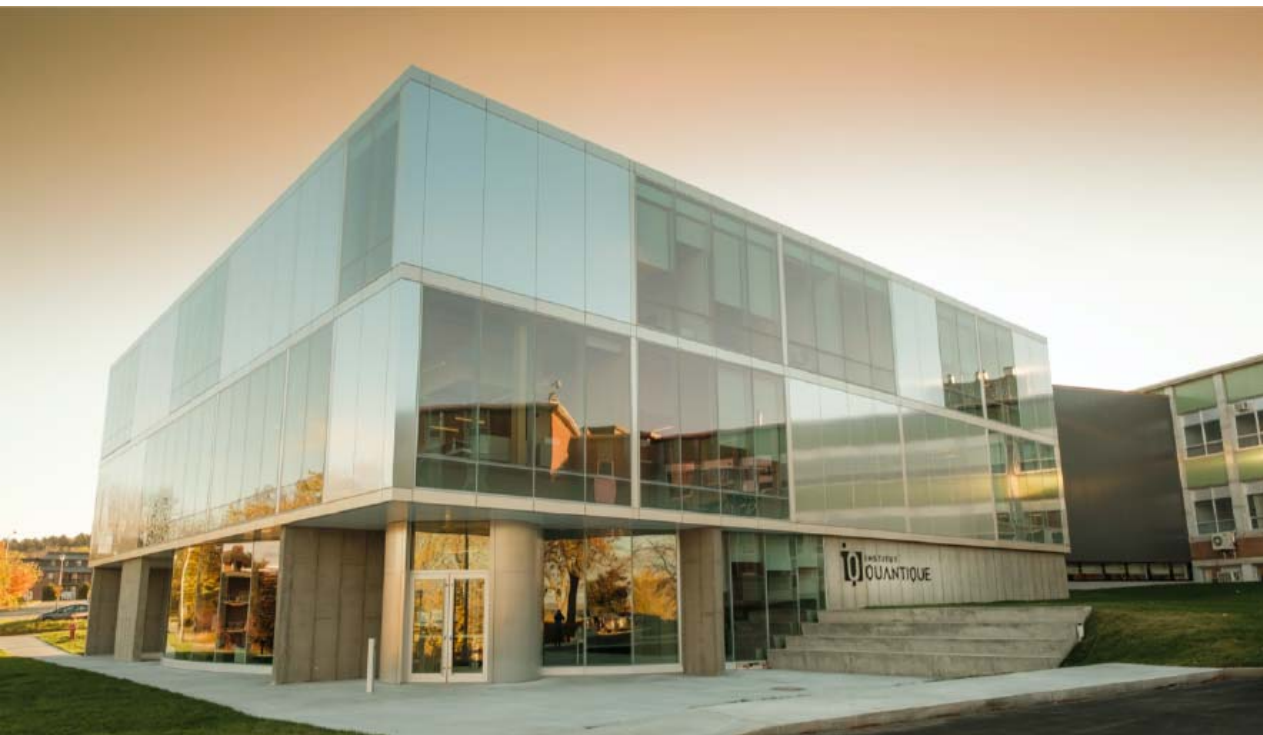
Optimizing T_c



	charge	dopants	structure	hamiltonian
 HgO _δ	balances -2 charge	supplies	harbors dopants	tunes chemical potential
 BaO	neutral	inert	protects CuO ₂ from disorder	tunes in-plane t, t', U
 CuO ₂	-2 charge/u.c.	accepts	roughly sets lattice const.	superconducts
 BaO			(same as other CaS layer)	



Chuck-Hou Yee *et al EPL* **111** 17002 (2015)



Merci
Thank you